EMPLOYER PREPAREDNESS PLAN REQUIREMENTS CHECKLIST

Non-Critical Sector businesses and employers must develop and implement a COVID-19 Business Preparedness Plan that addresses the following components. Businesses must ensure the Plan is evaluated, monitored, executed, and updated under the supervision of a designated Plan Administrator. Employers must ensure the Plan is posted at all of the business’s workplaces in readily accessible locations that will allow for the Plan to be readily reviewed by all workers, as required.

WORKER PROTECTIONS AND PROTOCOLS FOR ALL WORKPLACES

Ensure sick workers stay home

1. Establish health screening protocols for workers at the start of each shift (e.g., health screening survey, taking temperature). See the Minnesota Department of Health (MDH)’s Visitor and Employee Health Screening Checklist (www.health.state.mn.us/diseases/coronavirus/facilityhlthscreen.pdf). The checklist is also available in Hmong, Somali, and Spanish (www.health.state.mn.us/diseases/coronavirus/businesses.html).

2. Workers with COVID-19 symptoms should be sent home immediately. If they cannot be sent home immediately, isolate in a closed room until they can be sent home. Workers who have been in close contact with a household member with COVID should not be at work until their quarantine period is finished.

3. Establish communication protocols and steps to take when workers have been exposed to COVID-19 in the workplace.

4. Designate an individual to maintain communication with and gather information from workers who may be ill, as to ensure the privacy of workers is maintained.

5. Establish worker sickness reporting protocols.


7. Establish a process to identify contact between infected workers and other workers who may have been exposed. (CDC Interim Guidance for Businesses and Employers Responding to Coronavirus Disease 2019 (COVID-19), May 2020 (www.cdc.gov/coronavirus/2019-ncov/community/guidance-business-response.html).

8. Evaluate and adjust sick leave policies to reflect the need for isolation and incentivize workers who are sick to stay home.

9. Provide accommodations for “high risk” and vulnerable populations. See CDC’s People Who are at Higher Risk for Severe Illness (www.cdc.gov/coronavirus/2019-ncov/need-extra-precautions/people-at-higher-risk.html). Vulnerable workers should be encouraged to self-identify, and employers should avoid making unnecessary medical inquiries. Employers should take particular care to reduce these workers’ risk of exposure.

10. Clearly communicate sick leave policies to all workers.
Social distancing – Workers must be at least six-feet apart

1. Maximize remote-working - Workers who are able to work from home must work from home.
2. Stagger shifts and breaks; Extend work-hours and create additional shifts to reduce number of workers per shift.
3. Evaluate traffic patterns and “choke points” to reduce crowding at entrances, in hallways, elevators, waiting areas, break areas, common areas, etc.
4. Limit collective gatherings of workers to 10 people or less.
5. Limit the number of people in restrooms.
6. Ensure physical distancing in work areas, including at workstations, productions lines, break rooms, etc.
7. Limit worker interaction across floors, buildings, campuses, worksites, etc. unless at least 6-foot distance is maintained.
8. Increase physical space between workers and clients/customers (e.g. drive-thru, partitions).

Worker hygiene and source controls

1. Ensure workers regularly wash their hands. Ensure handwashing and/or hand-sanitizer facilities are readily available and appropriately stocked.
2. Provide recommended protective supplies, such as non-medical cloth face coverings, gloves, disinfectant, guards, shields, etc.
3. Post “hand-washing” and “cover your cough” signs.
4. Require the use of source control face coverings (e.g. cloth face coverings).
5. Doors to multi-stall restrooms should be able to be opened and closed without touching the handles, opening-devices, or powered door-operators with the hand, whenever possible. If the door cannot be opened without touching the handle or door-operator with the hand, the business must ensure a trash-receptacle is placed by the door to ensure a paper towel can be readily disposed of when operating the door. The location and positioning of waste-receptacles should not interfere with Life Safety requirements (e.g. egress, evacuation, emergency equipment) or any reasonable accommodations provided under the Americans with Disabilities Act.
6. Community drinking stations and water-fountains should not be available/used. Touchless water-filling stations may still be provided.
7. Food should not be shared communally.
8. Provide tissues for proper cough/sneeze etiquette and no-touch disposal bins.

Workplace building and ventilation protocols

General Building Conditions: Businesses must assess the status and capacities of the utility-systems within the building (e.g. ventilation, water-supply, sewer, gas), as well as potential issues associated with vermin, molds, and mildew, prior to putting the building into an operational status.

1. Follow established protocols for starting mechanical, electrical, plumbing, life-safety, and other systems after non-use according to the Authorities Having Jurisdiction.
2. Assess the building for indications of pest and vermin infestation, and consult a pest-control professional as appropriate.

Ventilation System Start-up: Businesses must evaluate the operational capacity, and increase, improve, and maintain ventilation provided throughout the building.

1. Increase the outdoor air-percentage to increase dilution of contaminants, and eliminate recirculating, whenever possible, while maintaining indoor air-conditions.
2. For heating-ventilation-air-conditioning systems that recirculate air, businesses need to improve central-air filtration to at least the MERV-13 or the highest compatible with the filter rack (at least MERV-14 preferred), and seal the edges of filters to further limit by-pass around the filters.
3. Replace and upgrade air filters prior to re-occupancy.
4. Run systems on full economizer as outside air-conditions allow.
5. Consult an HVAC professional to ensure proper ventilation is maintained.

Day-To-Day Operations: Once systems are in a safe operational status, businesses should ensure the following practices and protocols are maintained:

1. Continuously maximize fresh-air into the workplace, and eliminate air recirculation.
2. Maintain relative humidity levels of RH 40-60%
3. Keep systems running longer hours (24/7 if possible) to enhance the ability to filter contaminants out of the air.
4. Add a flush cycle to the controls of the HVAC system, and run HVAC systems for 2-hours before and after occupancy.
5. Check and rebalance the HVAC system to provide negative air-pressure whenever possible.
6. Supplement ventilation-system with the use of portable HEPA filter units whenever possible.
7. Minimize air-flow from blowing across people.
8. Consult an HVAC professional or the American Society of Heating, Refrigerating and Air-Conditioning Engineers to ensure proper ventilation is provided, and ventilation-systems are properly maintained. See ASHRAE’s COVID-19 Preparedness Resources (www.ashrae.org/technical-resources/resources).

Workplace cleaning and disinfection protocols
1. Establish a documented sanitation schedule and checklist, identifying surfaces/equipment to be sanitized, the agent to be used, and the frequency at which sanitation occurs.
2. Routinely sanitize all areas, such as offices, restrooms, locker and changing rooms, common areas, including floors, shared electronic equipment, machinery, tools, controls, etc.
3. Frequently clean all high-touch items, such as doorknobs, countertops, barriers, railings, handles, and other surfaces.
4. Electronic devices (e.g. light-switches, circuit-breakers) should not be sanitized with a liquid agent. Consider covering switches/devices with a poly-covering that allows the user to manipulate the device without touching the switch, and change out the poly-covering frequently. Electronic devices must be sanitized only when disconnected from the power-source, and sanitized in accordance with the listing/labeling requirements.
5. Personal equipment, tools and phones should not be shared or, if shared, should be disinfected after each use.

7. Select appropriate and ensure the needed supply of disinfectants – consider effectiveness and safety. The U.S. Environmental Protection Agency’s (EPA) List N has identified a list of products that meet EPA’s criteria for use against SARS-CoV-2. See EPA’s List N: Disinfectants for Use Against SARS-CoV-2 (www.epa.gov/pesticide-registration/list-n-disinfectants-use-against-sars-cov-2).
8. Review product labels and Safety Data Sheets, follow manufacturer specifications, and use required personal protective equipment for the product.

Drop-off, pick-up, and delivery practices and protocols
1. Receive deliveries via a contactless method whenever you can.
2. Businesses must provide for contactless deliveries that promote for delivery at a doorstep, where persons maintain a distance at least 6-feet away while verifying receipt of the delivery between the worker and the delivery person. Whenever possible, businesses should attempt to do everything electronically (e.g. app, phone) to eliminate the need for close contact between workers and delivery personnel.
3. Workers must maintain a distance 6-feet or greater from others during interactions while receiving or exchanging deliveries.
4. Workers must minimize the unnecessary exchanging or sharing of scanners, pens, or other tools with delivery personnel.
Communications and training practices and protocol

1. All workers and members of management must be trained regarding COVID-19 exposure, as well as applicable policies, procedures, practices, and protocols. The training must be provided by and paid for by the business. The training must be provided in a manner and language that each employee can understand, and must be adjusted to reasonably accommodate all limiting factors present. See “OSHA’s Resource for Development and Delivery of Training to Workers” (osha.gov/Publications/osha3824.pdf). See also Minnesota’s “Small Assemblies for Testing and Training” for guidance with facilitating training for employees while addressing COVID-19 implications (dli.mn.gov/sites/default/files/pdf/COVID-19_training_facilities.pdf).

2. Businesses must ensure the COVID-19 Business Preparedness Plan is posted at all of the business’s workplaces in readily accessible locations, and is shared with and reviewed by all workers.

3. Businesses must ensure the necessary or required rules and practices are communicated to workers, and adequately enforce their provisions.

4. Workers must ensure they comply with and follow established rules and practices.

5. Communication to educate customers/clients about the steps being taken for their protection to mitigate the spread of COVID-19 is encouraged. Protective measures should be communicated to clients prior to, and at the start of, the appointment to both educate clients as well as inform them of their role in protecting the workers and other clients.

What clients and customers can do to minimize the transmission

1. Advise clients to conduct a self-check of their body temperature the day of their appointment.

2. Limit the number of persons accompanying the customer/client at the time services are being provided.

3. Post signage and develop messaging that if customers do not feel well or have any symptoms compatible with COVID-19, they should stay home. They should also stay home if they have a household member experiencing symptoms compatible with COVID-19. Refer to CDC’s What to Do If You Are Sick or Caring for Someone Who Is Sick (www.cdc.gov/coronavirus/2019-ncov/if-you-are-sick/index.html).

4. If customers/clients begin to feel unwell while in the facility, they should leave immediately and isolate themselves at home. See CDC’s Interim Guidance for Businesses and Employers Responding to Coronavirus Disease 2019 (COVID-19), May 2020 (www.cdc.gov/coronavirus/2019-ncov/community/guidance-business-response.html).

5. Have customers/clients review a screening survey that checks for COVID-19 symptoms, close contacts with confirmed cases and quarantined cases, and recent out of continent travel. The questions would be the same as those completed by staff. MDH’s Visitor and Employee Health Screening Checklist (www.health.state.mn.us/diseases/coronavirus/facilityhlthscreenc.pdf).

6. Encourage customers/clients to regularly wash and/or sanitize their hands.

7. Limit the equipment, products, or items touched by the customer/client while in facility.

8. Have customers/clients wear a face covering or mask when able to. Cloth face coverings are NOT a substitute for maintaining a physical distance of 6-feet from other people. Refer to CDC guidance on cloth face coverings (www.cdc.gov/coronavirus/2019-ncov/prevent-getting-sick/diy-cloth-face-coverings.html).

9. Make sure each piece of equipment used is wiped down before and after each use by a customer/client, and dispose of the wipe accordingly.

Businesses should add any additional measures that may be specific to their type of business.
**Additional protections for receiving or exchanging payment**

1. Contactless payment should be used whenever possible. Utilize a electronic fund-transfer service or credit-card payment method that allows the client to fully initiate and complete the payment transaction remotely, or while separated from the worker.
2. When contactless payment is not possible, payment must be made in a manner that allows for at least 6-feet of distance between the worker and customer/client.
3. Install barriers at the check-in and payment counter.
4. During check-in and check-out, the configuration at the payment stations, and the space between the worker and the customer/client must allow for physical distancing of at least 6-feet, or a physical barrier must be installed.

Businesses should add any additional measures that may be specific to their type of business.

**Additional protections and protocol for managing occupancy**

1. Occupant capacity should be limited to allow for required 6’ of physical distancing and should not exceed occupant capacity limitations required by guidance for specific industries (see below for Retail Industry).
2. Limit the number of customers/clients allowed within the business at one time.
3. Limit the number of children allowed within the business at one time.
4. Advertise business protocols so that current and potential clients are aware of changes.
5. Advise customer/clients of the added COVID-19 precautions that will be taken prior to arrival at the site. Use websites, social media, pre-appointment phone calls and confirmations, and other outlets to educate clients on the steps being taken for their protection and the protection of workers.
6. Encourage customer/clients of “high risk” to consider postponing from the service. Persons who may be at higher risk for severe illness should consider delaying seeking or providing these services. (See [www.cdc.gov/coronavirus/2019-ncov/need-extra-precautions/people-at-higher-risk.html](http://www.cdc.gov/coronavirus/2019-ncov/need-extra-precautions/people-at-higher-risk.html)).
7. Post signage at the business entrance outlining established protocols.

8. Advise customers/clients to conduct a self-check of their body temperature on the day of their appointment.
9. Email or text a screening survey on the day of the appointment/reservation and/or post screening questions at the establishment.
10. Have customer/clients respond to the screening-survey questions upon arrival and check-in, and verify that they have read the screening-survey and can respond “no” to all questions.
11. Decline to provide services to a customer/client if there is any suspicion that they are sick or symptomatic, and advise them to leave the facility.

Businesses should add any additional measures that may be specific to their type of business.

**Additional protocols to limit face-to-face interaction in settings where face-to-face interaction is part of the service**

2. Require customers/clients to wear face coverings whenever possible. The use of face-coverings with loops around the ears are beneficial for hair-services.
3. Encourage customers/clients to bring their own face coverings, or offer face coverings for use.
4. Establish a protocol for clients who refuse to wear a face covering where wearing a face covering is possible. Businesses should consider not providing services under these circumstances when workers and other customers/clients cannot be protected.
5. If the service being performed requires the removal of the customer/client’s face covering, or the customer/client is unable to wear a face covering, additional measures must be implemented to further protect both the worker and the customer/client.
   - A face covering and face shield must be worn by the worker under these circumstances.
   - If service that involves face-to-face interaction cannot be performed with a face covering and face shield, then services should not be performed.
6. Additional work practices to reduce face-to-face interaction may include:
   ➤ Standing to the side or behind the customer/client as much as possible
   ➤ Incorporating barriers, screens or curtains

7. Evaluate services that involve a face-to-face interaction to determine if they can be done in an alternative way. Services should be declined if adequate protective measures cannot be implemented.

Businesses should add any additional measures that may be specific to their type of business.

Additional protections and protocols for retail customer drop-off, pick-up and delivery

1. Drop-off, pick-up or delivery of goods must be made using means that allow for at least six feet of distance between the worker and customer.
2. Order verification must also be made using means that allow for at least six feet of distance or a complete barrier between the worker and customer.
3. Contactless payment should be used whenever possible. If contactless payment is not possible, payment must be made in a manner that allows for at least six feet of distance between the worker and customer.
4. Customers should pre-order goods or pre-arrange the maintenance or repair of goods or pet grooming services before traveling to the business.
5. Timing of outdoor drop-off, pick-up and delivery should be pre-arranged and arrival at the drop-off, pick-up or delivery location should be communicated through voice, text or email messaging.
6. Customers should be asked to wear cloth face masks.
7. Drop-off and pick-up locations should allow for six feet of social distance between customers and minimize contact with car surfaces.

Additional protections and protocols for retail customer in-store shopping

1. Store occupant capacity must be reduced to allow for required social distancing, not to exceed 50% occupant capacity.
2. Provide for use of changing rooms that allows for disinfection between customers.
3. Merchandise return policies should accommodate cleaning and/or delay of product return to shelves.
4. Posting of instructions for customers at entrances is encouraged and should inform customers: that they should not enter if they are experiencing symptoms; that they are encouraged to wear cloth face-coverings; about the store’s occupancy limits; and that customers are asked to adhere to hygiene and social distancing instructions, signage and markings.

Additional protections and protocols for retail malls

1. Mall occupant capacity must be limited to 50% or less of mall occupancy requirements.
2. Occupancy must be controlled based on vehicle counts, digital tracking and/or monitoring entrances and exits.
3. Traffic flow must be monitored and managed by mall staff inside and outside of the facility.

4. All communal seating, eating areas, play areas, stroller rentals and other areas where people may gather must be closed off.

5. Regular announcements should be made over the property audio system reminding customers of the importance of the Centers for Disease Control and Prevention (CDC’s) stated best practices for hygiene and physical distancing.

6. Assistance should be provided to mall tenants in establishing physical distancing for any customer lines that may be needed outside of tenant store entrances.

7. No large events and activities that result in people congregating may be held.

8. Frequently clean and disinfect common areas and particularly busy locations, such as restrooms.

9. Have hand hygiene products at a minimum at entrances and available next to water stations with instructions for users to perform hand hygiene upon entry and before and after using the station.

10. Provide for physical distancing in restrooms or limit restroom capacity, closing stalls and including marking areas outside of bathroom for customer waiting.

11. In elevators, post instruction that limit occupancy to one occupant or one family group.
Appendix A – Guidance for developing an Employer Preparedness Plan

General
Minnesota Department of Health (MDH): Coronavirus – health.state.mn.us/diseases/coronavirus
State of Minnesota: COVID-19 response – mn.gov/covid19

Businesses
MDH: Health screening checklist – health.state.mn.us/diseases/coronavirus/facilityhlthscreen.pdf
MDH: Materials for businesses and employers – health.state.mn.us/diseases/coronavirus/materials
Minnesota Department of Employment and Economic Development (DEED): COVID-19 information and resources – mn.gov/deed/newscenter/covid
Minnesota Department of Labor and Industry (DLI): Updates related to COVID-19 – dli.mn.gov/updates
Minnesota Retailers Association: Guidelines for Retailers Re-Opening: mnretail.org/news/item/reopen
Federal OSHA – osha.gov
AIHA Back to Work Safely – backtoworksafely.org

Handwashing
MDH: Handwashing video translated into multiple languages – youtube.com/watch?v=LdQuPGVcecg

Respiratory etiquette: Cover your cough or sneeze
CDC: cdc.gov/healthywater/hygiene/etiquette/coughing_sneezing.html
MDH: health.state.mn.us/diseases/coronavirus/prevention.html

Social distancing
MDH: health.state.mn.us/diseases/coronavirus/businesses.html

Housekeeping
Environmental Protection Agency (EPA): epa.gov/pesticide-registration/list-n-disinfectants-use-against-sars-cov-2

Employees exhibiting signs and symptoms of COVID-19
CDC: cdc.gov/coronavirus/2019-ncov/if-you-are-sick/steps-when-sick.html
MDH: health.state.mn.us/diseases/coronavirus/basics.html
MDH: health.state.mn.us/diseases/coronavirus/facilityhlthscreen.pdf
MDH: health.state.mn.us/diseases/coronavirus/returntowork.pdf
State of Minnesota – mn.gov/covid19/for-minnesotans/if-sick/get-tested/index.jsp

Training
Federal OSHA: osha.gov/Publications/OSHA3990.pdf
MDH: health.state.mn.us/diseases/coronavirus/about.pdf

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