

# HCBS Final Rule Evidentiary Package

Centennial House of Apple Valley – 14631 Pennock Ave.



## Setting information

Setting name: Centennial House of Apple Valley	ID number: 662
Street address: 14631 Pennock Avenue, Apple Valley, MN	Phone: 952-542-5680
Website, if applicable: <a href="http://www.ecumencentennialhouse.org">Centennial House of Apple Valley</a> ( <a href="http://www.ecumencentennialhouse.org">http://www.ecumencentennialhouse.org</a> )	Date of site visit: 9/14/2019

## Waiver service type

Waiver service	Service type:
<input type="checkbox"/> Alternative Care (AC) <input checked="" type="checkbox"/> Elderly Waiver (EW) <input type="checkbox"/> Brain Injury (BI) <input checked="" type="checkbox"/> Community Access for Disability Inclusion (CADI) <input type="checkbox"/> Community Alternative Care (CAC) <input type="checkbox"/> Developmental Disabilities (DD)	Customized living

## Reason for heightened scrutiny

Prong type	Category	Type of setting
Prong 3 Effects of isolating characteristics	Effect of isolating characteristic	Is one of multiple homes located on the same street or adjoining property that shares programming activities

Note: The term people/person (resident for residential settings) refers to people who receive Medicaid HCBS waiver service(s).

## General summary

<p>Centennial House of Apple Valley – 14631 Pennock is located in a residential neighborhood within the Apple Valley community, near other private homes and local businesses. The city of Apple Valley is a suburb of the Twin Cities. As of the 2010 census the city’s population was 49,084.</p> <p>The Centennial House of Apple Valley located at 14631 Pennock Ave is a nonprofit senior living community that provides customized living services in three interconnected cottage-style buildings. It is collocated with 14615 Pennock Ave and 14625 Pennock Ave and reportedly viewed as a single setting by staff. 14631 Pennock Ave provides services to 19 people, and 8 are on HCBS waiver programs.</p>
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## Customized living provider standards/qualifications

Licensure requirements and other state regulations for customized living services clearly distinguish these services/settings from institutional licensure or regulations.

Customized living services are provided in housing with services establishments with the service provider directly licensed as a comprehensive home care provider by the Minnesota Department of Health. Customized living services provide an individualized package of regularly scheduled health-related and supportive services provided to a person who resides in a qualified, registered housing with services establishment.

## Customized living service definitions that support the setting requirements

Customized living (CL) and 24-hour CL services include component services designed to meet the person's assessed needs and goals. Individualized CL services may include supervision, home care aide tasks (e.g., assistance with activities of daily living), home health aide task (e.g., delegated nursing tasks), home management tasks, meal preparation and service, socialization, assisting enrollees with arranging meetings and appointments, assisting with money management, assisting participants with scheduling medical and social services, and arranging for or providing transportation. If socialization is provided, it must be part of the service plan, related to established goals and outcomes and not diversional or recreational in nature.

For more details about the component services, including what is covered and distinctions between each see [Customized Living Component Service Definitions, DHS-6790H \(PDF\)](https://edocs.dhs.state.mn.us/lfserver/Public/DHS-6790H-PDF) (<https://edocs.dhs.state.mn.us/lfserver/Public/DHS-6790H-ENG>).

Minnesota's Community Based Services Manual (CBSM) provides the requirements for [customized living services](http://www.dhs.state.mn.us/main/id_001787) ([http://www.dhs.state.mn.us/main/id\\_001787](http://www.dhs.state.mn.us/main/id_001787)).

## Prong 3 settings

### Meaningful distinction between colocated settings

States must submit strong evidence that the setting presumed institutional has the characteristics of a HCBS setting and not an institutional setting.

Determination	Summary
<input checked="" type="checkbox"/> Met <input type="checkbox"/> Unmet <input type="checkbox"/> Not applicable	<p><b>Describe the extent to which any shared programming (meals, transportation, social/recreational activities) occurs between different homes/buildings</b></p> <p>The Centennial 14631 setting is connected to and noted to function largely as a single program with the two other colocated settings. Daily shared programming was reported. All activities are available to residents across all three locations. Each setting creates their own activities calendar with on and off site activities. Some group activities take place on the memory care unit, as the memory care residents have a 1:1 escort when off their unit. Additional group activities occur outside of the memory care area, utilizing the various spaces throughout Centennial House.</p> <p>There are separate dining areas for each location, however all dining areas are available for people to use. All offer the same meal plan, with the exception of the “Rise to Dine” breakfast program which is made to order restaurant style. “Rise to Dine” breakfasts are served in the memory care area of 14625 Pennock. All people receiving services from Centennial House are able to have “Rise to Dine” breakfast. If a person does not live in the memory care area, staff will buzz them in as requested.</p>
<input checked="" type="checkbox"/> Met <input type="checkbox"/> Unmet <input type="checkbox"/> Not applicable	<p><b>Describe the extent to which staff are scheduled to work with people at the other colocated setting (s) on the same shift:</b></p> <p>Staff are cross-trained in the 3 separate setting (14615, 14625 and 14631 houses). They are scheduled in all of the three colocated settings, but are not scheduled in colocated settings during one shift. There is one float staff that floats between the different settings on the same shift.</p>

<input checked="" type="checkbox"/> Met <input type="checkbox"/> Unmet <input type="checkbox"/> Not applicable	<p><b>Participants in the setting in question do not have to rely primarily on transportation or other services provided by the other co-located setting (s), to the exclusion of other options</b></p> <p>Information regarding the transportation options available to people is primarily provided during the admission process. People can also seek out information or assistance with calling for a ride from the activities coordinator or other staff. However, no information was observed to be posted in common areas. People were noted to typically set up their own rides or have assistance from their family. Primary transportation methods utilized by people include: Public taxi/Uber, Metro Mobility, and through family members. Centennial House is noted to have a vehicle which is used for community outings.</p>
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## Community engagement opportunities and experiences

People meet with the Activities Coordinator during the admission process and complete an “honor portrait” where people identify their interests and preferences. The Activities Coordinator plans activities based on people’s expressed preferences and will adjust activities based on level of interests and share resources to people on activities in the area or community happenings.

Staff meet monthly with each person to gather ideas and options for the upcoming month’s calendar of activities and to identify any preferences or changes they would like to see. The developed activities calendar includes both on and off site activities. There is monthly meeting called “Life Enrichment Special Outings Planning Committee” to coordinate the preferences and feedback of people living at Centennial Place.

People are able to choose which activities they prefer and if transportation is needed, staff will assist in arranging. Staff report people receive a monthly newsletter that contains the monthly activities and local happenings. A monthly calendar is shared with each person and posted on a bulletin board in the dining room which has updates posted by the activity coordinator. People reported that they heard about community activities through word of mouth, or announcements made at the end of other activities.

People structure their own day, determining the activities that they prefer to participate in. Many people are noted to frequently access the community on their own; going shopping, to church, day program or adult day services, or out with family and friends. They are able to sign themselves in and out of the setting at any time. The building is noted to typically be locked between 9pm and 6am, but there is a buzzer available to be let back in. The buzzer is linked to cordless phones carried by staff throughout the setting.

People have the opportunity to go out into the community individually and in groups. Some group community activities include:

- Pottery and painting
- A local senior center
- Bowling
- Local library
- Local zoo and conservatory
- Arboretum
- Walking to look at flowers at scenic parks
- Volunteering at local non-profits.

Onsite activities and groups are offered and outside groups are invited into the setting. Onsite activities include Discover magazine group discussions, billiards, card, board and tile games, bingo, book club, a genealogy group, stretch and exercise group, tap dancing, and a stitching club.

## HCBS characteristics

This section is a summary of the individual HCBS characteristics required in the HCBS rule. The findings for each characteristic are identified through the setting attestation documentation, on-site observation or both.

HCBS Rule requirement	Compliance status (please select)
<p><b>Each person at the setting has a written lease or residency agreement in place providing protections to address eviction processes and appeals.</b></p> <p><input checked="" type="checkbox"/> Compliant documentation submitted with attestation</p> <p><input type="checkbox"/> Observation made during on-site visit</p>	Compliant
<p><b>Each person at the setting has privacy in his/her sleeping or living unit including a lockable door.</b></p> <p><input checked="" type="checkbox"/> Compliant documentation submitted with attestation</p> <p><input checked="" type="checkbox"/> Observation made during on-site visit</p> <p>Each person has a lockable bedroom door.</p>	Compliant

<p><b>The setting facilitates that a person, <i>who shares a bedroom</i>, is with a roommate of their choice.</b></p> <p><input checked="" type="checkbox"/> Compliant documentation submitted with attestation</p> <p><input checked="" type="checkbox"/> Observation made during on-site visit</p> <p>Each person has their own individual bedroom.</p>	<p>Compliant</p>
<p><b>The setting provides people with the freedom to furnish and decorate their bedroom and living unit within the lease or residency agreement.</b></p> <p><input checked="" type="checkbox"/> Compliant documentation submitted with attestation</p> <p><input checked="" type="checkbox"/> Observation made during on-site visit</p> <p>All bedrooms observed appeared to look different, with people having their rooms decorated with pictures.</p>	<p>Compliant</p>
<p><b>The setting provides people the freedom and support to control their daily schedules including access to food at any time.</b></p> <p><input checked="" type="checkbox"/> Compliant documentation submitted with attestation</p> <p><input checked="" type="checkbox"/> Observation made during on-site visit</p> <p>People were observed to be coming and going during the onsite visit. People were also observed socializing at different tables, watching TV, listening to music, doing puzzles, sitting and watching ducks, and participating in an exercise group.</p> <p>Snacks are always available in the dining room and people can have food in their rooms or request food from the kitchen when hungry.</p>	<p>Compliant</p>
<p><b>The setting allows people to have visitors at any time.</b></p> <p><input checked="" type="checkbox"/> Compliant documentation submitted with attestation</p> <p><input type="checkbox"/> Observation made during on-site visit</p>	<p>Compliant</p>
<p><b>The setting provides opportunities for people to seek employment and work in competitive integrated settings.</b></p> <p><input checked="" type="checkbox"/> Compliant documentation submitted with attestation</p> <p><input checked="" type="checkbox"/> Observation made during on-site visit</p> <p>All residents were reported to be retired, with no current interest in seeking employment.</p>	<p>Compliant</p>

<p><b>The setting is physically accessible to the individual.</b></p> <p><input checked="" type="checkbox"/> Compliant documentation submitted with attestation</p> <p><input type="checkbox"/> Observation made during on-site visit</p>	Compliant
<p><b>The setting provides people opportunities to access and engage in community life.</b></p> <p><input checked="" type="checkbox"/> Compliant documentation submitted with attestation</p> <p><input checked="" type="checkbox"/> Observation made during on-site visit</p> <p>See community engagement section above for details on activities available.</p>	Compliant
<p><b>The setting supports the person’s control of personal resources.</b></p> <p><input checked="" type="checkbox"/> Compliant documentation submitted with attestation</p> <p><input type="checkbox"/> Observation made during on-site visit</p>	Compliant
<p><b>The setting ensures people’s right to privacy.</b></p> <p><input checked="" type="checkbox"/> Compliant documentation submitted with attestation</p> <p><input type="checkbox"/> Observation made during on-site visit</p>	Compliant
<p><b>The setting ensures people’s dignity and respect.</b></p> <p><input checked="" type="checkbox"/> Compliant documentation submitted with attestation</p> <p><input type="checkbox"/> Observation made during on-site visit</p>	Compliant
<p><b>The setting ensures people’s freedom from coercion and restraint.</b></p> <p><input checked="" type="checkbox"/> Compliant documentation submitted with attestation</p> <p><input type="checkbox"/> Observation made during on-site visit</p>	Compliant
<p><b>The setting optimizes individual initiative, autonomy and independence in making life choices, including daily schedule and with whom to interact.</b></p> <p><input checked="" type="checkbox"/> Compliant documentation submitted with attestation</p> <p><input type="checkbox"/> Observation made during on-site visit</p>	Compliant

# Pictures of the HCBS setting



## Public comment summary

**The Minnesota Department of Human Services (DHS) did not receive public comments for this setting.**

DHS sought public comment from Aug. 5, 2019 to Sept. 4, 2019, before submitting settings to the Centers Medicare & Medicaid Services (CMS) for heightened scrutiny.

We sought public comments using the following methods:

- Evidentiary packages posted online on the [Home and Community Based Services Rule transition plan page](#)
- Evidentiary packages specific to each setting posted in a common area of the setting
- Notice of public comment period via [Aug. 5, 2019, eList announcement](#)
- Notification to lead agencies via regional resource specialists
- Notification to providers via email
- Notification to managed care organizations and Area Agencies on Aging (AA) via email
- Notification to long-term care ombudsman office via email
- Disability Hub MN virtual insight panel.

## Minnesota's recommendation

**Date of recommendation: 10/1/2019**

Minnesota supports that this setting overcomes the institutional presumption and meets the requirements of a home and community-based setting. Provider is required to maintain on-going compliance with all HCBS requirements.