MINNESOTA BOARD OF PHARMACY

Protecting the Public Since 1885 | pharmacy.board@state.mn.us

335 Randolph Ave, Suite 230 | St. Paul, MN 55102

Wholesaler New Application Form

For Resident and Non-Resident Wholesalers

Instructions: The Minnesota Board of Pharmacy requires you to complete this application in its entirety. A license will be issued when the application and supporting documents are approved and processed.

Non-Resident Wholesalers must submit this form ONLY AFTER the home state regulatory agency has issued a license and an inspection has been conducted at the location.

Application fee is $5,500. Licenses are mailed to the facility's physical address. The completed application, supporting documentation, and correct payment must be received by the Board before the application can be processed. All fees are non-refundable. State of Minnesota Tax ID: 4405717, Federal Tax ID: 41-6007162.

Mail the completed form, documents, and payment to Minnesota Board of Pharmacy, 335 Randolph Ave., Suite 230, St. Paul, MN 55102. Check should be payable to the Minnesota Board of Pharmacy. All payments are non-refundable.

Checklist for all Applicants

All applicants are required to complete and submit the following:

- √ **Application Form.** Complete the entire form and submit with original signatures, fees, and documents. Do not leave blanks. If an item or question is not applicable, indicate N/A.
- √ **Facility Manager or Designated Representative Affidavit.**
- √ **Ownership forms and supporting documents.** Submit the following forms to provide information about the applicant licensee's ownership.
    - **Secretary of State - NON-RESIDENT ONLY.** Submit a current Certificate of Good Standing issued by the home state and, if applicable, by the state of incorporation.
    - **Minnesota Secretary of State - RESIDENT ONLY.** Submit a current Certificate of Good Standing from the Minnesota Secretary of State. For resident pharmacies operating under an Assumed Name, also submit a Certificate of Assumed Name Registration."
    - **Organizational Chart.** Provide an organizational chart that shows the multi-levels of ownership and the percentage owned. Individual shareholders, partners, members or parent entity of applicant licensee must be disclosed in full.
- √ **List of Officers.** The officers must be identified by name, title and percentage owned if applicable.
- √ **If you are self-insured** and reside in the State of Minnesota, attach a copy of the Certificate of Exemption from the Insurance Commissioner.
- √ **Virtual Wholesalers.** Provide a business summary.
- √ **RESIDENTS ONLY -** Blueprint showing all access points.

Non-Resident Drug Wholesalers

Per MN Statutes §151. 47, the Board shall not issue a license unless the facility passes an inspection conducted by an authorized representative of the Board or is accredited by an accreditation program approved by the Board (e.g. Verified-Accredited Wholesale Distributor (VAWD)). You are required to attach a copy of your current license or registration from the state in which your facility is located, and a copy of an inspection from your state that has occurred within the **24 months** immediately preceding receipt of the application by the Board or proof of current accreditation, and any other documents that relate to an inspection or investigation. Reports from inspections prior to 24 months will NOT be accepted. All applicants must submit evidence that any deficiencies noted in any inspection or investigatory report have been corrected, including any documents that you have provided to state agencies in response to inspections or investigations. The Minnesota Board of Pharmacy determines whether or not a facility has passed an inspection conducted by someone other than a representative of the Board.

In addition to the items for all applicants, the following items are also required:

- √ **Current Home State License.** A copy of your current license/registration from the state your facility or a letter from your home state explaining that your state does not require a license.
- √ **Inspection Requirement.** Provide a copy of a full inspection report and any corrective actions on deficiencies or observations made during the inspection, with all related documents from your state, the FDA, or Board approved accreditation program certificate.

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Drug Wholesaler Application for New License

Resident and Non-Resident

FEE: $5,500, fees are not refundable. Each item on this application must be answered fully, truthfully, and accurately by the applicant. Fraud or deception in securing a license is a misdemeanor and cause for revocation or suspension of a license. All items must be completed.

Complete the Information Below for the Applicant

Name of Facility to Appear on License	If DBA, Legal Name	MN Tax ID	Federal Tax ID	Effective Date of Change

Physical Address	City	Mailing Address	Square Footage

State	Zip	Phone	Email Address	City	State	Zip	Phone

Hours of Operation

Monday	Tuesday	Wednesday	Thursday	Friday	Saturday	Sunday	Open 24 Hours
							☐

Categories

Check each category you will sell drugs to.

- ☐ Home Health Agency
- ☐ Dentist
- ☐ Pharmacy
- ☐ Nursing Home
- ☐ Medical Doctor
- ☐ Veterinarian
- ☐ Wholesaler
- ☐ Other _____

Check all categories of drugs proposed for handling.

- ☐ Human Prescription
- ☐ Human Non-prescription (OTC)
- ☐ Veterinary Prescription
- ☐ Veterinary Non-prescription (OTC)
- ☐ Controlled Substances
- ☐ Medical Gases
- ☐ Human/Vet drug products made by Outsourcing Facility

Does the applicant have the required facilities to comply with DEA security regulations? ☐ YES ☐ NO ☐ N/A

Individual Completing Application

Must be authorized to discuss application materials.

Name	Title	Phone	Email

Ownership Contact Information

Person authorized to speak on behalf of the owner.

Name	Title	Phone	Email

Facility Contact Information

Contact Name	Phone	Email

Insurance Coverage for Facilities Residing in Minnesota

Minnesota Statute 176.182 requires the applicant to provide acceptable proof of compliance with the workers' compensation coverage provisions before the Board of Pharmacy shall issue a license.

If your facility is not located in the state of Minnesota, do not complete this section. **If your facility is in Minnesota, please check the appropriate box below.**

- ☐ This facility does not employ anyone and therefore, will not supply workers' compensation coverage documents.
- ☐ This facility is self-insured and has attached a **Certificate of Exemption.**
- ☐ This facility has paid, or compensated employees and has attached a **Certificate of Insurance.**
- ☐ This facility has paid, or compensated employees and is supplying the insurance company information:

Insurance Co. Name	Policy Number	Policy Expiration Date	Address	Phone Number

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Ownership Information

Owner (Legal Name)	☐ LLC ☐ S Corporation ☐ Limited Partnership Publicly Traded ☐ ☐ Corporation ☐ Partnership ☐ Proprietorship				
Address	City	State	Zip	State of Incorporation	Phone Number
Email Address	Number of Shares/Stock Issued				

Read each statement carefully, following the instructions below.

- Answer the questions on the next page with the correct ownership type, i.e., if the facility is an LLC, answer only the questions below the, "On behalf of the corporation…".
- If the statement is true, review and attest to each statement below by marking YES or NO.
- If you answer YES to any of the questions that require additional explanation, provide a detailed explanation on a separate document.

On Behalf of the Corporation, S Corporation, or Limited Liability Company (LLC):

Yes	No	
☐	☐	Has the applicant facility previously applied for a license to operate a facility in Minnesota?
☐	☐	Has the applicant facility applied for a license to operate a facility in any other state?
☐	☐	If yes above, was the application denied by the Board of Pharmacy or appropriate licensing agency? **If the application was denied, attach a separate document with an explanation.**
☐	☐	If a license was granted, was it later suspended, revoked, or placed on probation?
☐	☐	In connection with any violations, did the licensing agency issue any warning or reprimands? **If yes, attach a separate document indicating nature of violation, an explanation of why it happened, and a copy of the written findings/warning(s)/reprimand(s).**

On Behalf of a Partnership or Sole Proprietor, Has the Individual(s)

Yes	No	
☐	☐	Been convicted of a felony in any court? **If yes, provide all related documentation and/or an explanation on a separate sheet.**
☐	☐	Habitually indulged in the illegal use of narcotics, stimulants, or depressant drugs; or habitually indulged in intoxicating liquors in the manner which could cause incompetence in the operation of the facility? **If yes, attach a separate document with an explanation.**
☐	☐	Been convicted of theft of drugs or the unauthorized us, possession, or sale thereof? **If yes, attach a separate document with an explanation.**
☐	☐	Previously applied for a license to operate a facility in Minnesota?
☐	☐	Applied for a license to operate a facility in any other state?
☐	☐	If yes to the above, was the application denied by the Board of Pharmacy or appropriate licensing agency? **If yes, attach a separate document with an explanation.**
☐	☐	If a license was granted, was it later suspended, revoked, or placed on probation?
☐	☐	In connection with any violations, did the licensing agency issue any warning or reprimands? **If yes, attach a separate document indicating nature of violation and an explanation of why it happened.**

The data you supply on this form will be used to assess your qualifications for licensure. You are not legally required to provide this data, but we will not be able to grant the license without it. This data will constitute a public record, when the licensure is granted, and, at that time, copies may be issued to anyone.

Acknowledgment

I have read the above statement and I agree to supply the data on this form with full knowledge of the information provided in that statement. In addition, I, the undersigned, do hereby certify that all the information above is true and correct and that the firm will be operated in compliance with all applicable laws and regulations.

_____ _____

Signature of Owner, Partner, Managing Officer, or Authorized Individual — Date

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Facility Manager or Designated Representative Affidavit

This form is to be completed by the primary designated representative. This designated representative serves as a manager and is responsible for ensuring the facility follows all state statutes and rules applicable to the operations.

Instructions: Complete each section, if a section does not apply, put N/A in the space available. If the space available is insufficient, use a separate sheet and precede each answer with the appropriate title. Do not misstate or omit any material fact(s), each statement is subject to verification. All applicants are advised that this personal history record is an official document and misrepresentation or failure to reveal information requested may be deemed to be enough cause for the refusal or revocation of a license for the facility named in this application.

Complete the information below for the Facility

(name and address of business for which designated representative is requested)

Legal Name of Licensee	Address	City	State	Zip

Email	Minnesota License #	e-Profile #	Phone

Applicant Information

Facility Manager or Designated Representative responsible for operations and compliance of applicant facility

Full Legal Name	Title	Academic Credentials	Date of Birth	Email

Mailing Address	City	State	Zip	Last 4 Digits of Social Security #

The Facility Manager or Designated Representative for the Applicant Facility must personally complete and attest to the following points of fact regarding this facility's operations.

Read each statement carefully, following the instructions below

- If the statement is true, review and attest to each statement below by marking YES.
- If the statement is not true, mark NO **and** provide a detailed explanation on a separate document referencing the statement.

I certify the following:

○ Yes	○ No	I am the Facility Manager or Designated Representative for the Applicant Facility.
○ Yes	○ No	I have never been convicted of, or plead guilty to any felony violation.
○ Yes	○ No	I have no convictions under federal, state, or local law relating to distribution of prescription drugs or controlled substances.
○ Yes	○ No	The Applicant Facility has adequate storage conditions to allow for the safe receipt, storage, handling, and transfer of drugs.
○ Yes	○ No	The Applicant Facility has sufficient policies and procedures in place for the inspection of all incoming and outgoing drug shipments.
○ Yes	○ No	There is a functioning security system that includes an after-hours central alarm or comparable entry detection capability.
○ Yes	○ No	There are security policies and procedures that include provisions for restricted access to the premises, comprehensive employee applicant screening, and safeguards against all forms of employee theft.
○ Yes	○ No	The Applicant Facility maintains records of the handling of drugs, which shall be kept for a minimum of two years and be made available to the board upon request.
○ Yes	○ No	I will ensure that all personnel have sufficient education, training, and experience, in any combination, so that they may perform assigned duties in a manner that maintains the quality, safety, and security of drugs.

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Read each statement carefully, following the instructions below.

- If the statement is true, review and attest to each statement below by marking YES.
- If the statement is not true, mark NO and provide a detailed explanation on a separate document referencing the statement.

I certify the following:

Yes	No	I will ensure that all employees of the Applicant Facility will be evaluated and supervised sufficiently to protect and maintain the quality, safety, and security of drugs.
Yes	No	I will develop and, as necessary, update written policies and procedures that ensure reasonable preparation for, protection against, and handling of any facility security or operation problems, including, but not limited to, those caused by natural disaster or government emergency, inventory inaccuracies or drug shipping and receiving, outdated drug, appropriate handling of returned goods, and drug recalls.
Yes	No	I am regularly on-site and actively involved in and aware of the Applicant Facility's actual daily operations.
Yes	No	I am physically present at the Applicant Facility during normal business hours except when absence is authorized, including but not limited to sick leave and vacation leave.
Yes	No	I will operate in compliance with all state and federal laws and regulations applicable to Applicant Facility.

Acknowledgment

I, the undersigned, do hereby certify that all the information contained in form and the accompanying application and documents is true and correct and that the Applicant Facility will be operated in compliance with all applicable laws and regulations.

FURTHER AFFIANT SAYETH NOT.

_____ _____ _____
Facility Manager or Designated Representative Signature | Print Name | Date

Notary Acknowledgment

State of _____. I certify the following person personally appeared before me on this day, acknowledging that he or she signed the foregoing document _____.
Name of Facility Manager/Designated Representative

Subscribed and sworn to before me on this _____ day of _____, 20____.

_____
Notary Signature

_____
Print Name of Notary

_____
Date Notary Commission Expires

(Seal)

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