MINNESOTA
OFFICE OF CANNABIS MANAGEMENT

Funding Notification and Attestation Form

Investment and source of funds disclosure

Pursuant to Minnesota Statutes, section 342.185, subd. 4, cannabis businesses must notify the Office of Cannabis Management (OCM) before previously undisclosed funds are invested into the cannabis business.

Please note: While disclosure is required, approval from OCM is not required before investing funds.

License holder information

Legal business name	
License number	
Primary contact name	
Primary contact title	
Email	
Phone number	

Amount of funds

What is the total amount of funds being contributed or invested?

Source of funds

What is the source of the funds?

Please identify the individual, lender, financier, or entity providing the funds.

Ownership interests

Does the financier, lender, individual, or entity providing the funds already hold disclosed ownership interest in the subject cannabis business?

Does the financier, lender, individual, or entity hold any ownership interest in any other cannabis business?

☐ Yes ☐ No

If yes, please provide the following details:

Name of owner	
Name of cannabis business	
Location of cannabis business (city, state, and country)	
Percentage of ownership	

Equity consideration

Are the funds being provided in exchange for an ownership or equity interest in the cannabis business?

If yes, describe the equity interest being acquired.

If yes, complete the process to notify OCM of ownership changes.

Attestation statement

I hereby attest that the information provided in this disclosure is true, complete, and accurate to the best of my knowledge and that all material financial and ownership interests related to the disclosed funds have been fully disclosed and are compliant with Minnesota Statutes, chapter 342.

Signature:____ **Date:** _______________