

HCBS Final Rule Evidentiary Package

Edgewood Hermantown I Senior Living



Setting information

Setting name: Edgewood Hermantown I Senior Living	ID number: 30824
Street address: 4195 Westberg Road, Hermantown, MN	Phone: (218) 723-8905
Website, if applicable: Edgewood Hermantown (https://www.edgewoodhealthcare.com/community/edgewood-in-hermantown/)	Date of site visit: 10/5/2018

Waiver service type

Waiver service	Service type:
<input type="checkbox"/> Alternative Care (AC) <input checked="" type="checkbox"/> Elderly Waiver (EW) <input checked="" type="checkbox"/> Brain Injury (BI) <input checked="" type="checkbox"/> Community Access for Disability Inclusion (CADI) <input type="checkbox"/> Community Alternative Care (CAC) <input type="checkbox"/> Developmental Disabilities (DD)	Customized living

Reason for heightened scrutiny

Prong type	Category	Type of setting
Prong 3 Effects of isolating characteristics	Effect of isolating characteristic	Is one of multiple homes located on the same street or adjoining property that shares programming activities

Note: The term people/person (resident for residential settings) refers to people who receive Medicaid HCBS waiver service(s).

General summary

Hermantown is a city in Saint Louis County, which is in northeastern Minnesota. The population was 9,414 at the 2010 census. The setting is located within walking distance of shopping and residential neighborhoods with private homes.

The Edgewood Hermantown I Senior Living Community is an assisted living/customized living setting, collocated with the Edgewood Hermantown I Adult Day program, and the Edgewood Hermantown II Independent Living/Customized Living programs.

The Edgewood Hermantown I assisted living program setting provides services to 150 people, and 60 people are on HCBS waiver programs.

Customized living provider standards/qualifications

Licensure requirements and other state regulations for customized living services clearly distinguish these services/settings from institutional licensure or regulations.

Customized living services are provided in housing with services establishments with the service provider directly licensed as a comprehensive home care provider by the Minnesota Department of Health. Customized living services provide an individualized package of regularly scheduled health-related and supportive services provided to a person who resides in a qualified, registered housing with services establishment.

Customized living service definitions that support the setting requirements

Customized living (CL) and 24-hour CL services include component services designed to meet the person's assessed needs and goals. Individualized CL services may include supervision, home care aide tasks (e.g., assistance with activities of daily living), home health aide task (e.g., delegated nursing tasks), home management tasks, meal preparation and service, socialization, assisting enrollees with arranging meetings and appointments, assisting with money management, assisting participants with scheduling medical and social services, and arranging for or providing transportation. If socialization is provided, it must be part of the service plan, related to established goals and outcomes and not diversional or recreational in nature.

For more details about the component services, including what is covered and distinctions between each see [Customized Living Component Service Definitions, DHS-6790H \(PDF\)](https://edocs.dhs.state.mn.us/lfserver/Public/DHS-6790H-ENG) (<https://edocs.dhs.state.mn.us/lfserver/Public/DHS-6790H-ENG>).

Minnesota's Community Based Services Manual (CBSM) provides the requirements for [customized living services](http://www.dhs.state.mn.us/main/id_001787) (http://www.dhs.state.mn.us/main/id_001787).

Prong 3 settings

Meaningful distinction between collocated settings

States must submit strong evidence that the setting presumed institutional has the characteristics of a HCBS setting and not an institutional setting.

Determination	Summary
<input type="checkbox"/> Met <input type="checkbox"/> Unmet <input type="checkbox"/> Not applicable	<p>Describe the extent to which any shared programming (meals, transportation, social/recreational activities) occurs between different homes/buildings (how often, what type of programming or activities are shared) and how the provider assures interests and preferences are supported at an individual level (people are engaged in planning community activities based on their preferences, variety of transportation options, schedules are varied, reverse integration is not the sole form of “community integration”):</p> <p>Transportation is shared given that the sites share vehicles. If people who live in different buildings are friends they are able to eat together, however there are separate dining facilities within each settings. Programming, including activities is not shared.</p>
<input type="checkbox"/> Met <input type="checkbox"/> Unmet <input type="checkbox"/> Not applicable	<p>Describe the extent to which staff are scheduled to work with people at the other collocated setting (s) on the same shift:</p> <p>Staff work between the Hermantown I and Hermantown II settings when they are short staffed or to cover the other if staff leave unexpectedly. The frequency of this coverage was described as varying, depending on staffing and other factors. All staff that provide back-up are cross-trained on person-centered plans for each person at each setting.</p>
<input type="checkbox"/> Met <input type="checkbox"/> Unmet <input type="checkbox"/> Not applicable	<p>Participants in the setting in question do not have to rely primarily on transportation or other services provided by the other co-located setting (s), to the exclusion of other options; (Describe the proximity to avenues of available public transportation or an explanation of how transportation is provided where public transportation is limited.)</p> <p>Vehicles are shared between the three Edgewood Hermantown Senior Living settings. People also have a range of other transportation options available to them including: public transportation, medical transports, volunteer drivers, and rides with family/friends.</p>

Community engagement opportunities and experiences

The administrator reports that there is a life enrichment staff who get to know people and plan specific activities designed to meet their needs and interests. The staff focus on the care plan, help people sign up for trips, keep an activity sheet for each person to help understand their interests. People are informed of available activities through multiple means including displayed monthly calendars, daily communication with staff, tv screens in the lobbies that display events and times, and memos are put on tables during meals.

With any admission, a "Social History Form" is completed with people and their families. Conversations between staff, residents, and families are ongoing day to day to ensure residents are reminded of activities they may participate in that peak their interests. We also host a resident town hall meeting once per month.

Some community activities people participate in include:

- University for Seniors
- Dining out
- Shopping
- Church
- Walking .

Sunday	Monday	Tuesday	Wednesday	Thursday	Friday	Saturday
May 2019 Edgewood Hermantown Assisted Living Calendar						
			9:00 Absentee voting for special primary election - GR 9:30 Strength & Balance-BD 10:15 Mind Stretcher's- BD 2:00 Happy Hour & music With Greg Tiburzi-MD 3:30 Name That Tune - BD 4:00 Bunko Dice Game-GR	9:30 Strength & Balance - GR 10:00 Pancake Breakfast for Lyons Club - V (Space is limited. Will need to sign up) 10:15 UNO Card Game- GR 1:30 Baking Group Doughnut Muffins- GR 2:00 Grief Support Group w/ Caring Edge- RR 3:00 Book Club - BD 3:00 - Pancakes for Lyons Club (Space is limited. Will need to sign up)	9:30 Strength & Balance -BD 10:15 Rosary - C 10:15 Mind Stretchers-BD 1:30 Scenic Ride: Jay Cooke State park- V (Space is limited. Will need to sign up) 2:30 Colored Paper Bag Decorating Craft Project - GR 4:00 Traveling Tunes Games - BD	9:30 Strength & Balance -GR 10:15 Saturday Morning Adventure - GR 1:30 Bingo - GR 3:00 - Games with Bonnie - BD 6:30 Movie "RV" - starring Robin Williams - BD
	5 9:30 Strength & Balance- GR 10:15 Skip Bo Card Game- GR 1:30 Worship with Mike Birkland- C 2:30 Sundaes on Sunday - MD	6 9:30 Strength & Balance- BD 10:15 Nail Salon - BD 1:30 Meet Your Neighbors- Rooms 421-436 -GR 1:30 Catchphrase- BD 2:30 Short Stories with Karla- BD 4:00 Stitch & Chat- GR 4:00 Wii Bowling - BD 6:00 Bingo-GR	May Day 9:30 Strength & Balance- BD/GR 10:15 Mind Stretcher's- BD 10:15 Five Alive Dice Game - GR 2:00 Town Hall/Pie Social - FR 3:30 Arm Chair Travel Prep - Colorado - Coors Lite Beer Tasting with Peanuts - BD	8 9:00 Walmart outing - V 9:30 Strength & Balance -GR 10:15 UNO Card Game- GR 1:30 Mother's Day Prep- GR 2:00 Baking Group: Easy Banana Split Cake - BD 3:30 "Out of the Mouth of Babies" Discussion - BD 6:00 Suit Up Card Game - GR	9 9:30 Strength & Balance - BD 10:30 Catholic Mass with Father Bissenette-C 2:30 Craft: Mother's Day Tea flowers- GR 4:00 Sampling of the Banana Split Cake and Coffee - BD	10 9:30 Strength & Balance - GR 11:00 - Mother's Day Tea - whole building 3:00 - Bingo - GR 6:30 Movie - "Divine Secrets of the Ya-Ya Sisterhood" - starring Sandra Bullock - BD
Cinco de Mayo	Ramadan					
9:30 Strength & Balance- BD 10:15 Five Second Rule Game- BD 1:30 Worship with Denise O'Hara- C 2:30 Coffee Social - MD	12 9:30 Strength & Balance- GR 10:15 Skip Bo Card Game- GR 1:30 Remembrance Celebration/Social- C/BD 3:00 Cribbage - BD 4:00 Farkle Dice Game- GR	13 9:30 Strength & Balance- BD 10:15 Nail Salon-GR 2:00 New Life Conv. Church Gospel Music- FR 2:30 Wii Bowling - BD 3:30 Short Stories with Karla-BD 4:00 Stitch & Chat- GR 6:00 Bingo - GR	14 9:30 Strength & Balance- BD/GR 10:15 Mind Stretcher's- BD 10:15 Five Alive Dice Game - GR 2:00 Line Dance Ladies (Hot Flash Dancers) - FR 3:30 May Easy Does it Trivia- BD	15 9:00 - Scenic Ride - V 9:30 Strength & Balance - GR 10:15 UNO Card Game- GR 1:30 Chef Class- GR 2:00 Reading Circle - "Chicken Soup for the Soul" series "Saved by an Angel" series - BD 3:30 Bean Bag Toss - BD 6:00 Card Bingo - GR	16 9:30 Strength & Balance - GR 10:15 Rosary - C 10:00 Library trip to West Duluth Library- V (Space is limited. Sign up required) 10:15 Mind Stretchers-BD 2:30 Arm Chair Travel - Colorado - GR 4:00 Meet Your Neighbors Group #301-#310 - BD	17 9:30 Strength & Balance - GR 10:15 Saturday Morning Adventure - GR 1:30 Bingo - GR 3:00 Resident Craft Show - FD 6:30 Movie - "5 Flights Up" - starring Morgan Freeman - BD Armed Forces Day
Mother's Day						
19 9:30 Strength & Balance- BD 10:15 Five Second Rule Game- BD 1:30 Worship with Hermantown Community Church- C 2:15 Polka Music with Brett Borg -MD	20 9:30 Strength & Balance- GR 10:15 Skip Bo Card Game- GR 1:30 Worship-C 2:30 Cribbage - BD 4:00 Farkle Dice Game- GR	21 9:30 Strength & Balance- BD 10:15 Catchphrase-BD 11:00 Lunch Out at the Shack Supper Club- V V (Space is limited. Will need to sign up) 2:00 Bethany Lutheran Church - GR 2:30 Short Stories with Karla-BD 4:00 Stitch & Chat- RR 4:00 - Wii Bowling-BD 6:00 Bingo - GR	22 9:30 Strength & Balance-BD/GR 10:15 Five Alive Dice Game-GR 2:00 Happy Hour & Music With Joseph Kotivanta-MD 3:30 Rack-O Card Game - Limit 8 - BD 3:30 Indian Wedding slide show- GR	23 9:00 Walmart Outing - V 9:30 Strength & Balance - GR 10:15 - Nail Salon - BD 10:15 UNO Card Game- GR 1:30 Baking Group Streusel Topped Muffins- GR 2:00 Random Trivia - BD 3:30 Suit Up Card Game - BD 6:00 Suit Up Card Bingo - GR	24 9:30 Strength & Balance - BD 10:15 Rosary - C 10:15 Black Bear Casino- V (Space is limited. Sign up required) 10:15 Mind Stretchers-BD 2:30 Penny Ante Game- GR 4:00 Mental Fitness Game- BD	25 9:30 Strength & Balance - BD 10:15 Saturday Morning Adventure - GR 1:30 Bingo - BD 6:30 Movie - Disney - "Christopher Robin" starring Ewan McGregor - BD
	Victoria Day (Canada)					
26 9:30 Strength & Balance- BD 10:15 Five Second Rule Game- BD 1:30 Worship with Christian Motorcyclist Association- C 2:30 Music Appreciation June Carter - BD	27 9:30 Strength & Balance- GR 10:15 Skip Bo Card Game- GR 1:30 Bingo -BD 4:00 - Farkle Dice Game - GR	28 9:30 Strength & Balance- BD 10:15 Nail Salon-BD 1:30 Bead Jewelry Group- GR 1:30 Wii Bowling - BD 2:30 Short Stories with Karla-BD 4:00 Stitch & Chat- RR 4:00 - Wii Bowling - BD	29 9:30 Strength & Balance-BD/GR 10:15 Mind Stretcher's- BD 2:00 Happy Hour with Squeazy Miller - FR 3:30 Geography Bingo - Limit 12 - BD 4:00 Bunko Dice Game-GR 6:00 Bingo & Popcom with Rock Hill Community Church- GR	30 9:00 - Scenic Ride - V 9:30 Strength & Balance - GR 10:15 UNO Card Game- GR 1:30 Vision Loss Support Group- GR 2:00 Reading Circle -"Chicken Soup for the Soul" series "Saved by an Angel" series - BD 3:30 Bean Bag Toss - BD 6:00 Card Bingo - GR	31 9:30 Strength & Balance - GR 10:15 Rosary - C 10:15 Mind Stretchers-BD 2:30 Sorting the Kitchen Game - GR 4:00 New Comer Resident Group - BD	BD- Bear Den GR- Great Room FR- Fireside Room FL- Fireside Lobby RR - Rustic Room SR-Sun Room MD- Main Dining Room C- Chapel ML- Main Lobby V-Transport Outside Community
	Memorial Day					

It was evident in observation and interviews with people, staff and the administrator that person-centered practices are at the forefront of service delivery by:

- Respecting and honoring the things each person thinks are important and encouraging informed choice and creativity.
- Supporting activities are flexible and work around the person’s preferred schedule (people are not following a “set schedule”)
- Encouraging people to share ideas and make choices about setting activities based on their own personal preferences and interests
- Ensuring people have opportunities and supports they need to be fully included in their community, individually and in groups, as desired
- Assisting people with developing meaningful relationships with other members of the community.

HCBS characteristics

This section is a summary of the individual HCBS characteristics required in the HCBS rule. The findings for each characteristic are identified through the setting attestation documentation, on-site observation or both.

HCBS Rule requirement	Compliance status (please select)
<p>Each person at the setting has a written lease or residency agreement in place providing protections to address eviction processes and appeals.</p> <p><input checked="" type="checkbox"/> Compliant documentation submitted with attestation</p> <p><input type="checkbox"/> Observation made during on-site visit</p>	Compliant
<p>Each person at the setting has privacy in his/her sleeping or living unit including a lockable door.</p> <p><input checked="" type="checkbox"/> Compliant documentation submitted with attestation</p> <p><input checked="" type="checkbox"/> Observation made during on-site visit</p> <p>All bedrooms and units have lockable doors.</p>	Compliant
<p>The setting facilitates that a person, <i>who shares a bedroom</i>, is with a roommate of their choice.</p> <p><input checked="" type="checkbox"/> Compliant documentation submitted with attestation</p> <p><input type="checkbox"/> Observation made during on-site visit</p>	Compliant

<p>The setting provides people with the freedom to furnish and decorate their bedroom and living unit within the lease or residency agreement.</p> <p><input checked="" type="checkbox"/> Compliant documentation submitted with attestation</p> <p><input checked="" type="checkbox"/> Observation made during on-site visit</p> <p>People were observed to have individualized bedding, furniture, pictures on their walls.</p>	<p>Compliant</p>
<p>The setting provides people the freedom and support to control their daily schedules including access to food at any time.</p> <p><input checked="" type="checkbox"/> Compliant documentation submitted with attestation</p> <p><input checked="" type="checkbox"/> Observation made during on-site visit</p> <p>Administrators reported that people have access to food at all times. Fresh fruit is always available, as well as kitchen staff providing food upon request. Additionally, people can have food in their living units, which have refrigerators.</p>	<p>Compliant</p>
<p>The setting allows people to have visitors at any time.</p> <p><input checked="" type="checkbox"/> Compliant documentation submitted with attestation</p> <p><input type="checkbox"/> Observation made during on-site visit</p> <p>Many visitors were observed coming and going during the site visit. No restrictions were noted on visiting hours, and visitors are allowed in the common areas, as well as in private living quarters. The visitor log showed frequent visitors to the setting.</p>	<p>Compliant</p>
<p>The setting provides opportunities for people to seek employment and work in competitive integrated settings.</p> <p><input checked="" type="checkbox"/> Compliant documentation submitted with attestation</p> <p><input type="checkbox"/> Observation made during on-site visit</p>	<p>Compliant</p>
<p>The setting is physically accessible to the individual.</p> <p><input checked="" type="checkbox"/> Compliant documentation submitted with attestation</p> <p><input type="checkbox"/> Observation made during on-site visit</p>	<p>Compliant</p>

<p>The setting provides people opportunities to access and engage in community life.</p> <p><input checked="" type="checkbox"/> Compliant documentation submitted with attestation</p> <p><input type="checkbox"/> Observation made during on-site visit</p> <p>Many resources about community activities were noted near the front entrance of the setting, and there is a Life Enrichment Specialist, who works to develop activities and opportunities that are of interest to the people residing at the setting.</p>	<p>Compliant</p>
<p>The setting supports the person’s control of personal resources.</p> <p><input checked="" type="checkbox"/> Compliant documentation submitted with attestation</p> <p><input type="checkbox"/> Observation made during on-site visit</p>	<p>Compliant</p>
<p>The setting ensures people’s right to privacy.</p> <p><input checked="" type="checkbox"/> Compliant documentation submitted with attestation</p> <p><input type="checkbox"/> Observation made during on-site visit</p>	<p>Compliant</p>
<p>The setting ensures people’s dignity and respect.</p> <p><input checked="" type="checkbox"/> Compliant documentation submitted with attestation</p> <p><input type="checkbox"/> Observation made during on-site visit</p>	<p>Compliant</p>
<p>The setting ensures people’s freedom from coercion and restraint.</p> <p><input checked="" type="checkbox"/> Compliant documentation submitted with attestation</p> <p><input type="checkbox"/> Observation made during on-site visit</p>	<p>Compliant</p>
<p>The setting optimizes individual initiative, autonomy and independence in making life choices, including daily schedule and with whom to interact.</p> <p><input checked="" type="checkbox"/> Compliant documentation submitted with attestation</p> <p><input checked="" type="checkbox"/> Observation made during on-site visit</p> <p>People were observed coming and going, as well as participating in a variety of activities during the site visit. A person interviewed reported that they can choose for themselves what they want to participate in.</p>	<p>Compliant</p>

Pictures of the HCBS setting



Public comment summary

The Minnesota Department of Human Services (DHS) did not receive public comments for this setting.

DHS sought public comment from Aug. 5, 2019 to Sept. 4, 2019, before submitting settings to the Centers Medicare & Medicaid Services (CMS) for heightened scrutiny.

We sought public comments using the following methods:

- Evidentiary packages posted online on the [Home and Community Based Services Rule transition plan page](#)
- Evidentiary packages specific to each setting posted in a common area of the setting
- Notice of public comment period via [Aug. 5, 2019, eList announcement](#)
- Notification to lead agencies via regional resource specialists
- Notification to providers via email
- Notification to managed care organizations and Area Agencies on Aging (AA) via email
- Notification to long-term care ombudsman office via email
- Disability Hub MN virtual insight panel.

Minnesota's recommendation

Date of recommendation: 10/1/2019

Minnesota supports that this setting overcomes the institutional presumption and meets the requirements of a home and community-based setting. Provider is required to maintain on-going compliance with all HCBS requirements.