

**BEFORE THE MINNESOTA  
BOARD OF DENTISTRY**

In the Matter of  
Kelli A. Koty, L.D.A.  
License No. A11871

**STIPULATION AND  
CONSENT ORDER**

**STIPULATION**

Kelli A. Koty, L.D.A. (“Licensee”) and the Minnesota Board of Dentistry’s Licensure/Credential Committee (“Committee”), composed of Board members Candace Mensing, D.D.S. and Nancy Kearn, D.H., agree the above-referenced matter may be resolved without trial of any issue or fact as follows:

**I.**

**JURISDICTION**

1. The Minnesota Board of Dentistry (“Board”) is authorized pursuant to Minn. Stat. ch. 150A, § 214.10, and § 214.103 to license and regulate dental assistants and to take disciplinary action when appropriate.

2. Licensee held a license to practice dental assisting in the State of Minnesota from the Board and is subject to the jurisdiction of the Board with respect to the matters referred to in this Stipulation and Consent Order.

**II.**

**CONFERENCE**

3. On February 17, 2012, Licensee appeared before the Committee, composed of Board members Candace Mensing, D.D.S. and Nancy Kearn, D.H., to discuss allegations made in a Notice of Conference dated February 7, 2012. Geoffrey S. Karls, Assistant Attorney General, represents the Committee in this matter.

4. Although Licensee was informed at the conference that she could be represented by legal counsel, Licensee has knowingly and voluntarily waived that opportunity.

### **III.**

#### **FACTS**

5. The parties agree this Stipulation and Consent Order is based upon the following facts:

a. On December 1, 2011, Licensee's license to practice dental assisting expired resulting in the termination of her right to practice as a dental assistant pursuant to Minnesota Rules 3100.1700, subpart 3.

b. On February 2, 2012, Licensee contacted the Board regarding the status of her license. The Board informed Licensee that her license had been previously terminated due to failure to receive her license renewal payment. During the conference, Licensee stated that she was unaware of the termination, recently found employment, and admitted to performing for about three days expanded duties beyond those allowed under Minnesota Rules 3100.8400, such as taking alginate impressions, bite registrations, retying appliances, placing/removing separators, and checking for loose appliances.

c. Licensee also failed to previously submit her correct mailing address to the Board pursuant to Minnesota Statutes section 150A.09, subdivision. 3.

d. Currently, Licensee is completing the application requirements for reinstatement of her dental assistant license pursuant to Minnesota Rules 3100.1850, subpart 2.

### **IV.**

#### **LAWS**

6. Licensee acknowledges the conduct described in section III. above constitutes a violation of Minn. Stat. §150A.08, subd. 1(6) and Minn. R. 3100.6200 A (personal conduct

which brings discredit to the profession of licensed dental assistants), Minn. Stat. §150A.08, subd. 1(6) and Minn. R. 3100.6200 F (performing services not authorized by the dentist, the act, or these rules), Minn. Stat. § 150A.09, subd. 3 (failure to maintain current mailing address), and justifies the disciplinary action described in section V. below.

V.

**DISCIPLINARY ACTION**

7. The parties agree the Board may take the following disciplinary action and require compliance with the following terms, conditions, and requirements:

a. The Board shall reinstate Licensee's license to practice dental assisting in the State of Minnesota at such time as Licensee has completed all applicable requirements outlined within Minnesota Rules 3100.1850.

**CONDITIONS**

Licensee's license shall be subject to the following conditions:

b. Written Report - Dental Assistant. Within 60 days from the effective date of this Order, Licensee shall submit to the Committee a written report which discusses the meaning and implications of: (1) Minnesota Rules 3100.1700, subp. 2, which describes license renewal applications; (2) Minnesota Rules 3100.6200F, which describes conduct unbecoming a licensee; (3) Minnesota Rules 3100.8400, subp. 1, which lists procedures an assistant is authorized to perform; (4) Minnesota Rules 3100.8400, subp. 3, which prohibits an assistant from performing any procedures other than those listed in subpart 1; and (5) Minnesota Rules 3100.8500, subp. 1 to 1b, which lists the procedures a licensed dental assistant is authorized to perform and the levels of supervision. In addition, Licensee's report shall address how the rules cited above apply to her conduct. Licensee's report shall be typewritten in her own words,

double-spaced, and at least two pages in length but no more than three pages. Licensee's report shall be subject to approval by the Committee.

c. Jurisprudence Examination. Within 90 days from the effective date of this Order, Licensee shall take and pass the Minnesota jurisprudence examination. Licensee may take the jurisprudence examination within the 90-day period as many times as necessary to pass the examination, however, Licensee may take the examination only once each day. At the end of the examination session, Licensee will receive a printed score report from Prometric. Licensee must submit to the Board either an original printed score report or a notarized copy of the score report as proof of having passed the jurisprudence examination. Licensee is responsible for locating a testing center, registering for the jurisprudence examination, and paying for the examination fee through the Board's contracted testing agency, Prometric Incorporated. [A link for Prometric can be found on the Board's website under Licensing.]

**VI.**

**CONSEQUENCES FOR NONCOMPLIANCE OR ADDITIONAL VIOLATIONS**

8. If Licensee fails to comply with or violates this Stipulation and Consent Order or it is determined Licensee has further violated Minnesota Statutes chapter 150A or Minnesota Rules chapter 3100, the Committee may, in its discretion, seek additional discipline either by initiating a contested case proceeding pursuant to Minnesota Statutes chapter 14 or by bringing the matter directly to the Board pursuant to the following procedure:

a. The Committee shall schedule a hearing before the Board. At least ten days prior to the hearing, the Committee shall mail Licensee a notice of the violation(s) alleged by the Committee. In addition, the notice shall designate the time and place of the hearing. Within seven days after the notice is mailed, Licensee shall submit a written response to the

allegations. If Licensee does not submit a timely response to the Board, the allegations may be deemed admitted.

b. The Committee, in its discretion, may schedule a conference with the Licensee prior to the hearing before the Board to discuss the allegations and to attempt to resolve the allegations through the procedures of Minnesota Statutes Section 214.103, subdivision 6.

c. Prior to the hearing before the Board, the Committee and Licensee may submit affidavits and written argument in support of their positions. At the hearing, the Committee and Licensee may present oral argument. Argument shall not refer to matters outside the record. The evidentiary record shall be limited to the affidavits submitted prior to the hearing and this Stipulation and Consent Order. The Committee shall have the burden of proving by a preponderance of the evidence that a violation has occurred. If Licensee has failed to submit a timely response to the allegations, Licensee may not contest the allegations, but may present argument concerning the appropriateness of additional discipline. Licensee waives a hearing before an administrative law judge, discovery, cross-examination of adverse witnesses, and other procedures governing hearings pursuant to Minnesota Statutes chapter 14.

d. Licensee's correction of a violation prior to the conference, hearing or meeting of the Board may be taken into account by the Board but shall not limit the Board's authority to impose discipline for the violation. A decision by the Committee not to seek discipline when it first learns of a violation will not waive the Committee's right to later seek discipline for that violation, either alone or in combination with other violations, at any time while this order is in effect.

e. Following the hearing, the Board will deliberate confidentially. If the allegations are not proved, the Board will dismiss the allegations. If a violation is proved, the

Board may impose additional discipline, including additional conditions or limitations on Licensee's practice, suspension, or revocation of Licensee's license.

f. Nothing herein shall limit the Committee's or the Board's right to temporarily suspend Licensee's license pursuant to Minnesota Statutes Section 150A.08, subdivision 8, based on a violation of this Stipulation and Consent Order or based on conduct of Licensee not specifically referred to herein.

## **VII.**

### **ADDITIONAL INFORMATION**

9. Within ten days of execution of this Stipulation and Consent Order, Licensee shall provide the Board with the names of all states in which Licensee is licensed to practice dental assisting or holds any other professional or occupational license or registration.

10. Licensee waives the contested case hearing and all other procedures before the Board to which Licensee may be entitled under the Minnesota and United States constitutions, statutes, or rules.

11. Licensee waives any claims against the Board, the Minnesota Attorney General, the State of Minnesota, and their agents, employees, and representatives related to the investigation of the conduct herein, or the negotiation or execution of this Stipulation and Consent Order, which may otherwise be available to Licensee.

12. This Stipulation and Consent Order, the files, records, and proceedings associated with this matter shall constitute the entire record and may be reviewed by the Board in its consideration of this matter.

13. Either party may seek enforcement of this Stipulation and Consent Order in any appropriate civil court.

14. Licensee has read, understands, and agrees to this Stipulation and Consent Order and has voluntarily signed the Stipulation and Consent Order. Licensee is aware this Stipulation and Consent Order must be approved by the Board before it goes into effect. The Board may approve the Stipulation and Consent Order as proposed, approve it subject to specified change, or reject it. If the changes are acceptable to Licensee, the Stipulation and Consent Order will take effect and the order as modified will be issued. If the changes are unacceptable to Licensee or the Board rejects the Stipulation and Consent Order, it will be of no effect except as specified in the following paragraph.

15. Licensee agrees that if the Board rejects this Stipulation and Consent Order or a lesser remedy than indicated in this settlement, and this case comes again before the Board, Licensee will assert no claim that the Board was prejudiced by its review and discussion of this Stipulation and Consent Order or of any records relating to it.

16. This Stipulation and Consent Order shall not limit the Board's authority to proceed against Licensee by initiating a contested case hearing or by other appropriate means on the basis of any act, conduct, or admission of Licensee which constitutes grounds for disciplinary action and which is not directly related to the specific facts and circumstances set forth in this document.

## **VIII.**

### **DATA PRACTICES NOTICES**

17. This Stipulation and Consent Order constitutes disciplinary action by the Board and is classified as public data pursuant to Minnesota Statutes section 13.41, subdivision 5. Data regarding this action will be provided to data banks as required by Federal law or consistent with Board policy. While this Stipulation and Consent Order is in effect, information obtained by the Board pursuant to this Order is considered active investigative data on a licensed health

professional, and as such, is classified as confidential data pursuant to Minnesota Statutes section 13.41, subdivision 4.

18. This Stipulation contains the entire agreement between the parties, there being no other agreement of any kind, verbal or otherwise, which varies this Stipulation.

LICENSEE

  
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KELLI A. KOTY, L.D.A.

Dated: March 19<sup>th</sup>, 2012

LICENSURE/CREDENTIAL COMMITTEE

By:   
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MARSHALL SHRAGG, MPH  
Executive Director

Dated: MARCH 19<sup>th</sup>, 2012

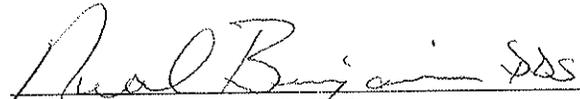
**ORDER**

Upon consideration of the foregoing Stipulation and based upon all the files, records, and proceedings herein,

The terms of the Stipulation are approved and adopted, and the recommended disciplinary action set forth in the Stipulation is hereby issued as an Order of this Board effective this 23<sup>rd</sup> day of March, 2012.

MINNESOTA BOARD  
OF DENTISTRY

By:

  
NEAL BENJAMIN, D.D.S.  
President