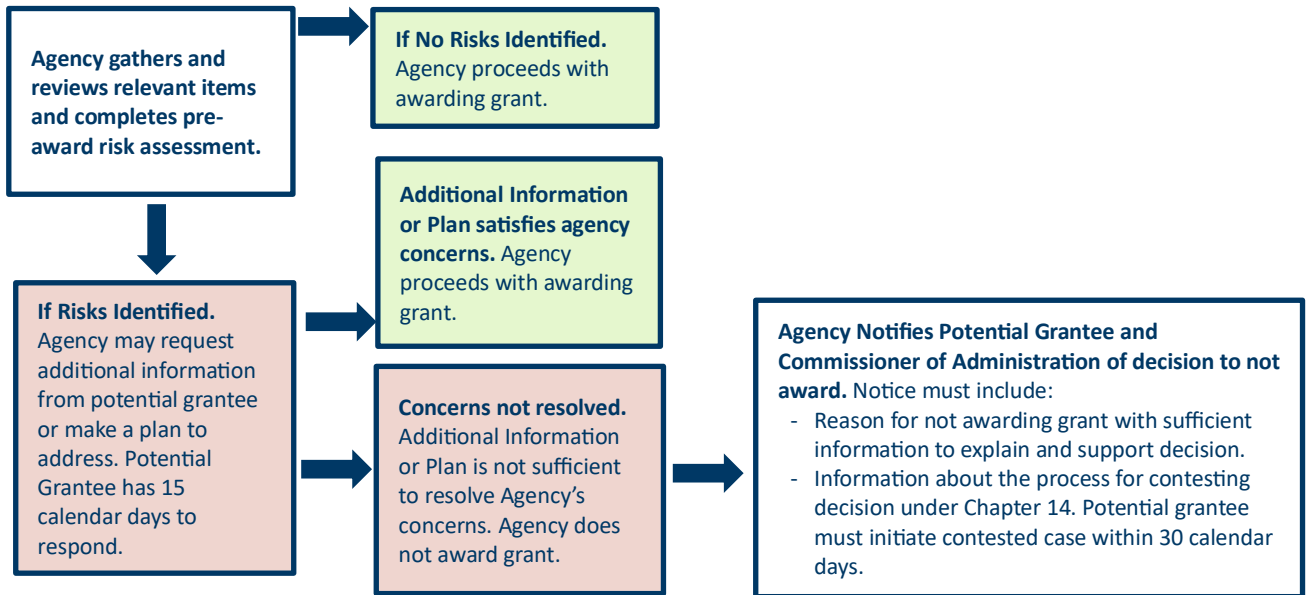
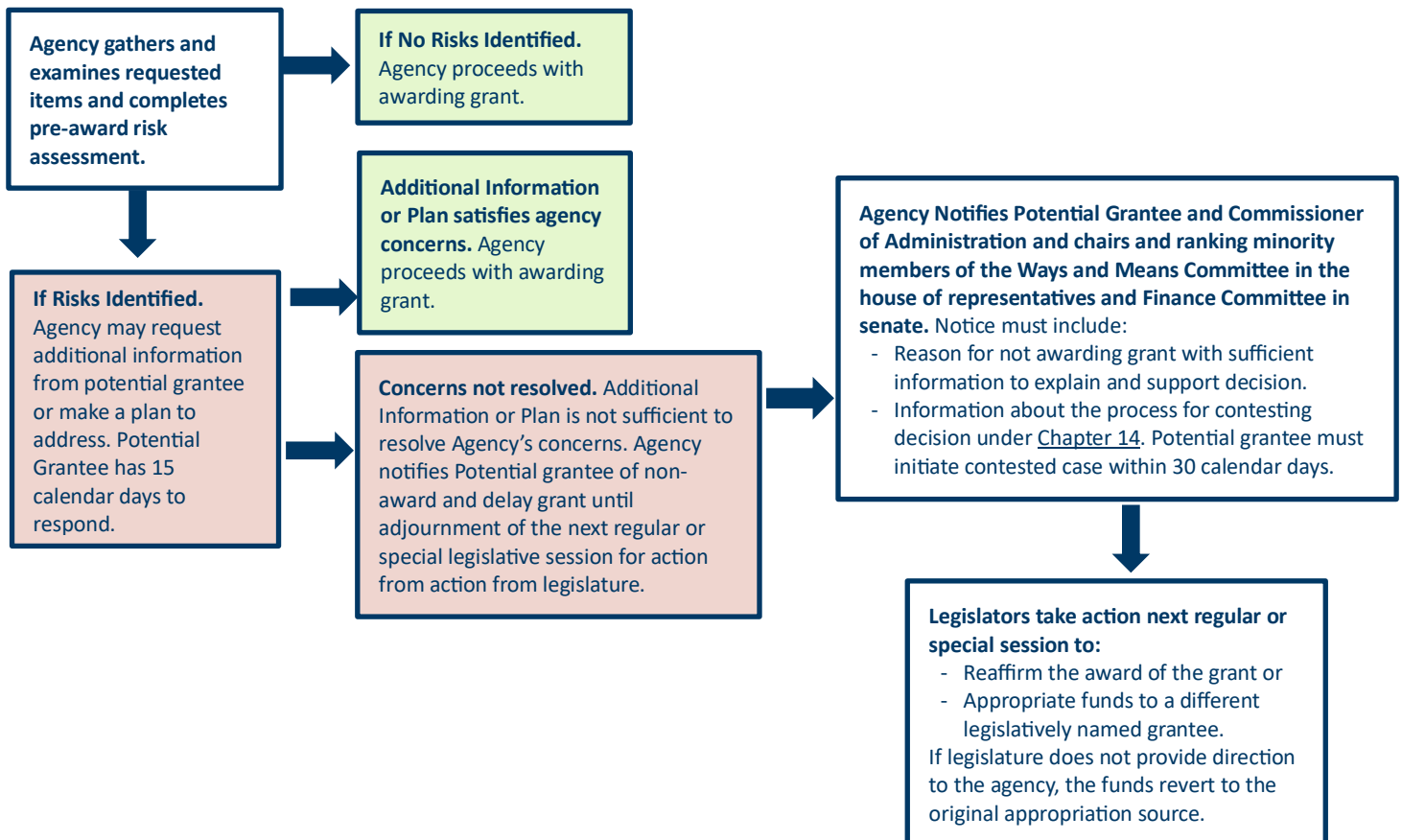


OGM Policy 08-06: Preaward Risk Assessment Workflows

Competitive Grant Process



Legislative Grant Process



OGM Policy 08-06: Preaward Risk Assessment Workflows

Competitive Grant Process

1. Agency gathers and reviews relevant items and completes pre-award risk assessment.
 - a. If no risks are identified, the agency proceeds with awarding grant. End of work flow.
 - b. If risks are identified, the agency may request additional information from potential grantee or make a plan to address risks. Potential Grantee has 15 calendar days to respond.
2. If the additional information provided or the developed plan to address risks satisfies the agency's concerns, the agency may proceed with awarding grant. End of work flow.
3. If additional Information or plan is not sufficient to resolve the agency's concerns, the agency does not award grant and notifies potential grantee and the commissioner of Administration of decision to not award. The notice must include:
 - a. The reason for not awarding grant with sufficient information to explain and support decision.
 - b. Information about the process for contesting decision under Chapter 14. Potential grantee must initiate contested case within 30 calendar days.

Legislative Grant Process

1. Agency gathers and reviews relevant items and completes pre-award risk assessment.
 - a. If no risks are identified, the agency proceeds with awarding grant. End of work flow.
 - b. If risks are identified, the agency may request additional information from potential grantee or make a plan to address risks. Potential Grantee has 15 calendar days to respond.
2. If the additional information provided or plan to address risks satisfies the agency's concerns, the agency may proceed with awarding grant. End of work flow.
3. If additional Information or plan is not sufficient to resolve agency's concerns, the agency notifies potential grantee of non-award and delay grant until adjournment of the next regular or special legislative session for action from action from legislature.
4. Agency notifies potential grantee, the commissioner of Administration, and chairs and ranking minority members of the Ways and Means Committee in the house of representatives and Finance Committee in senate. Notice must include:
 - a. The reason for not awarding grant with sufficient information to explain and support decision.
 - b. Information about the process for contesting decision under Chapter 14. Potential grantee must initiate contested case within 30 calendar days.
5. Legislators take action next regular or special session to:
 - a. Reaffirm the award of the grant or
 - b. Appropriate funds to a different legislatively named grantee.
6. If legislature does not provide direction to the agency, the funds revert to the original appropriation source.