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1100 CANTERBURY ROAD, STE. 100
SHAKOPEE, MN 55379
TELEPHONE: 952-496-7950
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WWW.MRC.STATE.MN.US



RUNNING ACES LOCATION
15201 ZURICH STREET NE, STE. 212
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MINNESOTA RACING COMMISSION

ADVANCE DEPOSIT WAGERING LICENSING APPLICATION

Enclosed are the forms required to apply for a Class C license to provide advance deposit wagering in Minnesota. The application consists of the following forms, which must be filled out completely:

- ☐ License Application (Include all required attachments as instructed.)
- ☐ Affidavit of Applicant
- ☐ Acknowledgment for Corporate/Partnership/Individual Applicant
- ☐ Individual Affidavits of all Key Employees and Beneficial Owners
- ☐ Authorization for Release of Information

All applicants should carefully review the applicable statutes and rules, including but not limited to the following:

Minnesota Statutes, Chapter 240
Minnesota Rules, Parts 7869, 7877 and 7880

If you have any questions, please contact:

Kevin Hoese
Auditor
Kevin.Hoese@state.mn.us

Email your application to:

Kevin.Hoese@state.mn.us

Only email applications are accepted.

If you are mailing a paper check rather than paying your application fee by ACH please send it to:

Minnesota Racing Commission
1100 Canterbury Rd.
Suite 100
Shakopee, MN 55379

Applications for 2026 licenses are due no later than October 15, 2025.

BEFORE THE
MINNESOTA RACING COMMISSION

AFFIDAVIT OF LICENSE APPLICANT

STATE OF _____)
)ss
COUNTY OF _____)

_____, being first duly sworn
upon oath, deposes and says:

1. That this application is made for a Class "C" license to provide advance deposit wagering in the State of Minnesota.
2. The affiant is the agent of the applicant and is duly authorized to make the representations in the application on the applicant's behalf.
3. That the applicant seeks a grant of privilege from the State of Minnesota, and understands that the burden of proving the applicant's qualifications rests at all times with the applicant.
4. That the applicant consents to inquiries by the State of Minnesota, its employees, the Minnesota Racing Commission members, staff and agents, into the financial, character and other qualifications of the applicant, by contacting individuals and organizations.
5. The affiant has read the applicant's application form and attachments and knows their contents, and that the contents are true to affiant's own knowledge, except matters therein stated on information and belief; as to those matters, affiant believes them to be true.
6. That the applicant recognizes all representations in the application are binding on it, and false or misleading information in the application, omission of required information, or substantial deviation from representations in the application, may result in denial, revocation or suspension of a license, imposition of a fine or criminal prosecution.
7. That applicant will comply with Minnesota Statutes, Chapter 240 and all rules of the Minnesota Racing Commission.
8. The applicant is in compliance with all applicable federal and state laws and rules including, but not limited to, racing, tax, affirmative action, the ADA, and workers' compensation.
9. That to the best of the applicant's knowledge, no key employee or beneficial owner of the applicant, as those terms are defined in Minnesota Rules, part 7880:
 - a) Is in default in the payment of an obligation or debt to the State of Minnesota under

Minnesota Statutes, Chapter 240;

- b) Has a felony conviction of record in a state or federal court and no key employee or beneficial owner has a state or federal felony charge pending;
- c) Has never been connected with or engaged in an illegal business;
- d) Has ever been found guilty of fraud or misrepresentation in connection with racing or breeding;
- e) Has ever been found guilty of a violation of a law or rule relating to horse racing, pari-mutuel betting or any other form of gambling which is a serious violation as defined by the Racing Commission's rules;
- f) Has ever been found to have knowingly violated an order of the commission or a law or rule of Minnesota or another jurisdiction relating to horse racing, pari-mutuel betting, or any other form of gambling; and
- g) Has ever been convicted of or entered a guilty plea, Alford plea, or plea of no contest to an offense under Minnesota Statutes, Chapter 343 or 346 or a similar law in another jurisdiction pertaining to mistreatment of animals.

(Affiant's Signature)

Title: _____

Applicant's Social Security Number:

Applicant's Name: _____

Address: _____

Telephone: () _____

Email (optional): _____

Subscribed and sworn to before me this

_____ day of _____, 20 _____

NOTARY PUBLIC

BEFORE THE
MINNESOTA RACING COMMISSION

CORPORATE ACKNOWLEDGMENT FOR APPLICANT

STATE OF _____)
)ss
COUNTY OF _____)

The foregoing consents were acknowledged before me this _____ day of _____, 20_____,
by _____,
(Name of Officer/Agent) (Title of Officer/Agent)
of _____, a _____ corporation, on behalf of the corporation.
(Name of Corporation) (State or Place
Incorporation)

(Signature of Person Taking
Acknowledgment)
NOTARY SEAL

PARTNERSHIP ACKNOWLEDGMENT FOR APPLICANT

STATE OF _____)
)ss
COUNTY OF _____)

The foregoing consents were acknowledged before me this _____ day of _____, 20_____,
by _____, partner (or agent) on behalf of
(Name of Acknowledging Partner or Agent)
_____, a partnership.
(Name of Partnership)

(Signature of Person Taking
Acknowledgment)
NOTARY SEAL

ACKNOWLEDGMENT FOR INDIVIDUAL APPLICANT

STATE OF _____)
)ss
COUNTY OF _____)

The foregoing consents were acknowledged before me this _____ day of _____, 20_____,
by _____.
(Name of Person Acknowledged)

(Signature of Person Taking
Acknowledgment)
NOTARY SEAL

AFFIDAVIT OF INDIVIDUAL KEY EMPLOYEE OR BENEFICIAL OWNER

STATE OF _____)
)ss
COUNTY OF _____)

_____, being first duly sworn upon oath, deposes and says:

1. That I am making this affidavit in support of an application for a Class C license to provide advance deposit wagering in the State of Minnesota.
2. I consent to inquiries by the State of Minnesota, its employees, the Minnesota Racing Commission members, staff, agents and designees, into my background as necessary to carry out their duties under Minnesota law and rule.
3. I am not in default in the payment of an obligation or debt to the State of Minnesota under Minnesota Statutes, Chapter 240;
4. I do not have a felony conviction of record in a state or federal court and do not have a state or federal felony charge pending;
5. I am not and never have been connected with or engaged in an illegal business;
6. I have never been found guilty of fraud or misrepresentation in connection with racing or breeding;
7. I have never been found guilty of a violation of a law or rule relating to horse racing, pari-mutuel betting or any other form of gambling which is a serious violation as defined by the Racing Commission's rules;
8. I have never been found to have knowingly violated an order of the commission or a law or rule of Minnesota or another jurisdiction relating to horse racing, pari-mutuel betting, or any other form of gambling; and
9. I have never been convicted of, or entered a guilty plea, Alford plea, or plea of no contest to an offense under Minnesota Statutes, Chapter 343 or 346 or a similar law in another jurisdiction pertaining to mistreatment of animals.

(Affiant's Signature)

Affiant's Social Security Number:

Name: _____

Address: _____

Telephone: () _____

subscribed and sworn to before me this

_____ day of _____, 20 _____

NOTARY PUBLIC

**BEFORE THE
MINNESOTA RACING COMMISSION**

**AUTHORIZATION FOR RELEASE OF INFORMATION IN SUPPORT OF
CLASS "C" LICENSE APPLICATION**

As Required by Minn. Stat. 240.08, subd. 3

I, _____, do hereby authorize a review of and full

(Individual's Name)

disclosure of all records concerning _____ (Name of Applicant) to
any duly authorized agent of the State of Minnesota, Department of Public Safety, Alcohol and Gambling
Enforcement Division and Bureau of Criminal Apprehension, whether the said records are of a public,
private or confidential nature. This authorization specifically includes records prepared after the date of this
authorization.

I recognize that the information reviewed or disclosed may be used by the State of Minnesota, its
employees, the Minnesota Racing Commission, its members, staff and agents to

determine the qualification of _____ for a Class C license.

(Name of Applicant)

In the event this authorization is not sufficient to obtain access to certain records, I understand that I
may have to execute some other appropriate authorization or release, and that failure to execute such
additional authorization or release may adversely affect the above applicant(s) ability to obtain a Class C
license.

I understand that I may revoke this authorization in writing at any time, but that such revocation may
adversely affect the above applicant(s) ability to obtain a Class C license.

A photocopy of this authorization will be treated in the same manner as an original.

Dated:

_____ day of _____, 20 _____

Name: _____
(Printed/Typed)

Signature: _____

Title: _____

Social Security Number: _____

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MINNESOTA RACING COMMISSION

ADVANCE DEPOSIT WAGERING

LICENSE APPLICATION

Date	Year	<input type="radio"/> New	<input type="radio"/> Renewal
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Applicants for renewal of an existing license must complete all questions contained in this application.

Application Fee - nonrefundable

First time or lapsed license application \$10,000.00
Renewal of an existing license \$2,500.00

Payable to: MINNESOTA RACING COMMISSION

FOR OFFICE USE ONLY	
Date of Issue	MRC Director's Approval
License Fee \$	Paid By <input type="checkbox"/> Wire <input type="checkbox"/> Check _____
Receipt Number	MRC License Number

Legal Name of Business Entity (Do Not Abbreviate)		Type of Business <input type="radio"/> Corporation <input type="radio"/> Partnership <input type="radio"/> Other Specify for other:		
Business Address (Physical Street Address)	City	State	ZIP Code	Country
Mailing Address (if different than above)	City	State	ZIP Code	Country
Federal Taxpayer ID Number	Website			

Name of Contact Person		Title		
Mailing Address (if different than above)	City	State	ZIP Code	Country
Telephone Number	Email Address			

Name(s) of any contractors, subsidiary or d/b/a that may provide advance deposit wagering services to Minnesota Residents and operates under the ownership, management, control or direction of the applicant. For each entity named, the applicant must include a complete application (bond form not required):

By signing this application, the applicant certifies that each of the above listed entities are operating under the direction and control of the applicant and that the applicant is responsible for their compliance with all laws and rules governing advance deposit wagering in Minnesota **OR** that they have submitted a complete application for licensure.

Has the applicant, any key employee, or beneficial owner ever been fined, denied a license or had a license revoked or suspended in another jurisdiction?

☐ YES NO ☒

If yes, please list:

Jurisdiction (Name)	Date (Year)	Details

Has any other entity with a key employee or owner in common with the applicant ever been fined, denied a license or had a license revoked or suspended in another jurisdiction?

☐ YES NO ☐

If yes, please list:

Jurisdiction (Name)	Date (Year)	Details

Name(s) of Totalizator Provider(s) to be utilized in Communicating Wagers to the Licensed Racing Associations:

(CONTINUED ON NEXT PAGE)

Application format

The following pages provide an outline for the required application format. Applicants must closely follow this format. Applications that do not follow the format will not be reviewed.

Application sections

Please create and submit 16 separate documents, one for each of the 16 sections. (Section 15 is only required for new applicants.) Even if you don't have anything to report for a section, submit a document for that section saying that the section doesn't apply to you and explain why. Please correctly label the document with the section number and ensure that the section contains the appropriate information for that particular section.

Cross referencing documents

Applicants sometimes submit their existing documents to us that cover multiple topics that we are requesting that you put into specific, separate sections. Typically, this occurs when the information is in your plan of operation, which we are asking you to submit as section 16. When this is the case, you can use the space after each section of this application to tell us specifically where the information is located in the other document. Please provide page numbers and section numbers when they exist. The information needs to be more specific than "see the Plan of Operations." Any information to which you refer us must be somewhere in the documents that you submit. We cannot consider information in your website or in any other location to be part of your application unless it is submitted with your application.

Referring us to specific sections of your Plan of Operation

Most applicants have their own format for their Plan of Operation. We don't require that you reorganize it to fit our format, but we do need you to fill in the blanks with the page number and section number or heading to tell us exactly where to find the information.

Section 1 – Racetrack Source Market Fee contracts and horsepersons' approval

A copy of all signed Source Market Fee contracts with licensed racetracks that meet the requirements of Minnesota Statutes, Section 240.131 subd. 3. Also include written documentation of approval of the contracts by the applicable horsepersons' groups.

If not in Section 1, specifically where is this information located in your application?

Section 2 – Other agreements with licensed tracks

Copies or descriptions of any other oral or written agreements or understandings between the applicant and any Minnesota licensed racetrack.

If not in Section 2, specifically where is this information located in your application?

Section 3 – Contracts of sites on page 7

Copies of your contracts with contractors/subsidiaries/ d/b/a listed at the bottom of page 7 of this application.

If not in Section 3, specifically where is this information located in your application?

Section 4 – List of other licenses

A complete list of ADW licenses held by the applicant in other racing jurisdictions.

If not in Section 4, specifically where is this information located in your application?

Section 5 – Organizational information

Please include the following:

- Date and place of applicant's business organizational filings
- Details of business organizational structure/org chart showing all related business entities
- Copies of business organizational filings and certificates of good standing in jurisdictions where the applicant is registered or incorporated
- A list of all beneficial owners
- An organizational chart of key employees with their names and job titles

If not in Section 5, specifically where is this information located in your application?

Section 6 – State ADW approval

Evidence of approval to conduct advance deposit wagering from the appropriate regulatory authority in the state where the principal office of the applicant is located.

If not in Section 6, specifically where is this information located in your application?

Section 7 – Business plan

An executive summary of the applicant's business plan

If not in Section 7, specifically where is this information located in your application?

Section 8 – Payment systems and procedures

A detailed description of all payment processing systems and procedures. Where payment processing services are rendered by a third party, that third party must be identified.

If not in Section 8, specifically where is this information located in your application?

Section 9 – Opening and closing accounts

A copy of the applicant's policies and procedures governing the establishment, operation and closure of customer accounts

If not in Section 9, specifically where is this information located in your application?

Section 10 – Five year investigation history

A summary of the applicant's history and experience in gaming and wagering activities for the past five years. Include any investigations of the applicant and key employees and beneficial owners of the applicant.

If not in Section 10, specifically where is this information located in your application?

Section 11 – Evidence of financial responsibility

Please click the ADW Bond Form link in the Applications section of the Licensing page on our website, and use the form to provide a surety bond executed and issued by an insurer authorized to issue surety bonds in Minnesota. Alternatively provide an irrevocable letter of credit or other form of financial guarantee. The amount of the bond must be at least \$50,000.

If not in Section 11, specifically where is this information located in your application?

Section 12 – Civil, criminal and regulatory actions

A list of civil, criminal and regulatory actions, including bankruptcies, where the applicant, any key employee or beneficial owner, or any entity that has had a key employee or beneficial owner in common with the applicant, was named in the action. Include only actions that are ongoing or were concluded in the last five years and which may be materially related to the advance deposit wagering activities, financial solvency or licensing of the applicant.

If not in Section 12, specifically where is this information located in your application?

Section 13 – Your websites, simulcast locations and TRA codes

A list of all websites and simulcast locations with corresponding TRA codes. Physical addresses of simulcast locations should be included when applicable.

If not in Section 13, specifically where is this information located in your application?

Section 14 – Financial statements

Applicant's financial statements for the two previous fiscal years.

If not in Section 14, specifically where is this information located in your application?

Section 15 – NEW APPLICANTS ONLY

Total wagers accepted from Minnesota residents during the two years preceding the date of application, broken down by zip code if possible.

If not in Section 15, specifically where is this information located in your application?

Section 16 - Plan of Operation

Provide a Plan of Operation that meets the requirements of Minn. Stat. sec 240.131 subd.5 and Minn. Rule 7880.0300. The following information is required. It can be in a single document. Please provide page and section numbers and/or headings showing where we can find each item in the blanks below each requirement.

- a. Methods of resolving disputes with account holders and licensed racetracks, and acknowledgment that disputes with Minnesota account holders and racetracks are subject to the jurisdiction of Minnesota courts and the Minnesota Racing Commission, rather than the courts or through arbitration in any other state.

Page number____ Section number or heading_____

- b. A description of procedures and/or security measures used to protect account holders' accounts and information, and to protect the integrity of the wagering process.

Page number____ Section number or heading_____

- c. A description of methods to verify the account holder's identity and legal residence, that they are natural persons, and that they are at least 18 years old.

Page number____ Section number or heading_____

- d. Procedures to ensure that wagers are only accepted from account holders who have sufficient funds on deposit and that no credit is extended to an account holder.

Page number____ Section number or heading_____

- e. Procedures for keeping accurate records of all contests, wagers and payouts.

Page number____ Section number or heading_____

- f. Annually contracting with an independent third party to conduct an audit and submit the results of the audit to the commission's auditor.

Page number____ Section number or heading_____

- g. Types of wagering and contests offered and the manner in which wagering and contests will be conducted.

Page number____ Section number or heading_____

- h. Methods to be used for pooling wagers.

Page number____ Section number or heading_____

- i. A description of processes, systems and procedures used to identify and report suspected odds manipulation.

Page number____ Section number or heading_____

- j. A description of methods, policies and procedures for detection and deterrence of the use of accounts for illegal activity. This should include a description of the applicant's anti money-

laundering procedures and your plan to validate account holder information against the specially designated nationals list maintained by the United States Department of the Treasury and the designated foreign terrorist organizations list maintained by the US State Department. Where verification services are rendered by a third party, that third party must be identified and a description included of all processes and procedures for services provided.

Page number____ Section number or heading_____

- k. Processes for account holders to make deposits to, and withdrawals from, their accounts.

Page number____ Section number or heading_____

- l. A plan for reporting dormant accounts and unclaimed funds that complies with Minnesota Statutes sections 345.39 and 345.41. Please note that you are not allowed to charge Minnesota residents any sort of “inactivity fee” for periods in which they don’t use their accounts, and neither your Plan of Operation or your Terms and Conditions (regardless of where they are posted) can contain inactivity fees unless Minnesota residents are specifically exempted from them.

Page number____ Section number or heading_____

CERTIFICATION

I certify that the foregoing information is true and correct to the best of my knowledge. I further certify the following:

- Applicant consents to submit to the jurisdiction of Minnesota courts and agencies in any suit or action relating to the subject matter of the application or acts or omissions arising from it. Any suit or action may be commenced against the applicant in any court of competent jurisdiction in Minnesota by service on the Secretary of State of any summons, process or pleadings authorized by law. This consent is irrevocable.
- Applicant acknowledges that failure to file a complete application, or knowingly filing an application that contains false or misleading information, may be grounds for denial of the license.
- The applicant consents and provides authorization for the Minnesota Racing Commission, the Minnesota Department of Public Safety, and their designees, to verify any information included in this application, and to obtain credit reports from bureaus, lenders, suppliers and vendors.
- Applicant consents to provide the Minnesota Racing Commission, or its designees, access to review and audit all records related in any way to its licensure, financial solvency, accounts and the conduct of wagering by Minnesota residents.
- Applicant is in compliance with all applicable federal and state laws and rules including, but not limited to, racing, tax, affirmative action, the ADA, and workers' compensation.
- Applicant understands that after a license is granted it is required to notify the Commission in writing of any material changes to the information provided during the application process.

I acknowledge that failure to provide complete and accurate information, or submission of false information, may be grounds for disqualification.

Name of Preparer	Title	Telephone Number
Signature		Date

Name of President/Chairman	Name of Organization	Telephone Number
Signature		Date

NOTICE: FALSIFYING YOUR ARREST RECORD OR ANY PART OF THIS APPLICATION IS A GROSS MISDEMEANOR PURSUANT TO MS 240.25 SUBD 6 AND MS 240.26 SUBD 2.