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Board of Optometry

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<https://mn.gov/boards/optometry/>

AT A GLANCE

Credentialing Services

- 1,167 renewed licenses
- 110 new licenses

Education Services

- 1,167 reviews of reported continuing education
- 100% auditing of reported continuing education
- 86 continuing education program approvals

Complaint Resolution

- Investigated 47 complaints
- Formal action for 3 complaints

For two-year period ended 06/30/2022

PURPOSE

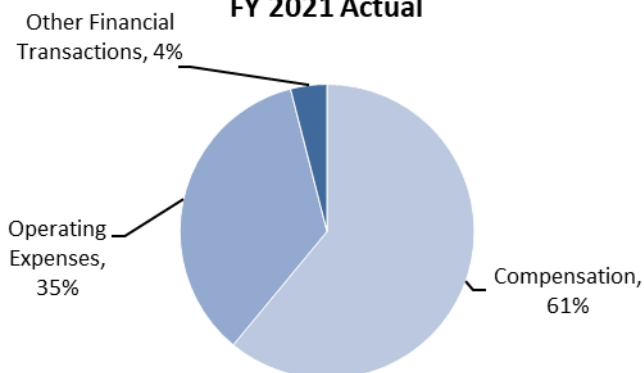
The Minnesota Board of Optometry is responsible for protection of the public through the regulation of the Doctor of Optometry (OD's) in the state of Minnesota. The Board was established in 1915 under the authority of Minnesota Statutes, Chapter 148, and was the first optometry board in the nation. Regulation is accomplished through licensure examination, annual license renewal, continuing education requirements, as well as providing neutral investigations and resolution of complaints against licensees. Approximately 75% of Minnesotans use some type of vision correction with Optometrists serving as first point of contact for many Minnesotans.

The Board's mission is to:

- Protect the public through effective licensure and enforcement of the statutes and rules governing the practice of optometry.
- Ensure a standard of competent and ethical care.
- Provides timely and neutral resolution of complaints.

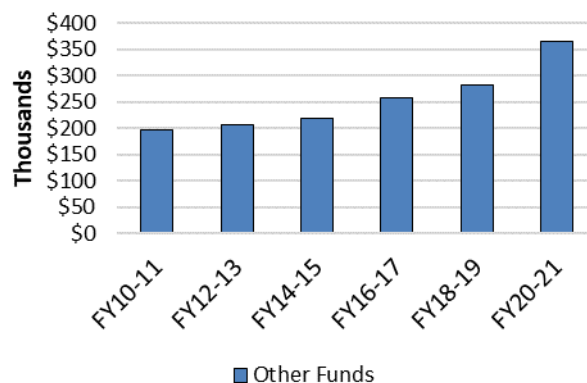
BUDGET

**Spending by Category
FY 2021 Actual**



Source: Budget Planning & Analysis System (BPAS)

Historical Spending



Source: Consolidated Fund Statement

The Board is funded by licensure fees and receives no general fund dollars. Minnesota Statutes section 214.06, subdivision 1(a) requires the Board to collect fees in the amount sufficient to cover direct and indirect expenditures. Funds are deposited as non-dedicated revenue into the state government special revenue fund. From this fund, the Board receives a direct appropriation to pay for agency expenses such as salaries, rent, costs associated with disciplinary/contested cases, and operating expenditures. It also pays statewide indirect costs through an open appropriation.

In addition to Board operations, licensure fees fund activities that support multiple boards and/or other agencies. Some of these are: MNIT – technology, (inter-board) SMART, MMB-Administrative Operations support (inter-board), Health Professionals Services Program (inter-board), Prescription Monitoring Program (Pharmacy Board), Office of the Attorney General for legal services, and the Criminal Background Check Program (inter-board).

Board members communicate openly with licensees to ensure fees are used prudently. The national optometry board, the Association of Regulatory Boards for Optometry (ARBO) completes an annual survey of all fees charged to the nation's OD's for licensing activities. The Minnesota Board of Optometry renewal of license fees are in the lowest 25% percentile in the nation.

STRATEGIES

The Board of Optometry regulates the profession by:

- Setting educational standards for initial licensure.
- Reviewing applicant's education and training to determine compliance with the board's licensure requirements.
- Reasonably ensuring that only applicants who meet licensure requirements are granted a license.
- Issuing initial and renew licensure for qualified professionals.
- Setting continuing education requirements for maintenance of licensure.
- Setting standards of practice and taking disciplinary or corrective action against misconduct for licensees.
- Responding to public and agency inquiries, complaints, and reports regarding licensure and conduct of applicants and licensees and completing those reviews in a timely and neutral manner.
- Providing information about licensure requirements and standards of practice to citizens and other interested persons or agencies.

As of August 2022, the board provides services to 1,226 licensed optometrists in Minnesota and collaborates with continuing education and provider associations.

Quarterly board meetings are driven by seven governor-appointed board members with other stakeholders in attendance. Complaints are actively reviewed by two ODs and one public member to assure the blending of both perspectives in seeking a timely and neutral review of the concerns presented. The board agenda reflects quality improvement activities with key statistics tracked and shared with the attendees. Board discussion leads to action steps and directives to staff which is listed in the minutes of each board meeting. Those minutes are posted on the website.

RESULTS

<i>Type of Measure</i>	<i>Name of Measure</i>	<i>Previous</i>	<i>Current</i>	<i>Dates</i>
Quantity	Percent of license renewals completed online	100%	100%	FY 2021 & FY 2022
Quality	Percent of initial applications completed online	100%	100%	FY 2021 & FY 2022
Quantity	Number of formal complaints received/closed without investigation within three months	16/13 (81%)	31/27 (87%)	FY 2021 & 2022

The statutory authority for the Minnesota Board of Optometry was updated in 2015 and is located in Chapter 148:
<https://www.revisor.mn.gov/statutes?id=148.52>

The rules were updated in July, 2018 and are located in MN Rules Chapter 6500.
<https://www.revisor.mn.gov/rules?id=6500>

Additional statutes pertaining to all health licensing boards are found in Chapters 13, 16, and 214.

(Dollars in Thousands)

	Actual	Actual	Actual	Estimate	Forecast Base		Enacted Budget	
	FY20	FY21	FY22	FY23	FY24	FY25	FY24	FY25
<u>Expenditures by Fund</u>								
1201 - Health Related Boards	171	190	166	310	238	238	270	280
2000 - Restrict Misc Special Revenue	2	2	3	4	3	3	3	3
Total	173	192	169	314	241	241	273	283
Biennial Change				118		(1)		73
Biennial % Change				32		(0)		15
Enacted Budget Change from Base								74
Enacted Budget % Change from Base								15

(Dollars in Thousands)

	Actual FY20	Actual FY21	Actual FY22	Estimate FY23	Forecast Base FY24 FY25		Enacted Budget FY24 FY25	
1201 - Health Related Boards								
Balance Forward In		57		72				
Direct Appropriation	227	223	238	238	238	238	270	280
Cancellations	5	90						
Balance Forward Out	51		72					
Expenditures	171	190	166	310	238	238	270	280
Biennial Change in Expenditures				114		0		74
Biennial % Change in Expenditures				32		(0)		16
Enacted Budget Change from Base								74
Enacted Budget % Change from Base								16

2000 - Restrict Misc Special Revenue

Balance Forward In	0	1	1	1				
Receipts	2	2	3	3	3	3	3	3
Balance Forward Out	0	1	1					
Expenditures	2	2	3	4	3	3	3	3
Biennial Change in Expenditures				3		(1)		(1)
Biennial % Change in Expenditures				97		(10)		(10)
Enacted Budget Change from Base								0
Enacted Budget % Change from Base								0

(Dollars in Thousands)

	FY23	FY24	FY25	Biennium 2024-25
<i>Direct</i>				
Fund: 1201 - Health Related Boards				
FY2023 Appropriations	238	238	238	476
Forecast Base	238	238	238	476
Change Items				
Maintain Current Service Levels		32	42	74
Total Enacted Budget	238	270	280	550
<i>Dedicated</i>				
Fund: 2000 - Restrict Misc Special Revenue				
Planned Spending	4	3	3	6
Forecast Base	4	3	3	6
Total Enacted Budget	4	3	3	6
<i>Revenue Change Summary</i>				
<i>Dedicated</i>				
Fund: 2000 - Restrict Misc Special Revenue				
Forecast Revenues	3	3	3	6
Total Enacted Budget	3	3	3	6
<i>Non-Dedicated</i>				
Fund: 1201 - Health Related Boards				
Forecast Revenues	227	227	227	454
Total Enacted Budget	227	227	227	454

(Dollars in Thousands)

	FY23	FY24	FY25	Biennium 2024-25	FY26	FY27	Biennium 2026-27
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Maintain Current Service Levels

This provision provides additional operating funds to maintain the current level of service delivery at the Board of Optometry.

1201 - Health Related Boards Fund Cost (Savings)	0	32	42	74	42	42	84
Expenditures	0	32	42	74	42	42	84