

HCBS Final Rule Evidentiary Package

Edgewood Hermantown II Senior Living – Independent Living



Setting information

Setting name: Edgewood Hermantown Senior Living II – Independent Living	ID number: 23990
Street address: 4125 Westberg Road Hermantown, MN	Phone: 218-723-8905
Website, if applicable: Edgewood Hermantown II - Independent Living (https://www.edgewoodhealthcare.com/independent-living/)	Date of site visit: 10/5/2018

Waiver service type

Waiver service	Service type
<input type="checkbox"/> Alternative Care (AC) <input checked="" type="checkbox"/> Elderly Waiver (EW) <input type="checkbox"/> Brain Injury (BI) <input checked="" type="checkbox"/> Community Access for Disability Inclusion (CADI) <input type="checkbox"/> Community Alternative Care (CAC) <input type="checkbox"/> Developmental Disabilities (DD)	Customized living

Reason for heightened scrutiny

Prong type	Category	Type of setting
Prong 3 Effects of isolating characteristics	Effect of isolating characteristic	Is one of multiple homes located on the same street or adjoining property that shares programming activities

Note: The term people/person (resident for residential settings) refers to people who receive Medicaid HCBS waiver service(s).

General summary

Hermantown is a city in Saint Louis County, which is in northeastern Minnesota. The population was 9,414 at the 2010 census. The setting is located within walking distance of shopping and residential neighborhoods with private homes.

The Edgewood Hermantown I Senior Living Community is an assisted living/customized living setting, collocated with the Edgewood Hermantown I Adult Day program and the Edgewood Hermantown II Independent Living/Customized Living programs.

The Edgewood Hermantown II Independent Living setting provides services to 98 people, and six are on HCBS waiver programs.

Customized living provider standards/qualifications

Licensure requirements and other state regulations for customized living services clearly distinguish these services/settings from institutional licensure or regulations.

Customized living services are provided in housing-with-services establishments with the service provider directly licensed as a comprehensive home care provider by the Minnesota Department of Health. Customized living services provide an individualized package of regularly scheduled health-related and supportive services provided to a person who resides in a qualified, registered housing-with-services establishment.

Customized living service definitions that support the setting requirements

Customized living (CL) and 24-hour CL services include component services designed to meet the person's assessed needs and goals. Individualized CL services may include supervision, home care aide tasks (e.g., assistance with activities of daily living), home health aide tasks (e.g., delegated nursing tasks), home management tasks, meal preparation and service, socialization, assisting people with arranging meetings and appointments, assisting with money management, assisting people with scheduling medical and social services and arranging for or providing transportation. If socialization is provided, it must be part of the service plan, related to established goals and outcomes and not diversional or recreational in nature.

For more details about the component services, including what is covered and distinctions between each, see [Customized Living Component Service Definitions, DHS-6790H \(PDF\)](https://edocs.dhs.state.mn.us/lfserver/Public/DHS-6790H-ENG) (<https://edocs.dhs.state.mn.us/lfserver/Public/DHS-6790H-ENG>).

Minnesota's Community-Based Services Manual (CBSM) provides the following requirements for customized living services:

[CBSM page for customized living services](http://www.dhs.state.mn.us/id_001787#) (http://www.dhs.state.mn.us/id_001787#)

Prong 3 settings

Meaningful distinction between collocated settings

States must submit strong evidence that the setting presumed institutional has the characteristics of a HCBS setting and not an institutional setting.

Determination	Summary
<input checked="" type="checkbox"/> Met <input type="checkbox"/> Unmet <input type="checkbox"/> Not applicable	<p>Describe the extent to which any shared programming (meals, transportation, social/recreational activities) occurs between different homes/buildings (how often, what type of programming or activities are shared) and how the provider assures interests and preferences are supported at an individual level (people are engaged in planning community activities based on their preferences, variety of transportation options, schedules are varied, reverse integration is not the sole form of “community integration”):</p> <p>Transportation is shared, given that the sites share vehicles. If people who live in different buildings are friends, they are able to eat together; however, there are separate dining facilities within each settings. Programming is not shared. Each setting has its own calendar developed by its assigned life enrichment specialist.</p>
<input checked="" type="checkbox"/> Met <input type="checkbox"/> Unmet <input type="checkbox"/> Not applicable	<p>Describe the extent to which staff are scheduled to work with people at the other collocated setting (s) on the same shift:</p> <p>Staff is shared between the assisted living and the independent living settings when they are short staffed or to cover the other if staff member leave unexpectedly. All staff members that provide backup are cross-trained on person-centered plans for each person at each setting.</p>
<input checked="" type="checkbox"/> Met <input type="checkbox"/> Unmet <input type="checkbox"/> Not applicable	<p>Participants in the setting in question do not have to rely primarily on transportation or other services provided by the other co-located setting (s), to the exclusion of other options; (Describe the proximity to avenues of available public transportation or an explanation of how transportation is provided where public transportation is limited.)</p> <p>Vehicles are shared between the three Edgewood Hermantown Senior Living settings. People also have a range of other transportation options available to them, including public transportation, medical transports, volunteer drivers and rides with family/friends.</p>

Community engagement opportunities and experiences

The administrator reported there is a life engagement staff who gets to know people and plan specific activities designed to meet their needs and interests. The staff focuses on the care plan, helps people sign up for trips and keeps an activity sheet for each person to help understand their interests. People are informed of available activities through multiple means, including displayed monthly calendars, daily communication with staff, TV screens in the lobbies that display events and times and memos on tables during meals.

Some community activities people participate in include:

- Bus trips
- Visiting state parks
- Dining out
- Attending movies
- Shopping
- University for Seniors
- Casino.

Sunday	Monday	Tuesday	Wednesday	Thursday	Friday	Saturday	
May 2019 Edgewood Independent Living							
			9:00 Strength & Balance-DR 10:00 Community Bingo – SR 2:00 Western Wednesday-TR <i>Enjoy old-time westerns</i> 6:30 BBC/PBS TV- TR <i>See lobby monitor for details</i> <small>May Day</small>	1 LIONS CLUB PANCAKE DAY 9:00 Meditation & Yoga-TR 9:00 Men's Group – SS 10:00 Lion's Pancakes - V 3:00 Lion's Pancakes- V Good Cause Great Food 3:30 Wii Bowling -TR	2 9:00 Strength & Balance-DR 10:00 Scenic Ride #1-V <i>Jay Cooke Park</i> 1:00 Scenic Ride #2-V <i>Jay Cooke Park</i> 3:30 Fun Day Friday – B Card Game – Skipbo		2:00 Movie w/Popcorn Theater room
1:15 Communion with Wilson Spence St Raphael -Catholic – TR 2:00 Movie w/Popcorn Theater Room <small>Cinco de Mayo</small>	5 9:00 Strength & Balance-DR 10:00 Shopping Shuttle-V 1:15 Worship at Manor - V 2:00 Community Bingo – DR 3:30 New Comers Group - TR <i>all residents welcome to join us</i> <small>Kanamari</small>	6 Avada Hearing -SS 9:00 Stretch DVD- TR 1:00 Rosary with Helen-TR 1:00 Cribbage - SR 2:00 Great Lakes Candy-V Trip to Knife River 6:00 Movie & Popcorn-TR <i>"The Green Book"</i>	7 9:00 Strength & Balance-DR 10:00 Community Bingo-SR 2:00 Western Wednesday-TR <i>Enjoy old-time westerns</i> 6:30 BBC/PBS TV- TR <i>See lobby monitor for details</i>	8 9:00 Meditation & Yoga-TR 9:00 Men's Group – SS 10:00 Stitch & Chat- B 2:00 Resident TownHall-TR Pie Social to follow –DR Everyone is welcome! 3:30 Wii Bowling -TR	9 9:00 Strength & Balance-DR 10:00 Our Planet – TR a nature documentary 1/8 10:15 Catholic Mass- V 1:00 Dollar Store & More-V 3:30 Fun Day Friday – B Dice Game – Roll for it	11 2:00 Movie w/Popcorn Theater room	
1:15 Communion with Wilson Spence St Raphael -Catholic – TR 2:00 Movie w/Popcorn Theater Room <small>Mother's Day</small>	12 9:00 Strength & Balance-DR 10:00 Shopping Shuttle-V 1:15 Remembrance Service and Coffee Social - V 2:00 Bingo with Becky - DR 3:30 Ladder Ball - B	13 9:00 Stretch DVD- TR 9:00 Morning Scenic Ride-V 11:15 Food For Thought-V BBQ Rib Trip #1 1:00 Pastor Phil –TR Service and Communion 1:00 Cribbage – SR 1:45 Gospel Music-Manor-V New Covenant Singers	14 9:00 Strength & Balance-DR 10:00 Community Bingo – SR 2:00 Western Wednesday-TR <i>Enjoy old-time westerns</i> 6:30 BBC/PBS TV- TR <i>See lobby monitor for details</i>	15 9:00 Meditation & Yoga-TR 9:00 Men's Group – SS 10:00 Stitch & Chat- B 2:00 Happy Hour - DR with Greg Tiburzi 3:30 Wii Bowling -TR	16 9:00 Strength & Balance-DR 10:00 Monthly Library Trip-V West Duluth Library 1:00 Greenhouse & Gifts-V <i>see sign up sheet for details</i> 3:30 Fun Day Friday – B Card Game – Phase 10	18 2:00 Movie w/Popcorn Theater room <small>Armed Forces Day</small>	
1:15 Communion with Wilson Spence St Raphael -Catholic – TR 2:00 Movie w/Popcorn Theater Room <small>Mother's Day</small>	19 9:00 Strength & Balance-DR 10:00 Shopping Shuttle-V 1:15 Worship at Manor - V 2:00 Community Bingo - DR 3:30 Activities Planning -TR <i>Let your ideas be known</i> <small>Victoria Day (Canada)</small>	20 9:00 Stretch DVD- TR 1:00 Rosary - TR 1:00 Cribbage - SR 2:00 Walk in the Woods –V ½ mile walk to river bridge See sheet for details 6:00 Ice Cream Social-B	21 9:00 Strength & Balance-DR 10:00 Community Bingo – SR 2:00 Western Wednesday-TR <i>Enjoy old-time westerns</i> 6:30 BBC/PBS TV- TR <i>See lobby monitor for details</i>	22 9:00 Meditation & Yoga-TR 9:00 Men's Group - SS 10:00 Stitch & Chat- B 2:00 Happy Hour – DR with Glenn Laspi 3:30 Wii Bowling -TR	23 9:00 Strength & Balance-DR 10:00 Our Planet- TR "Frozen Worlds" 2/8 10:15 Black Bear Casino- V <i>see sign-up sheet for details</i> 3:30 Fun Day Friday – B Dice Game - Farkle	25 2:00 Movie w/Popcorn Theater room <small>Armed Forces Day</small>	
1:15 Communion with Wilson Spence St Raphael -Catholic – TR 2:00 Movie w/Popcorn Theater Room <small>Mother's Day</small>	26 9:00 Strength & Balance-DR 10:00 Shopping Shuttle-V 2:00 Community Bingo - DR 3:30 Bean Bag Toss – B *Memorial Day Parade TBA <small>Memorial Day</small>	27 9:00 Stretch DVD- TR 1:00 Cribbage – SR 1:30 Bead Group at Manor-V and visit with your friends 2:00 Grief Support Group-TR With Edgewood Health 4:00 Breeze Inn for dinner-V	28 9:00 Strength & Balance-DR 10:00 Community Bingo – SR 2:00 Western Wednesday-TR <i>Enjoy old-time westerns</i> 6:30 BBC/PBS TV- TR <i>See lobby monitor for details</i>	29 9:00 Meditation & Yoga-TR 9:00 Men's Group - SS 10:00 Stitch & Chat- B 2:00 Happy Hour – DR with Squeazy Miller 3:30 Wii Bowling -TR	30 9:00 Strength & Balance-DR 10:00 Our Planet- TR "Jungles" 1:00 Veterans Cemetery-V Drive-thru new cemetery 3:30 Fun Day Friday – B Card Game - Skipbo	31 DR- Dining Room SS- Soda Shop TR- Theater Room B- Balcony SR- Sun Room V- Transport via bus or car	

It was evident in observation and interviews with people, staff and the administrator that person-centered practices are at the forefront of service delivery by:

- Respecting and honoring the things each person thinks are important and encouraging informed choice and creativity

- Offering supporting activities that are flexible and work around the person’s preferred schedule (people are not following a “set schedule”)
- Encouraging people to share ideas and make choices about setting activities based on their own personal preferences and interests
- Ensuring people have opportunities and supports they need to be fully included in their community, individually and in groups, as desired
- Assisting people with developing meaningful relationships with other members of the community.

HCBS characteristics

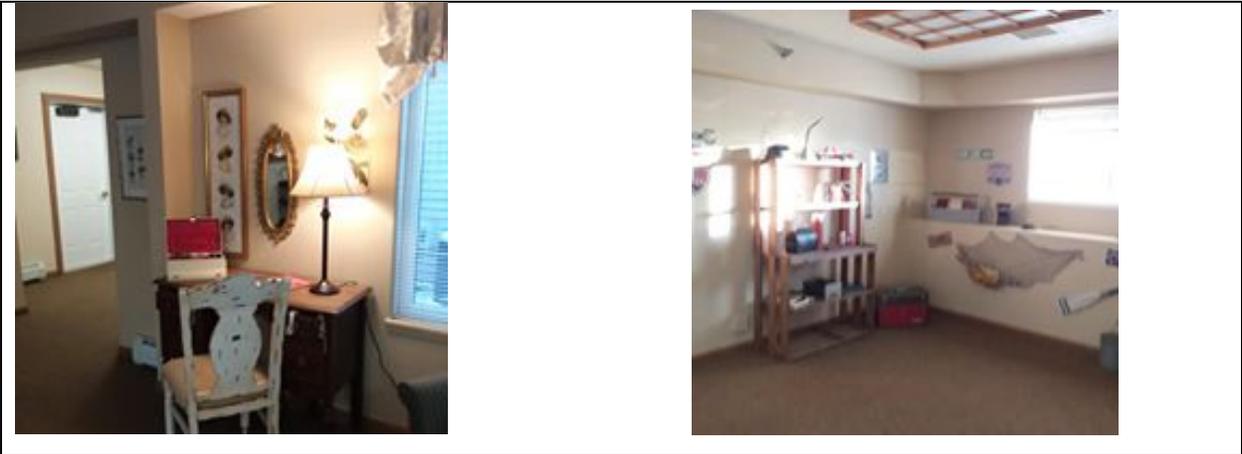
This section is a summary of the individual HCBS characteristics required in the HCBS rule. The findings for each characteristic are identified through the setting attestation documentation, on-site observation or both.

HCBS Rule requirement	Compliance status (please select)
<p>Each person at the setting has a written lease or residency agreement in place providing protections to address eviction processes and appeals.</p> <p><input checked="" type="checkbox"/> Compliant documentation submitted with attestation</p> <p><input type="checkbox"/> Observation made during on-site visit</p>	Compliant
<p>Each person at the setting has privacy in his/her sleeping or living unit including a lockable door.</p> <p><input checked="" type="checkbox"/> Compliant documentation submitted with attestation</p> <p><input checked="" type="checkbox"/> Observation made during on-site visit</p> <p>The Edgewood Hermantown II Independent Living setting is made up of apartment style units, each with a keyed lock on the door.</p>	Compliant
<p>The setting facilitates that a person, <i>who shares a bedroom</i>, is with a roommate of their choice.</p> <p><input checked="" type="checkbox"/> Compliant documentation submitted with attestation</p> <p><input type="checkbox"/> Observation made during on-site visit</p>	Compliant
<p>The setting provides people with the freedom to furnish and decorate their bedroom and living unit within the lease or residency agreement.</p>	Compliant

<input checked="" type="checkbox"/> Compliant documentation submitted with attestation <input type="checkbox"/> Observation made during on-site visit A person interviewed reported being able to furnish and decorate their living space based on their personal preferences.	
The setting provides people the freedom and support to control their daily schedules including access to food at any time. <input checked="" type="checkbox"/> Compliant documentation submitted with attestation <input checked="" type="checkbox"/> Observation made during on-site visit Both staff and people interviewed reported people are able to control their own daily schedules, and food is always available.	Compliant
The setting allows people to have visitors at any time. <input checked="" type="checkbox"/> Compliant documentation submitted with attestation <input type="checkbox"/> Observation made during on-site visit	Compliant
The setting provides opportunities for people to seek employment and work in competitive integrated settings. <input checked="" type="checkbox"/> Compliant documentation submitted with attestation <input type="checkbox"/> Observation made during on-site visit	Compliant
The setting is physically accessible to the individual. <input checked="" type="checkbox"/> Compliant documentation submitted with attestation <input type="checkbox"/> Observation made during on-site visit	Compliant
The setting provides people opportunities to access and engage in community life. <input checked="" type="checkbox"/> Compliant documentation submitted with attestation <input checked="" type="checkbox"/> Observation made during on-site visit Staff report people frequently come and go from the setting, engaging in a range of activities within the community; both those organized through the setting and on their own.	Compliant
The setting supports the person’s control of personal resources. <input checked="" type="checkbox"/> Compliant documentation submitted with attestation <input type="checkbox"/> Observation made during on-site visit	Compliant

<p>The setting ensures people’s right to privacy.</p> <p><input checked="" type="checkbox"/> Compliant documentation submitted with attestation</p> <p><input type="checkbox"/> Observation made during on-site visit</p>	<p>Compliant</p>
<p>The setting ensures people’s dignity and respect.</p> <p><input checked="" type="checkbox"/> Compliant documentation submitted with attestation</p> <p><input type="checkbox"/> Observation made during on-site visit</p>	<p>Compliant</p>
<p>The setting ensures people’s freedom from coercion and restraint.</p> <p><input checked="" type="checkbox"/> Compliant documentation submitted with attestation</p> <p><input type="checkbox"/> Observation made during on-site visit</p>	<p>Compliant</p>
<p>The setting optimizes individual initiative, autonomy and independence in making life choices, including daily schedule and with whom to interact.</p> <p><input checked="" type="checkbox"/> Compliant documentation submitted with attestation</p> <p><input checked="" type="checkbox"/> Observation made during on-site visit</p> <p>People are able to organize their own schedules based on their personal preferences and are able to socialize with whomever they choose.</p>	<p>Compliant</p>

Pictures of the HCBS setting



Public comment summary

The Minnesota Department of Human Services (DHS) did not receive public comments for this setting.

DHS sought public comment from Aug. 5, 2019 to Sept. 4, 2019, before submitting settings to the Centers Medicare & Medicaid Services (CMS) for heightened scrutiny.

We sought public comments using the following methods:

- Evidentiary packages posted online on the [Home and Community Based Services Rule transition plan page](#)
- Evidentiary packages specific to each setting posted in a common area of the setting
- Notice of public comment period via [Aug. 5, 2019, eList announcement](#)
- Notification to lead agencies via regional resource specialists
- Notification to providers via email
- Notification to managed care organizations and Area Agencies on Aging (AA) via email
- Notification to long-term care ombudsman office via email
- Disability Hub MN virtual insight panel.

Minnesota's recommendation

Date of recommendation: 10/1/2019

Minnesota supports that this setting overcomes the institutional presumption and meets the requirements of a home and community-based setting. Provider is required to maintain on-going compliance with all HCBS requirements.