

# HCBS Final Rule Evidentiary Package

## All Seasons Adult Day



### Setting information

Setting name: All Seasons Adult Day	ID # 1033406
Street address: 425 N Badger St, Caledonia, 55921	Phone: (507) 725-3351
Setting website, if applicable: N/A	Date of site visit: 6/6/2018

### Waiver service type

Waiver service	Service type:
<input type="checkbox"/> Alternative Care (AC) <input checked="" type="checkbox"/> Elderly Waiver (EW) <input type="checkbox"/> Brain Injury (BI) <input checked="" type="checkbox"/> Community Access for Disability Inclusion (CADI) <input type="checkbox"/> Community Alternative Care (CAC) <input checked="" type="checkbox"/> Developmental Disabilities (DD)	Adult Day Service

## Reason for heightened scrutiny

Prong type	Category	Type of setting
Prong 1 Located in a Public or Private Institution	Name of Institution	Caledonia Care and Rehabilitation

Note: The term people/person (resident for residential settings) refers to people receiving Medicaid HCBS waiver services.

## General summary

All Season's Adult Day program is located in Caledonia, Minnesota. Caledonia is a rural city in southeastern Minnesota. It is located in Houston County, near La Crosse, Wisconsin and it is included in the La Crosse metropolitan statistical area. In 2010 its population was listed at 2,868.

The All Season's Adult Day program is located in a designated area within the Caledonia Care and Rehabilitation nursing facility. It serves people on the Elderly Waiver, CADI and DD waivers, and at the time of the on-site visit, four people were enrolled in the program, one on each of these waivers and one person paying with private funds

## Adult day provider standards/qualifications

Licensure requirements and other state regulations for adult day services clearly distinguish these services/settings from institutional licensure or regulations.

Adult day services provided in center-based facilities are directly licensed by the Department of Human Services. Adult day services serve functionally impaired adults on a regular basis for periods of fewer than 24 hours during the day in a setting that is not a residence.

# Adult day service definitions that support the setting requirements

Minnesota’s Community Based Services Manual (CBSM) provides the following requirements for Adult day services: [Community Based Services Manual information on Adult Day](http://www.dhs.state.mn.us/main/idcplg?IdcService=GET_DYNAMIC_CONVERSION&RevisionSelectionMethod=LatestReleased&dDocName=id_002205#)

([http://www.dhs.state.mn.us/main/idcplg?IdcService=GET\\_DYNAMIC\\_CONVERSION&RevisionSelectionMethod=LatestReleased&dDocName=id\\_002205#](http://www.dhs.state.mn.us/main/idcplg?IdcService=GET_DYNAMIC_CONVERSION&RevisionSelectionMethod=LatestReleased&dDocName=id_002205#))

Adult day services must:

- Be based on the person’s assessed needs
- Be directed toward the achievement of specific outcomes identified in the person’s support plan
- Offer opportunities to participate in community groups (e.g., senior citizen centers or clubs, generic service organizations, adult education)
- Provide age-appropriate tasks and materials
- Provide community integration opportunities to enhance the person’s social and physical interaction with people without disabilities
- Provide the supports necessary either to maintain or improve the person’s ability to care for him or herself.

## Prong 1 and Prong 2 settings

### Meaningful distinction between the facility and HCBS setting

States must submit strong evidence that the setting presumed institutional has the characteristics of a HCBS setting and not an institutional setting.	
Determination	Summary
<input checked="" type="checkbox"/> Met <input type="checkbox"/> Unmet <input type="checkbox"/> Not applicable	<p><b>Interconnectedness between the facility and the setting in question, including administrative or financial interconnectedness, does not exist or is minimal.</b></p> <p>The adult day has its own manager that is separate from the nursing facility. The adult day program has designated direct care staff, which report to the adult day manager. The adult day program has designated separate space, staff and activities for the day program. The campus has one administrator that oversees the whole campus, including the adult day.</p>

<input checked="" type="checkbox"/> Met <input type="checkbox"/> Unmet <input type="checkbox"/> Not applicable	<p><b>To the extent any facility staff are assigned occasionally or on a limited basis to support or back up the HCBS staff, the facility staff are cross-trained to meet the same qualifications as the HCBS staff;</b> (staff training materials that speak of the need to support individuals' chosen activities), (person centered planning) (the staff is trained specifically for home and community-based support in a manner consistent with the HCB settings regulations.)</p> <p>Staff are scheduled to work in the adult day program, they are strictly working with the day program participants. All staff who work in the adult day are trained on the HCBS requirements.</p>
<input checked="" type="checkbox"/> Met <input type="checkbox"/> Unmet <input type="checkbox"/> Not applicable	<p><b>Participants in the setting in question do not have to rely primarily on transportation or other services provided by the facility setting, to the exclusion of other options;</b> (Describe the proximity to avenues of available public transportation or an explanation of how transportation is provided where public transportation is limited.)</p> <p>The facility owns a van that can be used by both the nursing facility and the adult day program for transportation to community based activities. There is also a public transportation option called Rolling Hills that the adult day program may use.</p>
<input checked="" type="checkbox"/> Met <input type="checkbox"/> Unmet <input type="checkbox"/> Not applicable	<p><b>The setting provides HCBS services in a space that is distinct from the space that institutional services are provided.</b></p> <p>The adult day program has a designated room inside of the nursing facility. It is a dedicated space for the adult day program, and the space is not used for people who are in the nursing facility. People attending the adult day program eat their meals in this room as well.</p> 

## Community engagement opportunities and experiences

The adult day program has access to a van to transport them to activities in the community to keep them engaged and stay a part of the wider community. People are asked when they start the program to identify their interests and plan activities accordingly. They are given a monthly calendar with activities listed. They are also posted on site and reviewed by staff with them each day. They also meet with day program staff two times a week to talk about what they would like to do. Some examples of community activities offered are:

- Shopping
- Going out to eat
- Sight seeing
- Local community events
- Visits to the local library.

Because the program only has a few people each day, the staff are able to cater to their interests. For instance, one person loves to bake, but is not able to do so independently in her home any longer. The adult day program included this as one of her activities so that she is able to do this with staff at this setting.

Onsite activities include gardening, puzzles, music and bingo. Some people also like to sit outside when it's nice and watch the kids playing on the playground across the street.

## HCBS characteristics

This section is a summary of the individual HCBS characteristics required in the HCBS rule. The findings for each characteristic are identified through the setting attestation documentation, on-site observation or both.

HCBS Rule requirement	Compliance status
<p><b>The setting provides opportunities for people to seek employment and work in competitive integrated settings.</b></p> <p><input checked="" type="checkbox"/> Compliant documentation submitted with attestation</p> <p><input checked="" type="checkbox"/> Observation made during on-site visit</p> <p>One person who attends the adult day program also works at a day training and habilitation (DTH) program and the adult day is flexible with their program to accommodate her work schedule. She attends the adult day after her time at the DTH. None of the participants is currently working in the community.</p>	Compliant
<p><b>The setting is physically accessible to the individual.</b></p> <p><input checked="" type="checkbox"/> Compliant documentation submitted with attestation</p> <p><input checked="" type="checkbox"/> Observation made during on-site visit</p> <p>The room was accessible, with plenty of room to navigate. No barriers to accessibility were observed.</p>	Compliant
<p><b>The setting provides people opportunities to access and engage in community life.</b></p> <p><input checked="" type="checkbox"/> Compliant documentation submitted with attestation</p> <p><input type="checkbox"/> Observation made during on-site visit</p> <p>The setting offers opportunities for people to access the community while in the day program. The setting has access to a van and people are offered choices of community experiences such as site seeing, shopping and going out to eat.</p>	Compliant

<p><b>The setting ensures people’s right to privacy.</b></p> <p><input checked="" type="checkbox"/> Compliant documentation submitted with attestation</p> <p><input type="checkbox"/> Observation made during on-site visit</p> <p>Setting submitted the Minnesota Home Care Bill of Rights. They use this document to inform people and train the staff of a person’s right in this area. They also have a policy that states that they will “provide care in a manner which shows respect, dignity, and personal privacy for those within our care.” The restroom for adult day use had a lock for privacy.</p>	Compliant
<p><b>The setting ensures people’s dignity and respect.</b></p> <p><input checked="" type="checkbox"/> Compliant documentation submitted with attestation</p> <p><input checked="" type="checkbox"/> Observation made during on-site visit</p> <p>Setting submitted the Minnesota Home Care Bill of Rights. They use this document to inform people and train the staff of a person’s right in this area. Person interviewed stated that “people here are really nice to me”. In addition, staff interaction with people was friendly and respectful.</p>	Compliant
<p><b>The setting ensures people’s freedom from coercion and restraint.</b></p> <p><input checked="" type="checkbox"/> Compliant documentation submitted with attestation</p> <p><input type="checkbox"/> Observation made during on-site visit</p> <p>Setting submitted the Minnesota Home Care Bill of Rights. They use this document to inform people and train the staff of a person’s right in this area. Staff are also trained on the Vulnerable Adult Act.</p>	Compliant
<p><b>The setting optimizes individual initiative, autonomy, and independence in making life choices, including daily schedule and with whom to interact.</b></p> <p><input checked="" type="checkbox"/> Compliant documentation submitted with attestation</p> <p><input checked="" type="checkbox"/> Observation made during on-site visit</p> <p>One person interviewed said the staff ask him what he wants to do. He said he really enjoys the gardening and exercising. The staff interviewed confirmed that that people can make choices during the day and can choose whether or not to participate in activities.</p>	Compliant

## Pictures of the HCBS setting

*The park across the street*



*Raised bed for plants*