

NAIC # \_\_\_\_\_

**INFORMATION SECURITY PROGRAM ANNUAL CERTIFICATION**

(Pursuant to Minnesota Statutes §60A.9851)

\_\_\_\_\_  
(Company Name)

\_\_\_\_\_ hereby certifies that:

(Authorized Officer Name, Title)

- The Company has implemented an Information Security Program that complies with the requirements of Minn. Stat. § 60A.9851
- The Company shall maintain all records, schedules, and data supporting this certificate for a period of five years and shall permit examination by the Commissioner. To the extent the Company has identified areas, systems, or processes that require material improvement, updating, or redesign, the Company shall document the identification and remedial efforts planned and underway to address such areas, systems, or processes. Such documentation shall be available for inspection by the Commissioner.
- The Company is exempt from the requirements of Minn. Stat. § 60A.9851 pursuant to Minn. Stat. § 60A.9856, sub.1. Please indicate which exception the Company meets under Minn. Stat. 60A.9856, sub. 1: \_\_\_\_\_

\_\_\_\_\_  
Signature of Authorized Officer

\_\_\_\_\_  
Print Name and Title

\_\_\_\_\_  
Date

**MUST BE FILED ON OR BEFORE APRIL 15, ANNUALLY**