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AT A GLANCE

- More than 4,300 employees
- 10 prisons statewide
- More than 10,000 adults and juveniles in state correctional facilities
- 20,000 offenders supervised in the community by the DOC
- 75% of released offenders do not return to prison for a new conviction

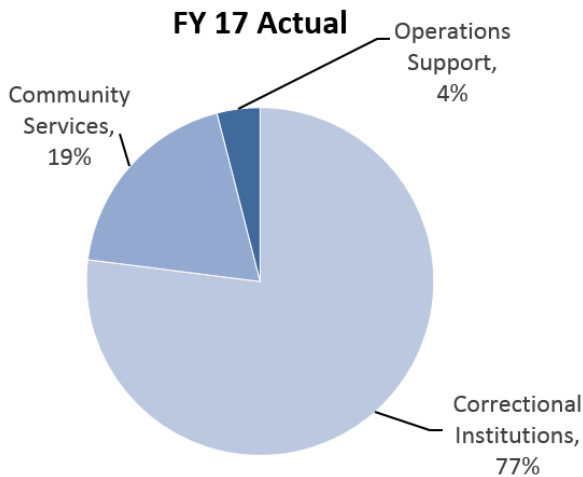
PURPOSE

The Department of Corrections (DOC) is responsible for the incarceration, care and community supervision of adult and juvenile offenders throughout the state. We help ensure **people in Minnesota are safe**. Our offender population is determined by sentencing laws and by the courts. Our mission is to reduce recidivism by promoting offender change through proven strategies during safe and secure incarceration and effective community supervision. We work to achieve the following goals in support of our mission:

- Provide effective correctional services;
- Hold offender accountable;
- Change offender behavior;
- Provide restorative services for victims; and
- Engage staff and promote workplace safety.

BUDGET

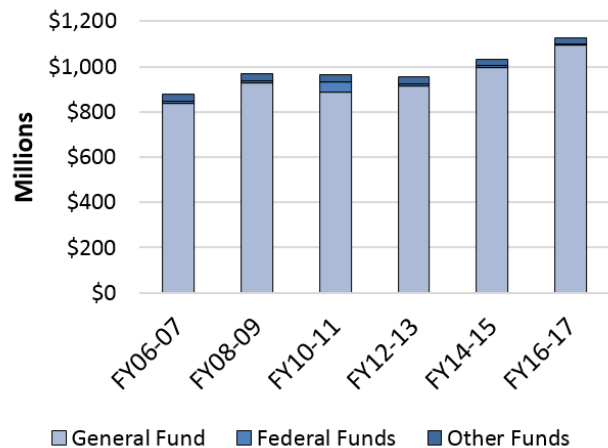
Spending by Program



More than 60% of community services spending is grant/subsidy pass-through funds to county corrections agencies for offender supervision.

Source: Budget Planning & Analysis System (BPAS)

Historical Spending



Federal funds for FY10-11 include \$38 million of one-time stabilization funds legislatively appropriated to supplant the general fund budget.

Source: Consolidated Fund Statement

More than 96% of our operating budget comes from the general fund. Special revenue funds represent 3% of our budget and federal funds represent less than 1%.

STRATEGIES

We use the following strategic directions to accomplish our mission and ensure people in Minnesota are safe:

- Engage all stakeholders.
 - Cultivate staff excellence.
 - Align agency decisions with data.
 - Expand diversity and talent.
 - Develop population capacity and expand program availability.
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M.S. 241.01 (<https://www.revisor.mn.gov/statutes/cite/241.01>) provides the legal authority for the DOC.

Corrections

Agency Expenditure Overview

(Dollars in Thousands)

	Actual FY16	Actual FY17	Actual FY18	Estimate FY19	Forecast Base	
					FY20	FY21
<u>Expenditures by Fund</u>						
1000 - General	529,113	564,611	571,036	599,968	591,483	594,105
2000 - Restrict Misc Special Revenue	7,146	4,844	6,766	10,046	6,037	6,037
2001 - Other Misc Special Revenue	7,052	7,044	7,355	12,151	9,677	9,677
2403 - Gift	9	9	7	18	10	10
3000 - Federal	3,432	3,215	3,750	5,634	5,123	5,123
4400 - Correctional Industries	53,496	53,488	58,385	56,689	56,625	54,046
6000 - Miscellaneous Agency	3,242	1,036	3,253	7,321	3,078	3,078
6001 - Social Welfare Agency	30,371	31,978	32,347	30,770	30,770	30,770
Total	633,861	666,225	682,898	722,597	702,803	702,846
Biennial Change				105,409		154
Biennial % Change				8		0

Expenditures by Program

Correctional Institutions	481,177	509,810	520,150	548,777	534,747	534,566
Community Services	127,788	128,963	135,280	139,963	136,843	137,007
DOC Operations Support	24,895	27,452	27,468	33,857	31,213	31,273
Total	633,861	666,225	682,898	722,597	702,803	702,846

Expenditures by Category

Compensation	361,867	376,284	389,446	401,150	403,629	403,898
Operating Expenses	150,935	165,397	168,445	199,105	176,833	176,885
Grants, Aids and Subsidies	115,373	117,350	121,351	119,039	119,039	119,039
Capital Outlay-Real Property	2,164	2,076	1,200	2,203	2,203	1,925
Other Financial Transaction	3,523	5,118	2,455	1,100	1,099	1,099
Total	633,861	666,225	682,898	722,597	702,803	702,846

Total Agency Expenditures	633,861	666,225	682,898	722,597	702,803	702,846
Internal Billing Expenditures	1,696	2,430	2,291	2,309	2,243	2,243
Expenditures Less Internal Billing	632,165	663,795	680,607	720,288	700,560	700,603

Full-Time Equivalent

	4,330.40	4,345.93	4,385.63	4,391.43	4,225.47	4,055.42
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Corrections

Agency Financing by Fund

(Dollars in Thousands)

	Actual FY16	Actual FY17	Actual FY18	Estimate FY19	Forecast Base	
					FY20	FY21
1000 - General						
Balance Forward In	1	5,922	0	12,869		
Direct Appropriation	531,370	564,228	584,866	588,153	592,702	595,500
Transfers In	20,667	25,163	27,137	8,175	701	701
Transfers Out	21,384	27,815	28,086	9,229	1,920	2,096
Cancellations	28	2,888	11			
Balance Forward Out	1,514		12,870			
Expenditures	529,113	564,611	571,036	599,968	591,483	594,105
Biennial Change in Expenditures				77,280		14,584
Biennial % Change in Expenditures				7		1
Full-Time Equivalents	4,017.32	4,033.47	4,068.64	4,074.44	3,912.80	3,774.44

2000 - Restrict Misc Special Revenue

Balance Forward In	5,817	4,424	5,468	4,753	644	644
Receipts	6,171	6,378	6,700	6,504	6,504	6,504
Transfers Out	460	484	512	467	467	467
Net Loan Activity		(180)	(135)	(100)	0	0
Balance Forward Out	4,383	5,294	4,754	644	644	644
Expenditures	7,146	4,844	6,766	10,046	6,037	6,037
Biennial Change in Expenditures				4,823		(4,738)
Biennial % Change in Expenditures				40		(28)
Full-Time Equivalents	51.86	52.42	50.49	50.49	50.49	50.49

2001 - Other Misc Special Revenue

Balance Forward In	6,097	6,861	8,392	9,324	5,600	4,350
Receipts	7,778	8,416	8,287	8,427	8,427	8,427
Internal Billing Receipts	1,752	2,425	2,272	2,692	2,692	2,692
Transfers Out			0			
Balance Forward Out	6,823	8,234	9,325	5,600	4,350	3,100
Expenditures	7,052	7,044	7,355	12,151	9,677	9,677
Biennial Change in Expenditures				5,410		(152)
Biennial % Change in Expenditures				38		(1)
Full-Time Equivalents	64.40	62.43	67.81	67.81	67.81	67.81

Corrections

Agency Financing by Fund

(Dollars in Thousands)

	Actual FY16	Actual FY17	Actual FY18	Estimate FY19	Forecast Base	
					FY20	FY21

2403 - Gift

Balance Forward In	14	15	11	8		
Receipts	9	5	5	10	10	10
Balance Forward Out	15	11	9			
Expenditures	9	9	7	18	10	10
Biennial Change in Expenditures				7		(5)
Biennial % Change in Expenditures				38		(19)

3000 - Federal

Balance Forward In	5,818	5,973	6,301	5,947	4,172	2,460
Receipts	3,341	3,473	3,396	3,859	3,411	3,411
Balance Forward Out	5,727	6,230	5,947	4,172	2,460	748
Expenditures	3,432	3,215	3,750	5,634	5,123	5,123
Biennial Change in Expenditures				2,737		862
Biennial % Change in Expenditures				41		9
Full-Time Equivalents	22.51	23.10	22.95	22.95	18.63	18.63

4400 - Correctional Industries

Balance Forward In	16,191	13,328	13,154	9,335	6,321	3,371
Receipts	51,308	54,090	54,566	53,675	53,675	53,675
Transfers Out	1,000	1,000				
Balance Forward Out	13,003	12,929	9,335	6,321	3,371	3,000
Expenditures	53,496	53,488	58,385	56,689	56,625	54,046
Biennial Change in Expenditures				8,089		(4,403)
Biennial % Change in Expenditures				8		(4)
Full-Time Equivalents	171.78	173.32	174.85	174.85	174.85	143.16

6000 - Miscellaneous Agency

Balance Forward In	1,887	2,324	4,507	4,243		
Receipts	3,660	3,218	2,989	3,078	3,078	3,078
Transfers Out	0					
Balance Forward Out	2,305	4,506	4,243			

Corrections

Agency Financing by Fund

(Dollars in Thousands)

	Actual FY16	Actual FY17	Actual FY18	Estimate FY19	Forecast Base	
					FY20	FY21
Expenditures	3,242	1,036	3,253	7,321	3,078	3,078
Biennial Change in Expenditures				6,296		(4,418)
Biennial % Change in Expenditures				147		(42)
Full-Time Equivalents	2.53	1.19	0.89	0.89	0.89	0.89

6001 - Social Welfare Agency

Balance Forward In	4,545	5,028	4,930	5,581	5,758	5,935
Receipts	30,865	31,717	32,998	30,947	30,947	30,947
Transfers In	31					
Transfers Out	31		0			
Balance Forward Out	5,039	4,767	5,581	5,758	5,935	6,112
Expenditures	30,371	31,978	32,347	30,770	30,770	30,770
Biennial Change in Expenditures				768		(1,577)
Biennial % Change in Expenditures				1		(3)

Corrections

Agency Change Summary

(Dollars in Thousands)

	FY19	FY20	FY21	Biennium 2020-21
Direct				
Fund: 1000 - General				
FY2019 Appropriations	588,153	588,153	588,153	1,176,306
Base Adjustments				
All Other One-Time Appropriations		(14)	(14)	(28)
Current Law Base Change		14,758	17,247	32,005
Pension Allocation		2,823	5,396	8,219
November Forecast Adjustment		(13,018)	(15,282)	(28,300)
Forecast Base	588,153	592,702	595,500	1,188,202
Dedicated				
Fund: 2000 - Restrict Misc Special Revenue				
Planned Spending	10,046	6,037	6,037	12,074
Forecast Base	10,046	6,037	6,037	12,074
Fund: 2001 - Other Misc Special Revenue				
Planned Spending	12,151	9,677	9,677	19,354
Forecast Base	12,151	9,677	9,677	19,354
Fund: 2403 - Gift				
Planned Spending	18	10	10	20
Forecast Base	18	10	10	20
Fund: 3000 - Federal				
Planned Spending	5,634	5,123	5,123	10,246
Forecast Base	5,634	5,123	5,123	10,246
Fund: 4400 - Correctional Industries				
Planned Spending	56,689	56,625	54,046	110,671
Forecast Base	56,689	56,625	54,046	110,671
Fund: 6000 - Miscellaneous Agency				
Planned Spending	7,321	3,078	3,078	6,156
Forecast Base	7,321	3,078	3,078	6,156
Fund: 6001 - Social Welfare Agency				
Planned Spending	30,770	30,770	30,770	61,540
Forecast Base	30,770	30,770	30,770	61,540

Corrections

Agency Change Summary

(Dollars in Thousands)

	FY19	FY20	FY21	Biennium 2020-21
Revenue Change Summary				
Dedicated				
Fund: 2000 - Restrict Misc Special Revenue				
Forecast Revenues	6,504	6,504	6,504	13,008
Fund: 2001 - Other Misc Special Revenue				
Forecast Revenues	8,427	8,427	8,427	16,854
Fund: 2403 - Gift				
Forecast Revenues	10	10	10	20
Fund: 3000 - Federal				
Forecast Revenues	3,859	3,411	3,411	6,822
Fund: 4400 - Correctional Industries				
Forecast Revenues	53,675	53,675	53,675	107,350
Fund: 6000 - Miscellaneous Agency				
Forecast Revenues	3,078	3,078	3,078	6,156
Fund: 6001 - Social Welfare Agency				
Forecast Revenues	30,947	30,947	30,947	61,894
Non-Dedicated				
Fund: 1000 - General				
Forecast Revenues	9,295	9,295	9,295	18,590

Program: Correctional Institutionsdoc.state.mn.us**AT A GLANCE**

- 10 correctional institutions across the state
- More than 3,600 employees
- 10,000 adults and juveniles in correctional institutions
- 75% of released offenders do not return to prison for a new convictions

PURPOSE & CONTEXT

The correctional institutions program protects the public through the safe and secure incarceration of adult and juvenile offenders. We promote offender change by providing treatment, educational programming and employment opportunities that are proven to help offenders successfully transition from prison to the community. We provide direct services to 10,000 offenders in the custody and care of the commissioner of corrections. More than 16,000 offenders are served through the cycle of intake and release each year. Our activities help ensure **people in Minnesota are safe**.

SERVICES PROVIDED

We accomplish our purpose through:

- The provision of food, clothing, secure housing and adequate living conditions;
- The provision of medical care and behavioral health services;
- The use of policy management, internal controls, incident command responses, security rounds, preventive maintenance, safety inspections and technology systems to help ensure a safe work/living environment;
- The use of a classification system and risk assessments that best determine offender placement and programming priorities;
- The provision of research-based treatment, education and work programming;
- The use of research-based transition services and comprehensive release planning; and
- The appropriate use of disciplinary sanctions and investigations of offender criminal activity.

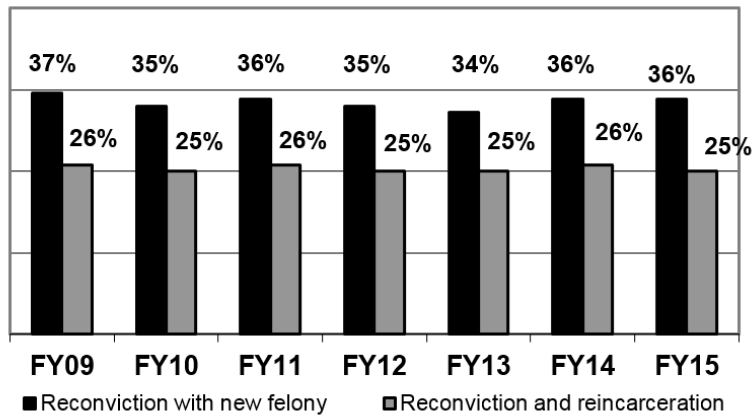
Specific services provided by this program include health care, treatment, education and work programming, population management, classification, transportation, food services, offender property management, workplace safety, physical plant maintenance, asset preservation, criminal investigation, intelligence gathering and fugitive apprehension. We also manage MINNCOR as a self-sufficient prison industries operation that provides offenders with work and vocational programming.

RESULTS

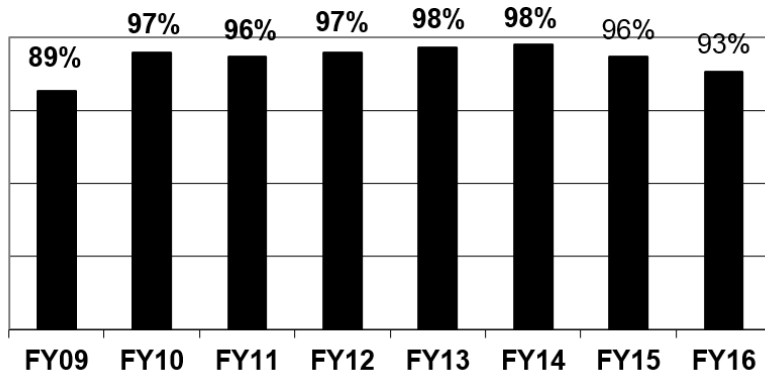
Quality: Escapes from secure facilities

0	0	0	0	0	0	0	0
FY09	FY10	FY11	FY12	FY13	FY14	FY15	FY16

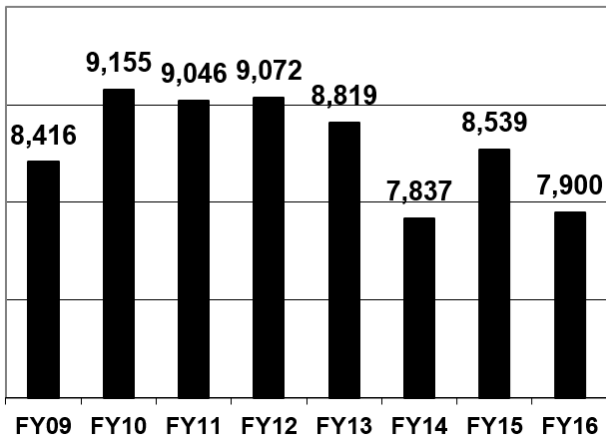
Result: Three-year adult recidivism rates Percentage of offenders convicted of a new felony following release from prison



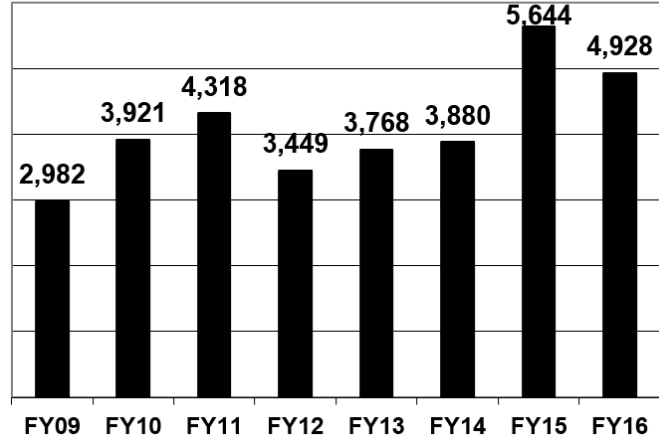
Quality: Percentage of fugitive level 3 sex offenders apprehended within 72 hours



Quantity: Number of inmates enrolled in education programming



Quantity: Number of inmates completing pre-release classes



[The DOC's most recent performance report is located at this link.](#)

Minnesota Statutes 241 to 244 (<https://www.revisor.mn.gov/statutes/part/CORRECTIONS>) provide the legal authority for the DOC.

Correctional Institutions

Program Expenditure Overview

(Dollars in Thousands)

	Actual FY16	Actual FY17	Actual FY18	Estimate FY19	Forecast Base	
					FY20	FY21
<u>Expenditures by Fund</u>						
1000 - General	384,199	414,588	416,260	438,852	432,752	435,150
2000 - Restrict Misc Special Revenue	2,164	1,562	1,994	5,008	2,276	2,276
2001 - Other Misc Special Revenue	6,609	6,307	6,693	7,391	6,606	6,606
2403 - Gift	9	9	7	18	10	10
3000 - Federal	2,272	2,006	2,310	3,934	3,836	3,836
4400 - Correctional Industries	53,496	53,488	58,385	56,689	56,625	54,046
6000 - Miscellaneous Agency	3,242	1,036	3,253	7,321	3,078	3,078
6001 - Social Welfare Agency	29,185	30,814	31,249	29,564	29,564	29,564
Total	481,177	509,810	520,150	548,777	534,747	534,566
Biennial Change				77,940		386
Biennial % Change				8		0

Expenditures by Activity

Corr Institutn-Special Revenue				383	41	41
MCF-St. Cloud	32,633	35,001	34,145	36,974	35,461	35,674
MCF-Stillwater	44,259	46,912	47,185	48,742	47,739	48,017
MCF-Lino Lakes	34,140	36,226	36,008	38,180	37,087	37,308
MCF-Oak Park Heights	23,661	25,331	24,893	26,258	25,778	25,945
MCF-Moose Lake	31,051	33,403	32,957	34,353	33,465	33,651
MCF-Faribault	48,749	51,464	51,590	54,353	52,511	52,794
MCF-Willow River-CIP	5,278	5,467	5,467	5,524	5,484	5,518
MCF-Rush City	30,437	32,321	31,968	33,359	32,642	32,810
MCF - TOGO - CIP MALE	2,514	5,349	4,882	5,476	5,232	5,262
MCF-Togo-Male	1,959					
MCF-Shakopee	18,178	19,274	19,385	20,588	19,829	19,946
MCF - SHAKOPEE - CIP	1,134	1,217	1,234	1,269	1,268	1,276
MCF-Red Wing	12,921	13,566	13,552	14,228	13,961	14,057
MCF-Togo	124	39	171			
Education	15,014	16,508	16,552	16,997	16,944	17,000
Health Services-Corrections	82,961	88,607	92,733	91,140	95,191	95,410
Institution Support Services	33,290	34,667	38,144	51,876	43,835	44,093
Office of Special Investigations	5,546	6,772	6,516	7,660	7,096	7,139

Correctional Institutions

Program Expenditure Overview

(Dollars in Thousands)

	Actual FY16	Actual FY17	Actual FY18	Estimate FY19	Forecast Base	
					FY20	FY21
Transportation	2,277	2,530	2,411	2,778	2,603	2,619
Safety	1,116	1,226	1,234	1,319	1,305	1,310
Correctional Industries	53,934	53,929	59,124	57,320	57,275	54,696
Total	481,177	509,810	520,150	548,777	534,747	534,566

Expenditures by Category

Compensation	308,928	321,302	331,987	342,371	344,844	344,889
Operating Expenses	125,745	138,183	139,895	160,866	144,364	144,416
Grants, Aids and Subsidies	41,787	43,914	44,949	42,423	42,423	42,423
Capital Outlay-Real Property	1,781	2,076	1,199	2,197	2,197	1,919
Other Financial Transaction	2,936	4,334	2,119	920	919	919
Total	481,177	509,810	520,150	548,777	534,747	534,566

Total Agency Expenditures	481,177	509,810	520,150	548,777	534,747	534,566
Internal Billing Expenditures	1,583	2,122	2,194	2,179	2,175	2,175
Expenditures Less Internal Billing	479,594	507,688	517,955	546,598	532,572	532,391

Full-Time Equivalent

	3,703.19	3,705.24	3,739.86	3,739.66	3,599.74	3,443.35
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Correctional Institutions

Program Financing by Fund

(Dollars in Thousands)

	Actual FY16	Actual FY17	Actual FY18	Estimate FY19	Forecast Base	
					FY20	FY21
1000 - General						
Balance Forward In	1	4,488	0	10,204		
Direct Appropriation	385,220	412,171	427,666	429,575	433,844	436,418
Transfers In	11,509	15,848	15,078	4,891		
Transfers Out	12,151	16,441	16,276	5,818	1,092	1,268
Cancellations	21	1,477	5			
Balance Forward Out	358		10,204			
Expenditures	384,199	414,588	416,260	438,852	432,752	435,150
Biennial Change in Expenditures				56,324		12,790
Biennial % Change in Expenditures				7		2
Full-Time Equivalents	3,447.32	3,450.42	3,478.52	3,478.32	3,339.40	3,214.70

2000 - Restrict Misc Special Revenue

Balance Forward In	2,778	2,408	2,618	2,732		
Receipts	2,243	2,237	2,620	2,743	2,743	2,743
Transfers Out	460	484	512	467	467	467
Balance Forward Out	2,396	2,599	2,731			
Expenditures	2,164	1,562	1,994	5,008	2,276	2,276
Biennial Change in Expenditures				3,275		(2,450)
Biennial % Change in Expenditures				88		(35)
Full-Time Equivalents	2.31	1.57	1.50	1.50	1.50	1.50

2001 - Other Misc Special Revenue

Balance Forward In	1,526	1,389	1,667	1,635	600	350
Receipts	6,466	6,582	6,662	6,356	6,356	6,356
Internal Billing Receipts	440	591	647	621	621	621
Transfers Out			0			
Balance Forward Out	1,383	1,664	1,636	600	350	100
Expenditures	6,609	6,307	6,693	7,391	6,606	6,606
Biennial Change in Expenditures				1,168		(872)
Biennial % Change in Expenditures				9		(6)
Full-Time Equivalents	63.67	61.48	66.85	66.85	66.85	66.85

2403 - Gift

Correctional Institutions

Program Financing by Fund

(Dollars in Thousands)

	Actual FY16	Actual FY17	Actual FY18	Estimate FY19	Forecast Base	
					FY20	FY21
Balance Forward In	14	15	11	8		
Receipts	9	5	5	10	10	10
Balance Forward Out	15	11	9			
Expenditures	9	9	7	18	10	10
Biennial Change in Expenditures				7		(5)
Biennial % Change in Expenditures				40		(19)

3000 - Federal

Balance Forward In	5,813	5,973	6,301	5,947	4,172	2,460
Receipts	2,187	2,264	1,956	2,159	2,124	2,124
Balance Forward Out	5,727	6,230	5,947	4,172	2,460	748
Expenditures	2,272	2,006	2,310	3,934	3,836	3,836
Biennial Change in Expenditures				1,966		1,428
Biennial % Change in Expenditures				46		23
Full-Time Equivalents	15.58	17.26	17.25	17.25	16.25	16.25

4400 - Correctional Industries

Balance Forward In	16,191	13,328	13,154	9,335	6,321	3,371
Receipts	51,308	54,090	54,566	53,675	53,675	53,675
Transfers Out	1,000	1,000				
Balance Forward Out	13,003	12,929	9,335	6,321	3,371	3,000
Expenditures	53,496	53,488	58,385	56,689	56,625	54,046
Biennial Change in Expenditures				8,089		(4,403)
Biennial % Change in Expenditures				8		(4)
Full-Time Equivalents	171.78	173.32	174.85	174.85	174.85	143.16

6000 - Miscellaneous Agency

Balance Forward In	1,887	2,324	4,507	4,243		
Receipts	3,660	3,218	2,989	3,078	3,078	3,078
Transfers Out	0					
Balance Forward Out	2,305	4,506	4,243			
Expenditures	3,242	1,036	3,253	7,321	3,078	3,078
Biennial Change in Expenditures				6,296		(4,418)

Correctional Institutions

Program Financing by Fund

(Dollars in Thousands)

	Actual FY16	Actual FY17	Actual FY18	Estimate FY19	Forecast Base	
					FY20	FY21
Biennial % Change in Expenditures				147		(42)
Full-Time Equivalents	2.53	1.19	0.89	0.89	0.89	0.89

6001 - Social Welfare Agency

Balance Forward In	4,459	4,919	4,843	5,489	5,661	5,833
Receipts	29,658	30,575	31,895	29,736	29,736	29,736
Transfers In	31					
Transfers Out	31		0			
Balance Forward Out	4,931	4,681	5,489	5,661	5,833	6,005
Expenditures	29,185	30,814	31,249	29,564	29,564	29,564
Biennial Change in Expenditures				815		(1,685)
Biennial % Change in Expenditures				1		(3)

Program: Community Services

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AT A GLANCE

- DOC supervises approximately 20,000 offenders in the community including
 - Adult felony supervision in 53 counties
 - Adult misdemeanor and juvenile probation in 28 counties
 - Intensive supervised release (ISR) supervision in 75 counties
 - Intensive supervision to Challenge Incarceration Program (CIP) offenders in 82 counties
- County correctional agencies supervise more than 90,000 offenders
- Risk assessment and community notification of more than 9,400 sex offenders
- Inspect and license 236 local and out-of-state facilities
- State and county offender work crews benefit local communities

PURPOSE & CONTEXT

The community services program protects the public through offender management and supervision throughout the state, working to ensure compliance with conditions of supervision. We promote offender change by providing comprehensive reentry programming that helps offenders successfully transition back into the community. Our customers include more than 111,000 offenders on community supervision statewide, their victims, the courts, and local law enforcement agencies. Our activities help ensure **people in Minnesota are safe**. The program is funded primarily by the general fund, with approximately 60% of the budget designated as pass-through to help fund county/community-based correctional services.

SERVICES PROVIDED

To accomplish our purpose, we:

- Provide investigative services, reports and recommendation to the courts and the Hearings and Release Unit;
- Assess the risk levels and need of offenders, and place them on proper supervision caseloads;
- Provide pre-release classes and assist released offenders with housing, employment and access to support services;
- Promote positive change in offender behavior by incorporating research-based strategies including cognitive-based programming and motivational interviewing;
- Collaborate with local agencies and providers to help released offenders with mental health, domestic violence prevention, chemical dependency treatment, housing and employment needs;
- Collect and distribute address and employment information for predatory offenders requiring community notification and participate in community notification meetings;
- Refer potential civil commitment cases to county attorneys;
- Use community-based programs (Sentencing to Service crews, Institution Community Work Crews, Challenge Incarceration Programs and work release programming) to manage lower-risk offenders, reduce the need for prison beds, respond to natural disasters and complete community work projects;
- Provide services that ensure the rights and needs of victims are met; and
- Inspect and license local correctional facilities and certify sex offender treatment programs.

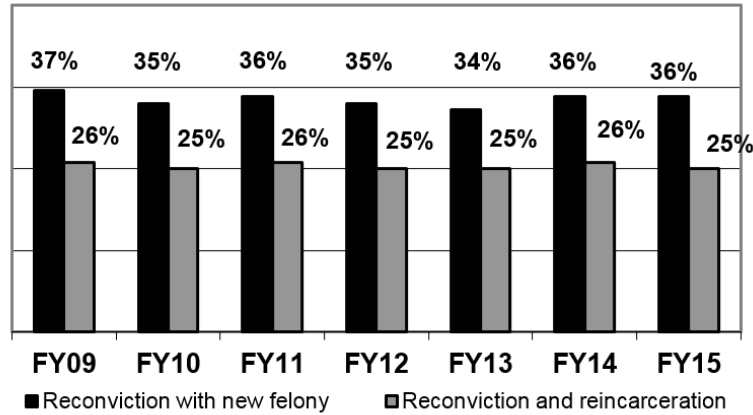
Other specific services provided by this program include offender supervision contracts, electronic and GPS monitoring, sex offender treatment, curfew enforcement, compliance with mandatory work or school conditions, random drug testing, enforcement of financial restitution orders and due process offender hearings. We manage pass-through and other funds appropriated for subsidies, grants, contracts and reimbursements. We administer

offender interstate compacts that allow for the controlled movement of offenders between states. We also provide victim notification and referral services, community training, victim impact awareness, victim-offender dialogue coordination, apology letter assistance and representation on the end-of-confinement review committee responsible for assigning risk levels to predatory offenders.

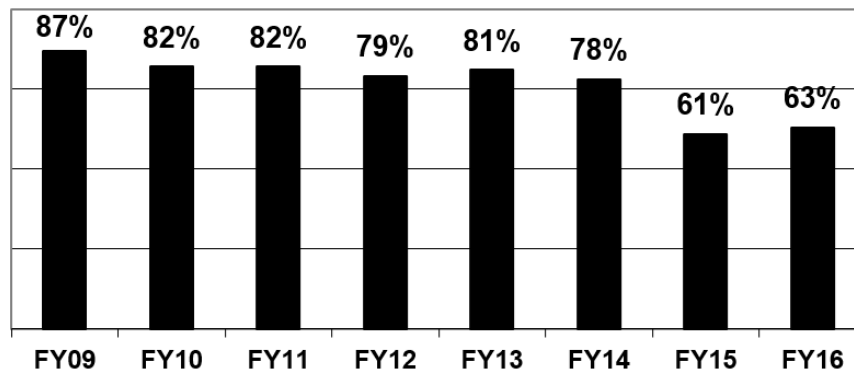
RESULTS

Result: Three-year adult recidivism rates

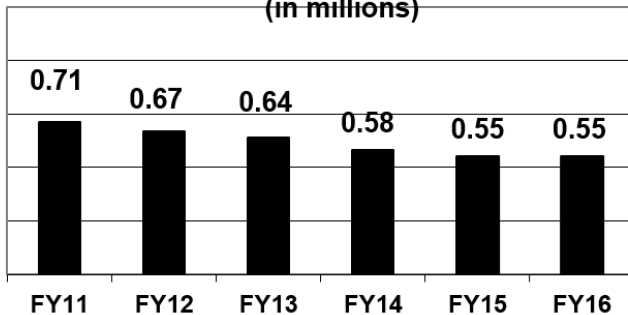
Percentage of offenders convicted of a new felony following release from prison



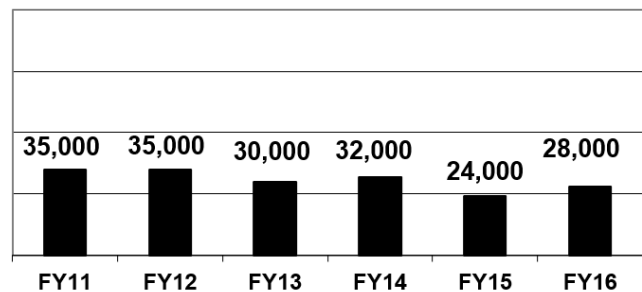
Quality: Percentage of restitution paid by discharge



Quantity: Number of Sentence To Service (STS) offender hours worked (in millions)



Quality: Number of STS Jail Days Saved



[The DOC's most recent performance report is located at this link.](#)

Minnesota Statutes 241 to 244 (<https://www.revisor.mn.gov/statutes/part/CORRECTIONS>) provide the legal authority for the DOC.

Community Services

Program Expenditure Overview

(Dollars in Thousands)

	Actual FY16	Actual FY17	Actual FY18	Estimate FY19	Forecast Base	
					FY20	FY21
<u>Expenditures by Fund</u>						
1000 - General	120,613	123,443	128,025	132,118	130,589	130,753
2000 - Restrict Misc Special Revenue	4,829	3,147	4,771	4,939	3,761	3,761
2403 - Gift	0		0			
3000 - Federal	1,160	1,209	1,386	1,700	1,287	1,287
6001 - Social Welfare Agency	1,186	1,164	1,097	1,206	1,206	1,206
Total	127,788	128,963	135,280	139,963	136,843	137,007
Biennial Change				18,491		(1,393)
Biennial % Change				7		(1)

Expenditures by Activity

Probation & Supervised Release	23,745	25,056	25,921	26,901	26,266	26,369
Special Supervision	6,510	7,086	7,708	7,742	7,659	7,684
Sentencing to Service	5,116	4,596	4,450	3,926	3,502	3,504
Reentry Services	1,606	1,862	1,815	2,454	2,028	2,030
Work Release	6,918	6,209	6,218	6,647	6,619	6,622
Instn Comm Svcs Work Crews	2,221	1,547	2,742	3,074	2,230	2,230
Risk Assess/Comm Notification	1,899	1,952	1,855	2,408	2,314	2,324
Facilities Planning & Inspection	846	911	917	1,065	986	989
Grants/Subsidy/Offender Suprt	74,435	74,929	79,080	78,705	78,592	78,594
Community Support Services	1,729	1,860	1,582	2,891	2,530	2,532
Hearings and Release	1,741	1,817	1,931	1,977	1,951	1,960
Victim Assist/Restorative Just	1,023	1,139	1,062	2,173	2,166	2,169
Total	127,788	128,963	135,280	139,963	136,843	137,007

Expenditures by Category

Compensation	37,986	39,476	42,205	42,080	42,017	42,181
Operating Expenses	15,400	15,440	16,430	21,126	18,069	18,069
Grants, Aids and Subsidies	73,586	73,436	76,402	76,616	76,616	76,616
Capital Outlay-Real Property	382		1	6	6	6
Other Financial Transaction	435	612	243	135	135	135
Total	127,788	128,963	135,280	139,963	136,843	137,007

Community Services

Program Expenditure Overview

(Dollars in Thousands)

	Actual FY16	Actual FY17	Actual FY18	Estimate FY19	Forecast Base	
					FY20	FY21
Total Agency Expenditures	127,788	128,963	135,280	139,963	136,843	137,007
Internal Billing Expenditures	113	308	97	130	68	68
Expenditures Less Internal Billing	127,675	128,655	135,183	139,833	136,775	136,939

<u>Full-Time Equivalents</u>	456.31	466.90	477.30	483.30	463.73	454.04
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Community Services

Program Financing by Fund

(Dollars in Thousands)

	Actual FY16	Actual FY17	Actual FY18	Estimate FY19	Forecast Base	
					FY20	FY21
1000 - General						
Balance Forward In		725		1,705		
Direct Appropriation	121,275	124,603	129,499	130,413	130,589	130,753
Transfers In	7,125	6,040	4,142	3,103	584	584
Transfers Out	7,125	6,540	3,904	3,103	584	584
Cancellations	7	1,385	6			
Balance Forward Out	655		1,706			
Expenditures	120,613	123,443	128,025	132,118	130,589	130,753
Biennial Change in Expenditures				16,087		1,199
Biennial % Change in Expenditures				7		0
Full-Time Equivalents	399.83	410.21	422.61	428.61	412.36	402.67

2000 - Restrict Misc Special Revenue

Balance Forward In	2,824	1,881	2,850	1,922	644	644
Receipts	3,857	4,140	3,980	3,761	3,761	3,761
Net Loan Activity		(180)	(135)	(100)	0	0
Balance Forward Out	1,851	2,695	1,923	644	644	644
Expenditures	4,829	3,147	4,771	4,939	3,761	3,761
Biennial Change in Expenditures				1,734		(2,188)
Biennial % Change in Expenditures				22		(23)
Full-Time Equivalents	49.55	50.85	48.99	48.99	48.99	48.99

2403 - Gift

Receipts	0		0			
Expenditures	0		0			
Biennial Change in Expenditures				0		0
Biennial % Change in Expenditures						

3000 - Federal

Balance Forward In	5					
Receipts	1,155	1,209	1,386	1,700	1,287	1,287
Expenditures	1,160	1,209	1,386	1,700	1,287	1,287
Biennial Change in Expenditures				717		(512)
Biennial % Change in Expenditures				30		(17)

Community Services

Program Financing by Fund

(Dollars in Thousands)

	Actual FY16	Actual FY17	Actual FY18	Estimate FY19	Forecast Base	
					FY20	FY21
Full-Time Equivalents	6.93	5.84	5.70	5.70	2.38	2.38

6001 - Social Welfare Agency

Balance Forward In	87	108	86	92	97	102
Receipts	1,207	1,142	1,103	1,211	1,211	1,211
Balance Forward Out	107	86	91	97	102	107
Expenditures	1,186	1,164	1,097	1,206	1,206	1,206
Biennial Change in Expenditures				(47)		109
Biennial % Change in Expenditures				(2)		5

Program: Operations Support

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AT A GLANCE

- Provision of services to
 - More than 4,300 employees
 - More than 30,000 offenders
- Employees represented by nine bargaining units/plans
- Employees are located at central office and across the state at 10 correctional institutions and 44 field offices
- Centralized/regionalized services
- Shared management

PURPOSE & CONTEXT

The operations support program formulates the mission and major policies for the Department of Corrections (DOC). We provide leadership and support services that assist all programs in achieving the agency's mission. Our customers include all DOC employees, offenders, victims, state and local agencies, the Legislature and citizens. We partner with and provide limited support services to the Minnesota Sentencing Guidelines Commission and the Bureau of Medication Services. Our activities provide the necessary support to help ensure **people in Minnesota are safe**.

SERVICES PROVIDED

To accomplish our purpose, we:

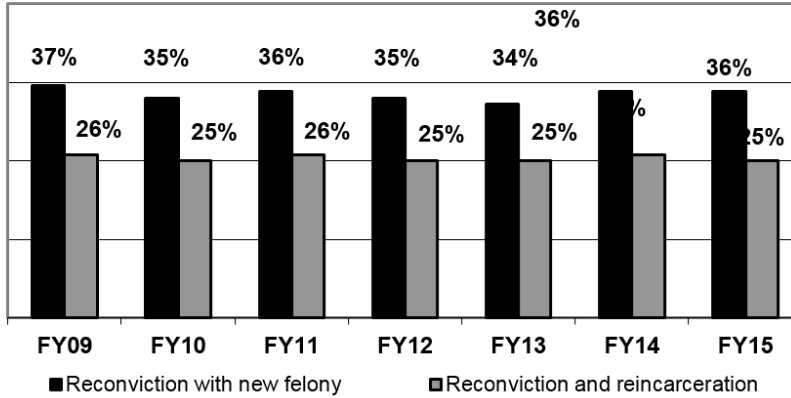
- Promote the mission and values of the agency through executive leadership, policy development, strategic planning, implementing evidence-based practices, and internal and external communications;
- Provide statutorily required services in the areas of finance, human resources, legal services, offender records administration and employee development;
- Use best and current practices to manage information technology, planning and performance, compliance functions, and diversity and inclusion activities;
- Hire and maintain a high-quality and diverse workforce;
- Safeguard the state's assets and comply with federal and state regulations and agency policies through compliance with established procedures and internal controls; and
- Manage offender information through integrated systems in partnership with the courts, state agencies, and state and local law enforcement agencies.

Other specific services provided by this program include budget management, financial transactions, financial reporting and analysis, procurement and inventory functions, offender banking services, internal audit, asset and property management, recruitment and selection, job classification, salary and benefit administration, labor contract negotiation, pre-service and in-service training, mail processing, offender records management, legal representation, and responding to public data and media requests. We partner with MN.IT and other stakeholders to ensure continual operation and/or accessibility to internal and external technology systems and to provide help-desk support. Our planning and performance unit conducts research and evaluation projects, prepares legislative reports, coordinates implementation of evidence-based practices, coordinates strategic planning activities and projects prison population. We also develop and update business continuity and risk management plans, and work to maintain accreditation by the American Corrections Association at all locations.

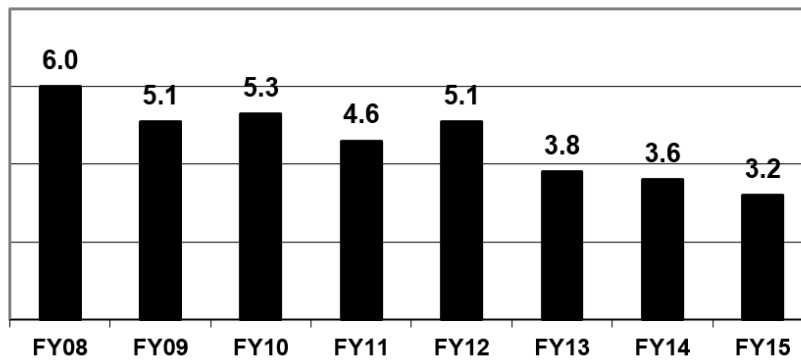
RESULTS

Result: Three-year adult recidivism rates

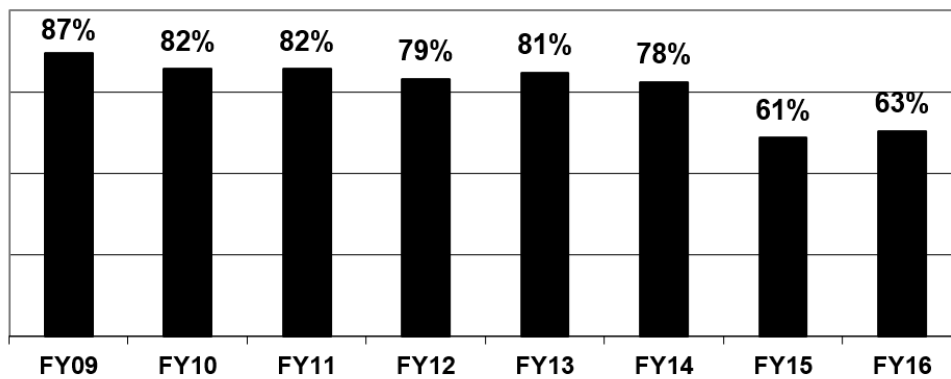
Percentage of offenders convicted of a new felony following release from prison



Result: Workers' compensation claim incident rate per 100 full-time employees



Quality: Percentage of restitution paid by discharge



[The DOC's most recent performance report is located at this link.](#)

Minnesota Statutes 241 to 244 (<https://www.revisor.mn.gov/statutes/part/CORRECTIONS>) provide the legal authority for the DOC.

DOC Operations Support

Program Expenditure Overview

(Dollars in Thousands)

	Actual FY16	Actual FY17	Actual FY18	Estimate FY19	Forecast Base	
					FY20	FY21
<u>Expenditures by Fund</u>						
1000 - General	24,301	26,580	26,751	28,998	28,142	28,202
2000 - Restrict Misc Special Revenue	152	135	1	99		
2001 - Other Misc Special Revenue	443	737	662	4,760	3,071	3,071
3000 - Federal			54			
Total	24,895	27,452	27,468	33,857	31,213	31,273
Biennial Change				8,978		1,161
Biennial % Change				17		2

Expenditures by Activity

Employee Development	2,146	2,395	2,248	2,438	2,362	2,370
Financial Services	4,972	4,832	4,733	5,449	5,155	5,175
Human Resources	4,122	4,434	4,335	4,635	4,640	4,656
Information and Technology	7,009	8,959	1,641	9,325	9,358	9,358
Office Services	521	694	620	807	726	728
Operations Support Services	2,979	2,908	10,887	7,649	5,821	5,827
Policy and Legal Services	1,497	1,601	1,475	1,607	1,480	1,483
Planning and Performance	1,089	1,078	1,028	1,237	1,049	1,052
Offender Records	559	552	501	710	622	624
Total	24,895	27,452	27,468	33,857	31,213	31,273

Expenditures by Category

Compensation	14,953	15,506	15,255	16,699	16,768	16,828
Operating Expenses	9,790	11,774	12,120	17,113	14,400	14,400
Other Financial Transaction	152	172	93	45	45	45
Total	24,895	27,452	27,468	33,857	31,213	31,273

Full-Time Equivalent

	170.90	173.79	168.47	168.47	162.00	158.03
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DOC Operations Support

Program Financing by Fund

(Dollars in Thousands)

	Actual FY16	Actual FY17	Actual FY18	Estimate FY19	Forecast Base	
					FY20	FY21
1000 - General						
Balance Forward In		709		960		
Direct Appropriation	24,875	27,454	27,701	28,165	28,269	28,329
Transfers In	2,033	3,276	7,917	181	117	117
Transfers Out	2,107	4,834	7,907	308	244	244
Cancellations		26				
Balance Forward Out	500		960			
Expenditures	24,301	26,580	26,751	28,998	28,142	28,202
Biennial Change in Expenditures				4,869		595
Biennial % Change in Expenditures				10		1
Full-Time Equivalents	170.17	172.84	167.51	167.51	161.04	157.07

2000 - Restrict Misc Special Revenue

Balance Forward In	215	135		99		
Receipts	72		100			
Balance Forward Out	135		99			
Expenditures	152	135	1	99		
Biennial Change in Expenditures				(187)		(100)
Biennial % Change in Expenditures				(65)		(100)

2001 - Other Misc Special Revenue

Balance Forward In	4,571	5,473	6,725	7,689	5,000	4,000
Receipts	1,312	1,834	1,625	2,071	2,071	2,071
Internal Billing Receipts	1,312	1,834	1,625	2,071	2,071	2,071
Balance Forward Out	5,440	6,570	7,689	5,000	4,000	3,000
Expenditures	443	737	662	4,760	3,071	3,071
Biennial Change in Expenditures				4,242		720
Biennial % Change in Expenditures				359		13
Full-Time Equivalents	0.73	0.95	0.96	0.96	0.96	0.96

3000 - Federal

Receipts			54			
Expenditures			54			
Biennial Change in Expenditures				54		(54)

DOC Operations Support

Program Financing by Fund

(Dollars in Thousands)

	Actual	Actual	Actual	Estimate	Forecast Base	
	FY16	FY17	FY18	FY19	FY20	FY21
Biennial % Change in Expenditures						