Date: January 10, 2020

To: Public Wastewater, Stormwater, and Drinking Water Officials

Subject: Accepting Projects for 2021 Project Priority List and State Revolving Fund Loan (SRF) Intended Use Plans

Submissions are now being accepted to place public wastewater, stormwater, and drinking water infrastructure improvement projects on the 2021 Project Priority Lists (PPL) and Intended Use Plans (IUP) for the Clean Water and Drinking Water State Revolving Funds (SRFs). Projects must be listed on the appropriate PPL as the first step to be eligible for the SRFs or Clean Water Legacy Fund programs. System owners must request that their projects be placed on the appropriate 2021 IUP if they expect to be ready for construction and are seeking SRF financing in state fiscal year 2021 (July 1, 2020 to June 30, 2021).

The two state revolving fund programs are administered by the Minnesota Public Facilities Authority (PFA), together with the Minnesota Pollution Control Agency (MPCA) and Minnesota Department of Health (MDH). The PFA provides low interest loans or grants to local governments for eligible projects based on priorities determined by the MPCA (for wastewater and stormwater projects) and the MDH (for drinking water projects).

Additional information and instructions are attached. Deadlines are listed below for the PPLs and IUPs.

Project Priority List – Clean Water Revolving Fund

➢ Wastewater and stormwater projects
➢ Deadline: March 6, 2020 (on or before) to MPCA
   o PPL application and scoring worksheet
     (Including supporting documentation, as required)
   o Wastewater facilities plans
   o Stormwater project plans
➢ See attachment

Project Priority List – Drinking Water Revolving Fund

➢ Drinking water projects
➢ Deadline: May 1, 2020 to Department of Health
➢ See attachment

Intended Use Plans – Both Programs

➢ Specify which program on submission
➢ Deadline: June 5, 2020 to Public Facilities Authority
➢ See attachment

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Chad Kolstad, MDH
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Becky Sabie, PFA
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mn.gov/deed/pfa
Accepting Submissions from Wastewater or Stormwater Projects for the 2021 Clean Water Project Priority List

Proposals are being accepted to place wastewater or stormwater collection and treatment system projects on the Clean Water Project Priority List (PPL). **Projects must be on the PPL to be eligible to apply for low interest CWRF loans and other state grants and loans from the Minnesota Public Facilities Authority (PFA).** PFA financing is for investments in municipal infrastructure, which result in improvements in water quality. Funding is not available for privately owned infrastructure.

**Please Note:** Projects on the 2020 PPL will automatically be re-listed on the 2021 PPL unless the project has received funding, requested removal or shown no progress in the past five (5) years. If necessary, please submit updated project costs, construction schedule, and PPL scoring parameters. It is to your advantage to keep all scoring information as current as possible.

**Green Project Reserve (GPR):** Projects believed to meet the GPR eligibility requirements as described in the PPL application forms should indicate their potential GPR eligibility in the PPL application and Intended Use Plan (IUP) request for placement.

Municipalities planning to start construction and receive CWRF financing before June 30, 2021, must also submit a request to the PFA to place the project on the 2021 IUP.

**IMPORTANT DEADLINES:**

- Wastewater Facilities Plans or Stormwater Project Plans are due to the MPCA (to ensure priority review) ...........................................................March 6, 2020 or before
- Request for Placement on 2021 PPL due to the MPCA ..................................................March 6, 2020 or before
- Request for Placement on the 2021 IUP due to the PFA ................................................June 5, 2020 or before
- Wastewater Facilities Plans or Stormwater Project Plans preliminary approval by MPCA for 2021 IUP projects ....................................................June 30, 2020 or before

To obtain necessary PPL instructions, application forms and general program information go to:


For more information, to receive materials by postal mail or to ask questions, please contact:

**Bill Dunn, Clean Water Revolving Fund Coordinator**
Minnesota Pollution Control Agency
520 Lafayette Road North
Saint Paul, MN  55155-4194
651-757-2324 or bill.dunn@state.mn.us

Submit all applications to: [PPL.Submittals.PCA@state.mn.us](mailto:PPL.Submittals.PCA@state.mn.us)
Submit facilities plans and stormwater project plans to the MPCA assigned review engineer
Drinking Water Revolving Fund

Accepting Submissions for 2021 Project Priority List

The Minnesota Department of Health is soliciting requests to place new projects on the Drinking Water Revolving Fund (DWRF) Project Priority List (PPL). Projects must be on the list to be eligible for below-market rate DWRF loans to improve public drinking water systems. Typical projects include watermain, wells, water treatment plants and water towers. Funding is not for expansion of water systems to serve future growth or for fire suppression infrastructure.

- Submissions are easy to prepare. Go to https://www.health.state.mn.us/communities/environment/water/dwrf/ppl.html for instructions on what to submit, a general DWRF program overview and a simple example of a submission.

- Submissions are due at the Department of Health by Friday, May 1, 2020.

- Water system owners planning to start construction and receive DWRF financing before June 30, 2021, must also submit a request to the Public Facilities Authority (PFA) to place the project on the 2021 Intended Use Plan (IUP).

- For drinking water systems serving populations greater than 1,000, an up-to-date DNR Water Supply Plan (WSP) must be completed to be eligible for DWRF funding.

- **Note for projects on the current IUP:** The environmental review process has been updated to make sure that the requirements of the National Historic Preservation Act are met. Most projects will not have a significant impact from these revisions but sufficient time should be allowed in the event that a historical structure or archeological site is impacted. To find out more information about the environmental review process or for a step-by-step checklist please go to: https://www.health.state.mn.us/communities/environment/water/dwrf/dwrf.html

- **Questions?** Contact Chad Kolstad at 651-201-3972 or chad.kolstad@state.mn.us
Clean Water Revolving Fund
Drinking Water Revolving Fund

Requests for Placement on the 2021 Intended Use Plans

Loans from the Clean Water Revolving Fund (CWRF) and Drinking Water Revolving Fund (DWRF) programs are made by the Minnesota Public Facilities Authority (PFA), which is responsible for financial management of the Funds. The PFA prepares an annual Intended Use Plan (IUP) for each program that lists the projects eligible to apply for loans. Wastewater and stormwater projects seeking CWRF loans must be listed on the Minnesota Pollution Control Agency’s (MPCA) Project Priority List to be eligible for placement on the IUP, and construction projects must have an approved facility plan. Drinking water projects seeking DWRF loans must be listed on the Minnesota Department of Health’s Project Priority List to be eligible for placement on the IUP.

The PFA is accepting requests through June 5, 2020 for placement on the 2021 IUPs. The 2021 IUPs will list projects that are expected to receive all necessary approvals and be ready to proceed in state fiscal year (FY) 2021 (July 1, 2020 to June 30, 2021).

The PFA will prepare the 2021 IUPs based on the requests received, the project priorities set by the MPCA and Department of Health, and the amount of loan funds available. There is no guarantee that all projects requesting placement on the 2021 IUPs will be placed in the fundable range and allowed to apply for loans.

A written request must be submitted to the PFA by June 5, 2020 to request placement on the 2021 CWRF or DWRF IUP. IUP requests should be sent to the appropriate PFA loan officer. (See map on back.) The request must be signed by a municipal official (mayor, clerk, administrator, etc.) of the municipality that will be issuing a general obligation bond to the PFA as security for the loan.

For each separate project please provide the following information:

- The project name and description as it is (or will be) shown on the Project Priority List.
- The current project cost estimate and information about the status of any other sources of funds being sought or received (including any temporary financing).
- A project schedule showing the following estimated dates:
  - submittal of plans and specifications to the MPCA or Department of Health
  - bid advertising and bid opening dates
  - construction start
  - construction end

The PFA encourages clean water projects that improve water efficiency, energy efficiency, or mitigate stormwater runoff (Green Project Reserve – GPR). If applicable, the IUP request should provide the estimated cost of project components that address these issues as described in information provided by the MPCA.

General questions about the IUP process may be directed to Becky Sabie at 651-259-7470. Specific project financing questions should be directed to the appropriate PFA loan officer.

Minnesota Public Facilities Authority
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<table>
<thead>
<tr>
<th>Region</th>
<th>PFA Loan Officer</th>
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| 1, 2, 3a, 5     | Mary Jane Schultz 651-259-7467  
maryjane.schultz@state.mn.us |
| 3c              | James Fletcher 651-259-7497  
james.fletcher@state.mn.us |
| 4               | Peter Bradshaw 651-259-7689  
peter Bradshaw@state.mn.us |
| 3b, 6E, 6W, 7E, 7W | Kathe Barrett 651-259-7464  
kathe.barrett@state.mn.us |
| 8, 9, 10        | Anita Gallentine 651-259-7466  
anita.gallentine@state.mn.us |
| 11 & General    | Becky Sabie 651-259-7470  
rebecca.sabie@state.mn.us |
| Program Questions |                                                        |

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