

Cannabis Business License Preapproval

Application Review and Qualifications Guidance

Introduction	2
License Preapproval Availability	2
Grounds for Application Denial	3
Application Review and Minimum Qualifications.....	4
Applicant Instructions.....	4
Nonpublic and Public Data.....	6
Tennessee Warning	6
Appendix A – Application Review Minimum Qualifications Tables	8
Disclosure of Ownership and Control	9
Capitalization Table(s)	11
Social Equity Verification(s).....	13
Preliminary Security Plan	14
Proof of Trade Name Registration;	15
Preliminary Business Plan	16
Attestation of Labor Peace Agreement.....	18
Photo ID.....	19
Preliminary Training and Educational Plan for Employees	20
Preliminary SOP Quality Assurance	21
Preliminary SOP Inventory Control, Storage, and Diversion Prevention	22
Preliminary SOP Accounting and Tax Compliance	23
Preliminary Operation Plan - Microbusiness or Mezzobusiness.....	24
Preliminary Operation Plan – Manufacturer	27
Preliminary Operation Plan – Retail.....	29
Preliminary Operation Plan – Wholesale	31
Preliminary Operation Plan – Testing	33
Preliminary Operation Plan – Transporter.....	35
Preliminary Operation Plan – Delivery	38
Preliminary Operation Plan – Cultivator	40
Cultivation Plan (Required for Cultivators, and Micro or Mezzo Seeking Endorsement).....	41

Introduction

Pursuant to the Laws of Minnesota 2024, chapter 121, article 2, section 148¹, the Office of Cannabis Management (OCM or the office) may issue cannabis business license preapprovals to qualified social equity applicants for the following nine cannabis business license types:

1. Cannabis Microbusiness
2. Cannabis Mezzobusiness
3. Cannabis Cultivator
4. Cannabis Manufacturer
5. Cannabis Retailer
6. Cannabis Wholesaler
7. Cannabis Transporter
8. Cannabis Testing Facility
9. Cannabis Delivery Service

Applications for license preapproval will be available for submission in the OCM Accela Citizen Portal beginning July 24, 2024. The office will cease accepting applications for license preapproval on August 12, 2024, at 11:59 p.m. Only applicants who provide verification of their social equity status in the form prescribed by the office will be considered in this licensing round. For information on creating an Accela Citizen Portal account and submitting an application, please visit <https://mn.gov/ocm/businesses/equity-applicants/resources.jsp>.

The instructions and guidance information listed below does not replace reading all relevant laws.

License Preapproval Availability

The office will conduct a series of lotteries to issue cannabis business licenses, beginning with license preapprovals to verified social equity applicants. Future licensing rounds will include a lottery for additional social equity licenses as well as general licenses.

Below is the total number of available license preapprovals for qualified social equity applicants:

License Type	License Preapproval Availability
Cannabis Microbusiness	100
Cannabis Mezzobusiness	25
Cannabis Cultivator	13
Cannabis Manufacturer	6
Cannabis Retailer	38
Cannabis Wholesaler	20
Cannabis Transporter	20
Cannabis Testing Facility	50
Cannabis Delivery Service	10

¹ Please note, this document reflects citations from changes made from the 2024 legislative session. Citations may change in updated documents provided by the Revisor's Office.

Grounds for Application Denial

The office may deny an application if:

- The applicant does not provide verification of social equity status in the manner prescribed by the office representing no less than 65% ownership of the business.
- The application is incomplete or deemed unqualified by standards of the review.
- The application contains a materially false statement about the applicant or omits information required under Minnesota Statutes, section 342.14, subdivision 1.
- The applicant does not meet the qualifications under Minnesota Statutes, section 342.16.
- The applicant is prohibited from holding the license under Minnesota Statutes, section 342.18, subdivision 2.
- The applicant fails to pay the applicable application fee to the office.
- The applicant failed to submit the application to the office by the application deadline.
- The applicant submitted more than one application for a license type.
- The office determines that the applicant would be prohibited from holding a license for any other reason.
- The application does not meet the minimum requirements under Minnesota Statutes, section 342.18, subdivision 3.

A person identified as a true party of interest, as defined in section 342.185, may **not** be affiliated with more than one application per license type. **All applications associated with the same true party of interest of more than 10% ownership within the same license type will be disqualified.**

A person identified as a true party of interest may not apply for multiple license types, except that a true party of interest may be affiliated with the following combinations of applications:

- A single cannabis cultivator license and a single cannabis manufacturer license.
- A single cannabis wholesaler license and a single cannabis transporter license.
- A single cannabis wholesaler license and a single cannabis delivery service license.
- A single cannabis transporter license and single cannabis delivery service license.
- A single cannabis wholesaler license, a single transporter license, and a single cannabis delivery service license.
- A single cannabis retailer license and a single cannabis delivery service license.

All applications that would result in any true party of interest of more than 10% ownership obtaining a license for multiple license types outside of the list above will result in all associated applications being denied.

The office may request additional information from an applicant if the office determines that the information is necessary to review or process the application. If the applicant does not provide the additional requested information within 14 calendar days, the office may deny the application. If the office denies an application, the office will notify the applicant of the denial and the basis for the denial.

The office will notify each qualified applicant that they are being entered into the lottery. Upon selecting applicants, the office will notify selected applicants whether they have been selected or not, as well as next steps for obtaining a license preapproval. Applications from applicants not selected in the preapproval lottery will be retained by the office for consideration in the next licensing round.

If the office denies an application for a license preapproval or removes an applicant from a lottery, the applicant may request a records review of the submitted application materials within seven (7) calendar days of receiving notification that the office denied the application or removed the applicant.

Application Review and Minimum Qualifications

Applications for license preapproval will be reviewed for completeness *and* on a **qualified/unqualified** basis. Applications will not be reviewed on comparative basis. All applicants that meet the minimum qualifications of the review tables as outlined in *Appendix A* of this guidance document will be included in a lottery to select the recipients of a license preapproval. *Being selected in the lottery does not guarantee license preapproval, nor a license generally.* Applications will be reviewed to ensure they meet minimum qualifications in the following six categories listed in section 342.18, subdivision 3, for all applicants:

1. Security and record keeping
2. Employee training plan
3. Business plan and financial situation
4. Labor and employment practices
5. Knowledge and experience
6. Environmental plan

Applicant Instructions

To promote applicant success while ensuring the qualifications for preapproval are met, the office has provided the minimum qualifications review tables listed in *Appendix A* to serve as application content guidelines for applicants. *These tables will serve as the primary tool for application reviews.* **Applicants are strongly encouraged to use the tables in Appendix A to complete their application and ensure the information provided meets minimum qualifications.**

When completing the application, please consider the following:

- All applicants must use the provided worksheets for all required attachments where listed as an OCM worksheet. Worksheets for each OCM mandated attachment can be found [here](#) and hyperlinked for each worksheet below. These worksheets are fillable PDFs with the exception of the capitalization table(s) which is a single .xls file. For more information on fillable PDFs, please visit <https://mn.gov/ocm/businesses/equity-applicants/preapproval.jsp>. *It is the responsibility of the applicant to ensure that the fillable PDF has been saved with their populated response.* **Handwritten responses in the fillable sections will not be accepted.**
- Application criteria will be evaluated on a qualified/unqualified basis. This determination will be based upon meeting the qualifications listed in the third column of the tables listed in *Appendix A*.
- Applicants may use each application attachment table as a checklist to ensure that all minimum qualifications are met.
- A physical premises is NOT required for license preapproval and provides the applicant no advantage in the review process as municipal ordinances regarding land use, zoning and certifications for cannabis businesses are still being developed. Similarly, applicants who have secured premises will not be held to a higher review standard than those without. All applicants will be subject to the standards listed in *Appendix A*.
- The office recognizes that plans and information submitted will require modifications upon final OCM regulations being adopted, as well as physical premises being obtained. The applicant should prepare materials that take into consideration cannabis industry best practices that promote public health and safety in their responses.

- Applicants may prepare and provide any additional information for submission within the prescribed worksheets. Applicants are encouraged to provide all relevant information and plans pertinent to the attachment. However, only what is listed in the third column of the tables listed in *Appendix A* will determine if minimum qualifications have been met.
- Any aspect of the submitted information or materials that indicate the applicant will violate any provision of Minnesota Statutes, Chapter 342, or any other relevant state or federal law will result in a denial of the application. ***All relevant statutes and laws should be carefully read and considered prior to the development and submission of an application.***
- The Accela Citizen Portal ***does not*** allow you to upload multiple documents per attachment type. You will only be able to attach the **primary** required worksheets in the manner prescribed in these instructions before submitting payment. **You must re-enter Accela and upload additional required documents related to the Disclosure of Ownership and Control** if you have selected “provided” to the attestation in the OCM worksheet. **These additional documents can only be submitted after successfully completing payment** to have your application be deemed complete. You *may* add any additional supplementary documents, such as additional information on your business outside of the required worksheets after submitting payment. Supplemental documents are not required and not reviewed outside of the scope of the minimum qualifications as listed in Appendix A. For instructions on this, please visit https://youtu.be/E_Tfasq9pWo.
- For documents requiring signatures, e-signatures are considered acceptable in addition to ink signatures.
- Prior to submitting your application, you will need to have the following completed worksheets ready for attachment **in the file format described using the OCM provided worksheet:**
 - a. [Disclosure of Ownership and Control \(fillable PDF\)](#)
 - b. [Capitalization Table \(fillable .xls\(s\)\)](#)
 - c. [Preliminary Security Plan \(fillable PDF\)](#)
 - d. [Preliminary Business Plan \(fillable PDF\)](#)
 - e. [Attestation of Labor Peace Agreement \(fillable PDF\)](#)
 - f. [Preliminary Training and Educational Plan for Employees \(fillable PDF\)](#)
 - g. [Preliminary SOP Quality Assurance \(fillable PDF\)](#)
 - h. [Preliminary SOP Inventory Control, Storage, and Diversion Prevention \(fillable PDF\)](#)
 - i. [Preliminary SOP Accounting and Tax Compliance \(fillable PDF\)](#)
 - j. [Preliminary Operation Plan - Microbusiness or Mezzobusiness \(fillable PDF\)](#)
 - k. [Preliminary Operation Plan - Manufacturer \(fillable PDF\)](#)
 - l. [Preliminary Operation Plan - Retail \(fillable PDF\)](#)
 - m. [Preliminary Operation Plan - Wholesale \(fillable PDF\)](#)
 - n. [Preliminary Operation Plan - Testing \(fillable PDF\)](#)
 - o. [Preliminary Operation Plan - Transporter \(fillable PDF\)](#)
 - p. [Preliminary Operation Plan - Delivery \(fillable PDF\)](#)
 - q. [Preliminary Operation Plan - Cultivation \(fillable PDF\)](#)
 - r. [Cultivation Plan \(only mandatory for cultivator license types or micro/mezzo licenses seeking a cultivation endorsement\) \(fillable PDF\)](#)
- Prior to submitting your application, you will need to have the following completed documents ready for attachment **in PDF form.**
 - a. Additional Documents for the Disclosure of Ownership and Control (as applicable) required to be uploaded upon submitting payment:
 - i. If the applicant is a corporation, copies of the applicant's articles of incorporation and bylaws and any amendments to the applicant's articles of incorporation or bylaws.

- ii. Copies of any partnership agreement, operating agreement, or shareholder agreement.
- iii. Copies of any promissory notes, security instruments, or other similar agreements.
- b. Social Equity Certification Report with “Clear” status provided from CSI Inc. Social equity applicants must have at least 65% of the controlling ownership of the business entity. The document uploaded must reflect the *applicant’s* Social Equity Certification Report with “Clear” status.
 - i. For applications with multiple verified social equity owners comprising no less than 65% of ownership, applicants will need to provide the Social Equity Certification Report with “Clear” status unique number from CSI for all individuals associated in the capitalization table. Please see the instructions on the capitalization table for where to place these unique numbers.
- c. Proof of Trade Name registration provided from Minnesota Secretary of State.
- d. Valid photo ID issued by a governmental or tribal agency.

Nonpublic and Public Data

Data submitted by applicants as part of an application for a license is governed by Minnesota Statutes, section 342.20 and chapter 13. The table below shows what data is public and non-public for an application. The table shows that most applicant data is non-public. However, once an applicant is awarded a license the application data becomes public, except that an applicant’s business plan, financial information and other trade-secret data (including customer and employee identification). Below is a chart that highlights what is and is not classified as nonpublic data, per section 342.20 of Minnesota Statutes Chapter 342:

Nonpublic Data	Public Data
(1) Application data submitted by an applicant for a cannabis business license or hemp business license, except those items identified in this chart as public data. (2) Identity of a complainant who has made a report concerning a license holder or an applicant that appears in inactive investigative data unless the complainant consents to the disclosure. (3) Data identifying retail or wholesale customers of a cannabis business or hemp business. (4) Data identifying cannabis workers or hemp workers.	(1) Applicant's name and designated address. (2) Data disclosing the ownership and control of the applicant. (3) Proof of trade name registration. (4) Data showing the legal possession of the premises where the business will operate. (5) Data describing whether volatile chemicals will be used in any methods of extraction or concentration. (6) Environmental plans. (7) Type and number of other cannabis business licenses or hemp business licenses held by the applicant. (8) Name, address, location, dates, and hours of where any proposed cannabis event will take place. (b) Evaluation and other data generated by the office in its review of an applicant for a cannabis business license or hemp business license are public data.

Tennessee Warning

On your license application, the Minnesota Office of Cannabis Management (OCM), or its vendor, will ask you for information, like your Social Security number, that is classified as “private data” under the Minnesota Government Data Practices Act (Minnesota Statutes Chapter 13) or Minnesota Statutes Chapter

342. The Data Practices Act requires any governmental entity asking an individual to supply private data to inform the individual of:

- a) the purpose and intended use of the requested data;
- b) whether the individual may refuse to supply the requested data or is legally required to supply it;
- c) any known consequence of supplying or refusing to supply private data; and
- d) the identity of other persons or entities authorized by state or federal law to receive the data.

The data requested is to identify you, contact you, and review and process your license application.

Pursuant to Minnesota Statutes sections 342.14, for OCM to consider and process your license application, you are statutorily required to provide information. You are not legally required to supply any other data requested on the license application.

If you supply all the requested data, your application will be processed. If you refuse to supply data requested on the application, your application will not be processed. Whatever information you do supply will be maintained by OCM, whether your application is approved. Proceeding with supplying any requested data is acknowledgment that you have read and understand this Tennessee warning.

The information about you that is collected on the application will be classified as either public, private, or nonpublic data. Public data is accessible to the public. Private and nonpublic data about you will be accessible only to:

- a) you;
- b) state personnel who determine your eligibility for licensure;
- c) employees of license database vendors;
- d) the Minnesota Department of Revenue (Minnesota Statutes section 270C.72, subdivision 4);
- e) the public authority responsible for child support in Minnesota (Minnesota Statutes section 256.978);
- f) any appropriate person(s) or agency, if the Director of the Office of Cannabis Management determines that failure to make the data accessible is likely to create a clear and present danger to public health or safety;
- g) person(s) authorized by a court order; or
- h) any other person authorized by state or federal law.

Appendix A – Application Review Minimum Qualifications Tables

Disclosure of Ownership and Control

Citations for Applicant Reference: Minnesota Statutes, sections 342.14 subd.1(a) 2, 12; 342.14 subd. 1(b) (2-8) &), 342.14 subd. 1 (e)
 Laws of Minnesota 2024, chapter 121, article 2, section 148

Documents Submitted for Review in Office Prescribed Manner	Information to be Reviewed (Referred to as “Review Information”)	Minimum Qualifications Demonstrated as Met (Qualified)	Minimum Qualifications Demonstrated as Not Met (Unqualified)
<ul style="list-style-type: none"> • Mandatory: OCM provided “Disclosure of Ownership and Control” worksheet (PDF) • As applicable: Additional uploaded copies of documents outlined in the worksheet (PDF) 	<ol style="list-style-type: none"> 1) Identification of the full legal name of each owner, officer, director, manager, or general partner of the business; 2) A statement from the applicant and, if the applicant is a business, from every officer, director, manager, and general partner of the business, indicating whether that person has previously held, or currently holds, an ownership interest in a cannabis business in Minnesota, any other state or territory of the United States, or any other country; 3) An explanation detailing the funding sources used to finance the business; 4) A list of operating and investment accounts for the business, including any applicable financial institution and account number; 5) A disclosure of any violation of a license 	<ol style="list-style-type: none"> 1) Applicant lists the full legal name of each owner, officer, director, manager, or general partner of the business <i>in</i> the attachment worksheet. 2) Applicant, and, if the applicant is a business, every officer, director, manager, and general partner of the business, has disclosed ownership interest in cannabis businesses, and detailed ownership interest if any, <i>in</i> the attachment worksheet. 3) Applicant provided an explanation detailing the funding sources used to finance the business <i>in</i> the attachment worksheet. 4) Applicant provided a list of operating and investment accounts for the business, including any applicable financial institution and account number <i>in</i> the attachment worksheet. 5) Applicant disclosed of any violation of a license agreement or a federal, state, or local law or regulation 	<ol style="list-style-type: none"> 1) Applicant <i>does not</i> list the full legal name of each owner, officer, director, manager, or general partner of the business <i>in</i> the attachment worksheet. 2) Applicant, and, if the applicant is a business, every officer, director, manager, and general partner of the business, <i>does not</i> disclose ownership interest in cannabis businesses, and detailed ownership interest if any, <i>in</i> the attachment worksheet. 3) Applicant <i>does not</i> provide an explanation detailing the funding sources used to finance the business <i>in</i> the attachment worksheet. 4) Applicant <i>does not</i> provide a list of operating and investment accounts for the business, including any applicable financial institution and account number <i>in</i> the attachment worksheet. 5) Applicant <i>does not</i> disclose of any violation of a license agreement or a federal, state, or local law or regulation

Documents Submitted for Review in Office Prescribed Manner	Information to be Reviewed (Referred to as “Review Information”)	Minimum Qualifications Demonstrated as Met (Qualified)	Minimum Qualifications Demonstrated as Not Met (Unqualified)
	<p>agreement or a federal, state, or local law or regulation committed by the applicant or any true party of interest in the applicant's business that is relevant to business and working conditions;</p> <p>6) A list of each outstanding loan and financial obligation obtained for use in the business, including the loan amount, loan terms, and name and address of the creditor;</p> <p>7) <i>If the applicant is a corporation</i>, copies of the applicant's articles of incorporation and bylaws and any amendments to the applicant's articles of incorporation or bylaws;</p> <p>8) <i>If the applicant is a corporation</i>, signature of at least two officers or managing agents of that entity;</p> <p>9) <i>If applicable</i>, copies of any partnership agreement, operating agreement, or shareholder agreement;</p> <p>10) <i>If applicable</i>, copies of any promissory notes, security</p>	<p>committed by the applicant or any true party of interest in the applicant's business that is relevant to business and working conditions <i>in</i> the attachment worksheet.</p> <p>6) Applicant provided a list of each outstanding loan and financial obligation obtained for use in the business, <i>including</i> the loan amount, loan terms, and name and address of the creditor <i>in</i> the attachment worksheet.</p> <p>7) Applicant attests <i>in</i> the attachment worksheet that by not uploading the additional documentation listed in Information to Be Reviewed #6-9, that the documentation does not exist.</p> <p>8) <i>If uploading additional documentation</i> listed in Information to Be Reviewed column #6-9:</p> <p>a) All of the submitted documents comply with requirements of all relevant laws and Chapter 342, Laws of Minnesota 2024, chapter 121, article 2, section 76, and the chapter of Minnesota</p>	<p>committed by the applicant or any true party of interest in the applicant's business that is relevant to business and working conditions <i>in</i> the attachment worksheet.</p> <p>6) Applicant <i>does not</i> provide a list of each outstanding loan and financial obligation obtained for use in the business, <i>including</i> the loan amount, loan terms, and name and address of the creditor <i>in</i> the attachment worksheet.</p> <p>7) Applicant <i>does not</i> attest <i>in</i> the attachment worksheet that by not uploading the additional documentation listed in Information to Be Reviewed #6-9, that the documentation does not exist.</p> <p>8) <i>If uploading additional documentation</i> listed in Information to Be Reviewed column #6-9:</p> <p>a) Any of the submitted documents <i>do not</i> comply with requirements of all relevant laws and Chapter 342 or the chapter of Minnesota Statutes under which the applicant is organized.</p>

Documents Submitted for Review in Office Prescribed Manner	Information to be Reviewed (Referred to as “Review Information”)	Minimum Qualifications Demonstrated as Met (Qualified)	Minimum Qualifications Demonstrated as Not Met (Unqualified)
	instruments, or other similar agreements.	Statutes under which the applicant is organized.	

Capitalization Table(s)

Citations for Applicant Reference: Minnesota Statutes, sections 342.14 subd. 1 (b)(1); 342.14 subd 1 (a) (14); 342.185 subd 1-7
 Laws of Minnesota 2024, chapter 121, article 2, section 148

Documents Submitted for Review in Office Prescribed Manner	Information to be Reviewed (Referred to as “Review Information”)	Minimum Qualifications Demonstrated as Met (Qualified)	Minimum Qualifications Demonstrated as Not Met (Unqualified)
<ul style="list-style-type: none"> Mandatory: OCM provided “Capitalization Table” worksheet (.xls(s)) 	1) The management structure, ownership, and control of the applicant or license holder, including the name of each cooperative member, officer, director, manager, general partner, or business entity; the office or position held by each person; each person's percentage ownership interest, if any; and, if the business has a parent	1) Applicant provides all necessary capitalization table(s) and required information reflecting all true parties of interest and ownership in attachment worksheet. 2) Applicant attests that all true parties of interest are reflected in the capitalization table(s) and comply with the ownership requirements of Chapter 342 in the attachment worksheet.	1) Applicant <i>does not</i> provide all necessary capitalization table(s) and required information reflecting all true parties of interest and ownership in attachment worksheet. 2) Applicant <i>does not</i> attest that all true parties of interest are reflected in the capitalization table(s) and comply with the ownership requirements of

Documents Submitted for Review in Office Prescribed Manner	Information to be Reviewed (Referred to as “Review Information”)	Minimum Qualifications Demonstrated as Met (Qualified)	Minimum Qualifications Demonstrated as Not Met (Unqualified)
	<p>company, the name of each owner, board member, and officer of the parent company and the owner's, board member's, or officer's percentage ownership interest in the parent company and the cannabis business.</p>	<ul style="list-style-type: none"> 3) All true parties of interests identified in the capitalization table(s) result in individual persons. 4) Capitalization table(s) equate to 100% ownership, and include all owners, even if they are publicly traded companies. 5) Capitalization table(s) reflects ownership of no less than 65% from verified social equity applicants. 6) Capitalization table(s) matches the ownership structure as indicated in the additional documentation, if provided as listed in the Disclosure of Ownership and Control, Information to Be Reviewed #6-9. 7) The true parties of interest listed on the capitalization table(s) are not associated with any other application for this license type. 8) The true parties of interest listed on the capitalization table(s) are not associated with any other application for any other license type that would result in prohibited license ownership in Chapter 342.18. <i>Please refer to Page 4 of this guidance document for this information.</i> 	<p>Chapter 342 <i>in</i> the attachment worksheet.</p> <ul style="list-style-type: none"> 3) All true parties of interests identified in the capitalization table(s) <i>did not</i> result in individual persons. 4) Capitalization table(s) <i>did not</i> equate to 100% ownership, or did not include all owners, even if they are publicly traded companies. 5) Capitalization table(s) <i>does not</i> reflect ownership of no less than 65% from verified social equity applicants. 6) Capitalization table(s) <i>does not</i> match the ownership structure as indicated in the additional documentation listed in Disclosure of Ownership and Control, Information to Be Reviewed #6-9. 7) The true parties of interest listed on the capitalization table(s) are associated with any other application for this license type. 8) The true parties of interest listed on the capitalization table(s) are associated with any other application for any other license type that would result in prohibited license ownership in Chapter 342.18 <i>Please refer to</i>

Documents Submitted for Review in Office Prescribed Manner	Information to be Reviewed (Referred to as “Review Information”)	Minimum Qualifications Demonstrated as Met (Qualified)	Minimum Qualifications Demonstrated as Not Met (Unqualified)
			<i>Page 4 of this guidance document for this information.</i>

Social Equity Verification(s)

Citations for Applicant Reference: Minnesota Statutes section 342.14 subdivision 1 (c) (1); Laws of Minnesota 2024, chapter 121, article 2, section 148

Documents Submitted for Review in Office Prescribed Manner	Information to be Reviewed (Referred to as “Review Information”)	Minimum Qualifications Demonstrated as Met (Qualified)	Minimum Qualifications Demonstrated as Not Met (Unqualified)
<ul style="list-style-type: none"> Mandatory: Social Equity Certification Report provided by Creative Services Inc. (PDF) 	1) Proof that the applicant is a social equity applicant.	1) Applicant provides the Social Equity Certification Report indicating “ <i>Clear</i> ” status <i>from</i> Creative Services Inc. (CSI) for the applicant, <i>and</i> the Social Equity Certification Report numbers indicating “Clear” status from CSI for each individual comprising no less than the 65% ownership as indicated on the capitalization table(s) and all documentation submitted in the Disclosure of Ownership and Control.	1) Applicant <i>does not</i> provide the Social Equity Certification Report indicating “ <i>Clear</i> ” status <i>from</i> Creative Services Inc. (CSI) for the applicant, <i>and</i> the Social Equity Certification Report numbers indicating “Clear” status from CSI for each individual comprising no less than the 65% ownership as indicated on the capitalization table(s) and all documentation submitted in the Disclosure of Ownership and Control.

Preliminary Security Plan

Citations for Applicant Reference: Minnesota Statutes Section 342.14 subdivision 1 (a) (6); Laws of Minnesota 2024, chapter 121, article 2, section 148

Documents Submitted for Review in Office Prescribed Manner	Information to be Reviewed (Referred to as “Review Information”)	Minimum Qualifications Demonstrated as Met (Qualified)	Minimum Qualifications Demonstrated as Not Met (Unqualified)
<ul style="list-style-type: none"> • Mandatory: OCM provided “Preliminary Security Plan” worksheet (PDF). • Optional: Facility maps (PDF). 	<ol style="list-style-type: none"> 1) Plans for (i) security monitoring 2) Plans for (i) the use of security equipment 3) Facility maps, if applicable. (Note: applicants are not required to have a physical premises at the time of application.) 	<ol style="list-style-type: none"> 1) Applicant provides plans describing, at minimum, the one (1) review information requested for #1 <i>in</i> the attachment worksheet. 2) Applicant provides plans describing, at minimum, the one (1) review information requested for #2 <i>in</i> the attachment worksheet. 3) Applicant attests that they will resubmit security plans upon adoption of final regulations and at point of identifying physical premises as a condition to converting a license <i>in</i> the attachment worksheet. 	<ol style="list-style-type: none"> 1) Applicant <i>does not</i> provide plans describing, at minimum, the one (1) listed review information requested for #1 <i>in</i> the attachment worksheet. 2) Applicant <i>does not</i> provide plans describing, at minimum, the one (1) listed review information requested for #2 <i>in</i> the attachment worksheet. 3) Applicant <i>does not</i> attest that they will resubmit plans must be upon adoption of final regulations and at point of identifying physical premises as a condition to converting a license <i>in</i> the attachment worksheet.

Proof of Trade Name Registration

Citations for Applicant Reference: Minnesota Statutes section 342.14 subdivision 1 (a) (7); Laws of Minnesota 2024, chapter 121, article 2, section 148

Documents Submitted for Review in Office Prescribed Manner	Information to be Reviewed (Referred to as “Review Information”)	Minimum Qualifications Demonstrated as Met (Qualified)	Minimum Qualifications Demonstrated as Not Met (Unqualified)
<ul style="list-style-type: none"> Mandatory: Trade Name Registration document provided by MN Secretary of State (PDF). 	1) Proof of trade name registration for the company name, with assumed name or DBA listed on the registration if applicable.	1) Applicant provides the trade name registration documentation provided by the MN Secretary of State for the company name, with assumed name or Doing Business As (DBA) name listed on the registration, if applicable.	1) Applicant <i>does not</i> provide the trade name registration documentation provided by the MN Secretary of State for the company name, with assumed name or Doing Business As (DBA) name listed on the registration, if applicable.

Preliminary Business Plan

Citations for Applicant Reference: Minnesota Statutes section 342.14 subdivision 1 (a) (8); Laws of Minnesota 2024, chapter 121, article 2, section 148

Documents Submitted for Review in Office Prescribed Manner	Information to be Reviewed (Referred to as “Review Information”)	Minimum Qualifications Demonstrated as Met (Qualified)	Minimum Qualifications Demonstrated as Not Met (Unqualified)
<ul style="list-style-type: none"> Mandatory: OCM provided “Preliminary Business Plan” worksheet (PDF). 	<ol style="list-style-type: none"> Expected size of the business, including metrics which may include but are not limited to anticipated revenue, sales, number of employees or any other metric identified by the applicant. Applicants should provide three (3) metrics demonstrating projected business size; Anticipated growth of the business including metrics which may include but are not limited to anticipated revenue, sales, number of employees or any other metric identified by the applicant. Applicants should provide three (3) metrics demonstrating projected business size; Methods of recordkeeping including but not limited to (i) physical record keeping or electronic record keeping; The knowledge and experience of the applicant and any officer, director, manager, and general partner of the business in at least one of the five following areas: <ol style="list-style-type: none"> Owning, managing, or founding a business. Working in the cannabis and/or regulated hemp industry. 	<ol style="list-style-type: none"> Applicant describes, at minimum, three (3) metrics related to the review information requested for #1 <i>in</i> the attachment worksheet. Applicant describes, at minimum, three (3) metrics related to the review information requested for #2 <i>in</i> the attachment worksheet. Applicant describes, at minimum, the one (1) listed review information requested for #3 <i>in</i> the attachment worksheet. Applicant describes, at minimum, one (1) of the five (5) listed review information requested for #4 for <i>each</i> officer, director, manager, and general partner <i>in</i> the attachment worksheet. Applicant provides plans describing, at minimum, three (3) plans related to the review information requested for #5 <i>in</i> the attachment worksheet. 	<ol style="list-style-type: none"> Applicant <i>does not</i> describe, at minimum, three (3) metrics related to the review information requested for #1 <i>in</i> the attachment worksheet. Applicant <i>does not</i> describe, at minimum, three (3) metrics related to the review information requested for #2 <i>in</i> the attachment worksheet. Applicant <i>does not</i> describe, at minimum, the one (1) listed review information requested for #3 <i>in</i> the attachment worksheet. Applicant <i>does not</i> describe, at minimum, one (1) of the five (5) listed review information requested for #4 for <i>each</i> officer, director, manager, and general partner <i>in</i> the attachment worksheet. Applicant <i>does not</i> provides plans describing, at minimum, three (3) plans related to the review information requested for #5 <i>in</i> the attachment worksheet.

Documents Submitted for Review in Office Prescribed Manner	Information to be Reviewed (Referred to as “Review Information”)	Minimum Qualifications Demonstrated as Met (Qualified)	Minimum Qualifications Demonstrated as Not Met (Unqualified)
	<ul style="list-style-type: none"> iii. Operating a business in a highly regulated commercial industry. iv. Working with consumer goods, agricultural products, or controlled substances. v. Other relevant work, educational, or volunteer experience. <p>5) Environmental plan that includes at least three (3) examples of plans to address environmental concerns. Plans may include, but are not limited to, water usage, energy consumption, solid waste disposal, or other environmental concerns identified by the applicant.</p>		

Attestation of Labor Peace Agreement

Citations for Applicant Reference: Minnesota Statutes section 342.14 subdivision 1 (a) (10); Laws of Minnesota 2024, chapter 121, article 2, section 148

Documents Submitted for Review in Office Prescribed Manner	Information to be Reviewed (Referred to as “Review Information”)	Minimum Qualifications Demonstrated as Met (Qualified)	Minimum Qualifications Demonstrated as Not Met (Unqualified)
<ul style="list-style-type: none"> • Mandatory: OCM provided “Attestation of Labor Peace Agreement” worksheet (PDF). • As applicable: Labor Peace Agreement attestation signed by a bona fide labor organization. 	1) An attestation signed by a bona fide labor organization stating that the applicant has entered into a labor peace agreement.	1) Applicants confirms that they will provide an attestation entering into a labor peace agreement signed by a bona fide labor organization as a condition of preapproval <i>in</i> the attachment worksheet.	1) Applicant <i>does not</i> confirm that they will provide an attestation entering into a labor peace agreement signed by a bona fide labor organization as a condition of preapproval <i>in</i> the attachment worksheet.

Photo ID

Citations for Applicant Reference: Minnesota Statutes section 342.16 (a) (1); Laws of Minnesota 2024, chapter 121, article 2, section 148

Documents Submitted for Review in Office Prescribed Manner	Information to be Reviewed (Referred to as “Review Information”)	Minimum Qualifications Demonstrated as Met (Qualified)	Minimum Qualifications Demonstrated as Not Met (Unqualified)
<ul style="list-style-type: none"> Mandatory: Photo ID issued by a government or tribal body (PDF or JPEG) 	<ol style="list-style-type: none"> 1) A valid photo ID of the applicant from a federal, state or tribal government body. 	<ol style="list-style-type: none"> 1) Applicant provides a valid government photo ID that matches that of the applicant. Please note: OCM will accept all identification for applicants with names that do not match that of the applicant provided the applicant has had a name change and will verify applicant identification through other means such as birthdate and SSN. 2) The applicant is 21 years of age or older. 	<ol style="list-style-type: none"> 1) Applicant does not provide a valid government photo ID that matches that of the applicant. Please note: OCM will accept all identification for applicants with names that do not match that of the applicant provided the applicant has had a name change and will verify applicant identification through other means such as birthdate and SSN. 2) The applicant is <i>not</i> 21 years of age or older.

Preliminary Training and Educational Plan for Employees

Citations for Applicant Reference: Minnesota Statutes section 342.14 subdivision 1 (a) (11); Laws of Minnesota 2024, chapter 121, article 2, section 148

Documents Submitted for Review in Office Prescribed Manner	Information to be Reviewed (Referred to as “Review Information”)	Minimum Qualifications Demonstrated as Met (Qualified)	Minimum Qualifications Demonstrated as Not Met (Unqualified)
<ul style="list-style-type: none"> Mandatory: OCM provided “Preliminary Training and Educational Plan for Employees” worksheet (PDF). 	1) A plan to provide training and education to all employees upon the start of their employment and on an annual basis that includes three (3) topics relevant to the specific license type. Plans may include, but are not limited to workplace safety, workplace hygiene, respectful workplace practices, or a related topic identified by the applicant.	1) Applicant provides a plan that includes three (3) training and education topics relevant to the specific license type <i>in</i> the attachment worksheet.	1) Applicant <i>does not</i> provide a plan that includes three (3) training and education topics relevant to the specific license type <i>in</i> the attachment worksheet.

Preliminary SOP Quality Assurance

Citations for Applicant Reference: Minnesota Statutes section 342.14 subdivision 1 (a) (9)(i); Laws of Minnesota 2024, chapter 121, article 2, section 148

Documents Submitted for Review in Office Prescribed Manner	Information to be Reviewed (Referred to as “Review Information”)	Minimum Qualifications Demonstrated as Met (Qualified)	Minimum Qualifications Demonstrated as Not Met (Unqualified)
<ul style="list-style-type: none"> Mandatory: OCM provided “Preliminary SOP Quality Assurance” worksheet (PDF). 	<ol style="list-style-type: none"> Standard operating procedures for quality assurance that include three (3) topics relevant to the specific license type. Plans may include, but are not limited to, procedures for the safe handling of cannabis or cannabis products, procedures for identifying errors or problems with handling of cannabis or cannabis products, procedures for taking corrective action for remedying errors with the handling of cannabis or cannabis products, or a related topic identified by the applicant. 	<ol style="list-style-type: none"> Applicant provides standard operating procedures for quality assurance that include three (3) topics relevant to the specific license type <i>in</i> the attachment worksheet. Applicant attests that they will resubmit standard operating procedures upon adoption of final regulations and at point of identifying physical premises as a condition of converting a license <i>in</i> the attachment worksheet. 	<ol style="list-style-type: none"> Applicant <i>does not</i> provide standard operating procedures for quality assurance that include three (3) topics relevant to the specific license type <i>in</i> the attachment worksheet. Applicant <i>does not</i> attest that they will resubmit standard operating procedures upon adoption of final regulations and at point of identifying physical premises as a condition of converting a license <i>in</i> the attachment worksheet.

Preliminary SOP Inventory Control, Storage, and Diversion Prevention

Citations for Applicant Reference: Minnesota Statutes section 342.14 subdivision 1 (a) (9) (ii); Laws of Minnesota 2024, chapter 121, article 2, section 148

Documents Submitted for Review in Office Prescribed Manner	Information to be Reviewed (Referred to as “Review Information”)	Minimum Qualifications Demonstrated as Met (Qualified)	Minimum Qualifications Demonstrated as Not Met (Unqualified)
<ul style="list-style-type: none"> Mandatory: OCM provided “Preliminary SOP Inventory Control, Storage, and Diversion Prevention” worksheet (PDF). 	1) Standard operating procedures for inventory control, storage, and diversion prevention that include three (3) topics relevant to the specific license type. Plans may include but are not limited to procedures for managing stock and inventory of cannabis or cannabis products, standard operating procedures for the secure storage of cannabis or cannabis products, standard operating procedures for access control for inventory or a related topic identified by the applicant.	1) Applicant provides standard operating procedures for inventory control, storage and diversion prevention that include three (3) topics relevant to the specific license type <i>in</i> the attachment worksheet. 2) Applicant attests that they will resubmit standard operating procedures upon adoption of final regulations and at point of identifying physical premises as a condition of converting a license <i>in</i> the attachment worksheet.	1) Applicant <i>does not</i> provide standard operating procedures for inventory control, storage and diversion prevention that include three (3) topics relevant to the specific license type <i>in</i> the attachment worksheet. 2) Applicant <i>does not</i> attest that they will resubmit standard operating procedures upon adoption of final regulations and at point of identifying physical premises as a condition of converting a license <i>in</i> the attachment worksheet.

Preliminary SOP Accounting and Tax Compliance

Citations for Applicant Reference: Minnesota Statutes 342.14 subdivision 1 (a) (9) (iii); Laws of Minnesota 2024, chapter 121, article 2, section 148

Documents Submitted for Review in Office Prescribed Manner	Information to be Reviewed (Referred to as “Review Information”)	Minimum Qualifications Demonstrated as Met (Qualified)	Minimum Qualifications Demonstrated as Not Met (Unqualified)
<ul style="list-style-type: none"> Mandatory: OCM provided “Preliminary SOP Accounting and Tax Compliance” worksheet (PDF). 	1) Standard operating procedures for accounting and tax compliance that includes two (2) topics relevant to the specific license type. Plans may include but are not limited to procedures for staying consistent with GAAP (Generally Acceptable Accounting Principles) standards, the timely filing of taxes or a related topic identified by the applicant.	1) Applicant provides standard operating procedures for accounting and tax compliance that include two (2) topics relevant to the specific license type <i>in</i> the attachment worksheet. 2) Applicant attests that they will resubmit standard operating procedures upon adoption of final regulations and at point of identifying physical premises as a condition of converting a license <i>in</i> the attachment worksheet.	1) Applicant <i>does not</i> provide standard operating procedures for accounting and tax compliance that include two (2) topics relevant to the specific license type <i>in</i> the attachment worksheet. 2) Applicant <i>does not</i> attest that they will resubmit standard operating procedures upon adoption of final regulations and at point of identifying physical premises as a condition of converting a license <i>in</i> the attachment worksheet.

Preliminary Operation Plan - Microbusiness or Mezzobusiness

Citations for Applicant Reference: Minnesota Statutes sections 342.14 Subd. 1 (a) (4), (5), & (14); 342.28 subd. 3 (1-4), 342.29 subd. 3 (1-4)
 Laws of Minnesota 2024, chapter 121, article 2, section 148

Documents Submitted for Review in Office Prescribed Manner	Information to be Reviewed (Referred to as “Review Information”)	Minimum Qualifications Demonstrated as Met (Qualified)	Minimum Qualifications Demonstrated as Not Met (Unqualified)
<ul style="list-style-type: none"> Mandatory for Micro/Mezzo Applicants: OCM provided “Preliminary Operation Plan - Microbusiness or Mezzobusiness” worksheet (PDF). 	<ol style="list-style-type: none"> The address and legal property description of the business, if applicable, except an applicant is not required to secure a physical premises for the business at the time of application, and an operating plan demonstrating the proposed layout of the facility, including a diagram of ventilation and filtration systems. General description of the proposed location or locations that the applicant plans to operate, including but not limited to (i) the planned square feet of space for planned authorized activities. Identification of one or more controlling persons or managerial employees as agents who shall be responsible for dealing with the office on all matters. Plans for compliance with applicable (i) building codes and (ii) federal and state environmental requirements and (iii) federal and state workplace 	<ol style="list-style-type: none"> Applicant attests that they will provide the address and legal property description of the business, proposed layout of the facility, including a diagram of ventilation and filtration systems, plans for code compliance as a condition of converting a license <i>in</i> the attachment worksheet. Applicant provides general description of the proposed location(s) of operation that includes, at minimum, the one (1) listed review information requested for #2 <i>in</i> the attachment worksheet. Applicant provides at minimum, one (1) controlling person(s) or managerial employees as agents who shall be responsible for dealing with the office on all matters <i>in</i> the attachment worksheet. Applicant provides compliance plans describing, at minimum, the three (3) listed review information requested for #4 <i>in</i> the attachment worksheet. 	<ol style="list-style-type: none"> Applicant <i>does not</i> attest that they will provide the address and legal property description of the business, proposed layout of the facility, including a diagram of ventilation and filtration systems, plans for code compliance as a condition of converting a license <i>in</i> the attachment worksheet. Applicant <i>does not</i> provide general description of the proposed location(s) of operation that includes, at minimum, the one (1) listed review information requested for #2 <i>in</i> the attachment worksheet. Applicant <i>does not</i> provide at minimum, one (1) controlling person(s) or managerial employees as agents who shall be responsible for dealing with the office on all matters <i>in</i> the attachment worksheet. Applicant <i>does not</i> provide compliance plans describing, at minimum, the three (3) listed review information requested for #4 <i>in</i> the attachment worksheet.

Documents Submitted for Review in Office Prescribed Manner	Information to be Reviewed (Referred to as “Review Information”)	Minimum Qualifications Demonstrated as Met (Qualified)	Minimum Qualifications Demonstrated as Not Met (Unqualified)
	<p>safety requirements and policies.</p> <p>5) Evidence that the business will comply with the applicable operation requirements for the license being sought.</p> <p>6) Plans to (i) avoid sales to unlicensed cannabis businesses.</p> <p>7) Plans to (i) avoid sales to individuals under 21 years of age.</p> <p>8) Identification of all endorsement types the business will be pursuing.</p> <p>Based on the endorsements identified:</p> <p>9) <i>If seeking a Cultivation and/or Extraction and Concentration Endorsement:</i> Plans for (i) wastewater and (ii) waste disposal for any cultivation or manufacturing activities.</p> <p>10) <i>If seeking a Cultivation and/or Extraction and Concentration Endorsement:</i> Plans for providing (i) electricity, (ii) water, and (iii) other utilities necessary for the normal operation of any cultivation or manufacturing activities.</p> <p>11) <i>If seeking an Extraction and Concentration Endorsement:</i> Information identifying all</p>	<p>5) Applicant attests that the business will comply with the applicable operation requirements for the license being sought <i>in</i> the attachment worksheet.</p> <p>6) Applicant provides plans discussing, at minimum, the one (1) listed review information requested for #6 <i>in</i> the attachment worksheet.</p> <p>7) Applicant provides plans discussing, at minimum, the one (1) listed review information requested for #7 <i>in</i> the attachment worksheet.</p> <p>8) <i>If applying for a microbusiness,</i> applicant identifies at minimum one (1) endorsement type that the business will be pursuing. <i>If applying for a mezzobusiness,</i> applicant identifies at least two (2) distinctly different endorsement types that the business will be pursuing <i>in</i> the attachment worksheet.</p> <p>Based on the endorsements identified:</p> <p>9) <i>If seeking a Cultivation and/or Extraction and Concentration</i></p>	<p>5) Applicant does not attest that the business will comply with the applicable operation requirements for the license being sought <i>in</i> the attachment worksheet.</p> <p>6) Applicant does not provide discussing, at minimum, the one (1) listed review information requested for #6 <i>in</i> the attachment worksheet.</p> <p>7) Applicant does not provide plans discussing, at minimum, the one (1) listed review information requested for #7 <i>in</i> the attachment worksheet.</p> <p>8) <i>If applying for a microbusiness,</i> applicant <i>does not</i> identify at minimum one (1) endorsement type that the business will be pursuing. <i>If applying for a mezzobusiness,</i> applicant <i>does not</i> identify at least two (2) distinctly different endorsement types that the business will be pursuing <i>in</i> the attachment worksheet.</p> <p>Based on the endorsements identified:</p>

Documents Submitted for Review in Office Prescribed Manner	Information to be Reviewed (Referred to as “Review Information”)	Minimum Qualifications Demonstrated as Met (Qualified)	Minimum Qualifications Demonstrated as Not Met (Unqualified)
	<p>methods (i) of extraction, concentration, or conversion that the applicant intends to use and (ii) the volatile chemicals and catalysts, if any, that will be involved in extraction, concentration, or creation.</p>	<p><i>Endorsement:</i> Applicant provides plans discussing, at minimum, the two (2) listed review information requested for #9 <i>in</i> the attachment worksheet.</p> <p>10) <i>If seeking a Cultivation and/or Extraction and Concentration Endorsement:</i> Applicant provides plans discussing, at minimum, the three (3) listed review information requested for #10 <i>in</i> the attachment worksheet.</p> <p>11) <i>If seeking an Extraction and Concentration Endorsement:</i> Applicant discusses, at minimum, the two (2) listed review information requested for #11 <i>in</i> the attachment worksheet.</p>	<p>9) <i>If seeking a Cultivation and/or Extraction and Concentration Endorsement:</i> Applicant does not provide plans discussing, at minimum, the two (2) listed review information requested for #9 <i>in</i> the attachment worksheet.</p> <p>10) <i>If seeking a Cultivation and/or Extraction and Concentration Endorsement:</i> Applicant does not provide plans discussing, at minimum, the three (3) listed review information requested for #10 <i>in</i> the attachment worksheet.</p> <p>11) <i>If seeking an Extraction and Concentration Endorsement:</i> Applicant does not discuss, at minimum, the two (2) listed review information requested for #11 <i>in</i> the attachment worksheet.</p>

Preliminary Operation Plan – Manufacturer

Citations for Applicant Reference: Minnesota Statutes sections 342.14 Subd 1 (a) (4), (5), & (14); 342.31 subd. 3 (1-2); Laws of Minnesota 2024, chapter 121, article 2, section 148

Documents Submitted for Review in Office Prescribed Manner	Information to be Reviewed (Referred to as “Review Information”)	Minimum Qualifications Demonstrated as Met (Qualified)	Minimum Qualifications Demonstrated as Not Met (Unqualified)
<ul style="list-style-type: none"> Mandatory for Manufacturer Applicants: OCM provided “Preliminary Operation Plan - Manufacturer” worksheet (PDF). 	<ol style="list-style-type: none"> The address and legal property description of the business, if applicable, except an applicant is not required to secure a physical premises for the business at the time of application, and an operating plan demonstrating the proposed layout of the facility, including a diagram of ventilation and filtration systems. General description of the proposed location or locations that the applicant plans to operate, including but not limited to (i) the planned square feet of space for planned manufacturing. Identification of one or more controlling persons or managerial employees as agents who shall be responsible for dealing with the office on all matters. Plans for compliance with applicable (i) building codes and (ii) federal and state environmental requirements and (iii) federal and state workplace safety requirements and policies. 	<ol style="list-style-type: none"> Applicant attests that they will provide the address and legal property description of the business, proposed layout of the facility, including a diagram of ventilation and filtration systems, plans for code compliance as a condition of converting a license <i>in</i> the attachment worksheet. Applicant provides general description of the proposed location(s) of operation that includes, at minimum, the one (1) listed review information requested for #2 <i>in</i> the attachment worksheet. Applicant provides at minimum, one (1) controlling person(s) or managerial employee(s) as agents who shall be responsible for dealing with the office on all matters <i>in</i> the attachment worksheet. Applicant provides compliance plans describing, at minimum, the three (3) listed review information requested for #4 <i>in</i> the attachment worksheet. 	<ol style="list-style-type: none"> Applicant <i>does not</i> attest that they will provide the address and legal property description of the business, proposed layout of the facility, including a diagram of ventilation and filtration systems, plans for code compliance as a condition of converting a license <i>in</i> the attachment worksheet. Applicant <i>does not</i> provide general description of the proposed location(s) of operation that includes, at minimum, the one (1) listed review information requested for #2 <i>in</i> the attachment worksheet. Applicant <i>does not</i> provide at minimum, one (1) controlling person(s) or managerial employee(s) as agents who shall be responsible for dealing with the office on all matters <i>in</i> the attachment worksheet. Applicant <i>does not</i> provide compliance plans describing, at minimum, the three (3) listed review information requested

Documents Submitted for Review in Office Prescribed Manner	Information to be Reviewed (Referred to as “Review Information”)	Minimum Qualifications Demonstrated as Met (Qualified)	Minimum Qualifications Demonstrated as Not Met (Unqualified)
	<ul style="list-style-type: none"> 5) Plans for (i) wastewater and (ii) waste disposal for the manufacturing facility. 6) Plans for providing (i) electricity, (ii) water, and other utilities necessary for the normal operation of the manufacturing facility. 7) Evidence that the business will comply with the applicable operation requirements for the license being sought. 	<ul style="list-style-type: none"> 5) Applicant provides plans discussing, at minimum, the two (2) listed review information requested for #5 <i>in</i> the attachment worksheet. 6) Applicant provides plans discussing, at minimum, the two (2) listed review information requested for #6 <i>in</i> the attachment worksheet. 7) Applicant attests that the business will comply with the applicable operation requirements for the license being sought <i>in</i> the attachment worksheet. 	<ul style="list-style-type: none"> for #4 <i>in</i> the attachment worksheet. 5) Applicant <i>does not</i> provide plans discussing, at minimum, the two (2) review information requested for #5 <i>in</i> the attachment worksheet. 6) Applicant <i>does not</i> provide plans discussing, at minimum, the two (2) listed review information requested for #6 <i>in</i> the attachment worksheet. 7) Applicant <i>does not</i> attest that the business will comply with the applicable operation requirements for the license being sought <i>in</i> the attachment worksheet.

Preliminary Operation Plan – Retail

Citations for Applicant Reference: Minnesota Statutes sections 342.14 Subd 1 (a) (4) (5) (14) 342.32 subd. 3 (1-3)

Documents Submitted for Review in Office Prescribed Manner	Information to be Reviewed (Referred to as “Review Information”)	Minimum Qualifications Demonstrated as Met (Qualified)	Minimum Qualifications Demonstrated as Not Met (Unqualified)
<ul style="list-style-type: none"> Mandatory for Retail Applicants: OCM provided “Preliminary Operation Plan - Retail” worksheet (PDF). 	<ol style="list-style-type: none"> The address and legal property description of the business, if applicable, except an applicant is not required to secure a physical premises for the business at the time of application, and an operating plan demonstrating the proposed layout of the facility, including a diagram of ventilation and filtration systems and identification of a restricted area for storage. General description of the proposed location or locations that the applicant plans to operate, including but not limited to (i) the planned square feet of space for planned retail. Identification of one or more controlling persons or managerial employees as agents who shall be responsible for dealing with the office on all matters. Overview of policies to (i) avoid sales to individuals who are under 21 years of age. Plans to prevent the (i) visibility of cannabis flower, cannabis products, lower-potency hemp edibles, and hemp-derived 	<ol style="list-style-type: none"> Applicant attests that they will provide the address and legal property description of the business, proposed layout of the facility, including a diagram of ventilation and filtration systems and identification of a restricted area for storage, plans for code compliance as a condition of converting a license <i>in</i> the attachment worksheet. Applicant provides general description of the proposed location(s) of operation that includes, at minimum, the one (1) listed review information requested for #2 <i>in</i> the attachment worksheet. Applicant provides at minimum, one (1) controlling person(s) or managerial employee(s) as agents who shall be responsible for dealing with the office on all matters <i>in</i> the attachment worksheet. Applicant provides an overview of policies discussing, at minimum, the one (1) listed review information requested for #4 <i>in</i> the attachment worksheet. 	<ol style="list-style-type: none"> Applicant <i>does not</i> attest that they will provide the address and legal property description of the business, proposed layout of the facility, including a diagram of ventilation and filtration systems and identification of a restricted area for storage, plans for code compliance as a condition of converting a license <i>in</i> the attachment worksheet. Applicant <i>does not</i> provide general description of the proposed location(s) of operation that includes, at minimum, the one (1) listed review information requested for #2 <i>in</i> the attachment worksheet. Applicant <i>does not</i> provide at minimum, one (1) controlling person(s) or managerial employee(s) as agents who shall be responsible for dealing with the office on all matters <i>in</i> the attachment worksheet. Applicant <i>does not</i> provide an overview of policies discussing, at minimum, the one (1) listed review information requested

Documents Submitted for Review in Office Prescribed Manner	Information to be Reviewed (Referred to as “Review Information”)	Minimum Qualifications Demonstrated as Met (Qualified)	Minimum Qualifications Demonstrated as Not Met (Unqualified)
	<p>consumer products to individuals outside the retail location.</p> <p>6) Evidence that the business will comply with the applicable operation requirements for the license being sought.</p>	<p>5) Applicant provides plans discussing, at minimum, the one (1) listed review information requested for #5 <i>in</i> the attachment worksheet.</p> <p>6) Applicant attests that the business will comply with the applicable operation requirements for the license being sought <i>in</i> the attachment worksheet.</p>	<p>for #4 <i>in</i> the attachment worksheet.</p> <p>5) Applicant <i>does not</i> provide plans discussing, at minimum, the one (1) listed review information requested for #5 <i>in</i> the attachment worksheet</p> <p>6) Applicant <i>does not</i> attest that the business will comply with the applicable operation requirements for the license being sought <i>in</i> the attachment worksheet.</p>

Preliminary Operation Plan – Wholesale

Citations for Applicant Reference: Minnesota Statutes sections 342.14 Subd 1 (a) (4), (5), (14); ; 342.33 subd. 2 (1-2)

Documents Submitted for Review in Office Prescribed Manner	Information to be Reviewed (Referred to as “Review Information”)	Minimum Qualifications Demonstrated as Met (Qualified)	Minimum Qualifications Demonstrated as Not Met (Unqualified)
<ul style="list-style-type: none"> Mandatory for Wholesale Applicants: OCM provided “Preliminary Operation Plan - Wholesale” worksheet (PDF). 	<ol style="list-style-type: none"> The address and legal property description of the business, if applicable, except an applicant is not required to secure a physical premises for the business at the time of application, and an operating plan demonstrating the proposed layout of the facility, including a diagram of ventilation and filtration systems. General description of the proposed location or locations that the applicant plans to operate, including but not limited to (i) the planned square feet of space for planned, wholesaling. Identification of one or more controlling persons or managerial employees as agents who shall be responsible for dealing with the office on all matters. Proposed policies to (i) avoid sales to unlicensed cannabis businesses. Evidence that the business will comply with the applicable operation 	<ol style="list-style-type: none"> Applicant attests that they will provide the address and legal property description of the business, proposed layout of the facility, including a diagram of ventilation and filtration systems, plans for code compliance as a condition of converting a license in the attachment worksheet. Applicant provides general description of the proposed location(s) of operation that includes, at minimum, the one (1) listed review information requested for #2 in the attachment worksheet. Applicant provides at minimum, one (1) controlling person(s) or managerial employee(s) as agents who shall be responsible for dealing with the office on all matters in the attachment worksheet. Applicant provides an overview of policies discussing, at minimum, the one (1) listed review information requested for #4 in the attachment worksheet. Applicant attests that the business will comply with the applicable operation requirements for the 	<ol style="list-style-type: none"> Applicant <i>does not</i> attest that they will provide the address and legal property description of the business, proposed layout of the facility, including a diagram of ventilation and filtration systems, plans for code compliance as a condition of converting a license in the attachment worksheet. Applicant <i>does not</i> provide general description of the proposed location(s) of operation that includes, at minimum, the one (1) listed review information requested for #2 in the attachment worksheet. Applicant <i>does not</i> provide at minimum, one (1) controlling person(s) or managerial employee(s) as agents who shall be responsible for dealing with the office on all matters in the attachment worksheet. Applicant <i>does not</i> provide an overview of policies discussing, at minimum, the one (1) listed review information requested for #4 in the attachment worksheet.

Documents Submitted for Review in Office Prescribed Manner	Information to be Reviewed (Referred to as “Review Information”)	Minimum Qualifications Demonstrated as Met (Qualified)	Minimum Qualifications Demonstrated as Not Met (Unqualified)
	requirements for the license being sought.	license being sought in the attachment worksheet.	5) Applicant <i>does not</i> attest that the business will comply with the applicable operation requirements for the license being sought <i>in</i> the attachment worksheet.

Preliminary Operation Plan – Testing

Citations for Applicant Reference: Minnesota Statutes sections 342.14 Subd 1 (a) (4), (5), (14);342.37 subd. 2 (1-3)

Documents Submitted for Review in Office Prescribed Manner	Information to be Reviewed (Referred to as “Review Information”)	Minimum Qualifications Demonstrated as Met (Qualified)	Minimum Qualifications Demonstrated as Not Met (Unqualified)
<ul style="list-style-type: none"> Mandatory for Testing Applicants: OCM provided “Preliminary Operation Plan - Testing” worksheet (PDF). 	<ol style="list-style-type: none"> The address and legal property description of the business, if applicable, except an applicant is not required to secure a physical premises for the business at the time of application, and an operating plan demonstrating the proposed layout of the facility, including a diagram of ventilation and filtration systems. General description of the proposed location or locations that the applicant plans to operate, including but not limited to (i) the planned square feet of space for planned testing. Identification of one or more controlling persons or managerial employees as agents who shall be responsible for dealing with the office on all matters. Evidence that the business will comply with the applicable operation requirements for the license being sought. 	<ol style="list-style-type: none"> Applicant attests that they will provide the address and legal property description of the business, proposed layout of the facility, including a diagram of ventilation and filtration systems, plans for code compliance as a condition of converting a license <i>in</i> the attachment worksheet. Applicant provides general description of the proposed location(s) of operation that includes, at minimum, the one (1) listed review information requested for #2 <i>in</i> the attachment worksheet. Applicant provides at minimum, one (1) controlling person(s) or managerial employee(s) as agents who shall be responsible for dealing with the office on all matters <i>in</i> the attachment worksheet. Applicant attests that the business will comply with the applicable operation requirements for the license being sought <i>in</i> the attachment worksheet. 	<ol style="list-style-type: none"> Applicant <i>does not</i> attest that they will provide the address and legal property description of the business, proposed layout of the facility, including a diagram of ventilation and filtration systems, plans for code compliance as a condition of converting a license <i>in</i> the attachment worksheet. Applicant <i>does not</i> provide general description of the proposed location(s) of operation that includes, at minimum, the one (1) listed review information requested for #2 <i>in</i> the attachment worksheet. Applicant <i>does not</i> provide at minimum, one (1) controlling person(s) or managerial employee(s) as agents who shall be responsible for dealing with the office on all matters <i>in</i> the attachment worksheet. Applicant <i>does not</i> attest that the business will comply with the applicable operation requirements for the license being sought <i>in</i> the attachment worksheet. Applicant <i>does not</i> attest that that they will provide proof of accreditation by a laboratory

Documents Submitted for Review in Office Prescribed Manner	Information to be Reviewed (Referred to as “Review Information”)	Minimum Qualifications Demonstrated as Met (Qualified)	Minimum Qualifications Demonstrated as Not Met (Unqualified)
	<p>5) Proof of accreditation by a laboratory accrediting organization approved by the office that, at a minimum, requires a laboratory to operate formal management systems under the International Organization for Standardization.</p>	<p>5) Applicant attests that that they will provide proof of accreditation by a laboratory accrediting organization approved by the office that, at a minimum, requires a laboratory to operate formal management systems under the International Organization for Standardization as a condition of converting a license <i>in</i> the attachment worksheet.</p>	<p>accrediting organization approved by the office that, at a minimum, requires a laboratory to operate formal management systems under the International Organization for Standardization as a condition of converting a license <i>in</i> the attachment worksheet.</p>

Preliminary Operation Plan – Transporter

Citations for Applicant Reference: 342.14 Subd 1 (a) (4), (5); (14); 342.35 subd. 2 (1-6)

Documents Submitted for Review in Office Prescribed Manner	Information to be Reviewed (Referred to as “Review Information”)	Minimum Qualifications Demonstrated as Met (Qualified)	Minimum Qualifications Demonstrated as Not Met (Unqualified)
<ul style="list-style-type: none"> Mandatory for Transporter Applicants: OCM provided “Preliminary Operation Plan - Transporter” worksheet (PDF). 	<ol style="list-style-type: none"> The address and legal property description of the business, if applicable, except an applicant is not required to secure a physical premises for the business at the time of application. General description of the proposed location or locations that the applicant plans to operate, including but not limited to (i) the planned square feet, or feet, of space for vehicle storage. Identification of one or more controlling persons or managerial employees as agents who shall be responsible for dealing with the office on all matters. A plan for (i) loading, (ii) transporting, and (iii) unloading. A description of the applicant's experience in the distribution or security business. Evidence that the business will comply with the applicable operation requirements for the license being sought. 	<ol style="list-style-type: none"> Applicant attests that they will provide the address and legal property description of the business, facility diagrams and plans for code compliance as a condition of converting a license <i>in</i> the attachment worksheet. Applicant provides general description of the proposed location(s) of operation that includes, at minimum, the one (1) listed review information requested for #2 <i>in</i> the attachment worksheet. Applicant provides at minimum, one (1) controlling person(s) or managerial employee(s) as agents who shall be responsible for dealing with the office on all matters <i>in</i> the attachment worksheet. Applicant provides plans discussing, at minimum, the three (3) listed review information requested for #4 <i>in</i> the attachment worksheet. Applicant provides a brief description of the applicant's experience in the distribution or security business <i>in</i> the attachment worksheet. 	<ol style="list-style-type: none"> Applicant <i>does not</i> attest that they will provide the address and legal property description of the business, facility diagrams and plans for code compliance as a condition of converting a license <i>in</i> the attachment worksheet. Applicant <i>does not</i> provide general description of the proposed location(s) of operation that includes, at minimum, the one (1) listed review information requested for #2 <i>in</i> the attachment worksheet. Applicant <i>does not</i> provide at minimum, one (1) controlling person(s) or managerial employee(s) as agents who shall be responsible for dealing with the office on all matters <i>in</i> the attachment worksheet. Applicant <i>does not</i> provide plans discussing, at minimum, the three (3) listed review information requested for #4 <i>in</i> the attachment worksheet. Applicant <i>does not</i> provide a brief description of the applicant's experience in the distribution or security business <i>in</i> the attachment worksheet.

Documents Submitted for Review in Office Prescribed Manner	Information to be Reviewed (Referred to as “Review Information”)	Minimum Qualifications Demonstrated as Met (Qualified)	Minimum Qualifications Demonstrated as Not Met (Unqualified)
	<p>7) An appropriate surety bond, certificate of insurance, qualifications as a self-insurer, or other securities or agreements, in the amount of not less than \$300,000, for loss of or damage to cargo.</p> <p>8) An appropriate surety bond, certificate of insurance, qualifications as a self-insurer, or other securities or agreements, in the amount of not less than \$1,000,000, for injury to one or more persons in any one accident and, if an accident has resulted in injury to or destruction of property, of not less than \$100,000 because of such injury to or destruction of property of others in any one accident.</p> <p>9) The number and type of equipment the business will use to transport immature cannabis plants and seedlings, cannabis flower, cannabis products, artificially derived cannabinoids, hemp plant parts, hemp concentrate, lower-potency hemp edibles, and hemp-derived consumer products.</p>	<p>6) Applicant attests that the business will comply with the applicable operation requirements for the license being sought <i>in</i> the attachment worksheet.</p> <p>7) Applicant attests that that they will provide an appropriate surety bond, certificate of insurance, qualifications as a self-insurer, or other securities or agreements, in the amount of not less than \$300,000, for loss of or damage to cargo as a condition of converting a license <i>in</i> the attachment worksheet.</p> <p>8) Applicant attests that that they will provide an appropriate surety bond, certificate of insurance, qualifications as a self-insurer, or other securities or agreements, in the amount of not less than \$1,000,000, for injury to one or more persons in any one accident and, if an accident has resulted in injury to or destruction of property, of not less than \$100,000 because of such injury to or destruction of property of others in any one accident as a condition of converting a license <i>in</i> the attachment worksheet.</p> <p>9) Applicant attests that that they will provide the number and type of equipment the business will use to</p>	<p>6) Applicant <i>does not</i> attest that the business will comply with the applicable operation requirements for the license being sought <i>in</i> the attachment worksheet.</p> <p>7) Applicant <i>does not</i> attest that that they will provide an appropriate surety bond, certificate of insurance, qualifications as a self-insurer, or other securities or agreements, in the amount of not less than \$300,000, for loss of or damage to cargo as a condition of converting a license <i>in</i> the attachment worksheet. as a condition of converting a license <i>in</i> the attachment worksheet.</p> <p>8) Applicant <i>does not</i> attest that that they will provide an appropriate surety bond, certificate of insurance, qualifications as a self-insurer, or other securities or agreements, in the amount of not less than \$1,000,000, for injury to one or more persons in any one accident and, if an accident has resulted in injury to or destruction of property, of not less than \$100,000 because of such injury to or destruction of property of others in any one accident as a condition of</p>

Documents Submitted for Review in Office Prescribed Manner	Information to be Reviewed (Referred to as “Review Information”)	Minimum Qualifications Demonstrated as Met (Qualified)	Minimum Qualifications Demonstrated as Not Met (Unqualified)
		<p>transport immature cannabis plants and seedlings, cannabis flower, cannabis products, artificially derived cannabinoids, hemp plant parts, hemp concentrate, lower-potency hemp edibles, and hemp-derived consumer products as a condition of converting a license <i>in</i> the attachment worksheet.</p>	<p>converting a license <i>in</i> the attachment worksheet.</p> <p>9) Applicant <i>does not</i> attest that that they will provide the number and type of equipment the business will use to transport immature cannabis plants and seedlings, cannabis flower, cannabis products, artificially derived cannabinoids, hemp plant parts, hemp concentrate, lower-potency hemp edibles, and hemp-derived consumer products as a condition of converting a license <i>in</i> the attachment worksheet.</p>

Preliminary Operation Plan – Delivery

Citations for Applicant Reference: Minnesota Statutes sections 342.14 Subd 1(a) (4), (5) (14); 342.41 subd. 2 (1-4)

Documents Submitted for Review in Office Prescribed Manner	Information to be Reviewed (Referred to as “Review Information”)	Minimum Qualifications Demonstrated as Met (Qualified)	Minimum Qualifications Demonstrated as Not Met (Unqualified)
<ul style="list-style-type: none"> Mandatory for Delivery Applicants: OCM provided “Preliminary Operation Plan - Delivery” worksheet (PDF). 	<ol style="list-style-type: none"> The address and legal property description of the business, if applicable, except an applicant is not required to secure a physical premises for the business at the time of application, and a facility diagram. General description of the proposed location or locations that the applicant plans to operate, including but not limited to (i) the planned square feet, or feet, of space for vehicle storage. Identification of one or more controlling persons or managerial employees as agents who shall be responsible for dealing with the office on all matters. A business plan demonstrating policies (i) to avoid sales of cannabis flower, cannabis products, lower-potency hemp edibles, and hemp-derived consumer products to individuals who are under 21 years of age and plans (ii) to prevent the visibility of cannabis flower, cannabis products, lower-potency hemp edibles, and hemp-derived consumer products to individuals outside the delivery vehicle. 	<ol style="list-style-type: none"> Applicant attests that they will provide the address and legal property description of the business, facility diagrams and plans for code compliance as a condition of converting a license <i>in</i> the attachment worksheet. Applicant provides general description of the proposed location(s) of operation that includes, at minimum, the one (1) listed review information requested for #2 <i>in</i> the attachment worksheet. Applicant provides at minimum, one (1) controlling person(s) or managerial employee(s) as agents who shall be responsible for dealing with the office on all matters <i>in</i> the attachment worksheet. Applicant provides an overview of policies discussing, at minimum, the two (2) listed review information requested for #4 <i>in</i> the attachment worksheet. Applicant attests that the business will comply with the applicable operation requirements for the license 	<ol style="list-style-type: none"> Applicant <i>does not</i> attest that they will provide the address and legal property description of the business, facility diagrams and plans for code compliance as a condition of converting a license <i>in</i> the attachment worksheet. Applicant <i>does not</i> provide general description of the proposed location(s) of operation that includes, at minimum, the one (1) listed review information requested for #2 <i>in</i> the attachment worksheet. Applicant <i>does not</i> provide at minimum, one (1) controlling person(s) or managerial employee(s) as agents who shall be responsible for dealing with the office on all matters <i>in</i> the attachment worksheet. Applicant <i>does not</i> provide an overview of policies discussing, at minimum, the two (2) review information requested for #4 <i>in</i> the attachment worksheet.

Documents Submitted for Review in Office Prescribed Manner	Information to be Reviewed (Referred to as “Review Information”)	Minimum Qualifications Demonstrated as Met (Qualified)	Minimum Qualifications Demonstrated as Not Met (Unqualified)
	<p>5) Evidence that the business will comply with the applicable operation requirements for the license being sought.</p> <p>6) A list of all vehicles to be used in the delivery of cannabis flower, cannabis products, lower-potency hemp edibles, and hemp-derived consumer products including: the vehicle make, model, and color; the vehicle identification number; and the license plate number and proof of insurance for each vehicle.</p>	<p>being sought <i>in</i> the attachment worksheet.</p> <p>6) Applicant attests that that they will provide a list of all vehicles to be used in the delivery of cannabis flower, cannabis products, lower-potency hemp edibles, and hemp-derived consumer products including: the vehicle make, model, and color; the vehicle identification number; and the license plate number and proof of insurance for each vehicle as a condition of converting a license <i>in</i> the attachment worksheet.</p>	<p>5) Applicant <i>does not</i> attest that the business will comply with the applicable operation requirements for the license being sought <i>in</i> the attachment worksheet.</p> <p>6) Applicant <i>does not</i> attest that that they will provide a list of all vehicles to be used in the delivery of cannabis flower, cannabis products, lower-potency hemp edibles, and hemp-derived consumer products including: the vehicle make, model, and color; the vehicle identification number; and the license plate number and proof of insurance for each vehicle as a condition of converting a license <i>in</i> the attachment worksheet.</p>

Preliminary Operation Plan – Cultivation

Citations for Applicant Reference: Minnesota Statutes sections 342.14 Subd 1 (a) (4), (5), (14); 342.30 subd. 3 (1) & (3)

Documents Submitted for Review in Office Prescribed Manner	Information to be Reviewed (Referred to as “Review Information”)	Minimum Qualifications Demonstrated as Met (Qualified)	Minimum Qualifications Demonstrated as Not Met (Unqualified)
<ul style="list-style-type: none"> Mandatory for Delivery Applicants: OCM provided “Preliminary Operation Plan - Cultivation” worksheet (PDF). 	<ol style="list-style-type: none"> The address and legal property description of the business, if applicable, except an applicant is not required to secure a physical premises for the business at the time of application, and a facility diagram. General description of the proposed location or locations that the applicant plans to operate, including but not limited to (i) the planned square feet of space for planned cultivation. Identification of one or more controlling persons or managerial employees as agents who shall be responsible for dealing with the office on all matters. Plans for (i) wastewater and (ii) waste disposal for the facility. Plans for providing (i) electricity, (ii) water, and other utilities necessary for the normal operation of the cultivation facility. Plans for compliance with applicable (i) building codes 	<ol style="list-style-type: none"> Applicant attests that they will provide the address and legal property description of the business, facility diagrams and plans for code compliance as a condition of converting a license <i>in</i> the attachment worksheet. Applicant provides general description of the proposed location(s) of operation that includes, at minimum, the one (1) listed review information requested for #2 <i>in</i> the attachment worksheet. Applicant provides at minimum, one (1) controlling person(s) or managerial employee(s) as agents who shall be responsible for dealing with the office on all matters <i>in</i> the attachment worksheet. Applicant provides plans discussing, at minimum, the two (2) listed review information requested for #5 <i>in</i> the attachment worksheet. Applicant provides plans discussing, at minimum, the two (2) listed review information requested for #6 <i>in</i> the attachment worksheet. 	<ol style="list-style-type: none"> Applicant <i>does not</i> attest that they will provide the address and legal property description of the business, facility diagrams and plans for code compliance as a condition of converting a license <i>in</i> the attachment worksheet. Applicant <i>does not</i> provide general description of the proposed location(s) of operation that includes, at minimum, the one (1) listed review information requested for #2 <i>in</i> the attachment worksheet. Applicant <i>does not</i> provide at minimum, one (1) controlling person(s) or managerial employee(s) as agents who shall be responsible for dealing with the office on all matters <i>in</i> the attachment worksheet. Applicant <i>does not</i> provide plans discussing, at minimum, the two (2) listed review information requested for #5 <i>in</i> the attachment worksheet. Applicant <i>does not</i> provide plans discussing, at minimum, the two (2) listed review information requested for #6 <i>in</i> the attachment worksheet.

Documents Submitted for Review in Office Prescribed Manner	Information to be Reviewed (Referred to as “Review Information”)	Minimum Qualifications Demonstrated as Met (Qualified)	Minimum Qualifications Demonstrated as Not Met (Unqualified)
	<p>and (ii) federal and state environmental requirements and (iii) federal and state workplace safety requirements and policies.</p> <p>7) Evidence that the business will comply with the applicable operation requirements for the license being sought.</p>	<p>6) Applicant provides compliance plans describing, at minimum, the three (3) listed review information requested for #7 <i>in</i> the attachment worksheet.</p> <p>7) Applicant attests that the business will comply with the applicable operation requirements for the license being sought <i>in</i> the attachment worksheet.</p>	<p>6) Applicant <i>does not</i> provide compliance plans describing, at minimum, the three (3) listed review information requested for #7 <i>in</i> the attachment worksheet.</p> <p>7) Applicant <i>does not</i> attest that the business will comply with the applicable operation requirements for the license being sought <i>in</i> the attachment worksheet.</p>

Cultivation Plan (Required for Cultivators, and Micro or Mezzo Seeking Endorsement)

Citations for Applicant Reference: Minnesota Statutes sections 342.28 subd. 3 (2), 342.29 subd. 3 (2), 342.30 subd. 3 (2).

Documents Submitted for Review in Office Prescribed Manner	Information to be Reviewed (Referred to as “Review Information”)	Minimum Qualifications Demonstrated as Met (Qualified)	Minimum Qualifications Demonstrated as Not Met (Unqualified)
<ul style="list-style-type: none"> Mandatory for Cultivation Applicants: OCM provided “Cultivation Plan” worksheet (PDF). 	<p>1) Cultivation plan demonstrating the (i) proposed size and layout of the cultivation facility that will be used exclusively for cultivation, and (ii) the total amount of plant canopy.</p>	<p>1) Applicant provides plans for the proposed layout of the facility demonstrating, at minimum, the two (2) listed review information requested for #1 <i>in</i> the attachment worksheet.</p>	<p>1) Applicant <i>does not</i> provide plans for the proposed layout of the facility demonstrating, at minimum, the two (2) listed review information requested for #1 <i>in</i> the attachment worksheet.</p>