MINNESOTA
PUBLIC UTILITIES COMMISSION

Dockets Key

Electric, Natural Gas, Telecommunications, and Facilities

Abbreviation	Docket Type	Comments
CI	Commission-Initiated	Formal inquiries/investigations started by the PUC.
R	Rulemaking	Formal rules and petitions for rulemaking.
DI	Department-Initiated	Inquiries/investigations started by the Department of Commerce; occasionally turn into a docket before the PUC if the Department requests specific action.

Electric, Natural Gas, and Telecommunications

Abbreviation	Docket Type	Comments
C	Complaint	Formal complaints on service, practices, and rates and related against public utilities under Minn. Stat. § 216B.17 and other complaint statutes, and against telecommunications carriers under Minn. Stat. § 237.74, subd. 4.
D	Depreciation	Establishing depreciation rates for public utilities and some telecommunications property.
PA	Property Acquisition	Purchase and sale of public utility or telecommunications property/facilities when approval required under statute.
SA	Service Area	Electric and telecommunications service territory changes, clarifications, complaints (does not apply to natural gas utilities because there are no assigned service areas).

Abbreviation	Docket Type	Comments
PR	Periodic Reports	Reports required by statute or rules. Generally do not come before the PUC for decision, but occasionally do so if staff review identified issues.

Electric and Natural Gas

Abbreviation	Docket Type	Comments
M	Miscellaneous	Filings under which we do not have a more specific docket type assigned; used extensively for filings by electric and natural gas utilities to request changes in tariffs, rates, and services.
GR	General Rate Case	Overall change in rates (in past, also for large retail telecommunications companies, but unlikely now given changes in regulatory framework).
MR	Fuel Clase Base	Changes in base PGA or FCA implemented with interim rates in rate case.
AA	Fuel Adjustments	Monthly Purchased Gas (PGA) and electric fuel clause (FCA) reports reviewed by Department and generally do not come to PUC. The annual reports do come before PUC.
S	Securities	Securities issuance, capital structure.
AI	Affiliated Interest	Contracts/agreements between a regulated utility and a subsidiary/affiliate.
CIP / CC	Conservation Improvement Programs	The Department is the decision-maker on CIP programs. Certain decisions can be appealed to PUC. The few appeals PUC has gotten have been processed in CIP docket. Have not used CC for CIP complaints.

Abbreviation	Docket Type	Comments
CWR	Cold Weather Rule	CWR has not been used as a docket type recently. Cold weather appeals handled by PUC CAO staff and do not generally come before PUC for decision.

Electric

Abbreviation	Docket Type	Comments
RP	Resource Planning	Filed by IOU electric utilities or larger cooperatives and municipal power agencies.
CG	Cogeneration	Reports, changes in tariffs, complaints under Minn. Stat. § 216B.164.
TX	Tax Exemption	Seldom used, specific requirement for PUC decision in state tax law in limited circumstances.
NWS	Nuclear Waste Strategy Coalition	Not aware of this being used as a docket type. The PUC and Department are members of the NWS, which advocates for policies related to the removal of spent nuclear fuel from power plants as required by federal law.

Telecommunications

Abbreviation	Docket Type	Comments
NA	New Authority	Requests for Certificates of Authority to provide service in Minnesota.
RV	Revocation	Revocation of Certificates of Authority.
IC	Interconnection	Interconnection agreements between telecommunications carriers pursuant to federal and state laws and rules.

Abbreviation	Docket Type	Comments
EP	Emergency Plans	911 plans required as part of authority to provide regulated telecommunications service. Minnesota Department of Public Safety also reviews.
AM	Alternative – Misc.	Various filings not fitting under other categories, including certain tariff changes, requests for Eligible Telecommunications (ETC) status under federal requirements, requests under Minn. Stat. § 237.025.
AR	Alternative Regulation	Election to be regulated as a small company under Minn. Stat. § 237.773 and related.
FED	Federal	Used very infrequently for informational telecom filings not requiring PUC action.
AC. CP, CT, CTA, DP, EA, EC, EI, EL, EM, ES, TC	--	No longer used. Older forms of telecommunications regulation.

Facilities

Abbreviation	Docket Type	Comments
CN	Certificate of Need	Applies to all types of facilities that require a Certificate of Need.
GS	Power Plant Siting	Site permits for coal, natural gas, solar, and other non-wind electric generating facilities.
WS	Wind Power Plant Siting	Site permits for wind generating facilities and directly related facilities.
TL	Transmission Line	Route permits for electric transmission lines.

Abbreviation	Docket Type	Comments
PS	Pipelines	Route permits for oil and other non-natural gas pipelines.
MC	Minor Changes	For minor alterations to facilities that do not result in significant changes to human or environmental impact of facility.
EX	Exception	Notification by proposer to Commission of facilities that do not require a site or route permit. Generally no action taken by Commission as a body.
LR	Local Review	Notification by proposer to Commission of facilities that will be reviewed by local government. Generally no action taken by Commission as a body.
NE, TR	--	No longer used.

Other

Abbreviation	Docket Type	Comments
RW	Railroad Right of Way	In 2016, Minn. Stat. § 234.045 enacted requiring PUC action on certain conflicts between railroads and broad range of utilities (including municipal facilities, water). Prior to 2016, Department cases only, under Minn. Stat. § 237.04.