

# HCBS Final Rule Evidentiary Package

## Princeton 1



### Setting information

Setting name: Princeton 1	ID number: 1072033
Street address: 705 6 <sup>th</sup> Avenue N, Princeton MN	Phone: 763-402-1125
Website, if applicable: <a href="http://www.paraserv.org/">Paradigm Residential Services, Inc.</a> <a href="http://www.paraserv.org/">http://www.paraserv.org/</a>	Date of site visit: 11/5/2018

## Waiver service type

Waiver service	Service type
<input type="checkbox"/> Alternative Care (AC) <input type="checkbox"/> Elderly Waiver (EW) <input checked="" type="checkbox"/> Brain Injury (BI) <input checked="" type="checkbox"/> Community Access for Disability Inclusion (CADI) <input type="checkbox"/> Community Alternative Care (CAC) <input type="checkbox"/> Developmental Disabilities (DD)	Adult foster care

## Reason for heightened scrutiny

Prong type	Category	Type of setting
Prong 3 Effects of isolating characteristics	Effect of isolating characteristic	Is one of multiple homes located on the same street or adjoining property that shares programming activities

Note: The term people/person (resident for residential settings) refers to people who receive Medicaid HCBS waiver service(s).

## General summary

This setting is located in Princeton, MN. Princeton is located in a rural part of east central Minnesota. Princeton's population was 4,698 in the 2010 census.

This single family house is located in a residential area consisting of single family homes and apartment complexes. There are several businesses, a middle school, and Civic Center Park close by. This area is separated from the main part of Princeton by a highway. There is a collocated Adult Foster Care setting on the same block (Princeton 8) located at 1105 6<sup>th</sup> Ave North.

## Foster care/supported living service provider standards/qualifications

Licensure requirements and other state regulations for foster care services clearly distinguish these services/settings from institutional licensure or regulations.

- Child and adult foster care (BI, CAC, CADI waivers) and supported living service providers (DD waiver) must be licensed under [Minnesota Statutes 245D](https://www.revisor.mn.gov/statutes/cite/245D) (<https://www.revisor.mn.gov/statutes/cite/245D>).
- Adult foster care (Elderly Waiver and Alternative Care program) provider must meet the licensure requirements found in [Minnesota Rules, parts 9555.5050– 9555.6265](https://www.revisor.mn.gov/rules/9555/) (<https://www.revisor.mn.gov/rules/9555/>) and [Minnesota Statutes, section 245A](https://www.revisor.mn.gov/statutes/cite/245A) (<https://www.revisor.mn.gov/statutes/cite/245A>).

## Foster care and supported living service definitions that support the setting requirements

### **Adult and child foster care for people on BI, CAC and CADI waivers:**

Adult foster care is ongoing residential care and supportive services and includes personal care assistant services, homemaker, chore, behavioral aide services, companion services, and medication oversight (to the extent permitted under State law) provided in a licensed home. Minnesota's Community Based Service Manual (CBSM) provides requirements for [Adult or child foster care](http://www.dhs.state.mn.us/main/id_001786) ([http://www.dhs.state.mn.us/main/id\\_001786](http://www.dhs.state.mn.us/main/id_001786))

### **Supported Living services for people on the DD waiver:**

Supported living services are "residential habilitation services" provided to participants who live in a supported living environment. Residential habilitation services consist of assistance with acquisition, retention, or improvement in skills related to activities of daily living, such as personal grooming and cleanliness, bed making and household chores, eating and the preparation of food, and the social and adaptive skills necessary to enable people to reside in a non-institutional setting. Minnesota's CBSM provides requirements for [Supported living services](http://www.dhs.state.mn.us/main/id_048987#) ([http://www.dhs.state.mn.us/main/id\\_048987#](http://www.dhs.state.mn.us/main/id_048987#))

### **Adult foster care for people on the Elderly waiver/Alternative Care program:**

Minnesota's CBSM provides requirements for [Adult foster care](http://www.dhs.state.mn.us/main/id_056766#foster) ([http://www.dhs.state.mn.us/main/id\\_056766#foster](http://www.dhs.state.mn.us/main/id_056766#foster)) for people on the Elderly waiver/Alternative Care program.

## Prong 3 settings

### Meaningful distinction between collocated settings

States must submit strong evidence that the setting presumed institutional has the characteristics of a HCBS setting and not an institutional setting.

Determination	Summary
<p>X Met</p> <p><input type="checkbox"/> Unmet</p> <p><input type="checkbox"/> Not applicable</p>	<p><b>Describe the extent to which any shared programming (meals, transportation, social/recreational activities) occurs between different homes/buildings</b> (how often, what type of programming or activities are shared) and how the provider assures interests and preferences are supported at an individual level (people are engaged in planning community activities based on their preferences, variety of transportation options, schedules are varied, reverse integration is not the sole form of “community integration”:</p> <p>The staff that we interviewed (an administration staff and a house staff) told us that there is a shared program monthly. This programming consists of holiday meals, “tea time” at either house, Halloween or other similar types of celebrations.</p>
<p>X Met</p> <p><input type="checkbox"/> Unmet</p> <p><input type="checkbox"/> Not applicable</p>	<p><b>Describe the extent to which staff are scheduled to work with people at the other collocated setting (s) on the same shift:</b></p> <p>Staff reported that direct care staff are never scheduled to work with people from a different setting on the same shift.</p>
<p>X Met</p> <p><input type="checkbox"/> Unmet</p> <p><input type="checkbox"/> Not applicable</p>	<p><b>Participants in the setting in question do not have to rely primarily on transportation or other services provided by the other co-located setting (s), to the exclusion of other options;</b> (Describe the proximity to avenues of available public transportation or an explanation of how transportation is provided where public transportation is limited.)</p> <p>We did not observe people using any form of transportation during our site visit. We observed transportation information posted on a bulletin board. Staff reported that the options at this setting include the house’s van, taxis, family transports and bikes. They reported that options are reviewed with people at intake and annual meetings. Staff schedule and generally provide transportation. A person receiving services reported that staff helps to make arrangements and takes them to where they want to go.</p>

## Community engagement opportunities and experiences

At the site visit, we observed a bulletin board with community events posted. Staff that were interviewed told us that people's activities include:

- Shopping
- Lunch in the park
- movies
- walks
- community meals and activities
- library
- bowling
- Church

Staff reported that people receiving services are notified of community events through the house calendar, community education calendar, staff, other people living at the setting, family or brochures that are dropped off. Staff reported people receiving services go out on their own weekly for walks, visiting friends, or shopping. Staff reported people receiving services go out as a group weekly or so.

People receiving services reported that they go to community events a few times a week. They go shopping, for walks, and to community meals. They told us that they are able to participate in community events as often as they like.

It was evident in observation and interviews with people, staff and the administrator that person-centered practices are at the forefront of service delivery by:

- Respecting and honoring the things each person thinks are important and encouraging informed choice and creativity.
- Supporting activities are flexible and work around the person's preferred schedule (people are not following a "set schedule")
- Encouraging people to share ideas and make choices about setting activities based on their own personal preferences and interests
- Ensuring people have opportunities and supports they need to be fully included in their community, individually and in groups, as desired
- Assisting people with developing meaningful relationships with other members of the community

## HCBS characteristics

This section is a summary of the individual HCBS characteristics required in the HCBS rule. The findings for each characteristic are identified through the setting attestation documentation, on-site observation or both.

HCBS Rule requirement	Compliance status (please select)
<p>Each person at the setting has a written lease or residency agreement in place providing protections to address eviction processes and appeals.</p> <p><input checked="" type="checkbox"/> Compliant documentation submitted with attestation</p> <p><input type="checkbox"/> Observation made during on-site visit</p>	Compliant
<p>Each person at the setting has privacy in his/her sleeping or living unit including a lockable door.</p> <p><input checked="" type="checkbox"/> Compliant documentation submitted with attestation</p> <p><input type="checkbox"/> Observation made during on-site visit</p> <p>We observed locks on individual bedrooms. A person receiving services reported they have a lock on their bedroom door.</p>	Compliant
<p>The setting facilitates that a person, <b>who shares a bedroom</b>, is with a roommate of their choice.</p> <p><input checked="" type="checkbox"/> Compliant documentation submitted with attestation</p> <p><input type="checkbox"/> Observation made during on-site visit</p> <p>Bedrooms are not shared at this setting.</p>	Compliant
<p>The setting provides people with the freedom to furnish and decorate their bedroom and living unit within the lease or residency agreement.</p> <p><input checked="" type="checkbox"/> Compliant documentation submitted with attestation</p> <p><input checked="" type="checkbox"/> Observation made during on-site visit</p> <p>We observed that bedrooms are decorated individually based on the person’s interest and preferences. When we asked a person receiving services if they were able to decorate their own bedroom, they responded “oh my gosh, yes!”</p>	Compliant

HCBS Rule requirement	Compliance status (please select)
<p>The setting provides people the freedom and support to control their daily schedules including access to food at any time.</p> <p>X Compliant documentation submitted with attestation</p> <p>X Observation made during on-site visit</p> <p>Snacks are available at any time and people can access the refrigerator and cupboards.</p>	Compliant
<p>The setting allows people to have visitors at any time.</p> <p>X Compliant documentation submitted with attestation</p> <p>X Observation made during on-site visit</p> <p>A person receiving services reported that they could have visitors at any time. We did not observe any visitors at the time of the site visit. We did not observe a sign-in log, posted visitor hours, or a restricted visitor meeting area.</p>	Compliant
<p>The setting provides opportunities for people to seek employment and work in competitive integrated settings.</p> <p>X Compliant documentation submitted with attestation</p> <p>X Observation made during on-site visit</p> <p>The administration staff reported that one person receiving services is pursuing employment and another person receiving services attends a day program. Staff provide assistance and support to a person receiving services getting ready for work each morning. The person interviewed told us that they do not currently work but is interested in working.</p>	Compliant
<p>The setting is physically accessible to the individual.</p> <p>X Compliant documentation submitted with attestation</p> <p>X Observation made during on-site visit</p> <p>A person receiving services reported that their home is physically accessible for them, including access to the kitchen, dining room, living room, bedroom and bathroom .</p>	Compliant

HCBS Rule requirement	Compliance status (please select)
<p>The setting provides people opportunities to access and engage in community life.</p> <p>X Compliant documentation submitted with attestation</p> <p>X Observation made during on-site visit</p> <p>Community events include going to the community meals, picnics, movies, out to eat, church, the library, walks, and medical appointments. A person receiving services reported what they enjoy doing in the community is community meals, shopping and walking. The person reported that they participate in community events as often as they like – 2 times a week or so.</p>	Compliant
<p>The setting supports the person’s control of personal resources.</p> <p>X Compliant documentation submitted with attestation</p> <p>X Observation made during on-site visit</p> <p>A person receiving services reported that they can easily get money to spend as they wish.</p>	Compliant
<p>The setting ensures people’s right to privacy.</p> <p>X Compliant documentation submitted with attestation</p> <p>X Observation made during on-site visit</p> <p>We observed locks on individual bedrooms and a person receiving services reported that they had a lock on their bedroom door.</p>	Compliant
<p>The setting ensures people’s dignity and respect.</p> <p>X Compliant documentation submitted with attestation</p> <p>X Observation made during on-site visit</p> <p>We observed staff treating residents with dignity and respect.</p>	Compliant
<p>The setting ensures people’s freedom from coercion and restraint.</p> <p>X Compliant documentation submitted with attestation</p> <p><input type="checkbox"/> Observation made during on-site visit</p>	Compliant

HCBS Rule requirement	Compliance status (please select)
<p>The setting optimizes individual initiative, autonomy, and independence in making life choices, including daily schedule and with whom to interact.</p> <p>X Compliant documentation submitted with attestation</p> <p>X Observation made during on-site visit</p> <p>Staff reported that people can choose activities that they would like to do. If a person does not wish to take part in a group activity, they can remain at home. A person receiving services reported that they can participate in community activities that they want to. They reported that they inform staff what they would like to do and it is written on the house calendar.</p>	<p>Compliant</p>

### Pictures of the HCBS setting



