

Form D: Certification of No Disqualifying Convictions

Instructions: The grant applicant must certify that this condition is met, as required under this grant request for proposal.

Please sign below and submit this document as part of the grant application materials/response to the Office of Cannabis Management Grant Request for Proposal.

Please upload or attach an organizational chart or list of principals whom you are certifying.

Minnesota Statutes, section 16B.981, subdivision 2(6) requires that no current principals of a grantee have been convicted of a felony financial crime in the last 10 years. A principal is defined as a public official, a board member, or staff (paid or volunteer) with the authority to access funds provided by this grant opportunity or to determine how those funds are used.

Additionally, OCM requires that a grantee be disqualified if an organization's principal has been convicted of the following disqualifying offenses under Minnesota law. For this section, a principal is defined as key public officials (e.g., executive director, board president and/or authorized representative) with the authority to access funds provided by this agency (Minnesota Statutes, section 16B.981, subdivision 2):

- **Controlled substance crimes (non-cannabis)** under Minnesota Statutes, sections 152.021, 152.022, 152.0262, 152.0264 subd. 1(1), 152.097, 609.228, 609.235
- **Human or labor trafficking** under Minnesota Statutes, sections 609.282, 609.322, 609.283
- **Fraud and financial crimes** under Minnesota Statutes, sections 609.41, 609.42, 609.425, 609.445, 609.48, 609.496, 609.497, 609.52 subd. 2(a)(3,4,15,16) (insurance fraud context), 609.54, 609.465, 609.466, 609.64, 609.65(1), 609.651, 609.645, 609.825, 609.86, and any offense where fraud, deceit, or embezzlement is a necessary element
- **Cannabis sales offenses** after August 1, 2023, under Minnesota Statutes, section 152.0264
- **Adulteration** under Minnesota Statutes, section 609.687
- **Computer/electronic data theft** under Minnesota Statutes, section 609.89
- **Attempts/conspiracies** under Minnesota Statutes, sections 609.17 or 609.175 when the underlying offense is listed above
- **Equivalent out-of-state or federal convictions**

Note: Certain stayed adjudications under Minnesota Statutes, section 152.18, and expunged convictions under Minnesota Statutes, section 152.09 (1988) are not disqualifying.

Grantees may also be deemed ineligible if due diligence reveals credible evidence of the following by organizational leadership:

- **Civil liabilities:** Ongoing or undisclosed civil litigation, judgments, or liens materially impacting financial integrity.
- **Fraudulent misrepresentation:** Failure to disclose required information on application materials, including lawsuits, bankruptcies, or prior disciplinary actions.
- **Organizational misconduct:** Evidence of ongoing regulatory violations (e.g., labor law, OSHA, zoning, financial reporting, child welfare concerns) that would undermine grant objectives.
- **Reputational concerns and political liabilities:** Documented involvement in controversies that directly undermine public trust in OCM or state reinvestment programs.

By signing below, I warrant that no current principal of my organization has been convicted of a felony financial crime in the last 10 years **and** that no organizational leader of my organization has been convicted of the additional crimes or responsible for the liabilities, misrepresentation, misconduct, or reputational concerns detailed above.

I certify that this information is true, correct, and reliable.

The submission of inaccurate or misleading information may be grounds for disqualification from the grant contract agreement award and may subject me/my organization to suspension or debarment proceedings, as well as other remedies available to the state, by law.

_____	_____	_____	_____
Print name	Signature	Title	Date

Each organizational leader must also provide their full legal name and signed consent to complete a public records review upon the organization being selected as a grant finalist.

1.	_____	_____
	Full legal name	Role in organization
	_____	_____
	Signature	Date signed
2.	_____	_____
	Full legal name	Role in organization
	_____	_____
	Signature	Date signed