1st Degree Assault, Great Bodily Harm: Statewide, Sentenced 2019-2023

Data Request

The Minnesota Sentencing Guidelines Commission has a statutory charter to serve as the state's clearinghouse and information center for the collection, preparation, analysis, and dissemination of information on sentencing practices. This data request serves to fulfills this charter. 2

Information Requested: Departure rate for First-Degree Assault, Great Bodily Harm. **Offense Details:**

- First-Degree Assault, Great Bodily Harm, under Minn. Stat. § 609.221 Subd 1.
- Excludes attempts under Minn. Stat. § 609.17 and conspiracies under Minn. Stat. § 609.175.
- Severity Level 9.

Analysis:

- Statewide.
- Sentenced 2019-2023.
- Dispositional departure rates by presumptive disposition and criminal history score.
- Durational departure rates by prison sentence and criminal history score.
- Most frequently cited reasons for mitigated departures.
- Prosecutorial agreement for mitigated departures.

Note on Data:

Minnesota Sentencing Guidelines Commission (MSGC) monitoring data are person-based, meaning cases represent persons rather than individual charges. Persons sentenced within the same county in a one-month period are generally counted only once, based on their most serious offense. This data request was prepared by the research staff of MSGC in fulfillment of the Commission's statutory role as a clearinghouse and information center for information on sentencing practices. This is not a policy document. Nothing in this request should be construed as a statement of existing policy or recommendation of future policy on behalf of the Commission itself, or as an authoritative interpretation of the Minnesota Sentencing Guidelines, Minnesota statutes, or case law.

Source: MSGC Monitoring Data Page 1 of 5

¹ See Minn. Stat. § 244.09, subd. 6.

² Submit data requests online at https://mn.gov/sentencing-guidelines/contact/data-requests.jsp

Dispositional Departures

Statewide, from 2019-2023, 236 people were sentenced for First-Degree Assault, Great Bodily Harm, under Minn. Stat. § 609.221 Subd 1. None had a presumptive disposition of stay and all 236 (100.0%) had a presumptive disposition of commit.

Among persons with a presumptive commit/prison sentence, 167 (70.8%) were not departures and 69 (29.2%) were mitigated dispositional departures, when the Guidelines recommended a commit/prison sentence, but the court pronounced a stayed sentence.

Table 1. Dispositional Departure Rate by Criminal History Score

1st Degree Assault, Great Bodily Harm: Statewide, Sentenced 2019-2023

				Dispositional Departures ^b							
	All	Presur	mptive	Presumptive Stays c				Presumptive Commits f			
	Cases	Dispos	sition ^a	No Departures ^d Aggravated Departures ^e							
CHS	Total	Stay	Commit	None	None (Req) g	Agg ^g	Agg (Req)g	None ^g	Mit		
0	115	0	115					76	39		
	100.0%	0.0%	100.0%					66.1%	33.9%		
4	32	0	32					22	10		
1	100.0%	0.0%	100.0%					68.8%	31.3%		
_	25	0	25					15	10		
2	100.0%	0.0%	100.0%					60.0%	40.0%		
_	18	0	18					12	6		
3	100.0%	0.0%	100.0%					66.7%	33.3%		
4	11	0	11					11	0		
4	100.0%	0.0%	100.0%					100.0%	0.0%		
-	11	0	11					9	2		
5	100.0%	0.0%	100.0%					81.8%	18.2%		
6 or	24	0	24					22	2		
more	100.0%	0.0%	100.0%					91.7%	8.3%		
Tatal	236	0	236					167	69		
Total	100.0%	0.0%	100.0%					70.8%	29.2%		

Source: Minnesota Sentencing Guidelines Commission Monitoring Data. Notes: Percents refer to rows, cases within each group of defendants by criminal history score (CHS).

a. Presumptive Disposition: Guidelines recommended sentence based on offense severity and defendant CHS; either a "Stay" a stayed/non-prison sentence; or "Commit" a commit/prison sentence.

b. Dispositional Departures: when the court pronounced a sentenced that was different from the presumptive sentence provided by the Guidelines.

c. Among Presumptive Stays: Dispositional departures among only presumptive stayed sentences; only aggravated dispositional departures are possible for presumptive stays.

d. No Departures: includes "None", no departure, and "None (Req)", where the defendant used their right to demand/request execution of their prison sentence even when the presumptive sentence was stay and the court pronounced a stayed sentence; for offenses on or after 8/1/2015 the Guidelines no longer deemed these cases to be departures. See Guidelines § 2.D.1.

e. Aggravated Departures: includes "Agg", an aggravated dispositional departure, where the Court pronounced a commit sentence (prison) but the Guidelines recommended a stayed sentence (non-prison), and "Agg (Req)" an aggravated dispositional departure, where the defendant used their right to demand/request execution of their prison sentence even when the presumptive sentence was stay and the court pronounced a stayed sentence; for offenses before 8/1/2015 the Guidelines deemed these cases to be departures. See Guidelines § 2.D.1.

f. Among Presumptive Commits: Dispositional departures among only presumptive commit sentences; includes "None", no departure, and "Mit", a mitigated dispositional departure when the Court pronounced a stayed sentence (non-prison) when the Guidelines recommended a commit sentence (prison); only mitigated dispositional departures are possible for presumptive commits.

g. The total number of defendants who received a prison sentence is a combination of presumptive stayed sentences which demanded a prison sentence, "None (Req)", and who received an aggravated dispositional departure, "Agg" or "Agg (Req)", as well as presumptive commits which did not receive a mitigated departure, "None".

1st Degree Assault, Great Bodily Harm: Statewide, Sentenced 2019-2023

The most common reasons cited³ among the 69 mitigated dispositional departures were amenable to probation (53; 76.8%), shows remorse/accepts responsibility (28; 40.6%), and amenable to treatment (26; 37.7%).

Table 2. Reasons Provided for Mitigated Dispositional Departures

1st Degree Assault, Great Bodily Harm: Statewide, Sentenced 2019-2023

Reasons Provided for Mitigated Dispositional Departure ^a	Number	Percent b
Amenable to probation	53	76.8%
Shows remorse/accepts responsibility	28	40.6%
Amenable to treatment	26	37.7%
Recommended by court services	10	14.5%
Virtually all parties/vict/family agreed on sentence	8	11.6%
Compliance with probation/extended supervision	6	8.7%
Lacked substantial capacity for judgment (non-drug)	5	7.2%
Prevent trauma to victim from testifying	4	5.8%
Imposed rest. or other financial penalty/ensure paid	3	4.3%
Age of offender	3	4.3%
Unknown	3	4.3%
Less onerous/weapon type less serious/gun not loaded	2	2.9%
Save taxpayers cost of a trial/judicial efficiency	2	2.9%
Victim aggressor in incident/relationship	1	1.4%
Offender played minor, lesser, or passive role	1	1.4%
Mit or excuse culpability,not amount to defense	1	1.4%
Psych-Emot problems/impaired capacity for judgment	1	1.4%
Persistent Mental Illness per 609.1055	1	1.4%
Reasons not requestedno worksheet completed	1	1.4%
Total Cases	69	

Source: Minnesota Sentencing Guidelines Commission Monitoring Data.

The prosecutor agreed to/recommended/ did not object to the departure in 43 (62.3%) cases, objected to the departure in 12 (17.4%) cases, and in 14 (20.3%) cases the position of the prosecutor was unknown.

Source: MSGC Monitoring Data Page 3 of 5

Notes: Percents refer to the proportion of mitigated dispositional departures receiving each reason.

a. Mitigated Dispositional Departures: When the Court pronounced a stayed sentence (non-prison) when the Guidelines recommended a commit sentence (prison); mitigated dispositional departures are only possible for presumptive commits.

b. Percent: Since each sentence may receive multiple reasons, combined percents may not add up to 100.0%.

³ The court may provide multiple reasons for mitigated dispositional departures; percents may not add up to 100.0%.

Durational Departures

Statewide, from 2019-2023, among people sentenced for First-Degree Assault, Great Bodily Harm, under Minn. Stat. § 609.221 Subd 1, in total, 167 (70.8%) people received a prison sentence⁴, and 69 (29.2%) people received a non-prison sentence.

Of the people that received a non-prison sentence, 60 (87.0%) were not departures, 6 (8.7%) received an aggravated durational departure, 3 (4.3%) received a mitigated durational departure, and none received a mitigated departure to a misdemeanor/gross misdemeanor sentence.

Of the people that received prison, 128 (76.6%) were not departures, 10 (6.0%) received an aggravated durational departure, and 29 (17.4%) received a mitigated durational departure.

Table 3. Durational Departure Rate by Criminal History Score

1st Degree Assault, Great Bodily Harm: Statewide, Sentenced 2019-2023

	All	Executed	d Prison	Durational Departures ^b							
	Cases	Sentence a		Non-Prison Sentences ^c				Prison Sentence d			
CHS	Total	No	Yes	None	Agg	Mit	Misd/GM	None	Agg	Mit	
0	115	39	76	34	5	0	0	56	5	15	
	100.0%	33.9%	66.1%	87.2%	12.8%	0.0%	0.0%	73.7%	6.6%	19.7%	
1	32	10	22	10	0	0	0	17	0	5	
1	100.0%	31.3%	68.8%	100.0%	0.0%	0.0%	0.0%	77.3%	0.0%	22.7%	
2	25	10	15	8	1	1	0	13	0	2	
	100.0%	40.0%	60.0%	80.0%	10.0%	10.0%	0.0%	86.7%	0.0%	13.3%	
	18	6	12	5	0	1	0	8	4	0	
3	100.0%	33.3%	66.7%	83.3%	0.0%	16.7%	0.0%	66.7%	33.3%	0.0%	
	11	0	11					10	0	1	
4	100.0%	0.0%	100.0%					90.9%	0.0%	9.1%	
-	11	2	9	1	0	1	0	6	1	2	
5	100.0%	18.2%	81.8%	50.0%	0.0%	50.0%	0.0%	66.7%	11.1%	22.2%	
6 or	24	2	22	2	0	0	0	18	0	4	
more	100.0%	8.3%	91.7%	100.0%	0.0%	0.0%	0.0%	81.8%	0.0%	18.2%	
Total	236	69	167	60	6	3	0	128	10	29	
	100.0%	29.2%	70.8%	87.0%	8.7%	4.3%	0.0%	76.6%	6.0%	17.4%	

Source: Minnesota Sentencing Guidelines Commission Monitoring Data. Notes: Percents refer to rows, cases within each group of defendants by criminal history score (CHS).

Source: MSGC Monitoring Data Data Request Page 4 of 5

a. Executed Prison Sentence: the pronounced disposition from the Court, either "No," a non-prison sentence (stayed), or "Yes," a prison sentence (commit; regardless of the Guidelines recommendation).

b. **Durational Departures**: when the Court pronounced a sentence duration that is different from the recommended duration provided by the Guidelines; either more or less than the fixed duration for presumptive stays, or outside of the duration range (15% lower and 20% higher) for presumptive commits, provided the minimum sentence is not less than one year and the maximum sentence is not more than the statutory maximum (See section 2.C.1-2).

c. Non-Prison Sentences: among non-prison sentences, "None" the pronounced non-prison sentence length from the Court matched the length recommended by the Guidelines; "Agg" an aggravated durational departure for a non-prison sentence when the pronounced non-prison sentence was more than the fixed duration for a presumptive stay or more than 20% higher than the fixed duration for a presumptive commit sentence; "Mit" a mitigated durational departure for a non-prison sentence when the pronounced non-prison sentence was less than the fixed duration for a presumptive stay or more than 15% lower than the fixed duration for a presumptive commit sentence; "Misd/GM" a mitigated durational departure where the Court imposed a misdemeanor or gross misdemeanor sentence for a felony-level conviction, meaning up to 364 days of confinement in a local jail or other non-jail sanctions can be imposed as a condition of probation.

d. **Prison Sentence**: Among prison sentences, "None" the pronounced prison sentence length from the Court matched the length recommended by the Guidelines; "Agg" an aggravated durational departure for a prison sentence when the pronounced prison sentence was more than the fixed duration for a presumptive stay or more than 20% higher than the fixed duration for a presumptive commit sentence; "Mit" a mitigated durational departure for a prison sentence when the pronounced prison sentence was less than the fixed duration for a presumptive stay or more than 15% lower than the fixed duration for a presumptive commit sentence.

⁴ The total number of defendants that received a prison includes the following: persons who demanded execution of sentence where it was not considered an aggravated dispositional departure, all aggravated dispositional departures among presumptive stays, and non-departures among presumptive commits.

1st Degree Assault, Great Bodily Harm: Statewide, Sentenced 2019-2023

The most common reasons⁵ cited among the 29 mitigated durational departures among prison sentences were crime less onerous (14; 48.3%) and shows remorse/accepts responsibility (10; 34.5%).

Table 4. Reasons Provided for Mitigated Durational Departures among Prison Sentences

1st Degree Assault, Great Bodily Harm: Statewide, Sentenced 2019-2023

Reasons for Mitigated Durational Departure Among Prison Sentences ^a	Number	Percent b
Less onerous/weapon type less serious/gun not loaded	14	48.3%
Shows remorse/accepts responsibility	10	34.5%
Virtually all parties/vict/family agreed on sentence	7	24.1%
Offender played minor, lesser, or passive role	4	13.8%
Victim aggressor in incident/relationship	3	10.3%
Mit or excuse culpability,not amount to defense	3	10.3%
Recommended by court services	2	6.9%
Prevent trauma to victim from testifying	2	6.9%
Amenable to probation	2	6.9%
Lacked substantial capacity for judgment (non-drug)	1	3.4%
Judge chose not to Hernandize current offenses	1	3.4%
Sentence appropriate/just	1	3.4%
Total Cases	29	•

Source: Minnesota Sentencing Guidelines Commission Monitoring Data.

The prosecutor agreed to/recommended/ did not object to the departure in 20 (69.0%) cases, objected to the departure in 3 (10.3%) of the cases, and in 6 (20.7%) cases the position of the prosecutor was unknown.

Source: MSGC Monitoring Data Data Request Page 5 of 5

Notes: Percents refer to the proportion of mitigated dispositional departures receiving each reason

a. Mitigated Durational Departures Among Prison Sentences: When the pronounced prison sentence was less than the fixed duration for a presumptive stay or more

than 15% lower than the fixed duration for a presumptive commit sentence.

b. Percent: Since each sentence may receive multiple reasons, combined percents may not add up to 100.0%.

⁵ The court may provide multiple reasons for prison sentences receiving mitigated durational departures; percents may not add up to 100.0%.