



## **Biennial Report**

July 1, 2020 to June 30, 2022

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## EXECUTIVE SUMMARY

### REPORTING REQUIREMENTS

The Minnesota Health Licensing Boards (“HLB”) individual biennial reports for 2020-2022 are submitted in accordance with Minn. Stat. §214.07, subd. 1b, which states as follows:

#### **214.07 REPORTS**

##### **Subd. 1b. Health-related licensing board reports.**

Each health-related licensing board must prepare a report by October 15 of each even-numbered year. The report must be submitted to the administrative services unit serving the boards. The report must contain the following information for the two-year period ending the previous June 30:

- (1) the number and type of credentials issued or renewed;
- (2) the number of complaints received;
- (3) the number and age of complaints open at the end of the period;
- (4) receipts, disbursements, and major fees; and,
- (5) such other information that the interests of health occupation regulation require. The report must also contain information showing historical trends. The reports must use a common format and consistent terminology and data.

The combined Minnesota HLB Biennial Report, 2020-2022, is submitted in accordance with Minn. Stat. §214.07, subd. 2, which states as follows:

#### **214.07 REPORTS.**

##### **Subd. 2. Administrative services report.**

The administrative services unit serving the boards shall prepare a report by December 15 of each even-numbered year. One copy of the administrative services report must be delivered to each of the following: the governor, the commissioner of health, and the chairs of the house of representatives and senate policy and appropriations committees with jurisdiction over health-related licensing boards. The report must be delivered to the Legislative Reference Library as provided by section 3.195. The administrative services report must contain the following information:

- (1) a summary of the information contained in the reports submitted by the health-related licensing boards pursuant to subdivision 1b;
- (2) a description of the health-related licensing boards' cooperative activities during the two-year period ending the previous June 30;
- (3) a description of emerging issues relating to health occupation regulation that affect more than one board or more than one occupation; and
- (4) a copy of each health-related licensing board report submitted to the administrative services unit pursuant to subdivision 1b.

Total cost of report preparation: \$3,321.95

## SUMMARY OF BOARD DATA – MINN. STAT. §214.07, SUBD. 2(1)

### Number of Credentials Issued or Renewed and Total Persons Licensed or Registered

Board	Number of credentials issued or renewed during biennium ending June 30, 2022	Total number of persons licensed or registered as of June 30, 2022
Behavioral Health and Therapy	13,879	7,787
Chiropractic Examiners	10,435	5,115
Dentistry	17,196	17,632
Dietetics/Nutrition	4,712	2,130
Executives for Long Term Services and Supports	4,370	3,424
Marriage and Family Therapy	6,301	3,023
Medical Practice	71,172	38,520
Nursing	170,834	176,216
Occupational Therapy	7,057	6,057
Optometry	2,731	1,210
Pharmacy	52,208	22,557
Physical Therapy	16,427	7,898
Podiatric Medicine	541	588
Psychology	3,700	3,597
Social Work	17,510	17,081
Veterinary Medicine	3,682	3,616
TOTAL	402,755	316,451

**Number of Complaints Received and Closed in Biennium**

Board	Number of complaints received	Number of complaints closed
Behavioral Health and Therapy	378	252
Chiropractic Examiners	152	180
Dentistry	392	415
Dietetics/Nutrition	6	6
Executives for Long Term Services and Supports	248	295
Marriage and Family Therapy	155	91
Medical Practice	1,771	1,684
Nursing	1,892	1,573
Occupational Therapy	16	14
Optometry	35	39
Pharmacy	318	235
Physical Therapy	85	90
Podiatric Medicine	13	14
Psychology	331	303
Social Work	821	767
Veterinary Medicine	202	194
<b>TOTAL</b>	<b>6,815</b>	<b>6,152</b>

**Number of and Age of Complaints Open at the End of the Period**

Board	Number of complaints open less than 1 year	Number of complaints open more than 1 year
Behavioral Health and Therapy	270	119
Chiropractic Examiners	12	1
Dentistry	22	3
Dietetics/Nutrition	0	0
Executives for Long Term Services and Supports	17	0
Marriage and Family Therapy	63	29
Medical Practice	623	241
Nursing	685	320
Occupational Therapy	2	0
Optometry	0	0
Pharmacy	89	36
Physical Therapy	4	0
Podiatric Medicine	2	0
Psychology	27	21
Social Work	123	161
Veterinary Medicine	17	6
<b>TOTAL</b>	<b>1,956</b>	<b>937</b>

### Receipts and Disbursements

Board	Receipts received during biennium ending June 30, 2022	Disbursements made during biennium ending June 30, 2022
Behavioral Health and Therapy	\$3,401,980	\$1,433,997
Chiropractic Examiners	\$1,630,208	1,159,052
Dentistry	\$3,875,461	\$3,219,488
Dietetics/Nutrition	\$435,084	\$324,873
Executives for Long Term Services and Supports	\$737,316	\$476,319
Marriage and Family Therapy	\$833,079	\$883,910
Medical Practice	\$13,669,779	\$10,640,999
Nursing	\$17,203,522	\$9,039,989
Occupational Therapy	\$1,131,430	\$887,427
Optometry	\$498,660	\$411,696
Pharmacy	\$7,282,203	\$6,968,858
Physical Therapy	\$1,570,643	\$913,202
Podiatric Medicine	\$418,674	\$245,380
Psychology	\$2,248,466	\$2,339,780
Social Work	\$4,215,263	\$3,571,765
Veterinary Medicine	\$826,996	\$741,432
<b>TOTAL</b>	<b>\$59,978,764</b>	<b>\$43,258,167</b>

## **COOPERATIVE ACTIVITIES – MINN. STAT. §214.07, SUBD. 2(2)**

### **Council of Health Boards**

As required by statute since 2001, the Health Licensing Boards (“HLBs”) established the Council of Health Boards consisting of representatives of all the HLBs. See Minn. Stat. §214.025 (2022). When the council reviews legislation or legislative proposals that relate to the regulation of health occupations not licensed by the HLBs, the council includes a representative from the commissioner of health. See *also* Minn. Stat. §214.01 (2022).

### **Executive Directors Forum**

The executive directors of each HLB meet monthly in an Executive Director Forum (“Forum”) to collaborate on issues common to the boards, to share best practices, and to address issues of shared concern, including policy development, legislation, and technological improvements. The Forum has established committees, including the policy committee, the management committee, and the information technology working group, to study issues and provide recommendations to the Forum as a whole. The goals of the Forum, in addition to collaboration, are to ensure fiscal efficiency, to eliminate duplication when possible, and to promote cooperation among the HLBs.

### **Health Professionals Services Program**

In 1994, the Minnesota legislature authorized the establishment of the Health Professional Services Program (“HPSP”), which provides monitoring services for “regulated professionals who are unable to practice with reasonable skill and safety by reason of illness, use of alcohol, drugs, chemicals, or any other materials, or as a result of any mental, physical, or psychological condition.” Minn. Stat. §214.32 (2022). All of the HLBs participate in the HPSP and use it as a monitoring program for regulated persons who meet the HPSP criteria. Each participating Board has a designated representative who serves on the HPSP Program Committee, which oversees administration of the program, sets the program budget, and allocates a pro rata share of the program expenses to each participating board. *Id.* at subd. 1(a). The current administering board for HPSP is the Board of Medical Practice.

### **Administrative Services Unit and SmART**

The Administrative Services Unit (“ASU”) is a statutorily created entity “established for the health-related licensing boards....to perform administrative, financial, and management functions common to all boards in a manner that streamlines services, reduces expenditures, targets the use of state resources, and meets the mission of public protection.” Minn. Stat. §214.107, subd. 1 (2022). ASU acts as an agent of the HLBs collectively and individually and is funded by an allocation of resources from each HLB. *Id.* at subd. 3. ASU provides fiscal oversight to the HLB’s statutorily authorized Criminal Background Check Program and manages the Voluntary Health Care Provider Program, which provides malpractice coverage for health care providers serving in a volunteer capacity at charitable organizations. ASU’s annual budget is determined by the Forum, and the oversight of ASU is assigned on a rotating basis to one of the HLBs.

In 2019, ASU initiated an interagency agreement with the Department of Administration, Small Agency Resource Team (SmART) to provide financial and human resources services to the Boards. Staff was reduced from seven to two. ASU continues to reconcile receipts, maintain fixed assets, manage shared projects, coordinate facility management, and lead the Continuity of Operations Planning for the HLBs.



## EMERGING ISSUES – MINN. STAT. §214.07, SUBD. 2(3)

### COVID-19

COVID-19 was *the* emerging issue for the HLBs in 2020, continued throughout the reporting biennium and will likely remain an ongoing issue into the next. In May 2021, Governor Tim Walz began issuing executive orders that gradually rescinded public health restrictions related to COVID-19 and resumed normal licensure processes for the HLBs. However, State office buildings remained closed to the public until April 22, 2022. While there has been an increased demand for in-person interactions, the health threats from COVID variants continue to warrant caution. Tele-working, virtual meetings, and other workflow processes adopted early in the pandemic are being modified as a hybrid work environment becomes the new norm.

### Post-COVID Workforce

Most of the HLBs continue to have an increase in the number of licensed providers. However, some Boards are experiencing slowing, and sometimes decreasing, licensing activity as providers retire or choose to leave healthcare.

### License Portability

As state and national boundaries diminish and populations become more mobile, there is an increased demand for alternative options to more efficiently support individuals moving into the Minnesota healthcare workforce. Nationally, portability laws and compact development is occurring across a variety of professions.

- The Board of Medical Practice participates in the Interstate Medical Licensure Compact, which currently includes 37 states, the District of Columbia and the Territory of Guam.
- In 2021, legislation was passed allowing the Board of Psychology to join the Psychology Interjurisdictional Compact, currently effective in 33 states.
- In 2022, legislation was passed authorizing the Boards of Medical Practice and Nursing to issue temporary permits to qualified healthcare providers while their application for full licensure is pending.
- Legislation was also introduced, but did not pass, for the Board of Behavioral Health and Therapy to join the interstate Counseling Compact for licensed professional counselors and the Board of Nursing to join the Nursing Licensure Compact.
- The Board of Marriage and Family Therapy is engaged in a comprehensive rule review which will include changes to address licensure portability.
- The Board of Executives for Long Term Services and Supports has statutory authority to license “health services executives” who have been validated by a national board as qualified to serve as both nursing home administrators and assisted living directors.
- The Board of Social Work has statutory authority for a “licensure by endorsement” process for candidates with licenses in good standing in other states.
- Other HLBs, including the Boards of Dentistry, Occupational Therapy, and Physical Therapy have been exploring participating in compacts that would increase license portability across state lines. Participation in these compacts would require legislative authority.

### **New and Potentially New Regulated Persons**

In 2019, the legislature added two new licensure types – Assisted Living Directors and Health Services Executives - under the Board of Executives for Long Term Services and Supports. The Board spent much of this biennium building systems to educate this new group of licensees on rules and regulations of the licensing process and requirements.

Several boards participated in a Council of Health Boards study related to licensure for Behavior Analysts and submitted a report in March 2020 recommending that Behavior Analysts be licensed and regulated by the Board of Psychology. Legislation was introduced in 2021 but was not heard by a committee. Future legislation is anticipated.

The Board of Veterinary Medicine supported legislation to create a new license category for veterinary technicians. The bill was introduced but was not heard by a committee.

Other professions considering a move to licensure within the HLB structure include art therapists, music therapists and lactation specialists.

### **Legislative Initiatives and Challenges**

In 2021, the legislature modified continuing education requirements for mental health practitioners to include education to increase knowledge, understanding, self-awareness, and practice skills to serve clients from diverse backgrounds. Effective July 1, 2023, this impacts licensees under the Boards of Marriage and Family Therapy, Behavioral Health and Therapy, Psychology and Social Work. Similar legislation was introduced, but did not pass, affecting licensees under the Boards of Medical Practice, Nursing, Dentistry, Optometry, and Podiatric Medicine.

In response to the COVID-19 pandemic, the 2021 legislature passed a temporary provision allowing Dentists to administer coronavirus vaccinations to eligible patients 16 years of age and older. The provision expired on June 1, 2022.

In 2021, the legislature passed a law that allows certified emergency medical personnel to provide transport and emergency medical care to police dogs without a veterinary medicine license. The law increases access to emergency care for police dogs injured in the line of duty, especially in rural areas.

In 2021, legislation was passed allowing the Board of Psychology to join the Psychology Interjurisdictional Compact, currently effective in 33 states.

Similar legislation was introduced, but did not pass, in 2022 for the Board of Behavioral Health and Therapy to join the interstate Counseling Compact for licensed professional counselors and the Board of Nursing to join the Nursing Licensure Compact.

In 2022, the legislature modified licensing supervision requirements for marriage and family therapists, licensed professional clinical counselors, and social workers. Pertinent statutes were revised allowing supervision through real-time, two-way interactive audio and visual communication.

In 2023, multiple Boards will be seeking increases in base appropriations to cover all operating expenses. Operational costs have increased steadily with significant increases in information technology including cybersecurity, and workforce costs. The Boards are self-funded through revenue collected and do not receive general fund appropriations.

### **Controlled Substance Oversight**

The oversight role of the Board of Pharmacy continues to expand as pharmacies automate more of the pharmacy dispensing processes and expand their services to include immunizations and medication therapy management. The Board of Pharmacy has also played a significant role in addressing the epidemic of prescription drug abuse. The Board of Pharmacy participates in the State Opioid Oversight Project and administers the Prescription Monitoring Program. The abuse of prescription drugs continues to be a high priority for all HLBs who regulate practitioners with prescribing authority.

During the 2022 Session, legislation was passed legalizing tetrahydrocannabinol (THC) edible gummies as outlined in MN Stat. 151.72. The Board was not provided additional funding or resources to support the new legislation. Due to vagueness in the language, the Board is also extremely limited in terms of its enforcement authority given that these businesses (manufacturers, distributors, and retailers) are not licensed by the Board. At its business meeting on March 17, 2022, the Board went on record as supporting the creation of a Cannabis Management Office that would oversee all cannabis products and remove/repeal this statute from the Pharmacy Practice Act. Board staff is working with the Governor's office and other key stakeholders to develop legislative language to support this.

### **Licensee Shortages**

In 2021, the Minnesota Board of Nursing (MBN) compiled the MBN *Nursing Workforce Data Report* with data collected by various stakeholders, including the Board, the National Council of State Boards of Nursing, the National Nursing Workforce Surveys, the Minnesota Department of Health, and the Office of Rural Health and Primary Care. According to the report, nursing shortages are occurring across the continuum of nursing. Of particular significance is the critical lack of licensed practical nurses (LPNs) to work in long-term care, ambulatory care, and home care. Factors contributing to nursing shortages include the aging of the nursing population, inadequate wages, nurses leaving the profession in the wake of the pandemic, and nursing education programs being forced to turn away qualified applicants due to a nursing faculty shortage, budget constraints, an aging faculty, retirements, and increasing job competition from the healthcare industry.

The COVID-19 pandemic brought into focus the widespread shortage of providers, nurses, respiratory therapists, and acute and long-term care health professionals in Minnesota and across the nation. To meet the health care needs of Minnesotans, Executive Order 20-46, signed April 25, 2020, authorized out-of-state nurses and physicians to render professional aid in Minnesota without securing a license during the peacetime emergency. An accurate accounting of the number of health professionals who practiced in Minnesota during this time has not been possible. On May 27, 2021, EO 20-46 expired and health professionals with out of state licenses were required to obtain Minnesota licenses by July 27, 2021, to continue practice in Minnesota. The two-month timeframe for licensing of the health professionals impacted by the rescission of the executive order stretched the capacities of the HLBs and the Criminal Background Check Program.

### **Preparation for Nursing Workforce Disruption**

In January and February 2022, the Board of Nursing noticed an increase in registered nurse (RN) licensure by endorsement applications. This coincided with reports of dissatisfaction with nurse employment contracts and the potential for a nursing strike as early as May 2022. From January 1, 2022, to June 30, 2022, the Board of Nursing received 10,341 applications for RN licensure by endorsement, which was

significantly higher than the 2,873 RN endorsement applications received during the first six months of 2021.

### **Criminal Background Check Program**

The Criminal Background Check Program facilitates criminal background checks (CBC) with fingerprinting through the Bureau of Criminal Apprehension as a component of the evaluation of HLB licensure applicants. During the early months of the pandemic, the number of CBCs declined; however, in FY 2021 11,513 CBCs were completed. During the first six months of FY 2022 5,986 CBCs were completed mirroring the completion rate of CBCs in FY 2021. The surge of RN endorsement applications from January through June 2022 was accompanied by a surge of an additional 10,281 CBCs completed by the Criminal Background Check Program, resulting in 16,267 CBCs completed in FY 2022.

### **Health Licensing Boards Name Change**

At the end of the 2022 Legislative session, the Boards submitted a technical change request to the Revisors office to modify the overarching name, Health Related Licensing Boards, to Health Professionals Regulatory Boards. The new name better represents the broad scope of the Boards as regulatory entities ensuring protection of the public through not only licensing but regulation of the licensed professionals as well.

## BOARD OF BEHAVIORAL HEALTH AND THERAPY

**The mission of the Board of Behavioral Health and Therapy is to protect the public through effective licensure and enforcement of the statutes and rules governing its licensees to ensure a standard of competent and ethical practice.**

### Report of the Executive Director

**July 1, 2020 – June 30, 2022**

The Board of Behavioral Health and Therapy exists to regulate the practices of alcohol and drug counseling, professional counseling, and professional clinical counseling in the State of Minnesota. Regulation of these professions is necessary to protect the health, safety, and welfare of Minnesota citizens when they receive mental health and substance use disorder counseling services. The Board has 13 members appointed by the Governor: 5 LADCs, 5 LPC/LPCCs, and 3 public members. The full Board convenes 4 times per year and conducts special board meetings when necessary. Several smaller committees of the Board meet regularly throughout the year, including the Board's Complaint Resolution Committees; Application and Licensure Committee; and Diversity, Equity, and Inclusion Committee.

In 2019, the Board's Policy and Rules Committee initiated the rule writing process to update the rules that govern professional counseling practice (Minnesota Rules Chapter 2150). The Board sought to revise its rules to incorporate LPCCs, make technical changes that reflect the Board processes and procedures, and to expand opportunities for coursework and continuing education credit for licensees. Some of the proposed changes include (a) updates to the process for notifying licensees of license termination for nonrenewal, (b) continuing education reporting, (c) continuing education activity approval processes, (d) repealing language that limits online continuing education activities, (e) clarifying supervision rule language related to the even distribution of supervision hours, and (f) redefining in person supervision to include hours completed through real-time, two-way interactive audio and visual communication. The Board anticipates the proposed rules will be adopted by the end of the calendar year.

The Board relied on several Emergency Executive Orders signed by Governor Tim Walz in response to the coronavirus pandemic. On December 14, 2020, Governor Walz signed Executive Order 20-101, authorizing the Board to accept supervised practice hours completed through any of the following means: telephone, audio, audiovisual electronic device, or in-person. Other Executive Orders signed by Governor Tim Walz that were still in effect as of July 1, 2020, included background check fingerprinting deferral for licensure applications, continuing education reporting deferral, and allowing out-of-state mental health providers to render telehealth services in Minnesota. On May 6, 2021, Governor Walz rescinded the Executive Orders listed above and the peacetime emergency ended July 1, 2021.

Legislation that impacted the Board included the following:

- 2021 Legislative Session-Minnesota Statutes section 148B.54, subd. 2 was amended to require that at least 4 of the required 40 continuing education hours for LPCs and LPCCs be in areas that will increase their knowledge, understanding, self-awareness, and practice skills "to serve clients from diverse socioeconomic and diverse backgrounds", (effective July 1, 2023).
- 2022 Legislative Session- Minnesota Statutes section 148B.5301, subd. 2(c) was amended to allow LPCC General Method applicants to complete all supervision in person or "through real-time, two-way interactive audio and visual communication."

Each year, the Board's total number of licensees increases. Between June 30, 2012, and June 30, 2022, the number of regulated persons more than doubled, going from approximately 3,400 licensees to approximately 7,800 licensees. In turn, the number of applications, complaints, and general inquiries to the Board have increased.

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**BOARD MEMBERS SERVING DURING THE PERIOD 7/1/2020—6/30/2022**

Name	Location	Appointment Status	Appointment Date	Reappointment Date (if applicable)	Term Expiration
Bharati Acharya	Minneapolis	LPCC Professional Member	5.6.2020		1.1.2024
Susan Anderson	Virginia	Public Member	7.1.2018		8.17.2020
Jacqueline Bluem	Lakeville	LADC Professional Member	7.1.2018	12.1.2019	1.2.2023
Marlae Cox-Kolek	Mankato	LADC Professional Member	3.16.2009	12.1.2019	1.2.2023
Derrick Crim	Brooklyn Park	LADC Professional Member	4.16.2018	6.22.2022	1.5.2026
Rachel Dame	Minneapolis	Public Member	7.6.2021		1.5.2026
Amy Dols	Minneapolis	LPCC Professional Member	5.6.2020	2.3.2021	1.6.2025
Dean Gilbertson	Mankato	LADC Professional Member	2.3.2021		1.6.2025
Judi Gordon	St. Paul	LADC Professional Member	6.14.2004	4.18.2017	1.4.2021
Corey Harland	New Brighton	Public Member	1.5.2021	6.5.2022	1.5.2026
Jae Hyun Shim	Minneapolis	Public Member	6.29.2016	5.6.2020	1.1.2024
Roy Kammer	Mankato	LADC Professional Member	4.16.2018	5.6.2020	1.1.2024
Rebecca Lund	St. Louis Park	LPCC Professional Member	10.4.2014	12.1.2019	1.2.2023
Landyn Prescott-Miles	Royalton	LPCC Professional Member	6.5.2022		1.5.2026
Kristin Piper	St. Louis Park	LPCC Professional Member	11.21.2003	4.6.2018	1.3.2022
Marjorie (DeDe) Van Slyke	St. Louis Park	LPCC Professional Member	6.30.2011	12.1.2019	1.2.2023
Jesse Winkler	Brooklyn Park	Public Member	1.5.2021		4.20.2021

**Board staff and office location**

Samantha Strehlo	Executive Director
Moni Awoyemi	LADC Program Administrator (State Program Administrator)
Megan Morgan	LPC and LPCC Programs Administrator (State Program Administrator)
Vacant	Office Manager (Office and Administrative Specialist Principal)
Vacant	Complaints and Compliance Director (Investigator)
Kyle Gegen	LADC Communications Coordinator (Office and Administrative Specialist)
Gwyneth Rypkema	LPC/LPCC Communications Coordinator (Office and Administrative Specialist)
Laurie McDowell	Student Worker
Kari Rechtzigel	Executive Director (Retired 7.5.2022)

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## CREDENTIALS ISSUED OR RENEWED – MINN. STAT. § 214.07, SUBD. 1B(1)

Total number of persons licensed or registered as of June 30, 2022: 7,787

### Number and Type of Credentials Issued or Renewed

License Type	Licensed or registered as of June 30, 2022	Licensed or registered as of June 30, 2020	Licensed or registered as of June 30, 2018	Licensed or registered as of June 30, 2016	Licensed or registered as of June 30, 2014	Licensed or registered as of June 30, 2012
Licensed Alcohol and Drug Counselor (LADC)	3915	3595	3484	2990	2647	2335
Temporary Permit (ADC Temp)	285	268	229	184	185	130
Licensed Professional Counselor (LPC)	479	440	511	463	480	437
Licensed Professional Clinical Counselor (LPCC)	3108	2210	1753	1177	755	481
<b>TOTAL OF</b>	<b>7787</b>	<b>6513</b>	<b>5977</b>	<b>4814</b>	<b>4067</b>	<b>3383</b>

### Historical Renewal Data by Biennium

#### July 1, 2020-June 30, 2022

Type of License/Credential	Number of New Licenses	Number of Renewed Licenses	Number and Percent Renewed Online
Licensed Alcohol and Drug Counselor (LADC)	666	4118	3183 (77%)
Temporary Permit (ADC Temp)	279	657	329 (50%)
Licensed Professional Counselor (LPC)	282	1086	752 (70%)
Licensed Professional Clinical Counselor (LPCC)	873	5918	4811 (81%)
<b>TOTAL</b>	<b>2100</b>	<b>11779</b>	<b>9075 (77%)</b>

#### July 1, 2018-June 30, 2020

Type of License/Credential	Number of New Licenses	Number of Renewed Licenses	Number and Percent Renewed Online
Licensed Alcohol and Drug Counselor (LADC)	589	3166	2847 (90%)
Temporary Permit (ADC Temp)	239	327	257 (79%)
Licensed Professional Counselor (LPC)	256	851	765 (90%)
Licensed Professional Clinical Counselor (LPCC)	687	3739	3380 (90%)
<b>TOTAL</b>	<b>1771</b>	<b>8083</b>	<b>7249 (90%)</b>

#### July 1, 2016-June 30, 2018

Type of License/Credential	Number of New Licenses	Number of Renewed Licenses	Number and Percent Renewed Online
Licensed Alcohol and Drug Counselor (LADC)	643	2854	2437 (85.4%)
Temporary Permit (ADC Temp)	237	268	200 (74.6%)
Licensed Professional Counselor (LPC)	302	818	729 (89.1%)
Licensed Professional Clinical Counselor (LPCC)	584	2675	2423 (93.9%)
<b>TOTAL</b>	<b>1766</b>	<b>6615</b>	<b>5789 (87.5%)</b>



**July 1, 2014-June 30, 2016**

Type of License/Credential	Number of New Licenses	Number of Renewed Licenses	Number and Percent Renewed Online
Licensed Alcohol and Drug Counselor (LADC)	644	2460	Not Available*
Temporary Permit (ADC Temp)	198	213	Not Available*
Licensed Professional Counselor (LPC)	349	768	Not Available*
Licensed Professional Clinical Counselor (LPCC)	458	1766	Not Available*
<b>TOTAL</b>	<b>1649</b>	<b>5207</b>	<b>Not Available*</b>

\*Database online renewal report not functioning at the time this biennial report was prepared.

**July 1, 2012-June 30, 2014**

Type of License/Credential	Number of New Licenses	Number of Renewed Licenses	Number and Percent Renewed Online
Licensed Alcohol and Drug Counselor (LADC)	533	2171	Not Available*
Temporary Permit (ADC Temp)	261	177	Not Available*
Licensed Professional Counselor (LPC)	304	770	Not Available*
Licensed Professional Clinical Counselor (LPCC)	302	1074	Not Available*
<b>TOTAL</b>	<b>1400</b>	<b>4192</b>	<b>Not Available*</b>

\*Database online renewal report not functioning at the time this biennial report was prepared.

**July 1, 2010-June 30, 2012**

Type of License/Credential	Number of New Licenses	Number of Renewed Licenses	Number and Percent Renewed Online
Licensed Alcohol and Drug Counselor (LADC)	489	2588	Not Available*
Temporary Permit (ADC Temp)	214	149	Not Available*
Licensed Professional Counselor (LPC)	286	850	Not Available*
Licensed Professional Clinical Counselor (LPCC)	322	527	Not Available*
<b>TOTAL</b>	<b>1311</b>	<b>4114</b>	<b>Not Available*</b>

\*Database online renewal report not functioning at the time this biennial report was prepared.

## COMPLAINT ACTIVITY – MINN. STAT. §214.07, SUBDS. 1B (2), (3)

### Complaints Received and Closed by Biennium

Biennium	Total Number of Complaints Received	Total Number of Complaints Closed
July 1, 2020-June 30, 2022	378	252
July 1, 2018-June 30, 2020	368	304
July 1, 2016-June 30, 2018	359	127
July 1, 2014-June 30, 2016	255	215
July 1, 2012-June 30, 2014	210	248
July 1, 2010-June 30, 2012	191	212

### Number of and age of complaints open at the end of the period

<b>Total Number of Complaints Open as of June 30, 2022</b>	<b>389</b>
Complaints open less than one year as of June 30, 2022	270
Complaints open greater than one year as of June 30, 2022	119

Complaints may be open for more than one year for any number of reasons, including the complexity of the issues to resolve, the necessity for extensive investigation by the Attorney General's Office, and contested case proceedings at the Office of Administrative Hearings.

### **Types of Complaints Received**

Basis for complaints*	Number of complaints alleging this basis received 2020-2022	Number of complaints alleging this basis received 2018-2020	Number of complaints alleging this basis received 2016-2018*
Misrepresentation of Credential	10	8	7
Practice without a License	6	10	17
Practice After License Expired	3	5	5
Mental/Physical Illness	17	6	17
Substance Use	42	56	52
Discipline in Other Jurisdiction	2	6	8
Violated Board Order	1	6	3
Non-compliance with Board Order	0	1	1
Recordkeeping	16	16	32
Fraudulent Billing	19	7	18
Sexual Conduct	68	54	45
Boundaries Issues	53	43	50
Criminal Charges/Conviction	19	21	16
Failure to Report Charges/Convictions	1	1	5
Unprofessional Conduct	153	198	162
Breach of Confidentiality	19	23	36
Fraud in Licensure Process	1	1	1
Disregard for Health, Welfare, and Safety	0	2	20
Competence	21	24	45
Aiding/Abetting Unlicensed Practice	4	1	4
Misrepresentation of Facts on Application	1	0	5
Failure to Make Mandatory Report	2	1	2
Failure to Cooperate with Board Investigation	2	0	1

\*Some complaints allege more than one basis.

## **RECEIPTS, DISBURSEMENTS & MAJOR FEES – MINN. STAT §214.07, 1B(4)**

### **Total Receipts and Disbursements by Biennium**

Biennium	Total Receipts	Total Disbursements
July 1, 2020 – June 30, 2022	\$3,401,979.90	\$1,433,996.51
July 1, 2018 – June 30, 2020	\$2,561,554.29	\$2,149,365.89
July 1, 2016 – June 30, 2018	\$2,408,943.50	\$1,501,061.30
July 1, 2014 – June 30, 2016	\$1,970,875.50	\$1,298,503.20
July 1, 2012 – June 30, 2014	\$1,697,100.40	\$907,365.40
July 1, 2010 – June 30, 2012	\$1,872,597.00	\$802,791.50

**Fees by Type**

<b>LADC Fees</b>	<b>Fee</b>
LADC Application for Licensure Fee	\$295
LADC Biennial Renewal Fee (Active)	\$295
LADC Biennial Renewal Fee (Inactive)	\$150
LADC Biennial Renewal Active Late Fee	\$74
LADC Biennial Renewal Inactive Late Fee	\$37
Temporary Permit Application Fee	\$100
Temporary Permit Annual Renewal Fee	\$150
Temporary Permit Annual Renewal Late Fee	\$37
License Verification Fee	\$25
CE Sponsor Application Fee	\$60
Board Order Copy Fee	\$10
Duplicate Certificate/License Fee	\$25
Supervisor Application Fee	\$30
Criminal Background Check Fee	\$33.25

<b>LPC and LPCC Fees</b>	<b>Fee</b>
LPC and LPCC License Application Fee	\$150
LPC and LPCC Initial License Fee	\$250
Temporary License for Members of the Military	\$250
LPC and LPCC Annual Renewal Fee (Active)	\$250
LPC and LPCC Annual Renewal Fee (Inactive)	\$125
LPC/LPCC Renewal Late Fee	\$100 per month or portion thereof
Board Order Copy Fee	\$10
License Verification Fee	\$25
Duplicate Certificate/License Fee	\$25
CE Sponsor Application Fee	\$60
Supervisor Application Fee	\$30
Professional Firm Renewal Fee	\$25
Initial Registration Fee	\$50
Annual Registration Renewal Fee	\$25
Criminal Background Check Fee	\$32

## BOARD OF CHIROPRACTIC EXAMINERS

**The mission of the Minnesota Board of Chiropractic Examiners (MBCE) is to protect the public through effective licensure and enforcement of the statutes and rules governing the practice of chiropractic to ensure a standard of competent and ethical practice in the profession.**

### **Report of the Executive Director**

**July 1, 2020 – June 30, 2022**

The Minnesota Board of Chiropractic Examiners (MBCE) was established by legislative act on 3-13-1919. Minnesota Statutes sections 148.01-148.108 and Minnesota Rules chapter 2500 give the board authority to regulate, license by examination and renewal, and investigate complaints.

### **Licensing/Credentialing Services**

The purpose of regulation through licensing is to set and enforce standards of competence and ethical practice and to ensure that persons licensed as Doctors of Chiropractic meet educational, examination, and continuing education standards. Staff process applications, verify compliance with statutory requirements, provide assistance and information to a variety of customers, and work in collaboration with other agencies at the state, federal, and national levels.

To meet these functions, the MBCE operates under five key service strategies:

- maintain an integrated database of licensee information, registrations, discipline, and complaints;
- publish information on the web, including licensure information, disciplinary orders, and rulemaking efforts;
- conduct regular board meetings where citizens have input into the review of operations and rulemaking efforts;
- respond to public requests for information on chiropractors, continuing education sponsors, and licensee's status; and,
- manage funds soundly.

The Board accomplishes its core public safety and regulatory mission of doctors of chiropractic by:

- ensuring minimum entry-level competency through educational and examination primary source verification for initial licensure as a Doctor of Chiropractic and administering requirements and processes for renewal of licensure;
- enforcing standards of ethical practice and responding to inquiries, complaints and or reports regarding applicants or licensees;
- investigating complaints of alleged violations of statutes, holding educational and disciplinary conferences, and taking legal action when appropriate against licensees who fail to meet minimum standards of practice or who may otherwise pose a harm to the public as a result of improper or unethical practice;
- approving continuing education providers and auditing licensees' continuing education compliance; and,
- providing information about licensure and standards of practice through professional education outreach efforts, the Board's website, online license verification services, and telephone, mail, or email inquiry.

The Board provides core public safety services through the regulatory oversight of doctors of chiropractic, as noted in the strategies listed above, to ensure both high standards of chiropractic practice and excellent customer service. The Board provides services to the general public, consumers of chiropractic services, applicants and licensees, students and faculty in chiropractic academic programs, other state and local agencies, state and national professional chiropractic associations, and the national testing organization as well as the Federation of Chiropractic Licensing Boards.

**Discipline/Complaint Resolution Services**

Staff, Board Members, and the Office of the Attorney General work collaboratively to review, investigate, and take action, as appropriate, in alleged complaint cases against licensed Doctor of Chiropractic. When a licensee is determined to have engaged in conduct that is a violation of the statutes or rules the Board is empowered to enforce, an appearance before Board Members may occur. Licensees may enter into an agreement for corrective action with the Board's complaint panel or may become subject to disciplinary action, with such final action determined by the full Board. Either of these two remedies is public once completed. In addition, staff provides informational services to a variety of customers regarding the Board's statutory requirements and standards of practice, including how to file a complaint and the complaint resolution process. The Board also works with the Health Professionals Services Program (HPSP), the state's diversion program for regulated professionals who are impaired, as an additional method to ensure public protection.

**Online Services**

The Board maintains a very robust web site that includes: information on Board structure, meetings, policies; licensing information, applications and renewal forms for nearly all licenses/registrations; information on continuing education programs and sponsors; access to specific statutes and rules directly impacting the profession; information on current emerging issues impacting the profession; information on access to the HPSP; and links to many other related sites, and online license application and renewal. The MBCE was the first among the Health-Related Licensing Boards to provide online license renewal capability. The Board renews in excess of 5000 licenses and registrations every fiscal year and is actively striving for 100% online applications and renewals.

**Key Activity Goals & Measures**

The MBCE works to meet the MN milestone of access to government information 24x7x365 through its self-service website. The MBCE works to protect the public from impaired or unethical practitioners by prompt investigation of complaints and resolution of disciplinary matters through educational conferences, corrective action agreements, board orders and/or contested case proceedings as deemed required based on the severity of the infractions reported. The MBCE collaborates with other licensing boards and state agencies to create an efficient state government.

Michelle T. Becker  
Executive Director  
Board of Chiropractic Examiners  
651-201-2850  
Micki.becker@state.mn.us

**GENERAL INFORMATION – MINN. STAT. § 214.07, SUBD. 1B(5)****Board Members Serving During the Period 7/1/2020—6/30/2022**

Name	Location	Appointment Status	Appointment Date	Reappointment Date (if applicable)	Term Expiration Date
Teresa L. Marshall, DC	Mankato	Active/filled	01-11-2002	06-18-2018	02-16-2022
Ridge Pidde, DC	St. Paul	Active/filled	09-13-2015	01-06-2020	01-06-2024 09-02-2022 resigned
LeRoy F. Otto, DC	Lake City	Active/filled	01-15-1995	06-28-2017	01-04-2021
Robert P. Daschner, DC	Waseca	Replaced	04-22-2008	Not reappointed 02-03-2021	01-06-2020 01-66-2025
Nestor Riano, public	Roseville	Active/filled	06-20-2015	07-02-2019	01-07-2023
Derek Doty, DC	Richfield	Active/filled	07-01-2019	NA	01-02-2023
Kimberly Hill, public member	Woodbury	Active/filled	07-01-2019	NA	01-02-2023
Nicole Zaret, DC	Golden Valley	Active/filled	07-01-2020	NA	01-01-2024

**Board staff and office location**

Michelle Becker, Executive Director  
Ronald Arnold, Health Program Representative  
Sheree Meader, Office Administrative Specialist-Sr  
John Burbey, Office Administrative Specialist-I  
Dawn Johnson, Office Administrative Specialist  
Christie Veriede, Office Administrative Specialist-Sr, (temp position from Jan 2020 through Jan 2021)

Minnesota Board of Chiropractic Examiners  
Randolph Square Building  
335 Randolph Avenue, Suite 280  
St. Paul, MN 55102  
Phone: 651-201-2850  
Email: [chiropractic.board@state.mn.us](mailto:chiropractic.board@state.mn.us)  
Website: <http://mn.gov/boards/chiropractic-examiners>

## CREDENTIALS ISSUED OR RENEWED – MINN. STAT. § 214.07, SUBD. 1B(1)

Total number of persons licensed or registered as of June 30, 2022: 5115

### Number and Type of Credentials Issued or Renewed

License Type	Licensed or registered as of June 30, 2022	Licensed or registered as of June 30, 2020	Licensed or registered as of June 30, 2018	Licensed or registered as of June 30, 2016	Licensed or registered as of June 30, 2014	Licensed or registered as of June 30, 2012
Doctor of Chiropractic (DC)	3388	3179	3286	3182	3069	2956
Acupuncture Registration	667	667	658	649	668	666
Animal Chiropractic Registration	55	48	42	39	33	27
Independent Examiner Registration	38	41	45	48	51	58
Professional Firm Registration	940	966	938	936	901	731
Graduate Preceptor Registration	27	24	18	16	15	12
<b>TOTAL</b>	<b>5115</b>	<b>4925</b>	<b>4969</b>	<b>4870</b>	<b>4737</b>	<b>4450</b>

### Historical Renewal Data by Biennium

#### July 1, 2020-June 30, 2022

Type of License/Credential	Number of New Licenses	Number of Renewed Licenses	Number and Percent Renewed Online
Doctor of Chiropractic (DC)	253	6637	6570 (99%)
Acupuncture Registration	43	1331	1331 (100%)
Animal Chiropractic Registration	13	103	97 (94%)
Independent Examiner Registration	2	75	75 (100%)
Professional Firm Registration	69	1885	1810 (96%)
Graduate Preceptor Registration	24	n/a	n/a
<b>TOTAL</b>	<b>404</b>	<b>10,031</b>	<b>9883 (98.5%)</b>

#### July 1, 2018-June 30, 2020

Type of License/Credential	Number of New Licenses	Number of Renewed Licenses	Number and Percent Renewed Online
Doctor of Chiropractic (DC)	229	6496	6308 (97%)
Acupuncture Registration	32	1331	1289 (97%)
Animal Chiropractic Registration	5	87	87 (100%)
Independent Examiner Registration	2	73	68 (93%)
Professional Firm Registration	95	1865	1827 (98%)
Graduate Preceptor Registration	27	N/A	N/A
<b>TOTAL</b>	<b>390</b>	<b>9190</b>	<b>8941 (97%)</b>

**July 1, 2016-June 30, 2018**

Type of License/Credential	Number of New Licenses	Number of Renewed Licenses	Number and Percent Renewed Online
Doctor of Chiropractic (DC)	281	6421	6096 (95%)
Acupuncture Registration	35	1319	1259 (95%)
Animal Chiropractic Registration	7	85	84 (99%)
Independent Examiner Registration	10	80	76 (95%)
Professional Firm Registration	96	1847	1776 (96%)
Graduate Preceptor Registration	37	N/A	N/A
<b>TOTAL</b>	<b>466</b>	<b>9752</b>	<b>9291 (95%)</b>

**July 1, 2014-June 30, 2016**

Type of License/Credential	Number of New Licenses	Number of New Licenses	Number and Percent Renewed Online
Doctor of Chiropractic (DC)	287	6193	5818 (94%)
Acupuncture Registration	38	1315	1243 (95%)
Animal Chiropractic Registration	8	72	70 (97%)
Independent Examiner Registration	11	92	88 (96%)
Professional Firm Registration	143	1814	1712 (94%)
Graduate Preceptor Registration	41	N/A	N/A
<b>TOTAL</b>	<b>528</b>	<b>9486</b>	<b>8931 (94%)</b>

**July 1, 2012-June 30, 2014**

Type of License/Credential	Number of New Licenses	Number of Renewed Licenses	Number and Percent Renewed Online
Doctor of Chiropractic (DC)	259	5887	5254 (89%)
Acupuncture Registration	33	1316	1194 (91%)
Animal Chiropractic Registration	10	52	N/A
Independent Examiner Registration	9	103	90 (87%)
Professional Firm Registration	237	1512	1387 (92%)
Graduate Preceptor Registration	26	N/A	n/A
<b>TOTAL</b>	<b>574</b>	<b>8870</b>	<b>7925 (89%)</b>

**July 1, 2010-June 30, 2012**

Type of License/Credential	Number of New Licenses	Number of Renewed Licenses	Number and Percent Renewed Online
Doctor of Chiropractic (DC)	280	5942	5143 (87%)
Acupuncture Registration	48	1310	1195 (91%)
Animal Chiropractic Registration	4	48	N/A
Independent Examiner Registration	13	109	96 (88%)
Professional Firm Registration	130	1343	1243 (93%)
Graduate Preceptor Registration	24	N/A	N/A
<b>TOTAL</b>	<b>499</b>	<b>8752</b>	<b>7677 (88%)</b>



## COMPLAINT ACTIVITY – MINN. STAT. §214.07, SUBDS. 1B(2), (3)

### Complaints Received and Closed by Biennium

Biennium	Total Number of Complaints Received	Open from Prior Biennium	Total Number of Complaints Closed
July 1, 2020-June 30, 2022	152	41	180
July 1, 2018-June 30, 2020	269	not tracked	237
July 1, 2016-June 30, 2018	248	not tracked	239
July 1, 2014-June 30, 2016	289	not tracked	309
July 1, 2012-June 30, 2014	402	not tracked	362
July 1, 2010-June 30, 2012	310	not tracked	310
July 1, 2008-June 30, 2010	418	not tracked	421

### Number of and age of complaints open at the end of the period

<b>Total Number of Complaints Open as of June 30, 2020</b>	<b>13</b>
Complaints open less than one year as of June 30, 2022	12
Complaints open greater than one year as of June 30, 2022	1

With regard to complaints more than one year old, the MBCE had several cases proceeding to contested case hearing, some of which are still pending at this time. The MBCE has other cases pending that will likely be contested. In several cases, the allegations and number of patients involved required an extensive review of multiple patient files. The Board has also had several cases referred to the Attorney General's Office for investigation, which, due to the complexity of the allegations, took many months to complete and some are still under investigation.

### Types of Complaints Received

Basis for complaints*	Number of complaints alleging this basis 2020-2022	Number of complaints alleging this basis 2018-2020	Number of complaints alleging this basis 2016-2018
Actions by another jurisdiction, including state, court, and federal	5	8	15
Acupuncture violations	0	1	4
Advertising	5	14	23
Chemical dependency	11	13	24
Animal chiro	4	0	3
Application violation/disclosure	51	50	66
Billing	14	29	31
Violation of a Board Order	3	11	14
Criminal conviction (e.g., DUI)	9	2	24
Exploiting patient for financial gain	1	6	12
Fee splitting	0	2	7
Independent Examiner registration	0	2	8
Impairment, mental/physical/chemical	8	11	15
Malpractice	1	1	4
Miscellaneous	9	5	28
Petition, reinstate/terminate action	5	7	14
Unlicensed practice	4	13	15
Professional firm violation; 319B	0	2	7
Recordkeeping, false, inadequate	12	17	33
Unprofessional conduct (UC)	79	76	78

\*Some complaints may allege more than one basis.

Unprofessional Conduct (UC) Complaints Breakdown*	Number of complaints alleging this basis 2020-2022	Number of complaints alleging this basis 2018-2020	Number of complaints alleging this basis 2016-2018
UC unethical, deceptive	8	8	17
UC gross ignorance/incompetence	32	15	14
UC sexual misconduct	21	25	17
UC unnecessary services	4	6	6
UC unconscionable fees	2	3	0
UC dishonest fee collection	3	2	5
UC fraud	5	6	17
UC advertising no-out-of-pocket expenses	4	11	1

\*Some complaints may allege more than one basis.

## RECEIPTS, DISBURSEMENTS & MAJOR FEES – MINN. STAT §214.07, 1B(4)

### Total Receipts and Disbursements by Biennium

Biennium	Total Receipts	Total Disbursements
July 1, 2020 – June 30, 2022	\$1,630,207.50	\$1,159,051.80
July 1, 2018 – June 30, 2020	\$1,633,229.14	\$859,640.79
July 1, 2016 – June 30, 2018	\$1,608,683.52	\$1,528,267.16
July 1, 2014 – June 30, 2016	*\$1,600,291.48	\$1,349,570.89
July 1, 2012 – June 30, 2014	*\$1,760,987.96	\$1,312,419.76
July 1, 2010 – June 30, 2012	*\$1,518,595.17	\$1,188,689.72
July 1, 2008 – June 30, 2010	*\$1,417,646.62	\$1,267,301.40
July 1, 2006 – June 30, 2008	*\$1,368,450.47	\$1,229,180.97

*\*Does not include 10% e-licensing surcharge collected from licensees but paid to MN.IT or fees for credit card transactions collected in part from licensees but paid to US Bank.*

### Fees by Type

Type of Fee	Fee
Acupuncture Initial Application	\$100
Acupuncture Inactive Renewal	\$25
Acupuncture Reinstatement	\$100
Acupuncture Renewal	\$50
Animal Chiropractic Initial Application	\$125
Animal Chiropractic Active Renewal	\$75
Animal Chiropractic Inactive Renewal	\$25
Continuing Education Audit Penalty	\$100 first offense, \$200 second offense, \$900 third & more offenses
Continuing Education Seminar Individual Sponsor Fee	\$100
Continuing Education Annual Sponsorship Fee	\$500
Doctor of Chiropractic Initial Application	\$250
Doctor of Chiropractic Active Renewal	\$200
Doctor of Chiropractic Inactive Renewal	\$150
Disciplinary Fee (Civil Penalty)	Up to \$10,000 per violation
Duplicate License	\$10
Exam Regrade	\$30
Graduate Preceptor Initial Application	\$100
Independent Examiner Initial Application	\$15
Independent Examiner Renewal fee	\$100
Labels (partial or complete)	\$15 or \$150
D.C. License Reinstatement	\$100
Lists (partial or complete)	\$10 or \$100
NSF Service Charge	\$25
Prior Year Late Fee/Renewal Penalty – DC	\$150 / month
Prior Year Late Fee/Renewal Penalty–Professional Firm	\$5 / month
Professional Firm Initial Application	\$100
Professional Firm Renewal	\$25

## BOARD OF DENTISTRY

**The mission of the Minnesota Board of Dentistry is to promote and protect public health and safety; and ensure that every licensed dental professional practicing in the state meets the requirements for safe, competent and ethical practice.**

### Report of the Executive Director

**July 1, 2020 – June 30, 2022**

Since the last reporting period, the Minnesota Board of Dentistry has continued to thrive in a hybrid work environment. This has allowed for flexibility while not compromising employee engagement and productivity. By utilizing our cloud file management system, use of a virtual private network, and scanning documents, we have not had service interruption and continue to serve the public, applicants, and licensees.

We have improved our license-by-credential process for applicants by allowing virtual meetings with review committees in 2020 and 2021. In our rulemaking project last year, we removed several license-by-credential provisions from Board rule and made them more consistent with other Minnesota license pathways, such as licensure by examination.

We have been able to engage more with the public as well by allowing hybrid meeting options. We also offer in-person meeting options as well for many committee meetings and full board meetings. This year, we have plans to add a work group at the Board that will focus on public engagement and outreach. We are also seeking ways to employ State of MN DEI (Diversity, Equity and Inclusion) initiatives and goals for all agencies. We have a robust social media presence to engage stakeholders with board activities and policy considerations. We send periodic newsletters to our subscriber list, which includes over 20,000 subscribers. We monitor all engagement with the board including phone stats, website, social media, and Gov Delivery campaigns. We believe that a well-informed licensee and stakeholder population allows for increased understanding and overall compliance.

We have analyzed data and been able to approve the use of manikin examinations for dental, therapy, and hygiene for the coming years. This has allowed applicants to have a quality testing mechanism for licensure, while removing the barrier of patient examinations.

We continue to offer emeritus license options for retiring providers. We have issued 232 emeritus licenses since the legislation was enacted. We feel that the Emeritus **active** license will have a positive impact on access and promote pro-bono care and supervision situations for allied dental professionals in collaborative practice settings.

We have worked on several rule and legislative changes that align with our mission without creating unnecessary barriers to access to dental care in Minnesota. We have initiated a rulemaking project to clarify and promote safety in our sedation and anesthesia requirements. We will also include additions and clarifications regarding duties allowed for dental assistants and unlicensed dental assistants. We have implemented a limited radiology registration for interested individuals that want to perform this duty in practice. This has been met with enthusiasm in the dental community and we anticipate issuing many more registrations this year, as the first cohort is completing the educational requirement at this time. This also has the ability to add to clinical efficiency and expansion of access to care for patients, while maintaining

a balanced level of safety and competency.

We have worked on our MN dental assisting state licensure examination by removing outdated questions through a comprehensive review process. This has assisted with the relevance of the exam and better aligns with what students are currently being taught in dental assisting programs. The pass rate has increased among candidates taking this examination.

We are still working on implementation of a new database to manage applications, licensure, professional development, complaints and discipline. We will be using a system that other health licensing boards use and that can be supported on the local level by a specialized MNIT team. This will allow for a closer relationship with our IT team and better support for staff and other system users.

We continue to challenge ourselves with lean process and improvements to aid in the efficiency of our service delivery. We continue to seek ways to improve internal controls. We continue to seek cost savings when possible, to further perform our fiduciary duties in a responsible manner.

Bridgett Anderson LDA, MBA  
Executive Director  
Minnesota Board of Dentistry  
612-548-2127  
[bridgett.anderson@state.mn.us](mailto:bridgett.anderson@state.mn.us)

**GENERAL INFORMATION – MINN. STAT. §214.07, SUBD. 1B(5)****Board Members Serving During the Period 7/1/2020—6/30/2022**

Name	Location	Appointment Status	Appointment Date	Reappointment Date (if applicable)	Term Expiration Date
Ruth Dahl	Northfield	Current	July 2017	2021	June 2025
Christy Jo Fogarty	Farmington	Past	July 2017		January 2021
Hassan Ismail	Medina	Current	July 2018	2022	June 2026
Heidi Donnelly	Crosby	Current	July 2018	2022	January 2026
P. (Angela) Rake	Elko	Current	January 2018	2021	January 2025
Terry Klampe	Rochester	Current	June 2019		June 2023
Ashley Johnson	Brooklyn Park	Current	June 2020		June 2024
Rainer Adarve	Rosemount	Current	June 2019		June 2023
Connie Bye	Hugo	Current	June 2022		June 2025
Trina Courtright	Isanti	Current	May 2022		June 2024
Priscilla Flynn	Lewiston	Past	May 2020		Relocated in 2021- Resigned

**Board Staff and Office Location**

Bridgett Anderson, Executive Director  
Deborah Endly, Senior Investigator, Compliance Officer  
Carolyn Parker, Office Manager  
Amy Johnson, Licensing Analyst  
Kathy T. Johnson, Legal Analyst  
Mary Liesch, Senior Investigator  
Joyce Nelson, Director of Licensing  
Thamyr Golafaie, Administrative Assistant  
Panhia Lor, Administrative Assistant  
Mary Luecke, Administrative Assistant

Minnesota Board of Dentistry  
Randolph Square Building  
335 Randolph Avenue, Suite 250  
St. Paul, MN 55102  
Phone: 612-617-2250  
FAX: 651-797-1373  
Email: [dental.board@state.mn.us](mailto:dental.board@state.mn.us)  
Website: <http://mn.gov/boards/dentistry>

## CREDENTIALS ISSUED OR RENEWED – MINN. STAT. §214.07, SUBD. 1B(1)

Total number of persons licensed or registered as of June 30, 2022: 17,632

### Number and Type of Credentials Issued or Renewed

License Type	Licensed or registered as of June 30, 2022	Licensed or registered as of June 30, 2020	Licensed or registered as of June 30, 2018	Licensed or registered as of June 30, 2016	Licensed or registered as of June 30, 2014	Licensed or registered as of June 30, 2012
Dentists	4033	4078	4093	4038	4033	4040
Hygienists	5748	5770	5756	5668	5542	5357
Dental Assistants	7369	7374	7323	7331	7176	7254
Specialty Dentists	124	109	99	79	46	30
Dental Therapists	130	113	89	63	39	6
Guest Dentists	13	15	21	26	50	43
Guest Dental Assistants	2	2	5	2	4	5
Guest Dental Hygienists	1	1	2	3	5	2
Resident Dentists	49	63	67	73	100	103
Resident Provider Dental Therapist	10	1	5	0	NA	NA
Resident Provider Dental Hygienist	1	1	NA	NA	NA	NA
Full Faculty Dentists	20	24	20	24	22	21
Limited Faculty Dentists	9	9	5	6	22	13
Limited Assistants	9	5	4	4	4	4
Limited General Dentist	2	2	4	2	5	10
Dental Lab (Registrations)	112	127	126	149	NA	NA
<b>Total</b>	<b>17,632</b>	<b>17,694</b>	<b>17,624</b>	<b>17,468</b>	<b>17,048</b>	<b>16,888</b>

### Historical Renewal Data by Biennium

#### **July 1, 2020-June 30, 2022**

Type of License/Credential	Number of New Licenses	Number of Renewed Licenses	Number and Percent Renewed Online*
Dentists	328	3,589	NA
Hygienists	419	5,218	NA
Dental Assistants	465	6,740	NA
Specialty Dentists	24	95	NA
Dental Therapists	35	98	NA
Resident Dentists	23	1	NA
Full Faculty Dentists	4	15	NA
Limited Faculty Dentists	2	7	NA
Limited Assistants	4	2	NA
Limited General Dentist	2	0	NA
Dental Lab (Registrations)	16	109	NA
<b>TOTAL</b>	<b>1,322</b>	<b>15,874</b>	<b>99%*</b>

\* Out of every renewal, very few renewed via paper (1%)

#### **July 1, 2018-June 30, 2020**

Type of License/Credential	Number of New Licenses	Number of Renewed Licenses	Number and Percent Renewed Online*
Dentists	258	3,495	NA
Hygienists	300	5,106	NA
Dental Assistants	422	6,550	NA
Specialty Dentists	20	76	NA
Dental Therapists	15	61	NA
Resident Dentists	25	38	NA
Full Faculty Dentists	9	14	NA
Limited Faculty Dentists	4	8	NA
Limited Assistants	2	3	NA
Limited General Dentist	0	2	NA
Dental Lab (Registrations)	19	104	NA
<b>TOTAL</b>	<b>1,074</b>	<b>15,457</b>	<b>98%*</b>

\* Out of every renewal, only 365 licensees renewed by paper in this reporting period (2%).

#### **July 1, 2016-June 30, 2018**

Type of License/Credential	Number of New Licenses	Number of Renewed Licenses	Number and Percent Renewed Online*
Dentists	287	4,093	3,888 (95%)
Hygienists	389	5,217	5,008 (96%)
Dental Assistants	403	6,720	6,250 (93%)
Specialty Dentists	24	99	NA
Dental Therapists	21	91	85 (94%)
Guest Dentists	5	21	NA
Guest Dental Assistants	0	5	NA
Guest Dental Hygienists	0	2	NA
Resident Dentists	20	67	NA
Resident Provider Dental Therapist	5	5	NA
Full Faculty Dentists	2	20	NA
Limited Faculty Dentists	0	5	NA
Limited Assistants	0	4	NA



Limited General Dentist	0	4	NA
Dental Lab (Registrations)	0	126	126 (100%)
TOTAL	1,156	16,479	16,121 (98%)

**July 1, 2014-June 30, 2016**

Type of License/Credential	Number of New Licenses	Number of Renewed Licenses	Number and Percent Renewed Online*
Dentists	270	3,703	3,258 (88%)
Hygienists	406	5,188	4,664 (90%)
Dental Assistants	548	6,750	5,825 (86%)
Specialty Dentists	28	48	N/A
Dental Therapists	23	37	34 (92%)
Guest Dentists	9	45	N/A
Guest Dental Assistants	3	3	N/A
Guest Dental Hygienists	1	5	N/A
Resident Dentists	59	43	N/A
Resident Provider Dental Therapist	N/A	N/A	N/A
Full Faculty Dentists	7	18	N/A
Limited Faculty Dentists	3	11	N/A
Limited Assistants	0	4	N/A
Limited General Dentist	2	1	N/A
Dental Lab (Registrations)	N/A	N/A	N/A
TOTAL	1,362	15,856	14,128 (89%)

**July 1, 2012-June 30, 2014**

Type of License/Credential	Number of New Licenses	Number of Renewed Licenses	Number and Percent Renewed Online*
Dentists	298	3,735	3,147 (84%)
Hygienists	453	5,089	4,506 (86%)
Dental Assistants	555	6,621	5,523 (83%)
Specialty Dentists	7	39	N/A
Dental Therapists	25	14	0
Guest Dentists	8	42	N/A
Guest Dental Assistants	3	1	N/A
Guest Dental Hygienists	2	3	N/A
Resident Dentists	66	34	N/A
Resident Provider Dental Therapist	N/A	N/A	N/A
Full Faculty Dentists	6	16	N/A
Limited Faculty Dentists	9	13	N/A
Limited Assistants	0	4	N/A
Limited General Dentist	2	3	N/A
Dental Lab (Registrations)	N/A	N/A	N/A
TOTAL	1,434	15,614	13,176 (84%)

**July 1, 2010-June 30, 2012**

Type of License/Credential	Number of New Licenses	Number of Renewed Licenses	Number and Percent Renewed Online*
Dentists	291	3,749	3,020 (80%)
Hygienists	476	4,881	4,093 (84%)
Dental Assistants	591	6,663	5,162 (76%)
Specialty Dentists	4	26	N/A
Dental Therapists	6	0	0

Guest Dentists	3	40	N/A
Guest Dental Assistants	2	3	N/A
Guest Dental Hygienists	0	2	N/A
Resident Dentists	58	45	N/A
Resident Provider Dental Therapist	N/A	N/A	N/A
Full Faculty Dentists	3	18	N/A
Limited Faculty Dentists	7	6	N/A
Limited Assistants	0	4	N/A
Limited General Dentist	N/A	N/A	N/A
Dental Lab (Registrations)	N/A	N/A	N/A
<b>TOTAL</b>	<b>1,441</b>	<b>15,447</b>	<b>12,275 (80%)</b>

### **COMPLAINT ACTIVITY – MINN. STAT. §214.07, SUBDS. 1B(2), (3)**

#### **Complaints Received and Closed by Biennium**

Biennium	Total Number of Complaints Received	Total Number of Complaints Closed
July 1, 2020-June 30, 2022	392	415
July 1, 2018-June 30, 2020	534	486
July 1, 2016-June 30, 2018	362	318
July 1, 2014-June 30, 2016	515	382
July 1, 2012-June 30, 2014	517	524
July 1, 2010-June 30, 2012	494	452
July 1, 2008-June 30, 2010	501	611

#### **Number of and Age of Complaints Open at the End of the Period**

<b>Number of Complaints Open as of June 30, 2022</b>	<b>25</b>
Complaints open less than one year as of June 30, 2022	22
Complaints open greater than one year as of June 30, 2022	3

### Types of Complaints Received

Basis for complaints	Number of complaints alleging this basis received 2020-2022	Number of complaints alleging this basis received 2018-2020	Number of complaints alleging this basis from received 2016-2018
Action by another jurisdiction	0	0	0
Incompetency/unethical conduct (substandard care)	189	226	167
Unprofessional Conduct	103	109	96
Illness		0	6
Non-jurisdictional	0	NA	0
Medical Records		0	0
Substance Use Disorder	5	Combined with prescribing	24
Prescribing	19	30	0
Sexual Misconduct	2	5	2
Other/Miscellaneous	74	164	67
<b>TOTAL</b>	<b>392</b>	<b>534</b>	<b>362</b>

## **RECEIPTS, DISBURSEMENTS & MAJOR FEES – MINN. STAT §214.07, 1B(4)**

### Total Receipts and Disbursements by Biennium

Biennium	Total Receipts	Total Disbursements
July 1, 2020 – June 30, 2022	\$3,875,461.31	\$3,219,488.34
July 1, 2018 – June 30, 2020	\$3,872,862.88	\$3,554,176.70
July 1, 2016 – June 30, 2018	\$3,954,746.73	\$3,082,545.20
July 1, 2014 – June 30, 2016	\$3,456,577.09	\$3,188,209.82
July 1, 2012 – June 30, 2014	\$3,112,539.00	\$3,144,060.00
July 1, 2010 – June 30, 2012	*\$2,963,130.40	\$2,800,270.65
July 1, 2008 – June 30, 2010	\$2,715,823.36	\$2,867,168.27
July 1, 2006 – June 30, 2008	\$2,476,091.34	\$2,067,314.10

\*Including 10% license/application fees for Minnesota Office of Enterprise Technology e-licensing surcharge

### Fees by Type

Application Fees - Initial	Current Fee	Criminal Background Check Fee	Initial License Fee	Total
Dentist	\$140	\$33.25	\$168.00	\$341.25
Dental Therapist	\$100	\$33.25	\$120.00	\$253.25
Dental Hygienist	\$55	\$33.25	\$60.00	\$148.55
Licensed Dental Assistant	\$55	\$33.25	\$36.00	\$124.25
Limited Dental Assistant	\$15	\$33.25	\$12.00	\$60.25
Full Faculty	\$140	\$33.25	\$168.00	\$341.25
Limited Faculty	\$140	\$33.25	N/A	\$173.25
Resident Dentist	\$55	\$33.25	N/A	\$88.25
Limited General Dentist	\$140	\$33.25	\$155.00	\$328.25
Resident Provider Dental Therapist	\$55	\$33.25	N/A	\$88.25
Resident Provider Dental Hygienist	\$55	\$33.25	N/A	\$88.25
Guest Dentist/ Hygienist/Assistant	\$50	\$33.25	N/A	\$83.25

Licensure by Credential Fees	Current Fee	Criminal Background Check Fee	Initial License Fee	Total
Dentist	\$725	\$33.25	\$168.00	\$926.25
Specialist	\$725	\$33.25	\$168.00	\$926.25
Hygienist	\$175	\$33.25	\$60.00	\$268.25

Reinstatement Fees	Current Fee	Criminal Background Check Fee	Biennial Renewal Fee	Total
Dentist	\$140	\$33.25	\$425	\$598.25
Dental Therapist	\$85	\$33.25	\$200	\$318.25
Dental Hygienist	\$55	\$33.25	\$150	\$238.25
Licensed Dental Assistant	\$35	\$33.25	\$110	\$178.25

Renewal Fees-Biennial	Current Fee	Late Fee = 25% of Renewal Fee	Total
Dentist	\$425	\$106.25	\$531.25
Dental Therapist	\$200	\$50.00	\$250.00
Hygienist	\$150	\$37.50	\$187.50
Dental Assistant	\$110	\$27.50	\$137.50
Limited Dental Assistant	\$24	\$6.00	\$30.00
Full Faculty	\$425	\$106.25	\$531.25

Renewal Fees-Annual	Current Fee	Late Fee = 50% of Renewal Fee	Total
Limited Faculty	\$168	\$84.00	\$252.00
Resident Dentist	\$75	\$37.50	\$112.50
Limited General Dentist	\$155	\$77.50	\$232.50
Resident Provider Dental Therapist	\$75	\$37.50	\$112.50
Resident Provider Dental Hygienist	\$75	\$37.50	\$112.50
Guest Dentist/ Hygienist/Assistant	\$50	\$25.00	\$75.00

Certification Fees – Initial Application	Current Fee	Total
General anesthesia (GA)	\$325	\$325
Conscious sedation (CS)	\$325	\$325
Contracted sedation (CSS)	\$325	\$325
Advanced Dental Therapy	\$100	\$100

Certification Fees – Biennial Renewal	Current Fee	Late Fee = 50% of Renewal Fee	Total
General anesthesia (GA)	\$325	\$162.50	\$487.50
Conscious sedation (CS)	\$325	\$162.50	\$487.50
Contracted sedation (CSS)	\$325	\$162.50	\$487.50

Corporation Fees	Current Fee	Late Fee	Total
Initial	\$100	N/A	\$100
Renewal (Annual)	\$25	\$15	\$40

<b>Dental Lab Fees</b>	<b>Current Fee</b>	<b>Total</b>
Initial	\$50	\$50
Renewal (Biennial)	\$50	\$50

<b>Miscellaneous Fees</b>	<b>Current Fee</b>
Duplicate License	\$35
Duplicate Certificate	\$10
Mini License – wallet size for volunteerism	\$15
Affidavit of License (has seal for <b>State Boards</b> )	\$10
License Verification (fee for paper verification, no fee for on-line verification) – <b>NOT for State Board Verifications, see Affidavits</b>	\$5
NSF Fee	\$20
Advanced Dental Therapy Exam	\$250

## BOARD OF DIETETICS AND NUTRITION PRACTICE

**The mission of the Minnesota Board of Dietetics and Nutrition Practice is to promote public interest in receiving quality dietetic and nutrition services from competent licensed dietitians and nutritionists; protect the public by ensuring that all licensed dietitians and nutritionists meet the educational and practical requirements specified in law; and protect the public by setting standards for quality dietetic and nutrition services.**

### Report of the Executive Director

**July 1, 2020 – June 30, 2022**

Currently there are more than 2,200 licensed dietitians and nutritionists in Minnesota, an increase of 58 percent in just the past ten years. We had 109 applicants in the first quarter of this year compared to 71 last year, many of them from out of state providing telehealth services. We expect this trend to continue with the increased growth for services in dietitian and nutritionist demands across the State of Minnesota, in long-term care facilities, hospitals, schools and food service programs, and telehealth.

Although the number of licensees has increased significantly, complaints have not seen the same trend, pointing to an effective Complaint Review Process and educational component to licensure.

We are committed to the advancement of offering more and better online services to licensees and the public with 100 percent of our renewals completed online in this biennium. Technological improvements continue to allow us to accommodate the increased workload with minimal staffing.

Board members continue to support policies that result in high quality, customer focused, efficient and cost-effective services. They are to be commended for their exceptional dedication and time commitment required to serve on the Board. They are strongly committed to providing efficient and timely access to public data, license renewals and verifications. The Board continues to make its website increasingly interactive.

Ruth Grendahl  
Executive Director  
Board of Dietetics and Nutrition Practice  
651-201-2764  
[ruth.grendahl@state.mn.us](mailto:ruth.grendahl@state.mn.us)

**GENERAL INFORMATION – MINN. STAT. §214.07, SUBD. 1B(5)****Board Members Serving During the Period 7/1/2020—6/30/2022**

Name	Location	Appointment Status	Appointment Date	Reappointment Date (if applicable)	Term Expiration Date
Donna Gjesvold, Chair	St. Michael	Active	11/19/2019	7/26/2022	8/1/2026
Marge Humbert	Champlin	Active	2/8/2022	N/A	8/1/2024
Marcie Vaske	Victoria	Active	8/1/2018	NA	8/1/2022
Corey Schuler	Cottage Grove	Active	4/5/2022	N/A	8/1/2025
Samuel Ankrah	Woodbury	Active	5/1/2017	8/1/2019	8/1/2023
Cheryl Asplund	Champlin	Active	3/1/2016	8/1/2018	8/1/2022
Sue Estes	Minneapolis	Active	2/8/2022	N/A	8/1/2025

**Board staff and office location**

Ruth Grendahl - Executive Director

Minnesota Board of Dietetics and Nutrition Practice  
Randolph Square Building  
335 Randolph Avenue, Suite 210  
St. Paul, MN 55102  
Phone: 651-548-2175  
Email: [board.dietetics-nutrition@state.mn.us](mailto:board.dietetics-nutrition@state.mn.us)  
Website: <https://mn.gov/boards/dietetics-and-nutrition/>

## CREDENTIALS ISSUED OR RENEWED – MINN. STAT. §214.07, SUBD. 1B(1)

Total Number of persons licensed as of June 30, 2022: 2,130

### Number and Type of Credentials Issued or Renewed

License Type	Licensed or registered as of June 30, 2022	Licensed or registered as of June 30, 2020	Licensed or registered as of June 30, 2018	Licensed or registered as of June 30, 2016	Licensed or registered as of June 30, 2014	Licensed or registered as of June 30, 2012
Dietitians	2,066	1,958	1,841	1,752	1,493	1,353
Nutritionists	64	73	69	65	71	63
<b>TOTAL</b>	<b>2,130</b>	<b>2,031</b>	<b>1,910</b>	<b>1,817</b>	<b>1,564</b>	<b>1,416</b>

### Historical Renewal Data by Biennium

#### July 1, 2020-June 30, 2022

Type of License/Credential	Number of New Licenses	Number of Renewed Licenses	Number and Percent Renewed Online
Dietitians	402	4,169	4,169 (100%)
Nutritionists	5	136	136 (100%)
<b>TOTAL</b>	<b>407</b>	<b>4,305</b>	<b>4,305 (100%)</b>

#### July 1, 2018-June 30, 2020

Type of License/Credential	Number of New Licenses	Number of Renewed Licenses	Number and Percent Renewed Online
Dietitians	351		
Nutritionists	13		
<b>TOTAL</b>	<b>364</b>	<b>3,642</b>	<b>3,606 (99%)</b>

#### July 1, 2016-June 30, 2018

Type of License/Credential	Number of New Licenses	Number of Renewed Licenses	Number and Percent Renewed Online
Dietitians	294	3,687	3,339 (90%)
Nutritionists	8	134	115 (86%)
<b>TOTAL</b>	<b>302</b>	<b>3,821</b>	<b>3,454 (90%)</b>

#### July 1, 2014-June 30, 2016

Type of License/Credential	Number of New Licenses	Number of Renewed Licenses	Number and Percent Renewed Online
Dietitians/Nutritionists	331	3246	2792 (78%)

#### July 1, 2012-June 30, 2014

Type of License/Credential	Number of New Licenses	Number of Renewed Licenses	Number and Percent Renewed Online
Dietitians/Nutritionists	281	2985	2116 (71%)



**July 1, 2010-June 30, 2012**

Type of License/Credential	Number of New Licenses	Number of Renewed Licenses	Number and Percent Renewed Online
Dietitians/Nutritionists	214	2756	1420 (52%)
Dietitians/Nutritionists	170	2591	969 (37%)

**COMPLAINT ACTIVITY – MINN. STAT. §214.07, SUBDS. 1B(2), (3)**
**Complaints Received and Closed by Biennium**

Biennium	Total Number of Complaints Received	Total Number of Complaints Closed
July 1, 2020-June 30, 2022	6	6
July 1, 2018-June 30, 2020	12	11
July 1, 2016-June 30, 2018	10	11
July 1, 2014-June 30, 2016	9	8
July 1, 2012-June 30, 2014	6	6
July 1, 2010-June 30, 2012	12	11
July 1, 2008-June 30, 2010	4	7

**Number of and Age of Complaints Open at the End of the Period**

<b>Number of Complaints Open as of June 30, 2022</b>	<b>0</b>
Complaints open less than one year as of June 30, 2022	0
Complaints open greater than one year as of June 30, 2022	0

**RECEIPTS, DISBURSEMENTS & MAJOR FEES – MINN. STAT §214.07, 1B(4)**
**Total Receipts and Disbursements by Biennium**

Biennium	Total Receipts	Total Disbursements
July 1, 2020 – June 30, 2022	\$435,084	\$324,873
July 1, 2018 – June 30, 2020	\$373,825	\$281,785
July 1, 2016 – June 30, 2018	\$351,200	\$307,163
July 1, 2014 – June 30, 2016	\$324,698	246,936
July 1, 2012 – June 30, 2014	\$210,872	\$209,218
July 1, 2010 – June 30, 2012	\$180,238	\$188,862

**Fees by Type**

Type of Fee	Fee
Application for Nutritionist	\$175
Application for Dietitian with RD	\$100
Licensing Fee	\$150
Reinstatement	\$92.50
Renewal Fee	\$75

## BOARD OF EXECUTIVES FOR LONG TERM SERVICES AND SUPPORTS

**The mission of the Board of Executives for Long Term Services and Supports is to promote the public's interest in quality care, effective services and supports for consumers of nursing homes and assisted living communities by ensuring that licensed executives are qualified to perform their administrative duties.**

### **Report of the Executive Director**

**July 1, 2020 – June 30, 2022**

This biennial period included a significant mission change for the Board of Executives for Long Term Services and Supports (BELTSS) with the addition of two new licensing categories. BELTSS began the biennial period with licensing and regulating only Licensed Nursing Home Administrators and completed the biennium with Licensed Nursing Home Administrators (NHA), Assisted Living Directors (ALD), and Health Services Executives (HSE).

The Board spent much of this biennium attempting to build initial systems to educate this new group of ALDs and HSEs on rules and regulations of the licensing process and requirements. The new licensees, many whom have not held a professional license, involved initial education, field experience and examination preparation while also meeting new Minnesota Department of Health building and service requirements to protect the Minnesota citizens requiring long-term services and supports.

Fiscal Year 2021 was filled with planning and building of a digital system to add two additional licensure processes for HSEs and ALDs. Updates to the board's website and ALIMS licensing database were needed to make this transition. The first ALD licenses were initiated in April 2021. Fiscal Year 2022 resulted in system and process improvements which continued throughout. The first renewals of HSE licensures occurred in FY2022. The first renewals of ALDs are being conducted in October 2022.

The Board continued to fulfill its original mission by completing initial aspects of the 2017 strategic plan and crafted a new strategic initiative for 2022-2025. The Board also continued to work with and strengthen the relationship with the National Board of Long-Term Care Administrators (NAB). This important partnership continued with active participation from Board members Katie Davis and Steven Chies and the BELTSS Executive Director. Other board members of the BELTSS served on various national committees including the preparation of the national examination and the new practice analysis.

The Board continues to work collectively with NAB on national licensure standards. Minnesota is one of four states that were early implementers of educational programs to create a Health Executive license. The Board continues to support NAB approved educational programs. Currently there are four Minnesota Schools with programs certified by NAB. The other five Minnesota colleges and one border-state college are looking at their programs to obtain NAB standards and reviewing their options. The board is dedicated to recognizing educators and college programs in creating leaders for new models of elder care throughout the upper Midwest and nationally.

Stephen Jobe  
Executive Director  
Minnesota Board of Executives for Long Term Services and Supports  
651-201-2730  
[beltss@state.mn.us](mailto:beltss@state.mn.us)

## GENERAL INFORMATION – MINN. STAT. §214.07, SUBD. 1B(5)

### Board Members Serving During the Period 7/1/2020—6/30/2022

Name	Location	Appointment Status	Appointment Date	Reappointment Date (if applicable)	Term Expiration Date
Vanessa Allmon	Minneapolis	<ul style="list-style-type: none"> <li>• Public</li> <li>• Licensure/Education</li> </ul>	01/07/2016	01/01/2020	01/07/2024
Steven Chies, LNHA Secretary	Coon Rapids	<ul style="list-style-type: none"> <li>• Proprietary Manager/Owners</li> <li>• Licensure/Education</li> <li>• HSE Implementation Task Force</li> </ul>	06/28/2016	01/01/2020	1/6/2024
Katie Davis, LNHA	Albert Lea	<ul style="list-style-type: none"> <li>• Proprietary Managers/Owners</li> <li>• Licensure/Education</li> <li>• HSE Implementation Task Force</li> <li>• Exam Committee</li> </ul>	01/01/2015	01/01/2019	1/7/2023
Frederick “Fred” Dawe	Minneapolis	<ul style="list-style-type: none"> <li>• Public</li> </ul>	2019	NA	01/07/2025
Drew Hood	Mankato	<ul style="list-style-type: none"> <li>• Assisted Living Director</li> </ul>	2022	NA	01/05/2026
Amanda Johnson, RN	Morris	<ul style="list-style-type: none"> <li>• Registered Nurse – Nursing Home</li> <li>• Standards of Practice Committee</li> <li>• Licensure Education Committee</li> </ul>	01/07/2019	NA	1/07/2023
Jonathan Lundberg	Edina	<ul style="list-style-type: none"> <li>• Manager/Owner Non-Profit</li> </ul>	2022	NA	01/06/2026
Natalie Morland, RN	Chaska	<ul style="list-style-type: none"> <li>• RN Assisted Living</li> <li>• Standards of Practice Committee</li> </ul>	01/01/2020		01/07/2024
Jane C. Pederson, M.D., M.S.	Woodbury	<ul style="list-style-type: none"> <li>• Medical Doctor</li> <li>• Rules Committee, Chair</li> </ul>	01/01/1997	01/07/2017	01/07/2025
Deb Stock	Maple Grove	<ul style="list-style-type: none"> <li>• Public Member</li> </ul>	2022	NA	01/01/2024
H. Michael Tripple	St. Paul	<ul style="list-style-type: none"> <li>• Public</li> <li>• Standards of Practice Committee</li> <li>• Rules Committee</li> <li>• HSE Implementation Task Force</li> <li>• Licensure Education Committee</li> </ul>	01/01/2015	01/01/2019	1/7/2023
Valerie Cooke	St. Paul	<ul style="list-style-type: none"> <li>• Ex-officio</li> <li>• Rules Committee</li> <li>• Exam Committee</li> </ul>	2019	NA	NA
Cathy Griffin	St. Paul	<ul style="list-style-type: none"> <li>• Ex-officio</li> <li>• Rules Committee</li> <li>• Exam Committee</li> </ul>	2019	NA	NA
Nathan Johnson	Moorhead	<ul style="list-style-type: none"> <li>• LNHA</li> </ul>	01/07/2013	1/07/2017	11/01/2019 (vacant)
Lindsey Krueger, RN	St. Paul	<ul style="list-style-type: none"> <li>• Ex-officio</li> <li>• Rules Committee</li> </ul>	2019	NA	NA

Nicholas Lienesch, AAG	St. Paul	<ul style="list-style-type: none"> <li>• Assistant Attorney General</li> <li>• Standards of Practice Committee</li> <li>• Rules Committee</li> </ul>	2019	NA	NA
Alex Mountain, AAG	St. Paul	<ul style="list-style-type: none"> <li>• Assistant Attorney General</li> <li>• Standards of Practice Committee</li> <li>• Rules Committee</li> </ul>	NA	NA	NA
Jennifer Pfeffer, Chair	Mankato	<ul style="list-style-type: none"> <li>• LNHA Non-profit</li> <li>• Exam Committee</li> <li>• Standards of Practice Member</li> </ul>	06/30/2006	01/01/2018	01/06/2022
Marilyn Reiersen	Savage	<ul style="list-style-type: none"> <li>• Public</li> <li>• Education Committee, Chair</li> <li>• Exam Committee</li> <li>• HSE Implementation Task Force</li> </ul>	01/07/2013	01/07/2017	01/02/2021

The following are appointed by the Commissioners of Health and of Human Services and serve as non-voting designees of those commissioners:

- Lindsey Krueger, MN Department of Health, 7/1/2020 to 06/30/2022
- Valerie Cooke, MN Department of Human Services, 07/01/2020 to 06/30/2022

### **Board Staff and Office Location**

#### **Staff Members Serving During the Period 7/1/2020 – 6/30/2022**

Randy Snyder, Executive Director (Retired in November, 2022)

Jessica Shultz, State Program Administrator

Andrew Grondin, State Program Administrator

#### **Current Staff Members**

Steve Jobe, Executive Director

Rebecca Bollig, Director of Assisted Living and Education

Eli Morris, Office Administrator Technology Specialist Intermediate

Amanda Goebel, Office Administrator Specialist Intermediate

Minnesota Board of Executives for Long Term Services and Supports

Randolph Square Building

335 Randolph Avenue, Suite 210-B

St. Paul, MN 55102

Phone: 651-201-2730

FAX: 612-797-1376

Email: [beltss.hlb@state.mn.us](mailto:beltss.hlb@state.mn.us)

Website: <https://mn.gov/boards/nursing-home/>

## CREDENTIALS ISSUED OR RENEWED – MINN. STAT. §214.07, SUBD. 1B(1)

Total Number of persons licensed or registered as of June 30, 2022: 3,424

### Number and Type of Credentials Issued or Renewed

License Type	Licensed or registered as of June 30, 2022	Licensed or registered as of June 30, 2020	Licensed or registered as of June 30, 2018	Licensed or registered as of June 30, 2016	Licensed or registered as of June 30, 2014	Licensed or registered as of June 30, 2012
Nursing Home Administrator	830	925	928	894	852	856
Health Services Executive	101	Not established	Not established	Not established	Not established	Not established
Assisted Living Director	2493	Not established	Not established	Not established	Not established	Not established
<b>TOTAL OF ALL LICENSE TYPES</b>	<b>3424</b>	<b>925</b>	<b>928</b>	<b>894</b>	<b>852</b>	<b>856</b>

### Total number of persons licensed or registered by fiscal year.

Fiscal Year	NHA	HSE	ALD
2021	752	96	1014
2022	830	101	2493

### Historical Renewal Data by Biennium

#### July 1, 2020-June 30, 2022

Type of License	Number of New Licenses*	Number of Renewed Licenses*	Number and Percent Renewed Online
NHA	124	1542	1542 (100%)
HSE	110	101	101 (100%)
ALD	2493	No renewals in this biennium	NA
<b>TOTAL</b>	<b>2727</b>	<b>1643</b>	<b>1643 (100%)</b>

\*Does not include shared licenses or permits.

#### July 1, 2018-June 30, 2020

Type of License	Number of New Licenses	Number of Renewed Licenses	Number and Percent Renewed Online
NHA	132	1709	1640 (96%)

#### July 1, 2016-June 30, 2018

Type of License	Number of New Licenses	Number of Renewed Licenses	Number and Percent Renewed Online
NHA	160	1709	1658 (97%)

#### July 1, 2014-June 30, 2016

Type of License	Number of New Licenses	Number of Renewed Licenses	Number and Percent Renewed Online
NHA	130	894	822 (92%)

**July 1, 2012-June 30, 2014**

Type of License	Number of New Licenses	Number of Renewed Licenses	Number and Percent Renewed Online
NHA	103	1709	1555 (91%)

**July 1, 2010-June 30, 2012**

Type of License	Number of New Licenses	Number of Renewed Licenses	Number and Percent Renewed Online
NHA	88	1704	1550 (91%)

**COMPLAINT ACTIVITY – MINN. STAT. §214.07, SUBDS. 1B(2), (3)**
**Complaints Received and Closed by Biennium**

Biennium	Total Number of Complaints Received	Total Number of Complaints Closed
July 1, 2020-June 30, 2022	248	295
July 1, 2018-June 30, 2020	641	577
July 1, 2016-June 30, 2018	436	406
July 1, 2014-June 30, 2016	95	91
July 1, 2012-June 30, 2014	96	89
July 1, 2010-June 30, 2012	129	118
July 1, 2008-June 30, 2010	132	120

**Number of and Age of Complaints Open at the End of the Period**

<b>Number of Complaints Open as of June 30, 2020</b>	<b>17</b>
Complaints open less than one year as of June 30, 2022	17
Complaints open greater than one year as of June 30, 2022	0

**Types of Complaints Received**

Basis of Complaint*	Number of complaints alleging this basis from 2020-2022	Number of complaints alleging this basis from 2018-2020	Number of complaints alleging this basis from 2016-2018
Neglect of Care	7	48	83
Financial	2	10	17
Physical Abuse	0	22	12
Administration	5	10	33
Grievances	8	0	0
Verbal/Mental	2	10	4
Quality of Care (MDH Survey)	158	74	15
Resident Rights	0	0	10
HPSP	2	0	5
Practice without a License	0	0	0
Sexual Abuse	0	4	0
Background Check Issues	64	Not tracked	Not tracked

\*Some complaints allege more than one basis

## RECEIPTS, DISBURSEMENTS & MAJOR FEES – MINN. STAT §214.07, 1B(4)

### Total Receipts and Disbursements by Biennium

Biennium	Total Receipts	Total Disbursements
July 1, 2020 to June 30, 2022	\$737,316	\$476,319
July 1, 2018 to June 30, 2020	\$478,196	\$512,040
July 1, 2016 to June 30, 2018	\$442,516	\$401,533
July 1, 2014 to June 30, 2016	\$445,515	\$298,868
July 1, 2012 to June 30, 2014	\$423,482	\$304,405
July 1, 2010 to June 30, 2012	\$422,409	\$259,359

### Fees by Type

Type of Fee	Fee
LNHA Application	\$150
LALD Application	\$200
HSE Application	\$250
LNHA Original License	\$225
LALD Original License	\$200
HSE Original License	\$250
LNHA Annual Renewal	\$225
LALD Annual Renewal	\$200
HSE Annual Renewal	\$250
State Exam LNHA, LALD, & HSE	\$100
Acting Administrator Permit	\$250
Director in Residence	\$250
Assisted Living Director in Residence	\$250

## BOARD OF MARRIAGE AND FAMILY THERAPY

**The mission of the Minnesota Board of Marriage and Family Therapy is to protect the public through effective licensure and enforcement of the statutes and rules governing the practice of marriage and family therapists to ensure a standard of competent and ethical practice.**

### **Report of the Executive Director**

**July 1, 2020 – June 30, 2022**

The Board of Marriage and Family Therapy's mission is to protect the public and ensure a standard of competent and ethical care through effective licensure and enforcement of the statutes and rules governing the practice of marriage and family therapy.

To accomplish the Board's mission, we:

- Set standards for initial licensure, including a review of each applicant's education and training;
- Conduct an examination of each applicant's knowledge of the laws and rules governing the practice of marriage and family therapy in Minnesota prior to issuing marriage and family therapy license;
- Annually review qualifications and renew licenses of current, qualified licensees;
- Investigate complaints made against licensees and applicants, and allegations of unlicensed practice of marriage and family therapy;
- Take disciplinary or corrective action against a licensee or applicant when warranted by conduct and necessary to protect the public;
- Review and approve all continuing education programs used by licensees to meet continuing education requirements for license renewal;
- Monitor and enforce continuing education requirements for license renewal;
- Maintain mandated and educational information available to the public via the Board's website;
- Work collaboratively with academic institutions and national and state marriage and family therapy professional associations to identify, discuss and address issues involving the education, licensure and practice of marriage and family therapists;
- Provide information about licensure and standards of practice to citizens and other business entities;
- Regularly collaborate with other governmental and non-governmental entities to keep Minnesotans safe.

The last biennium (FY2021 and FY2022) saw the Board engage in its new "normal" operational state. Two Board staff work in a hybrid environment; one Board staff person is regularly in the office full-time. Licensed Marriage and Family Therapist (LMFT) and Licensed Associate Marriage and Family Therapist (LAMFT) application and licensure activity returned to an anticipated rate of growth, although a significant number of LMFTs again retired from practice in FY2021 and FY2022. Minnesota continues to maintain a strong LMFT professional base to meet Minnesotans' mental health needs. The Board is engaged in a comprehensive rule review which will include changes to address licensure portability, increased access to supervision for licensure, electronic therapy and electronic licensure supervision. The addition of a cultural competency continuing education requirement, as well as the Board assuming payment of increased exam fees incurred by approved English Language Learner applicants using additional testing time, demonstrate the Board's commitment to Minnesota's diverse, cultural workforce. Board members



continue to show great dedication to maintaining the high level of training and professionalism denoted by Minnesota MFTs. Board staff continue to provide efficient and accountable government services in support of the Board's work to keep Minnesotans safe.

Jennifer L. Mohlenhoff, Executive Director  
Minnesota Board of Marriage and Family Therapy  
Telephone Number: (612) 617-2220  
Email address: [jennifer.mohlenhoff@state.mn.us](mailto:jennifer.mohlenhoff@state.mn.us)

## GENERAL INFORMATION – MINN. STAT. §214.07, SUBD. 1B(5)

### **Board Members Serving During the Period 7/1/2020—6/30/2022**

Name	Location	Appointment Status	Appointment Date	Reappointment Date (if applicable)	Term Expiration Date
Shonda Craft	Roseville	Higher Education	05/16/2012	05/21/2016 05/17/2020	01/01/2024
Ukasha Dakane	Minneapolis	Public Member	09/19/2018	07/02/2019	01/02/2023
Jessie Everts	White Bear Lake	LMFT	09/19/2018	07/02/2019	01/02/2023
Herbert Grant	Minneapolis	LMFT	06/29/2006	01/04/2010 04/20/2014 02/26/2018	01/03/2022 (inactive)
Kathryn Graves	Minneapolis	Public Member	06/16/2014	02/26/2018 07/03/2022	01/03/2026
Andrea Hendel	Albertville	LMFT	05/21/2016	05/17/2020	01/01/2024
John Seymour	Mankato	LMFT	06/29/2006	01/04/2010 04/20/2014 02/26/2018	01/03/2022 (inactive)

### **Board Staff and Office Location**

Jennifer Mohlenhoff, Executive Secretary  
Nancy O'Brien, Office & Admin Specialist Principle  
Donna Maki, Office & Admin Specialist Intermediate

Minnesota Board of Marriage and Family Therapy  
Randolph Square Building  
335 Randolph Avenue, Suite 260  
St. Paul, MN 55102  
Email: [mft.board@state.mn.us](mailto:mft.board@state.mn.us)  
Website: [mn.gov/boards/marriage-and-family/](https://mn.gov/boards/marriage-and-family/)  
Phone: 612-617-2220

## CREDENTIALS ISSUED OR RENEWED – MINN. STAT §214.07, SUBD. 1B(1)

Total Number of persons licensed or registered as of June 30, 2022: 3023

### Number and Type of Credentials Issued or Renewed

License Type	Licensed or registered as of June 30, 2022	Licensed or registered as of June 30, 2020	Licensed or registered as of June 30, 2018	Licensed or registered as of June 30, 2016	Licensed or registered as of June 30, 2014	Licensed or registered as of June 30, 2012
LMFT	2776	2551	2364	2054	1801	1525
LAMFT	247	308	318	316	306	276
<b>TOTAL</b>	<b>3023</b>	<b>2859</b>	<b>2,682</b>	<b>2370</b>	<b>2107</b>	<b>1801</b>

### Historical Renewal Data by Biennium

#### July 1, 2020-June 30, 2022

Type of License	Number of New Licenses	Number of Renewed Licenses	Number and Percent Renewed Online
LMFT	350	5306	5224 (98%)
LAMFT	254	391	390 (100%)
<b>TOTAL</b>	<b>604</b>	<b>5697</b>	<b>5614 (99%)</b>

#### July 1, 2018-June 30, 2020

Type of License	Number of New Licenses	Number of Renewed Licenses	Number and Percent Renewed Online
LMFT	314	4901	4843 (99%)
LAMFT	232	455	454 (100%)
<b>TOTAL</b>	<b>546</b>	<b>5356</b>	<b>5297 (99%)</b>

#### July 1, 2016-June 30, 2018

Type of License	Number of New Licenses	Number of Renewed Licenses	Number and Percent Renewed Online
LMFT	412	4395	4275 (97%)
LAMFT	301	472	468 (99%)
<b>TOTAL</b>	<b>713</b>	<b>4867</b>	<b>4743 (97%)</b>

#### July 1, 2014-June 30, 2016

Type of License	Number of New Licenses	Number of Renewed Licenses	Number and Percent Renewed Online
LMFT	350	3798	3454 (92%)
LAMFT	324	528	512 (97%)
<b>TOTAL</b>	<b>674</b>	<b>4326</b>	<b>3966 (92%)</b>

#### July 1, 2012-June 30, 2014

Type of License	Number of New Licenses	Number of Renewed Licenses	Number and Percent Renewed Online
LMFT	342	3284	2857 (87%)
LAMFT	333	504	453 (90%)
<b>TOTAL</b>	<b>675</b>	<b>3788</b>	<b>4463 (89%)</b>

**July 1, 2010-June 30, 2012**

Type of License	Number of New Licenses	Number of Renewed Licenses	Number and Percent Renewed Online
LMFT	320	2750	2151 (78%)
LAMFT	315	427	168 (73%)
TOTAL	635	3177	3177 (76%)

**COMPLAINT ACTIVITY – MINN. STAT. §214.07, SUBD. 1B(2), (3)****Complaints Received and Closed by Biennium**

Biennium	Total Number of Complaints Received	Total Number of Complaints Closed
July 1, 2020-June 30, 2022	155	91
July 1, 2018-June 30, 2020	147	142
July 1, 2016-June 30, 2018	145	72
July 1, 2014-June 30, 2016	156	81
July 1, 2012-June 30, 2014	130	89
July 1, 2010-June 30, 2012	63	61

**Number of and Age of Complaints Open at the End of the Period**

<b>Number of Complaints Open as of June 30, 2022</b>	<b>92</b>
Complaints open less than one year as of June 30, 2022	63
Complaints open greater than one year as of June 30, 2022	29

**Types of Complaints received from June 30, 2020 through June 30, 2022:**

The general categories of complaints most commonly received include (1) lack of professional competence/unprofessional conduct; (2) violation of client confidentiality; (3) boundary violation; (4) inappropriate sexual contact; (5) unlicensed or unsupervised practice; and (6) other violation of administrative rule (such as billing impropriety, impairment, dual/multiple relationship). Many complaints contain more than one allegation of illegal, unprofessional, or unethical conduct.

## RECEIPTS, DISBURSEMENTS & MAJOR FEES – MINN. STAT §214.07, 1B(4)

### Total Receipts and Disbursements by Biennium

Biennium	Total Receipts	Total Disbursements
July 1, 2020 – June 30, 2022	\$883,079.00	\$883,909.99
July 1, 2018 – June 30, 2020	\$833,224.25	\$892,696.75
July 1, 2016 – June 30, 2018	\$798,429.44	\$696,933.92
July 1, 2014 – June 30, 2016	\$707,409.98	\$662,262.30
July 1, 2012 – June 30, 2014	\$652,141.83	\$530,647.49
July 1, 2010 – June 30, 2012	\$576,074.91	\$420,759.34

### Fees by Type

Type of Fee	Fee
Application for National Examination	\$110.00
Application for LMFT Licensure	\$110.00
Initial LMFT Annual License Fee	Prorated; not to exceed \$125.00
Annual LMFT Renewal fee	\$125.00
Late fee for annual LMFT Renewal	\$50.00
Application for LMFT Licensure by Reciprocity	\$220.00
Application for LAMFT Licensure	\$75.00
Annual LAMFT Renewal Fee	\$75.00
Late fee for annual LAMFT Renewal	\$25.00
Application for LMFT Emeritus License Status	\$125.00
License Reinstatement	\$150.00
Application for Temporary License (for Military)	\$100.00
Continue Education – Program Sponsor Application	\$60.00
Mailing List purchase	\$60.00
Duplicate License Certificate	\$25.00
Duplicate Renewal Card	\$10.00
Written License Verification	\$10.00
Professional Firm Initial Filing	\$100.00
Professional Firm Annual Renewal	\$25.00

In 2023, the Board will seek a fee increase for application, licensure, and renewal fees to ensure sufficient revenues are received to cover all operational expenses. The Board has not increased any fee for twenty years and the proposed fee increases are necessary to maintain current operations.

## BOARD OF MEDICAL PRACTICE

**The mission of the Minnesota Board of Medical Practice is to protect the public's health and safety by assuring that the people who practice medicine or as an allied health professional are competent, ethical practitioners with the necessary knowledge and skills appropriate to their title and role.**

### **Report of the Executive Director**

**July 1, 2020 – June 30, 2022**

#### **Board of Medical Practice Functions**

- Issue credentials and enforce minimum practice standards for eight health care professions.
- Provide information and education about licensure requirements, procedures and standards of practice to the public, the health care community, and other interested clientele.
- Investigate and resolve complaints against persons regulated by the Board.
- Pursue licensing actions, including disciplinary and corrective actions, against regulated health care professionals, as deemed necessary, based upon the results of investigations conducted in response to complaints.
- Respond to inquiries from the public, health care providers, credentialers, policy and advocacy organizations, and other stakeholders regarding licensure and conduct of applicants, residency permit holders, licensees and unlicensed practitioners.

#### **Major Board of Medical Practice Activities during the Biennium**

In September 2021, the Board relocated from Minneapolis to its new location at: 335 Randolph Avenue, Suite 140, St. Paul, Minnesota, 55102. The Board remains co-located with other health professional regulatory boards.

In response to announcement of the Coronavirus pandemic in March 2020, the Board implemented hybrid telework opportunities in the interest of employee health and safety. The Board also successfully converted to virtual business meetings. The Board anticipates it will continue hybrid work opportunities for business meetings and for its employees.

The Board completed a multi-year project to upgrade its automated license information management system and also implemented other technology enhancements.

Following a number of meetings with interested parties and hearing many personal stories, the Board modified questions on its applications related to disclosure of personal health information.

The Board engaged with groups, both locally and nationally, to explore telehealth expansion.

After much effort, including several amendments to Minnesota's compact law, in 2020, Minnesota became a full participant in the Interstate Medical Licensure Compact (Compact) as a state of principal license and member state. The Compact, enacted in 2014, began issuing expedited licenses in 2017. Minnesota was the eighth state to join the Compact in 2014. The Compact has now grown to 39 member states and territories. Minnesota's Commissioners, appointed by Governor Walz, are Board member, John M. Manahan, J.D., and Executive Director, Ruth Martinez, M.A., CMBE. Mr. Manahan is the chair of the Technology Committee, Ms. Martinez is the chair of the Rules and Administrative Procedures Committee,

and both Commissioners serve on the Executive Committee of the Compact. For more information about the Compact, visit: [www.imlcc.org](http://www.imlcc.org).

### **Legislation**

Despite the challenges of gaining an audience in remote or hybrid legislative processes, the Board remained engaged at the legislature to the best of its ability. The Board was engaged in numerous legislative initiatives made possible by its strong relationships with legislative leaders, policy advocates, and stakeholders.

### **Educational Outreach**

Board members and staff attended the following in-person meetings:

- Interstate Medical Licensure Compact Full Commission Meeting in November 2021 in Denver, CO.
- Federation of State Medical Boards Annual Meeting in April 2022 in New Orleans, LA.

### **Collaborative Initiatives**

The Board continued to serve as the administering board for the Health Professionals Services Program, which serves to provide confidential monitoring of impaired professionals for all health licensing boards and the Minnesota Department of Health. The Board maintains memberships and participates in a number of groups and initiatives, including but not limited to:

- Minnesota Prescription Monitoring Program Advisory Task Force
- Human Services Background Study Eligibility Task Force
- Minnesota Healthcare-Associated Infection Prevention Advisory Group
- Minnesota Primary Care Stakeholders Group
- Minnesota Alliance for Patient Safety
- Interstate Collaboration in Healthcare

### **National Leadership**

The Minnesota Board of Medical Practice continues to provide leadership at the national level.

- Board member Christopher Burkle, M.D., J.D., FCLM, served on the Federation of State Medical Boards (FSMB) Workgroup on Physician Impairment and the United States Medical Licensure Examination (USMLE) State Medical Board Advisory Panel.
- Board member Anjali Gupta, M.B.B.S, M.P.H., served on the FSMB Ethics and Professionalism Committee.
- Board member Jennifer Kendall Thomas, D.O., FAOCPMR, served on the FSMB Education Committee.
- Board member John (Jake) Manahan, J.D., was elected to the FSMB Nominating Committee and served on the FSMB Awards Committee.
- Board member Stuart Williams, J.D., served on the FSMB Editorial Committee.
- Former Board member Joseph Willet, D.O., was elected to the FSMB Board of Directors, Chaired the FSMB Auditing Committee and served on the FSMB Awards Committee, Planning Committee, Education Committee, and Governance Committee.
- Former Board member Jon V. Thomas, M.D., M.B.A., served on the Accreditation Council for Continuing Medical Education and on the USMLE Committee for Individualized Review.
- Executive Director Ruth Martinez served on the FSMB Education Committee and on the USMLE Committee for Individualized Review.

**Goals for 2023-2024**

The Board recognizes opportunities for growth and reflection as the numbers of regulated professionals increases. To better serve its clientele, the Board is seeking to add staff and resources to support its licensing and regulatory enforcement responsibilities. Expedited processing of the Board's work is an ongoing priority to assure a qualified workforce to serve Minnesota patients. Additional on-line services and expanded data security are essential to Board processes.

The Board of Medical Practice rises to expected and unanticipated challenges in health care regulation and delivery. The Board looks forward to embracing and adapting to the demands of an evolving regulatory and professional practice environment.

Ruth M. Martinez, M.A., CMBE  
Executive Director  
Minnesota Board of Medical Practice  
(612) 548-2150  
[Ruth.Martinez@state.mn.us](mailto:Ruth.Martinez@state.mn.us)

## GENERAL INFORMATION – MINN. STAT. §214.07, SUBD. 1B(5)

### Current Board Members (as of 6/30/2022)

Name	Location	Appointment Status	Appointment Date	Reappointment Date (if applicable)	Term Expiration Date
Chaitanya Anand, MBBS	Eagan	Appointment	3/3/2021		1/3/2023
Cheryl L. Bailey, MD	St. Paul	Appointment	9/19/2018		1/4/2025
Pamela Gigi Chawla, M.D.	Minneapolis	Appointment	6/29/2020		1/4/2024
Tenbit Emiru, MD	Plymouth	Appointment	3/3/2021		1/6/2025
Peter J. Henry, MD	Baxter	Appointment	6/22/2022		1/5/2026
Shaunequa B. James, MSW	Ramsey	Appointment	6/29/2020		1/2/2023
Jennifer Y. Kendall Thomas, DO	Blaine	Appointment	6/29/2020		1/4/2023
Kristina M. Krohn, MD	Roseville	Appointment	6/22/2022		1/5/2026
John M. Manahan, JD	Bloomington	Reappointment	9/19/2018	6/22/2022	1/5/2026
Kimberly W. Spaulding, MD	South Haven	Appointment	6/6/2016	6/29/2020	1/1/2024
Bruce Sutor, MD	Rochester	Appointment	6/22/2022		1/6/2025
Karen Thullner	Vadnais Heights	Appointment	6/22/2022		1/5/2026
Averi M. Turner	Minneapolis	Appointment	6/22/2022		1/5/2026
Cherie Zachary, MD	Bloomington	Appointment	1/5/2021		1/6/2025

### Board Members Serving During the Period 7/1/2020—6/30/2022

Name	Location	Appointment Status	Appointment Date	Reappointment Date (if applicable)	Term Expiration Date
Chaitanya Anand, MBBS	Eagan	Appointment	3/3/2021		1/3/2023
Cheryl L. Bailey, MD	St. Paul	Appointment	9/19/2018		1/4/2025
Christopher M. Burkle, MD	Rochester	Appointment	3/11/2017		1/4/2021
Pamela Gigi Chawla, M.D.	Minneapolis	Appointment	6/29/2020		1/4/2024
Tenbit Emiru, MD	Plymouth	Appointment	3/3/2021		1/6/2025
Anjali Gupta, MBBS	Eagan	Reappointment	10/15/2012	5/31/2015	1/7/2019
Peter J. Henry, MD	Baxter	Appointment	6/22/2022		1/5/2026
Shaunequa B. James, MSW	Ramsey	Appointment	6/29/2020		1/2/2023
Jennifer Y. Kendall Thomas, DO	Blaine	Appointment	6/29/2020		1/4/2023
Kristina M. Krohn, MD	Roseville	Appointment	6/22/2022		1/5/2026
Patricia J. Lindholm, MD	Fergus Falls	Reappointment	10/30/2013	6/6/2016	1/6/2020
Kathryn D. Lombardo, MD	Rochester	Reappointment	3/11/2017	7/1/2018	1/3/2022
John M. Manahan, JD	Bloomington	Appointment	9/19/2018		1/3/2022
Allen G. Rasmussen, MA	International Falls	Reappointment	9/29/2014	7/1/2018	1/3/2022
Hugh P. Renier, MD	Duluth	Appointment	9/19/2018		1/3/2022
Kimberly W. Spaulding, MD	South Haven	Appointment	6/6/2016		1/6/2020
Maria K. Statton, MD	Bemidji	Reappointment	10/15/2012	3/11/2017	1/4/2021
Bruce Sutor, MD	Rochester	Appointment	6/22/2022		1/6/2025
Karen Thullner	Vadnais Heights	Appointment	6/22/2022		1/5/2026
Averi M. Turner	Minneapolis	Appointment	6/22/2022		1/5/2026
Stuart T. Williams, JD	Minneapolis	Appointment	9/19/2018		1/3/2022
Cherie Zachary, MD	Bloomington	Appointment	1/5/2021		1/6/2025



**Board Staff and Office Location****Staff Members Serving During the Period 7/1/2020 – 6/30/2022:**

Brian Anderson, Senior Medical Regulations Analyst/Investigator Sr.; Medical Regulations Analyst/Investigator  
Zachary Beare, Legal Analyst; Medical Regulations Analyst/Investigator  
Katharine Bigg, Senior Medical Regulations Analyst/Investigator Sr.  
Wendy Boswell, Licensure Specialist/Office & Administrative Specialist Int.  
Megan Braddock, CRU Assistant/Office & Administrative Specialist Int.  
Abby Brown, Operations Specialist/Office & Administrative Specialist Int.  
Wendi Clarke, Operations & Administration Manager/Office Services Supervisor 2  
Jada Fehn, Legal Analyst  
Lisa Gamer, CRU Assistant/Office & Administrative Specialist/OAS Int.  
Alex Gardner, Licensure Specialist/Office & Administrative Specialist Int.  
Kathleen Harris, Operations & Administration Manager/Office Services Supervisor 2;  
First Impression Operations Specialist/Office & Administrative Specialist Int.  
Karen Helgeson, Sr. Medical Regulations Analyst/Investigator Sr.  
Sharon Hollinrake, Licensure Specialist/Office & Administrative Specialist Int.  
Elizabeth Huntley, Deputy Director  
Cheryl Johnston, ED Admin. Assistant/Office & Administrative Specialist Principal  
Elizabeth Larson, Licensure Unit Manager/Management Analyst Supervisor  
Paul Luecke, Licensure Specialist/Office & Administrative Specialist Principal  
Brandon Lueder, Medical Regulations Analyst/Investigator  
Ruth Martinez, Executive Director  
Rick Reichert, Licensure Specialist/Office & Administrative Specialist Int.  
Michael Reinhardt, Operations Specialist/Office & Administrative Specialist Int.  
Conrad Sexe, Licensure Case Manager/Investigator  
Bryan Shirley, Sr. Medical Regulations Analyst/Investigator Sr.  
Erin Sully, Medical Regulations Analyst/Investigator  
Tama Trinka, Sr. Medical Regulations Analyst/Investigator Sr.  
Kathryn Van Etta-Olson, Complaint Review Unit Manager/Investigator Supervisor  
Anthony Wijesinha, Medical Regulations Analyst/Investigator  
Mitchell Wollin, Medical Regulations Analyst/Investigator; CRU Assistant/Office & Administrative Specialist Int.  
Eden (Scarver) Young, ED Admin. Assistant/Office & Administrative Specialist Principal/Operations Specialist/Office & Administrative Specialist Int.

**Current Staff Members (as of June 30, 2022)**

Brian Anderson, Senior Medical Regulations Analyst/Investigator Sr.  
Zachary Beare, Legal Analyst  
Wendy Boswell, Licensure Specialist/Office & Administrative Specialist Int.  
Megan Braddock, CRU Assistant/Office & Administrative Specialist Int.  
Abby Brown, Operations Specialist/Office & Administrative Specialist Int.  
Alex Gardner, Licensure Specialist/Office & Administrative Specialist Int.  
Kathleen Harris, Operations & Administration Manager/Office Services Supervisor 2  
Sharon Hollinrake, Licensure Specialist/Office & Administrative Specialist Int.  
Elizabeth Huntley, Deputy Director

Elizabeth Larson, Licensure Unit Manager/Management Analyst Supervisor  
Paul Luecke, Licensure Specialist/Office & Administrative Specialist Principal  
Brandon Lueder, Medical Regulations Analyst/Investigator  
Ruth Martinez, Executive Director  
Rick Reichert, Licensure Specialist/Office & Administrative Specialist Int.  
Michael Reinhardt, Operations Specialist/Office & Administrative Specialist Int.  
Conrad Sexe, Licensure Case Manager/Investigator  
Bryan Shirley, Sr. Medical Regulations Analyst/Investigator Sr.  
Erin Sully, Medical Regulations Analyst/Investigator  
Kathryn Van Etta-Olson, Complaint Review Unit Manager/Investigator Supervisor  
Anthony Wijesinha, Medical Regulations Analyst/Investigator  
Mitchell Wollin, Medical Regulations Analyst/Investigator; CRU Assistant/Office & Administrative Specialist Int.  
Eden (Scarver) Young, ED Admin. Assistant/Office & Administrative Specialist Principal/Operations Specialist/Office & Administrative Specialist Int.

**Location**

Minnesota Board of Medical Practice  
Randolph Square Building  
335 Randolph Avenue, Suite 140  
St. Paul, MN 55102  
Phone: 612-617-2130  
FAX: 612-617-2166  
Email: [medical.board@state.mn.us](mailto:medical.board@state.mn.us)  
Website: <https://mn.gov/boards/medical-practice/>

## CREDENTIALS ISSUED OR RENEWED – MINN. STAT. §214.07, SUBD 1B(1)

Total Number of persons licensed or registered as of June 30, 2022: 38,520

### Number and Type of Credentials Issued or Renewed

License Type	Licensed or registered as of June 30, 2022	Licensed or registered as of June 30, 2020	Licensed or registered as of June 30, 2018	Licensed or registered as of June 30, 2016	Licensed or registered as of June 30, 2014	Licensed or registered as of June 30, 2012
Acupuncturist	661	652	615	595	490	470
Athletic Trainer	1,341	1,271	1,181	992	884	738
Genetic Counselor	480	351	180	N/A	N/A	N/A
Traditional Midwife	55	50	42	32	28	17
Naturopathic Doctor	98	88	78	58	50	33
Physician Assistant	3,932	3,515	1,972	2,522	2,230	1,941
Physician	26,937	25,532	24,080	22,593	21,993	20,405
Respiratory Therapist	2,227	2,176	2,084	1,976	1,941	1,814
Telemedicine	812	727	711	653	639	448
Resident Permit	1,977	1,837	1,503	944	661	833
<b>TOTAL</b>	<b>38,520</b>	<b>36,199</b>	<b>32,446</b>	<b>30,365</b>	<b>28,916</b>	<b>26,699</b>

### Historical Renewal Data by Biennium

**July 1, 2020-June 30, 2022**

Type of License/Credential	Number of New Licenses	Number of Renewed Licenses	Number and Percent Renewed Online
Acupuncturist	68	1,121	1,114 (99.4%)
Athletic Trainer	266	2,391	2,387 (99.8%)
Genetic Counselor	167	739	736 (99.6%)
Traditional Midwife	12	88	87 (98.9%)
Naturopathic Doctor	13	173	172 (99.4%)
Physician Assistant	708	6,106	6,095 (99.8%)
Physician	4,332	47,550	47,301 (99.5%)
Respiratory Therapist	382	3,677	3,658 (99.5%)
Telemedicine	278	1,404	1,401 (99.8%)
Residency Permit	1,697	N/A	N/A
<b>TOTAL</b>	<b>7,923</b>	<b>63,249</b>	<b>62,951 (99.5%)</b>

**July 1, 2018-June 30, 2020**

Type of License/Credential	Number of New Licenses	Number of Renewed Licenses	Number and Percent Renewed Online
Acupuncturist	88	1,183	1,170 (98.90%)
Athletic Trainer	242	2,222	2,216 (99.73%)
Genetic Counselor	181	470	464 (98.72%)
Traditional Midwife	14	84	84 (100%)
Naturopathic Doctor	19	158	160 (98.75%)
Physician Assistant	697	6,264	6,252 (99.83%)
Physician	3,760	46,432	46,083 (99.25%)
Respiratory Therapist	279	3,986	3,964 (99.45%)
Telemedicine	189	1,260	1,252 (99.36%)
Residency Permit	1,729	N/A	N/A
<b>TOTAL</b>	<b>7,198</b>	<b>62,059</b>	<b>61,645 (99.33%)</b>

**July 1, 2016-June 30, 2018**

Type of License/Credential	Number of New Licenses	Number of Renewed Licenses	Number and Percent Renewed Online
Acupuncturist	61	1,168	1,150 (98.5%)
Athletic Trainer	320	2,026	2,020 (99.7%)
Genetic Counselor	180	51	N/A
Traditional Midwife	16	76	76 (100%)
Naturopathic Doctor	25	118	118 (100%)
Physician Assistant	626	5,336	5,317 (99.64%)
Physician	3,528	44,673	44,151 (98.8%)
Respiratory Therapist	298	3,854	3,811 (98.9%)
Telemedicine	221		
Residency Permit	1,571	N/A	N/A
<b>TOTAL</b>	<b>6,839</b>	<b>58,518</b>	<b>57,857 (98.9)</b>

**July 1, 2014-June 30, 2016**

Type of License/Credential	Number of New Licenses	Number of Renewed Licenses	Number and Percent Renewed Online
Acupuncturist	95	1,058	1,020 (96%)
Athletic Trainer	245	1,706	1,670 (98%)
Traditional Midwife	13	42	42 (100%)
Naturopathic Doctor	13	102	101 (99%)
Physician Assistant	566	4,444	4,411 (99%)
Physician	2,787	42,410	41,428 (98%)
Respiratory Therapist	1,429	3,640	3,568 (98%)
Telemedicine	221	1,083	1,078 (100%)
Residency Permit	1,429	N/A	N/A
<b>TOTAL</b>	<b>6,798</b>	<b>54,485</b>	<b>53,318 (98%)</b>

**July 1, 2012-June 30, 2014**

Type of License/Credential	Number of New Licenses	Number of Renewed Licenses	Number and Percent Renewed Online
Acupuncturist	102	1,075	896 (83%)
Athletic Trainer	216	1,730	1,400 (81%)
Traditional Midwife	11	55	35 (64%)
Naturopathic Doctor	19	92	39 (42%)
Physician Assistant	465	4,293	3,755 (87%)
Physician	2,849	43,336	40,481 (93%)
Respiratory Therapist	258	3,816	3,430 (90%)
Telemedicine	274	1,184	855 (72%)
Residency Permit	1,533	N/A	N/A
<b>TOTAL</b>	<b>5,727</b>	<b>55,581</b>	<b>50,891 (92%)</b>

**July 1, 2010-June 30, 2012**

Type of License/Credential	Number of New Licenses	Number of Renewed Licenses	Number and Percent Renewed Online
Acupuncturist	88	993	632 (63%)
Athletic Trainer	175	1,509	993 (66%)
Traditional Midwife	5	33	N/A
Naturopathic Doctor	16	62	N/A
Physician Assistant	387	3,609	2,553 (71%)
Physician	2,346	41,134	34,559 (84%)
Respiratory Therapist	198	3,628	2,768 (76%)
Telemedicine	197	869	201 (23%)
Residency Permit	1,717	N/A	N/A
<b>TOTAL</b>	<b>5,129</b>	<b>51,837</b>	<b>41,697 (80%)</b>

## COMPLAINT ACTIVITY – MINN. STAT. §214.07, SUBD. 1B(2), (3)

### Complaints Received and Closed by Biennium

Biennium	Total Number of Complaints Received	Total Number of Complaints Closed
July 1, 2018-June 30, 2022	1,771	1,684
July 1, 2018-June 30, 2020	1,835	1,731
July 1, 2016-June 30, 2018	1,930	1,726
July 1, 2014-June 30, 2016	1,562	1,872
July 1, 2012-June 30, 2014	1,514	1,718
July 1, 2010-June 30, 2012	1,614	1,603

### Number of and Age of Complaints Open at the End of the Period

<b>Number of Complaints Open as of June 30, 2020</b>	<b>864</b>
Complaints open less than one year as of June 30, 2022	623
Complaints open greater than one year as of June 30, 2022	241

### Types of Complaints Received

Basis of complaints*	Number of complaints alleging this basis received 2020-2022	Number of complaints alleging this basis received 2018-2020	Number of complaints alleging this basis received 2016-2018
Actions by another jurisdiction	302	207	170
Incompetency / unethical conduct	1,211	1,119	1,286
Unprofessional conduct	1,061	1,049	1,300
Illness	137	73	66
Non-jurisdictional	75	88	116
Medical records management	232	218	186
Becoming addicted or habituated	160	94	72
Prescribing	479	386	481
Sexual misconduct	42	64	37
Other	526	454	206

\*Some complaints allege more than one basis

## RECEIPTS, DISBURSEMENTS & MAJOR FEES – MINN. STAT §214.07, 1B(4)

### Total Receipts and Disbursements by Biennium

Biennium	Total Receipts	Total Disbursements
July 1, 2020 – June 30, 2022	\$13,669,779	\$10,640,999
July 1, 2018 – June 30, 2020	\$13,084,970	\$8,425,468
July 1, 2016 – June 30, 2018	\$18,057,981	\$11,719,220
July 1, 2014 – June 30, 2016	\$11,445,514	\$7,962,117
July 1, 2012 – June 30, 2014	\$10,847,180	\$7,796,647
July 1, 2010 – June 30, 2012	\$10,181,278*	\$7,449,684

\*Including 10% license / application fees for Minnesota Office of Enterprise Technology e-licensing surcharge

**Fees by Type**

Type of fee	Fee
Acupuncture Annual License	150.00
Acupuncture applications	150.00
Acupuncture Certification Fees	25.00
Acupuncture Late Fees	50.00
Acupuncture Temporary Permit	60.00
Acupuncture Inactive Status Fee	50.00
Athletic Trainer Annual Registration	100.00
Athletic Trainer Application	50.00
Athletic Trainer Temp Permit	50.00
Athletic Trainer Certification	25.00
Athletic Trainer Late Fee	15.00
Athletic Trainer Temp Registration	100.00
Genetic Counselor Annual License	150.00
Genetic Counselor Application	200.00
Genetic Counselor Certification Fee	25.00
Genetic Counselor Late Fee	25.00
Midwifery Certification Fee	25.00
Midwifery Late Fee	75.00
Midwifery Temporary Permit Fee	75.00
Midwifery Licensure & Renewal Fee	100.00
Midwifery Inactive Status Fee	50.00
Midwifery Application Fee	100.00
Physician Annual License	192.00
Physician Application Fee	200.00
Physician Temporary License	60.00
Physician Endorsement Fees	40.00
Physician Certification Fees	25.00
Physician Late Fees	60.00
Residency Permit	20.00 / 15.00
Emeritus Registration	50.00
Naturopathic Certification Fee	25.00
Naturopathic Application Fee	200.00
Naturopathic Registration Renewal Fee	150.00
Naturopathic Late Fee	75.00
Naturopathic Inactive Status	50.00
Naturopathic Temporary Permit	25.00
Naturopathic Emeritus	50.00
Physician Assistant (PA) Annual License with Prescribing	135.00
PA Application Fees	120.00
PA Certification Fee	25.00
PA Late Fees	50.00
PA Annual License Without Pres.	115.00
PA Temporary License	60.00
Respiratory Therapists (RT) License	90.00
RT Application Fee	100.00
RT Certification Fee	25.00
RT Temporary Permit	60.00
RT Late Fee	50.00

Type of fee	Fee
RT Inactive Status	50.00
Telemedicine Application Fee	100.00
Telemedicine Registration Fee	75.00
Telemedicine Certification Fee	25.00
Civil Penalties	Various
Miscellaneous Service Charges, Copies	Various
Duplicate Extension Letter / License Requests	20.00
Education / Training Program Approval	1,000.00
Competitive Athletic Event Registration	50.00
Medical Corp Annual Reg	25.00
Corporation Application	100.00
Report Generation (per hour)	60.00



## BOARD OF NURSING

**The mission of the Minnesota Board of Nursing is to protect the public's health and safety through regulation of nursing education, licensure and practice.**

### **Report of the Executive Director**

**July 1, 2020 – June 30, 2022**

The 2020-2022 biennial report for the Board of Nursing reflects the profound effect of the COVID-19 pandemic on the responsibilities and business activities of the Board. The initial impact of the pandemic began in March 2020 with the Governor's issuance of Executive Order 20-01 declaring a peacetime emergency. All state agencies were ordered to support healthcare providers and public health organizations, to work with nurses on the front lines in caring for those afflicted with COVID-19 and to carry out measures to educate and prevent infection in addition to providing essential nursing services to the general population.

The COVID-19 pandemic brought into focus the widespread shortage of registered nurses (RN), licensed practical nurses (LPN), and varied shortages of Advanced Practice Registered Nurses (APRN) in Minnesota. Executive Order 20-46, signed April 25, 2020, authorized out-of-state nurses to render professional aid in Minnesota without securing a license during the peacetime emergency. EO 20-46 also allowed for the extension of temporary permits. The Board does not have an accurate accounting of the number of nurses without Minnesota licenses or with extended permits who practiced in Minnesota during this time. On May 27, 2021, EO 20-46 was rescinded requiring nurses with out of state licenses to obtain Minnesota licenses by July 27, 2021, to continue practice in Minnesota. The two-month timeframe for licensing of the health professionals impacted by the rescission of the executive order stretched capacity of the Board and the Criminal Background Check Program to complete application reviews and avert gaps in authorization to practice.

In the fall of 2021, a critical shortage of nurses in long term care facilities resulted in the deployment of Minnesota National Guards personnel to provide health-related services. In response to this crisis, the Governor's Office and the Minnesota Department of Health recruited and organized groups of nurses from other jurisdictions to provide nursing services in Minnesota long term care facilities. In coordination with MDH, the Board of Nursing issued approximately 200 temporary practice permits to the recruited nurses over two-to-seven-day spans to meet the needs of the long-term care facilities.

Preparing for nursing workforce disruption (strike) dominated the second half of FY2022. During January and February 2022, the Board received approximately double the number of applications for RN licensure by endorsement from nurses licensed in other states and nurse staffing agencies. By April 2022, the number of RN endorsement applications had quadrupled and on May 1, 2022, an informational strike was held by the nurses' union. From January 1, 2022, to June 30, 2022, the Board received an unprecedented number of 10,341 RN endorsement applications, a number significantly higher than the 2,873 RN endorsement applications received January through June of 2021.

Nurses care for people across the lifespan during vulnerable moments and in settings as varied as homes, schools, hospitals, prisons, clinics and nursing homes. Individuals and families expect nurses to be competent and ethical. The Minnesota Board of Nursing is committed to its statutory obligation to protect the public's health and welfare by regulating and ensuring safe practice of nursing in Minnesota.

Throughout the 2020-2022 biennium, the Board of Nursing and agency staff have worked tirelessly to transition to virtual and hybrid platforms and to meet the numerous challenges that have presented themselves. In addition, the Board is investigating initiatives to retain nurses and remediate nursing practice errors while minimizing interruptions in practice.

Kimberly Miller, MC, BSN, RN  
Executive Director  
Minnesota Board of Nursing  
[nursing.board@state.mn.us](mailto:nursing.board@state.mn.us)

## GENERAL INFORMATION – MINN. STAT. §214.07, SUBD. 1B(5)

### Board Members Serving During the Period 7/1/2020—6/30/2022

Name	Location	Appointment Status	Appointment Date	Reappointment Date	Term Expiration Date
Jacob Anderson	Minneapolis	Public Member	7/1/2020	N/A	1/2/2023
Kaleeca Bible	Minneapolis	RN	12/8/2020	N/A	1/2/2023
Laura Elseth	Eden Prairie	LPN	7/1/2020		1/3/2022*
Julie Frederick	Mankato	RN	6/27/2017	6/1/2021	1/6/2025 Resigned 5/2022
Sakeena Futrell-Carter	St. Paul	APRN	6/29/2016	7/1/2020	1/1/2024 Resigned 3/2022
Becky Gladis	Chatfield	LPN	6/30/2015	7/1/2019	1/2/2023 Resigned 3/2022
Michelle Harker	Apple Valley	Public member	6/24/2014	7/1/2019	1/1/2023 Resigned 9/2021
Lynette How	Cohasset	RN	6/23/2021	2/16/2022	1/5/2026
David Jiang	Rochester	Public Member	6/23/2021	2/16/2022	1/5/2026
Rhonda Johnson	Tyler	LPN	7/1/2020	N/A	1/1/2024
Latasha Lee	Minneapolis	RN	9/28/2020	N/A	1/1/2024
Katherine Lynch	Roseville	LPN	7/23/2021	N/A	1/6/2025
June McLachlan	Hermantown	RN	6/24/2014	7/1/2018	1/3/2022 Resigned 8/2020
Robert Muster	Minnetonka	RN	6/30/2015	7/1/2019	1/2/2023
Rui Pina	Farmington	RN	6/27/2017	6/1/2021	1/6/2025
Sarah Simons	Minneapolis	RN	7/1/2020	N/A	1/1/2024
Pa Chua Vang	St. Paul	LPN	6/27/2017	N/A	1/4/2021
Laurie Warner	North Branch	Public member	6/27/2017	1/4/2021	1/6/2025 Resigned 4/2022

\*Continued to serve as of 6/30/2022. Per MN Statute 214.09 Subd.2., a member may serve until a successor is appointed and qualifies.

The Board held twelve board meetings during the 2020-2022 biennium and spent approximately 2,612 board member hours on meetings and other Board activities.

**Board Staff and Office Location**

The Board of Nursing has 33 employees and conducts business through five major service areas: credentialing, education, discipline/complaint resolution, nursing practice, and data.

**Administration**

- 1 Executive Director
- 1 Executive Assistant

**Licensing/Credentialing**

- 1 Director of Operations
- 2 Operations Program Assistants
- 10 Customer Service Specialists

**Education**

- 1 Director for Education
- 1 Nursing Education Specialist
- 1 Education Program Assistant

**Nursing Practice/Discipline**

- 6 Nursing Practice Specialists
- 3 Legal Analysts
- 2 Paralegals
- 5 Administrative Assistants

**Compliance**

- 1 Nursing Regulations Analyst

**Data Management**

- 1 Data Systems Manager

Minnesota Board of Nursing  
1210 Northland Drive Suite #120  
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Website: [mn.gov/boards/nursing/](http://mn.gov/boards/nursing/)

## CREDENTIALS ISSUED OR RENEWED – MINN. STAT. §214.07, SUBD. 1B(1)

Total Number of persons licensed or registered as of June 30, 2022: 176,216

### Number and Type of Credentials Issued or Renewed

License Type	Licensed or registered as of June 30, 2022	Licensed or registered as of June 30, 2020	Licensed or registered as of June 30, 2018	Licensed or registered as of June 30, 2016	Licensed or registered as of June 30, 2014	Licensed or registered as of June 30, 2012
RN	122,247	116,220	109,251	101,531	93,872	88,625
LPN	19,105	20,580	21,512	22,694	23,603	24,028
PHN Certificates	23,743	21,506	18,616	16,467	15,028	13,530
APRN, CNP	7,944	6,768	5,596	4,478	3,864	3,067
APRN, CRNA	2,217	2,184	2,068	1,887	1,794	1,696
APRN, CNS	451	479	514	493	548	526
APRN, CNM	407	374	343	297	282	258
Border State Registrants	102	123	139	182	191	235
<b>TOTAL</b>	<b>176,216</b>	<b>168,234</b>	<b>158,039</b>	<b>148,029</b>	<b>139,182</b>	<b>131,965</b>

### Historical Renewal Data by Biennium

#### July 1, 2020-June 30, 2022

Type of License/Credential	Number of New Licenses	Number of Renewed Licenses	Number and Percent Renewed Online
RN	17,474	106,619	105,006 (98.4%)
LPN	2,258	16,911	16,264 (96.2%)
RN Permit	12,888	N/A	N/A
LPN Permit	680	N/A	N/A
PHN Certificates	2,507	N/A	N/A
APRN, CNP	1,756	6,613	6,591 (99.7%)
APRN, CRNA	237	2,015	2,006 (99.6%)
APRN, CNS	25	429	421 (98.1%)
APRN, CNM	67	355	351 (98.9%)
<b>TOTAL</b>	<b>37,892</b>	<b>132,942</b>	<b>130,639 (98.3%)</b>

#### July 1, 2018-June 30, 2020

Type of License/Credential	Number of New Licenses	Number of Renewed Licenses	Number and Percent Renewed Online
RN	16,980	101,842	99,542 (98%)
LPN	2,541	18,256	17,298 (95%)
RN Permit	8,482	N/A	N/A
LPN Permit	685	N/A	N/A
PHN Certificates	2,632	N/A	N/A
APRN, CNP	1,586	5,585	5,541 (99%)
APRN, CRNA	267	1,988	1,972 (99%)
APRN, CNS	34	453	440 (97%)
APRN, CNM	66	327	322 (98%)
<b>TOTAL</b>	<b>33,273</b>	<b>128,451</b>	<b>125,115 (97%)</b>

**July 1, 2016-June 30, 2018**

Type of License/Credential	Number of New Licenses	Number of Renewed Licenses	Number and Percent Renewed Online
RN	17,694	95,040	91,787 (97%)
LPN	2,662	18,896	17,756 (94%)
RN Permit	6,222	N/A	N/A
LPN Permit	486	N/A	N/A
PHN Certificates	2,419	N/A	N/A
APRN, CNP*	1,334	4,705	4,466 (95%)
APRN, CRNA*	285	1,845	1,766 (96%)
APRN, CNS*	44	483	428 (89%)
APRN, CNM*	61	303	280 (92%)
<b>TOTAL</b>	<b>31,207</b>	<b>121,272</b>	<b>116,483 (96%)</b>

\*2016-2018 First Biennium APRN Renewal Data Available.

**July 1, 2014-June 30, 2016**

Type of License/Credential	Number of New Licenses	Number of Renewed Licenses	Number and Percent Renewed Online
RN	15,849	87,633	83,260 (95%)
LPN	3173	19,693	18,247 (93%)
RN Permit	4820	N/A	N/A
LPN Permit	394	N/A	N/A
PHN Certificates	2184	N/A	N/A
APRN, CNP	4478	2258	N/A
APRN, CRNA	1887	960	N/A
APRN, CNS	493	259	N/A
APRN, CNM	297	150	N/A
<b>TOTAL</b>	<b>33,575</b>	<b>110,953</b>	<b>101,507 (94%)</b>

**July 1, 2012-June 30, 2014**

Type of License/Credential	Number of New Licenses	Number of Renewed Licenses	Number and Percent Renewed Online
RN	12,694	82,884	78,079 (94%)
LPN	3,759	20,248	18,202 (90%)
RN Permit	3,918	N/A	N/A
LPN Permit	392	N/A	N/A
PHN Certificates	2,038	N/A	N/A
APRN, CNP	3,864	N/A	N/A
APRN, CRNA	1,794	N/A	N/A
APRN, CNS	548	N/A	N/A
APRN, CNM	282	N/A	N/A
<b>TOTAL</b>	<b>25,905</b>	<b>103,132</b>	<b>96,281 (93%)</b>

**July 1, 2010-June 30, 2012**

Type of License/Credential	Number of New Licenses	Number of Renewed Licenses	Number and Percent Renewed Online
RN	12,026	77,472	71,802 (93%)
LPN	3,839	20,383	17,715 (87%)
RN Permit	3,770	N/A	N/A
LPN Permit	457	N/A	N/A
PHN Certificates	1,578	N/A	N/A
APRN, CNP	3,067	N/A	N/A
APRN, CRNA	1,696	N/A	N/A
APRN, CNS	526	N/A	N/A
APRN, CNM	369	N/A	N/A
TOTAL	27,217	97,855	89,517 (92%)

**COMPLAINT ACTIVITY – MINN. STAT. §214.07, SUBDS. 1B(2), (3)**
**Complaints Received and Closed by Biennium**

Biennium	Total Number of Complaints Received	Total Number of Complaints Closed
July 1, 2020-June 30, 2022	1,892	1,573
July 1, 2018-June 30, 2020	2,599	2,270
July 1, 2016-June 30, 2018	2,807	2,857
July 1, 2014-June 30, 2016	2,146	2,423
July 1, 2012-June 30, 2014	3,291	3,709
July 1, 2010-June 30, 2012	1,745	1,805

**Number of and age of complaints open at the end of the period**

<b>Number of Complaints Open as of June 30, 2022</b>	<b>1005</b>
Complaints open less than one year as of June 30, 2022	685
Complaints open greater than one year as of June 30, 2022	320

The Board received 707 fewer complaints in this biennium than in the previous biennium. This finding has been reported by other Boards of Nursing during the same timeframe. The Board has closed fewer complaints than were received with approximately the same number of complaints remaining open at the end of this biennium as that of the previous. The average time to resolve complaints has increased to around 250 days. Factors affecting complaint resolution cycle time include, but not limited to, transition to a new case management system, logistics for replacing in-person investigatory meetings, length of time to schedule hearings, requests for continuance, criminal proceedings, duration of investigations, delayed response to subpoenas for records and staff vacancies. The types of complaints have remained relatively consistent with some variance in the types of complaints recorded being associated with personnel and case management changes.

**Types of Complaints Received During Biennium**

Basis of complaints received*	Number of complaints alleging this basis in 2020-2022	Number of complaints alleging this basis in 2018-2020	Number of complaints alleging this basis in 2016-2018
Failure to practice with reasonable skill and safety	502	670	683
Actual or potential inability to practice nursing safely due to the use of substances or mental or physical health condition	364	492	525
Action in another jurisdiction	71	112	256
Failure to demonstrate qualification for licensure or fraud/deceit in obtaining a license	18	147	137
Unauthorized practice, including practicing without current registration, without informing the board of APRN certification and practicing without a license	10	57	54
Unprofessional conduct	241	164	171
Violation of a board order	61	58	83
Failure to practice Advanced Practice nursing with reasonable skill and safety	85	102	134
Failure to successfully complete the Health Professionals Services Program	54	104	68
Criminal conviction	39	155	90
Tax delinquency	21	22	127
Unethical conduct, including use of undue influence to the benefit of the nurse	150	174	80
Improper management of patient records or privacy violations	29	35	36
Sexual Misconduct	22	15	23
All others	250	292	257

\*Some complaints allege more than one basis



## GENERAL PROGRAM INFORMATION – MINN. STAT. §214.07, SUBD. 1B(5)

### Nursing Education Program Approval

The Board promotes excellence of nursing education standards and approved nursing education programs by monitoring program graduate success rates on the national nurse licensure examination; facilitating innovative approaches to address nursing workforce and nursing faculty shortages; providing evidenced-based rule proposals to address limited clinical site availability through simulation; providing consultation to nursing education programs regarding national nurse licensure examination (NCLEX®) pass rates; developing and conducting research to promote a safe, competent and sufficient nursing workforce; and advising prospective students regarding educational tracks and scholarships. The Board has established requirements for initial and continuing approval of pre-licensure preparing nursing programs including practical nursing, professional nursing and advanced practice nursing.

### Approved Licensure-Preparing Programs

Type and Number of Nursing Programs	As of June, 2022	As of June, 2020
Practical	24	25
Professional – Associate	26	25
Professional – Baccalaureate	22	20
Professional – Master's	4	3
Advanced Practice Registered Nurse	14	12
<b>TOTAL</b>	<b>90</b>	<b>85</b>

### Graduates of Licensure Programs

Number of Graduates	FY 2021	FY 2020	FY 2019	FY 2018	FY 2017	FY 2016	FY 2015	FY 2014
Practical	1017	1067	1101	1146	1180	1260	1404	1761
Professional – Associate	2307	2286	2308	2245	2347	2389	2202	1981
Professional – Baccalaureate	1440	1320	1209	1107	1060	1101	1062	1084
Professional – Master's	136	137	134	135	125	119	65	89
Advanced Practice	3563	3650	3009	4754	2108	n/a	n/a	n/a
<b>TOTAL</b>	<b>8,463</b>	<b>8,460</b>	<b>7,761</b>	<b>9,387</b>	<b>6,820</b>	<b>4,869</b>	<b>4,733</b>	<b>4,915</b>

### Initial Program Approval of Licensure-Preparing Nursing Programs

Programs	FY 2020 – FY 2021	FY 2019 – FY 2020	FY 2018 – FY 2019	FY 2017 – FY 2018	FY 2016 – FY 2017	FY 2015 – FY 2016
Practical	0	0	0	0	0	0
Professional – Associate	0	1	0	0	0	0
Professional– Baccalaureate	1	1	0	0	2	0
Professional – Master's	1	0	0	0	0	0
Advanced Practice	0	2	0	0	12	0
<b>TOTAL</b>	<b>2</b>	<b>4</b>	<b>0</b>	<b>0</b>	<b>14</b>	<b>0</b>

### **Continuing Program Approval of Approved Licensure-Preparing Nursing Programs**

Programs	FY 2020 – FY 2021	FY 2019 – FY 2020	FY 2018 – FY 2019	FY 2017 – FY 2018	FY 2016 – FY 2017	FY 2015 – FY 2016
Practical	1	4	15	18**	1	6*
Professional	8	5	11	16**	7	12*
Advanced Practice	3	4	0	0	0	0
Total	12	13	26	34	8	18

\* Number includes 1 practical program with established nursing programs on 5 campuses, now approved as 5 individual nursing programs and 1 professional program with established programs on 5 campuses, now approved as 5 individual programs.

\*\*Number includes 17 practical and 12 professional programs granted continued approval on 6/7/18 in accordance to program approval rules for those programs achieving accreditation candidacy status.

On-site surveys, collection and review of annual reports, review of improvement plans submitted by programs, decisions of national nursing accreditation bodies, and analysis of the National Council Licensure Examination (NCLEX®) data were used to evaluate each nursing education programs' compliance with statutes and rules. Analysis of all available data provides a basis for the education reports submitted to the Board for decision-making.

The Board requires oversight of programs that have first-time licensure examination (NCLEX®) success rates at 75% or below for a calendar year. During calendar years 2018 and 2019, thirteen nursing programs were below the minimum standard for 1, 2 or 3 years. The following table presents a comparison of programs below minimum standard for the prior six calendar years.

### **Programs Below Minimum Standard by Calendar Year**

Year(s) below minimum standard	2021	2020	2019	2018	2017	2016
1 year	9 (6 PN, 2 ADN, 1 BSN)	8 (2 PN, 2 ADN, 4 BSN)	4 (1 PN, 2 ADN, 1 Baccalaureate)	5 (2 PN, 2 ADN, 1 Baccalaureate)	8 (3 PN, 3 ADN, 2 Baccalaureate)	6 (2PN, 2ADN, 1 Baccalaureate, 1 Master's entry)
2 consecutive years	2 (2 ADN)	2 (2 ADN)	1 (Baccalaureate)	3 (1 PN, 1 ADN, 1 Baccalaureate)	2 (1 PN, 1 ADN)	5 (1 PN, 4 ADN)
3 consecutive years	2 (2 ADN)	1 (1 PN)	2 (1 PN, 1 Baccalaureate)	1 (PN)	2 (2 ADN)	0
Total	13	11	7	9	12	11

All programs with first-time NCLEX® candidate success rates of 75 percent or less within a calendar year submitted plans of corrective action, as required by rule. For programs below minimum standard for two consecutive calendar years, the Board conducted an on-site survey to determine progress on the previously submitted plan. Following the survey, revised plans of corrective action were submitted as required by rule. An on-site survey for compliance with all applicable rules and for the implementation of

the revised plan of corrective action was required for the program below minimum standard for three consecutive calendar years.

### **Summary of New Rules Related to Nursing Education and Credentialing**

New program approval rules were promulgated in 2011. These rules require all programs to hold national accreditation or accreditation candidacy. All MN approved nursing programs achieved accreditation by 2020. Other key elements, such as compliance with submission of a designated minimum data set and meeting identified NCLEX® first-time success rates, continue as elements of regulatory oversight. No new rules were adopted related to nursing education or credentialing. COVID had a negative impact on clinical access. Programs utilized a variance petition process to exceed the 50% threshold of replacement of traditional clinical by use of high-fidelity simulation.

## **RECEIPTS, DISBURSEMENTS & MAJOR FEES – MINN. STAT §214.07, 1B(4)**

### **Total Receipts and Disbursements by Biennium**

Biennium	Total Receipts	Total Disbursements
July 1, 2020 – June 30, 2022	\$17,203,522	\$9,039,989
July 1, 2018 – June 30, 2020	\$17,979,016	\$12,658,104
July 1, 2016 – June 30, 2018	\$13,285,603	\$11,029,345
July 1, 2014 – June 30, 2016	\$13,260,405	\$10,358,110
July 1, 2012 – June 30, 2014	\$11,552,309	\$9,374,288
July 1, 2010 – June 30, 2012	\$10,854,823	\$8,483,257

### **Fees by Type (effective January 1, 2019)**

Type of Fee	Fee
APRN Initial Licensure	\$105
Border State Registry- Notice of Employment Change to remain or be reinstated on the registry	\$50
RN, LPN Licensure by Examination	\$105
RN, LPN Re-Examination	\$60
RN, LPN Licensure by Endorsement	\$105
RN, LPN, APRN Registration Renewal	\$85
RN, LPN, APRN Re-Registration	\$105
RN, LPN Border State Registry	\$50
Public Health Nurse Registration	\$30
Replacement License Certificate	\$20
Replacement Public Health Nurse Registration Certificate	\$20
Verification of Licensure Status other than through Nursys	\$20
Verification of Examination Scores	\$20
Copy of Microfilmed Licensure Application Materials	\$20
Nursing Business Registration Initial Application	\$100
Nursing Business Registration Annual Application	\$25
Practicing without current RN and LPN registration	Two times the current registration renewal fee (\$85) for any part of the first calendar month, plus the current registration renewal fee (\$85) for any part of any subsequent month, up to 24 months.

Practicing without current APRN license and certification or recertification	\$200 for the first month or part of a month and an additional \$100 for each subsequent month or parts of months of practice, up to 24 months.
Auto Verification Service Subscription Note: A subscription lasts for one year from the date that the subscription payment is received by the Board of Nursing. During the year, subscribers can add and delete licensees from the subscription. The maximum number of licensees in a subscription is the number of licensees tracked at any one time.	\$100 1-100 Licenses \$225 101-500 Licenses \$350 501-1000 Licenses \$475 1001-2000 Licenses \$600 2001-3000 Licenses \$725 3001-4000 Licenses \$850 4001-5000 Licenses \$1000 5000+ Licenses
Data Requests, Public Data – up to 100 pages, black and white, letter- or legal-size paper Note: The Board will charge only if fees exceed \$15.00.	25 cents per page copied
Data Requests, Public Data – over 100 paper copies, copies of photographs, audiotapes, data on CD, DVD, or data stored electronically Note: The Board will charge only if fees exceed \$15.00.	Actual costs incurred in searching for, retrieving, and providing the data including costs of labor (\$24.22/hr), materials**, and delivery; cost paid for third-party assistance, if applicable. **May include costs for paper, CDs, DVDs, audiotapes, etc.
Data Requests- Summary Data	Actual costs as noted above as well as costs incurred in creating the data, either by Board staff or a third party.
Data Requests- Private Data Note: No charge for searching for and retrieving the data	Actual costs for making paper copies or printing electronically stored data.

## BOARD OF OCCUPATIONAL THERAPY PRACTICE

**The mission of the Board of Occupational Therapy is to protect the public through effective licensure and enforcement of the statutes and rules governing the practice of occupational therapy to reasonably ensure a standard of competent and ethical practice.**

### **Report of the Executive Director**

**July 1, 2020 – June 30, 2022**

The Minnesota Board of Occupational Therapy Practice (Board) was created by the legislature effective January 1, 2018. The Board office assumed all responsibilities for occupational therapy licensure on April 19, 2018.

The Board and its staff strive to ensure it fulfills its mission through:

- Implementation of statutory license application requirements, ensuring a thorough review of applicant qualifications;
- Timely initial license processing for occupational therapy (OT) and occupational therapy assistant (OTA) applicants and temporary license applicants;
- Timely biennial renewal of licenses for OT's and OTAs;
- Thorough and timely review and response to complaints, taking appropriate action as required by statute;
- Accurate, timely response and information dissemination to licensees, applicants, and other interested persons and agencies;
- Excellent customer service, ensuring correspondence is responded to in an efficient and positive manner; and
- Educating licensees about statutory obligations and changes to ensure safe, ethical practice occurs within the statute

The bulk of this biennium included modifications to Board operations to pivot and modify services to better fit the health imperatives and work from home mandates created as a result of the COVID-19 pandemic. Staff worked diligently and creatively to allow licensing, renewals, customer service, and complaint management to continue with minimal to no delay or negative impact. Based upon this, staff have recognized opportunities to permanently modify internal policies and work procedures improving efficiency and ensuring accuracy. Documentation of these modifications is ongoing.

During the biennium, the Board had no Board-initiated legislative changes, and the focus was on maintaining office operations and service to the public. The physical location for the office changed in fall of 2021. Board staff and the health licensing boards collaborated to make this move as efficiently and as smoothly as possible.

The Board collaborates closely with the group of health licensing boards on multiple fronts including developing and maintaining the licensing database. Board representatives meet routinely to collaborate on licensing system projects, shared staff and service initiatives, and space management.

The Board has integrated into utilizing state services and systems partnering with the Small Agency Resource Team (SmART) through the Department of Administration for its financial and human resource functions. SmART has been an effective partner for the Board ensuring its obligations and internal controls

as a state agency are met or exceeded.

Operationally, the Board continues 100% online routine license applications and renewals. Paper processes are minimal and primarily related to late license renewal applications (those defined as more than 90 days expired). The Board's goals include moving the remaining paper-based processes into secured, validated electronic processes. The Boards scanning and records retention project concluded prior to the physical space relocation and resulted in all license and complaint records being stored as electronic data. This allowed the Board office to downsize its office/storage square footage. Board staff continue to strive to ensure their work is efficient, transparent, and in alignment with Board and State of Minnesota expectations.

Board members continue to demonstrate tremendous commitment and service to the people of the State of Minnesota. Strategic planning and goal setting will continue. The Board of Occupational Therapy Practice strives to always meet its foremost obligation of protection of the public.

Christina Bourland, MHA, OTR/L  
Executive Director  
Minnesota Board of Occupational Therapy Practice  
612-548-2179  
<https://mn.gov/boards/occupational-therapy/>

## GENERAL INFORMATION – MINN. STAT. §214.07, SUBD. 1B(5)

### Board Members Serving During the Period 7/1/2020—6/30/2022

Name	Employment Location	Appointment Status	Appointment Date	Reappointment Date (if applicable)	Term Expiration Date
Mary Bartzen, Occupational Therapist	Wayzata	Active	1/1/2018	7/3/2019	1/2/2023
Lynnette Buckley, Occupational Therapy Assistant	Bloomington	Active	1/1/2018	1/3/2019	1/2/2023
Donna Coughlin, Occupational Therapy Assistant	Rochester	Active	1/1/2018	5/20/2020	1/1/2024
Chris Harbaugh, Occupational Therapist	Big Lake	Active	1/1/2018	7/5/2022	1/5/2026
Samantha Olsen, Occupational Therapist	Cleveland	Active	1/1/2018	3/8/2021	1/6/2025
Erin Staum, Occupational Therapy Assistant	Oakdale	Active	1/1/2018	3/8/2021	1/6/2025
Jessica Engman, Occupational Therapist	White Bear Lake	Active	7/3/2019		1/2/2023
Jeffrey Malikowski, Public Member	Saint Cloud	Active	7/3/2019		1/2/2023
Stephen Jobe, Public Member	Brainerd	Active	7/3/2019		1/2/2023
Stephanie Smith, Occupational Therapist	Ham Lake	Active	6/16/2020		1/1/2024
Gregg Schaeppi, Public Member	Minneapolis	Active	6/24/2020		1/1/2024

Board membership is comprised of the following Governor appointed members: five licensed occupational therapists, three licensed occupational therapy assistants, one public member who is a licensed health care provider, and two public members who have received or have a family member who has received

occupational therapy services. The occupational therapy practitioners must represent various practice areas and settings and at least two must be employed outside of the Twin Cities seven county metropolitan area. The Board meets four times per year with the Complaint Resolution Committee, meeting more often as needed. The Board currently has four standing committees: The Executive Committee, Compliance Committee, Legislative Committee and Complaint Resolution Committee.

### **Board Staff and Office Location**

Christina Bourland, Executive Director  
 Gregg Kendall, Licensing and Administrative Specialist  
 Daniella Filardo, Assistant Executive Director

Minnesota Board of Occupational Therapy Practice  
 Randolph Square Building  
 335 Randolph Avenue, Suite 240  
 St. Paul, MN 55102  
 Phone: 612-548-2179  
 Email: [occupational.therapy@state.mn.us](mailto:occupational.therapy@state.mn.us)  
<https://mn.gov/boards/occupational-therapy/>

## **CREDENTIALS ISSUED OR RENEWED – MINN. STAT. §214.07, SUBD. 1B(1)**

Total Number of persons licensed or registered as of June 30, 2022: 6,057

### **Number and Type of Credentials Issued or Renewed**

License Type	Licensed or registered as of June 30, 2022	Licensed or registered as of June 30, 2020	Licensed or registered as of June 30, 2018*	Licensed or registered as of June 30, 2016	Licensed or registered as of June 30, 2014	Licensed or registered as of June 30, 2012
Occupational Therapist	4,802	4,204	3,913	N/A	N/A	N/A
Occupational Therapist Assistant	1,232	1,247	1,236	N/A	N/A	N/A
Temporary Occupational Therapist	14	163	21	N/A	N/A	N/A
Temporary Occupational Therapy Assistant	9	63	14	N/A	N/A	N/A
<b>TOTAL</b>	<b>6,057</b>	<b>5,677</b>	<b>5,184</b>	<b>N/A</b>	<b>N/A</b>	<b>N/A</b>

\* Reported numbers include information provided by the Minnesota Department of Health (MDH), Health Occupations Program and transferred to the Board April 13, 2018. Historical data is with MDH.

### Historical Renewal Data by Biennium

#### **July 1, 2020-June 30, 2022**

Type of License/Credential	Number of New Licenses	Number of Renewed Licenses	Percent* Renewed Online
Occupational Therapist	642	4,802	100%
Occupational Therapy Assistant	165	1,232	100%
Occupational Therapist Temporary License	151	N/A	
Occupational Therapy Assistant Temporary License	63	N/A	
<b>TOTAL</b>	<b>1,021</b>	<b>6,036</b>	

\*A very small number of renewals that occur more than 90 days after license expiration occur through paper processing. All others occur through an online process.

#### **July 1, 2018-June 30, 2020**

Type of License/Credential	Number of New Licenses	Number of Renewed Licenses	Percent* Renewed Online
Occupational Therapist	564	4204	100%
Occupational Therapy Assistant	182	1247	100%
Occupational Therapist Temporary License	153	10	0**
Occupational Therapy Assistant Temporary License	52	11	0**
<b>TOTAL</b>	<b>951</b>	<b>5,451</b>	<b>100%</b>

\* All renewals that are on time or within 90 days of the license expiration date occur through an online process.

\*\*Temporary license renewals must occur on paper. August 2020 statute changes removed the temporary license renewal option.

## **COMPLAINT ACTIVITY – MINN. STAT. §214.07, SUBDS. 1B(2), (3)**

### Complaints Received and Closed by Biennium

Biennium	Total Number of Complaints Received	Total Number of Complaints Closed
July 1, 2020-June 30, 2022	16	14
July 1, 2018-June 30, 2020	17	17
July 1, 2016-June 30, 2018	1*	5*

\*This number reflects cases transferred from MDH that were closed by the Board AND cases opened and closed by the Board.

### Number of and age of complaints open at the end of the period

<b>Number of Complaints Open as of June 30, 2022</b>	<b>2</b>
Complaints open less than one year as of June 30, 2022	2
Complaints open greater than one year as of June 30, 2022	0



### **Types of Complaints Received During Biennium**

Basis of complaints received*	Number of complaints alleging this basis received 2020-2022	Number of complaints alleging this basis received 2018-2020
Incompetence/services below the community standard of care	8	11
Unethical/Unprofessional conduct	8	13
Disregard health, welfare, safety		
Action by another jurisdiction		
Billing improprieties	3	5
Failure to respond to the Board or participate in an investigation as required	7	10
Fraudulent or inaccurate representation	3	
Sexual misconduct/boundaries	0	0
Miscellaneous	2	0

\*Some rows may be blank due to recategorization of complaints based upon data. Some complaints included more than one allegation.

## **RECEIPTS, DISBURSEMENTS & MAJOR FEES – MINN. STAT §214.07, 1B(4)**

### **Total Receipts and Disbursements by Biennium**

Biennium	Total Receipts	Total Disbursements**
July 1, 2020 – June 30, 2022	\$1,131,430.02	\$887,426.86
July 1, 2018 – June 30, 2020	\$940,327.09*	\$735,262.77
April 19, 2018 – June 30, 2018*	\$100,897.59	\$221,909.12

\*Total Receipts and Total Disbursements reflects ONLY activity conducted by the Board from the end of the interagency agreement (April 19, 2018) to June 30, 2018 and does not reflect MDH data. Includes \$64,782.21 transferred from the Department of Health to the Board in November of 2018. This should have been transferred in FY18.

\*\*Total disbursements include all direct and indirect costs/expenditures to the board.

### **Fees by Type**

Type of fee	Fee
Initial License- OT	\$185.00
Initial License-OTA	\$105.00
Biennial Renewal- OT	\$185.00
Biennial Renewal-OTA	\$105.00
Criminal Background Check	\$33.25
Duplicate License	\$30.00
Temporary License	\$75.00
Verification to institutions	\$10.00
Verification to Another State	\$25.00
Course Approval after lapse of Licensure	\$100.00
Limited License	\$100.00
Penalty Fees	Varies

## BOARD OF OPTOMETRY

**The mission of the Minnesota Board of Optometry is promoting public interest in receiving quality optometric health care from competent licensed optometrists; protecting the public by ensuring that all licensed optometrists meet the educational and practical requirements specified in law; and protecting the public by setting standards for quality optometric health care.**

### **Report of the Executive Director**

**July 1, 2020 – June 30, 2022**

The mission of the Board of Optometry (aka Board) is public protection by striving to ensure Minnesota citizens receive quality optometric care from competent optometrists. Public protection through licensure and regulation underlies every activity and all functions of the Board.

The Board website (<https://mn.gov/boards/optometry/>) provides access to online Annual License Renewals, online address/phone change, online reporting of continuing education, information about the status of individual licensees, complaint forms, and optometry statutes and rules. This year, all but one optometrist renewed their annual license electronically.

The Board is also well represented at a national level with one Minnesota licensed optometrist representing Minnesota with of the Association of Regulatory Boards (ARBO).

The Board requires all doctors of optometry to complete a two-hour open book statutes and rules review with final exam as part of the annual continuing education (CE) requirement. In the previous biennium, the Board collaborated with other licensing boards to develop the Opioid response with an online CE submission. The Board has worked with all licensees to ensure compliance with the law that will sunset on December 31, 2022.

The Board staff consists of 1.5 FTE employees split between the positions of Executive Director and Office Administrative Specialist. In 2021, the then executive director, Randy Snyder, retired from his position after over ten years of dedicated service. In the summer of 2022, the Office Administrative Specialist, Anna Hartsel, retired after twenty-five years serving the Board. The Board continues to provide responsive, customer focused, efficient, and cost-effective services. Board members are commended for exceptional dedication. Board membership has only had three absences by the seven-person board in the past five years. Board members are valued partners to meet the increasing demands of optometric/consumer education.

Britt Heglund  
Executive Director  
Minnesota Board of Optometry  
651-201-2762  
[Optometry.board@state.mn.us](mailto:Optometry.board@state.mn.us)

**GENERAL INFORMATION – MINN. STAT. §214.07, SUBD. 1B(5)****Board Members Serving During the Period 7/1/2020—6/30/2022**

Name	Location	Appointment Status	Appointment Date	Reappointment Date (if applicable)	Term Expiration Date
Georgiann Jensen Bohn	Cambridge	Optometrist	9/2022		1/2023
Patrick O'Neill <i>resigned</i>	Northfield	Optometrist	6/2011	6/2019	9/2022
Leah Colby	Rogers	Optometrist	7/2022		1/2026
Sam Villella	Blaine	Optometrist	7/2019		1/2023
Michelle Falk	Woodbury	Optometrist	11/2010	6/2018	1/2022
Shari Koll	Springfield	Public	7/2019		1/2023
Tina McCarty	Maple Grove	Optometrist	7/2020		1/2024
Ron Czerepak	North St. Paul	Public	3/2015	6/2017	1/2021
Eric Bailey,	St. Cloud	Optometrist	3/2017	7/2021	1/2025
Fernando Alvarado	Willmar	Public	6/2021		1/2025

**Board Staff and Office Location****Staff Members Serving During the Period 7/1/2020 – 6/30/2022**

Randy Snyder, Executive Director, Retired 9/1/2021

Anna Hartsel, Office and Administrative Specialist, Senior, Retired 8/2/2022

**Current Staff Members**

Britt Heglund, Executive Director, Effective 9/1/2021

Patti Solem, Office and Administrative Specialist, Senior, Effective 8/27/2022

Minnesota Board of Optometry  
Randolph Square Building  
335 Randolph Avenue, Suite 210  
St. Paul, MN 55102  
Phone: 651-201-2762  
FAX: 612-201-2763  
Email: [optometry.board@state.mn.us](mailto:optometry.board@state.mn.us)  
Website: [www.mn.gov/boards/optometry/](http://www.mn.gov/boards/optometry/)

## CREDENTIALS ISSUED OR RENEWED – MINN. STAT. §214.07, SUBD. 1B(1)

Total Number of persons licensed or registered as of June 30, 2022: 1,210

### Number and Type of Credentials Issued or Renewed

License Type	Licensed or registered as of June 30, 2022	Licensed or registered as of June 30, 2020	Licensed or registered as of June 30, 2018	Licensed or registered as of June 30, 2016	Licensed or registered as of June 30, 2014	Licensed or registered as of June 30, 2012
Optometrist	1,210	1,164	unavailable	1,097	1,085	1,045
<b>TOTAL</b>	<b>1,210</b>	<b>1,164</b>	<b>unavailable</b>	<b>1,097</b>	<b>1,085</b>	<b>1,045</b>

### Historical Renewal Data by Biennium

#### July 1, 2020-June 30, 2022

Type of License/Credential	Number of New Licenses	Number of Renewed Licenses	Percent Renewed Online
Optometrist	115	2616	100%

#### July 1, 2018-June 30, 2020

Type of License/Credential	Number of New Licenses	Number of Renewed Licenses	Percent Renewed Online
Optometrist	119	2402	99.8%

#### July 1, 2016-June 30, 2018

Type of License/Credential	Number of New Licenses	Number of Renewed Licenses	Percent Renewed Online
Optometrist	94	2250	95%

#### July 1, 2014-June 30, 2016

Type of License/Credential	Number of New Licenses	Number of Renewed Licenses	Percent Renewed Online
Optometrist	86	2194	90%

#### July 1, 2012-June 30, 2014

Type of License/Credential	Number of New Licenses	Number of Renewed Licenses	Percent Renewed Online
Optometrist	95	2063	89%

#### July 1, 2010-June 30, 2012

Type of License/Credential	Number of New Licenses	Number of Renewed Licenses	Percent Renewed Online
Optometrist	80	1999	54%

## COMPLAINT ACTIVITY – MINN. STAT. §214.07, SUBDS. 1B(2), (3)

### Complaints Received and Closed by Biennium

Biennium	Total Number of Complaints Received	Total Number of Complaints Closed
July 1, 2020-June 30, 2022	35	39
July 1, 2018-June 30, 2020	69	65
July 1, 2016-June 30, 2018	33	30
July 1, 2014-June 30, 2016	28	18

### Number of and Age of Complaints Open at the End of the Period

<b>Number of Complaints Open as of June 30, 2022</b>	0
Complaints open less than one year as of June 30, 2022	0
Complaints open greater than one year as of June 30, 2022	0

### Types of Complaints

Basis of complaint*	Number of complaints alleging this basis from 2020-2022	Number of complaints alleging this basis from 2018-2020	Number of complaints alleging this basis from 2016–2018
Unprofessional conduct	6	13	10
Non-jurisdictional	17	13	8
Medical Records	0	7	15
Sexual Misconduct	0	0	0
Miscellaneous	12	23	0

\*Some complaints allege more than one basis

## RECEIPTS, DISBURSEMENTS & MAJOR FEES – MINN. STAT §214.07, 1B(4)

### Total Receipts and Disbursements by Biennium

Biennium	Total Receipts	Total Disbursements
July 1, 2020 – June 30, 2022	\$ 498,660	\$ 411,696
July 1, 2018 – June 30, 2020	\$ 441,664	\$ 441,076
July 1, 2016 – June 30, 2018	\$ 312,142	\$ 412,488
July 1, 2014 – June 30, 2016	\$ 289,146	\$ 300,353
July 1, 2012 – June 30, 2014	\$ 253,311	\$ 228,686
July 1, 2010 – June 30, 2012	\$ 237,672	\$ 230,977

### Fees by Type

Type of Fee	Fee
Professional Corporation	\$100 initial report \$25 annual report
Licensure Application	\$160
Annual License Renewal	\$160
Late Penalty Fee	\$75
Duplicate/Replacement card	\$10
CE Provider Application	\$45
Emeritus Registration	\$10
Endorsement Application	\$160
Reinstatement Application	Varies
Replacement of Initial License Certificate	\$12

## BOARD OF PHARMACY

The Minnesota Board of Pharmacy exists to promote, preserve, and protect the public health, safety, and welfare by fostering the safe distribution of pharmaceuticals and the provision of quality pharmaceutical care to the citizens of Minnesota.

### Report of the Executive Director

July 1, 2020 – June 30, 2022

#### *Major functions of the Board:*

##### Setting educational and examination standards for initial and continuing licensure:

- Set licensure and internship requirements through the rules process.
- Review academic programs to determine if they meet requirements.
- Develop the state's jurisprudence examination to determine candidate knowledge of Minnesota statutes and rules governing pharmacy practice.
- Review and approve continuing education programs submitted by sponsors and individuals.
- Review documentation of completion of requirements for initial and continuing licensure.

##### Conducting inspections of all pharmacies, drug wholesalers, drug manufacturers and controlled substance researchers in the state.

- Inspect all pharmacies located in the state of Minnesota to assure compliance with all statutes and rules relating to prescription drug dispensing and the provision of pharmaceutical care.
- Inspect all wholesalers located in the state of Minnesota to assure compliance with all statutes and rules relating to the storage and distribution of prescription and non-prescription drugs.
- Inspect manufacturers located within Minnesota to assure compliance with Current Good Manufacturing Practices.
- Inspect all controlled substance drug researchers located in the state of Minnesota to assure compliance with state and federal controlled substance statutes and regulations.

##### Responding to public and agency inquiries, complaints, and reports regarding licensure and conduct of applicants, registrants, and licensees.

- Accept complaints and reports from the public and health care providers and regulators.
- Decide whether a complaint or inquiry is jurisdictional and, if so, whether and what type of action to pursue to resolve the matter.
- Refer inquiries and complaints to other investigative, regulatory, or assisting agencies, as necessary.
- Respond to complainants and agency reports by informing the complainants/agencies of action taken to resolve their complaints, while observing provisions of the data practices act regarding the legal status of data obtained during the course of an investigation and disciplinary proceeding.

##### Setting standards of practice and conduct for licensees and pursuing educational or disciplinary action with licensees, to ensure that standards are met.

- Set standards of conduct and a basis for disciplinary action through the rules process.
- Seek information directly from the licensee and obtain evidence and relevant information from other agencies in response to complaints or inquiries.

- Hold conferences with licensees to identify their role and responsibility in a matter under investigation.
- Provide applicant and licensee education to improve practice and prevent recurrence of problems.
- Obtain voluntary agreement for disciplinary action or pursue disciplinary action through a due process, contested case hearing; defend disciplinary action in court if necessary.
- Referring cases, where appropriate, to the Health Professionals Services Program.

#### Administering the State's Prescription Monitoring Program (PMP)

- Electronically collect data, on a daily basis, concerning controlled substance prescriptions from approximately 1,600 dispensers. (6.5 million prescriptions reported annually).
- Process applications from prescribers and pharmacists who want to access the PMP database through a secure Internet link. Such users can register online but sometimes need assistance.
- Assist other Board staff in ensuring that pharmacists who are required by law to register for an account actually sign up for and maintain an account.
- Work with the National Association of Boards of Pharmacies and other States to enable interstate sharing of PMP data.
- Link profiles thought to be for a single individual who uses multiple names or addresses in an attempt to hide "doctor-shopping" behavior. (Doctor shopping involves getting prescriptions from multiple prescribers in a short period of time and having them filled at multiple pharmacies).
- Work with pharmacies to ensure the accuracy of the data that is submitted.
- Evaluate the data for the purposes of identifying individuals who may be engaged in doctor-shopping. Controlled Substance Insight Alerts are sent to the prescribers and pharmacies who have provided treatment to such individuals.
- Work with the Board's IT vendors to improve processes for the PMP.
- Make presentations to professional groups interested in learning about the PMP.
- Take part in regional and national meetings in order to collaborate with partners from other states and the federal government.
- Consult with the PMP Advisory Task Force concerning certain issues that are defined in statutes.

#### Administering the State's Opioid Product Registration Fee Program

- Collect data from manufacturers, wholesalers and pharmacies concerning the distribution of opioids into Minnesota.
- Determine which opioid manufacturers have had more than 2 million units dispensed and used within Minnesota.
- Send invoices to those manufacturers, collect and process payments, make sure funds are deposited into the opiate epidemic response account.

#### Administering the Minnesota Insulin Safety Net Program (along with MNsure)

- Make information available about the program on the Board's Web site.
- Respond to questions from the public, pharmacists, and the manufacturers.
- Monitor the compliance of the insulin manufacturers.
- Handle request for eligibility reviews for the continuing need portion of the program.
- Collect data and prepare reports for the Legislature.

#### Provision of technical assistance to elected public officials, other state agencies, federal agencies and units of local government.

- Respond to requests from legislators for technical assistance concerning the practice of pharmacy, the distribution of pharmaceuticals, drug abuse and other related issues.



- Work with other state and federal agencies on issues concerning the practice of pharmacy, the distribution and disposal of pharmaceuticals, drug abuse, and other health care policy areas.
- Serve as consultants to licensees and registrants who have questions concerning practice standards, statutes, and rules.
- Respond to requests from the public for information about the practice of pharmacy and related topics.

***Emerging issues regarding the regulation of the practice of pharmacy:***

- Manufacturers and software developers continue to develop new devices and programs that automate pharmacy dispensing processes. These devices continue to change how pharmacy is practiced and how drugs are dispensed to patients. The Board devotes a significant amount of resources to evaluating this new technology to ensure that it does not pose a threat to patients.
- Pharmacies continue to expand their offering of services such as immunizations and medication therapy management. This trend should result in improved public health by increasing the number of individuals who are immunized against various diseases and by helping to reduce adverse drug reactions and other medication-related problems. However, if these services are performed incorrectly, there can be a detrimental impact on patients.
- Abuse of prescription drugs, particularly opioids, continues to be a significant problem. The Board continues to work with other state agencies to address this issue. As mentioned above, the Board implemented and administers the Prescription Monitoring Program, which is a tool that can be used to prevent “doctor-shopping” - which is one source of illegitimately used prescription drugs. The Board has worked on several pieces of legislation designed to increase access to naloxone, an opioid antagonist used to treat life-threatening opioid overdoses. Pursuant to legislation passed during the 2020 Session, the Board developed a protocol that pharmacists can use to independently prescribe naloxone. The Board also implemented the Minnesota Opiate Product Registration Fee program in 2020. Unfortunately, approximately \$13.25 million was collected, rather than the anticipated \$20 million. Lower than expected revenues occurred because far more drug wholesalers dropped their licenses than anticipated.
- The Board supports the concept that licensed health care professionals should be allowed to practice up to the level of their education and training. During the 2020 Session, the Board worked with groups interested in expanding the scope of practice for pharmacists. Specifically, legislation was enacted that allows pharmacists to follow protocols and directly prescribe nicotine-replacement therapy products, naloxone, and self-administered hormonal contraceptives.
- During 2020, Board staff spent a considerable amount of time working on issues related to the COVID-19 pandemic and the civil unrest that occurred in May. COVID-19 and civil unrest FAQ documents were prepared and posted on the Board’s Web site. Those documents helped licensees and the public better cope with the challenges created by these two unexpected crises. Through the COVID-19 FAQ, the Board has been exercising statutory enforcement discretion and granting blanket rules variances. In addition, national trade and professional associations lobbied the federal administration to allow pharmacists, pharmacy technicians, and pharmacist interns to perform duties that they cannot perform under state law. (For example, pharmacy technicians are allowed to administer vaccines to individuals aged three and older). It is likely that those associations will want the temporary changes made by the Board and by the federal government to continue after the pandemic is over.
- During the 2022 Session, legislation was passed legalizing tetrahydrocannabinol (THC) edible gummies as outlined in MN Stat. 151.72. The Board was not provided additional funding or resources to support the new legislation. Due to vagueness in the language, the Board is also

extremely limited in terms of its enforcement authority given that these businesses (manufacturers, distributors, and retailers) are not licensed by the Board. At its business meeting on March 17, 2022, the Board went on record as supporting the creation of a Cannabis Management Office that would oversee all cannabis products and remove/repeal this statute from the Pharmacy Practice Act. Board staff is working with the Governor's office and other key stakeholders to develop a new bill in support of this.

Jill Phillips, MPH, RPh  
Executive Director  
Jill.Phillips@state.mn.us  
651-201-2825

## GENERAL INFORMATION – MINN. STAT. §214.07, SUBD. 1B(5)

### **Board Members Serving During the Period 7/1/2020—6/30/2022**

<b>Name</b>	<b>Location</b>	<b>Appointment Status</b>	<b>Appointment Date</b>	<b>Reappointment Date (if applicable)</b>	<b>Term Expiration Date</b>
Stuart Williams	St. Louis Park	Public member	07/2011	06/2019	01/2023
Rabih Nahas	Orono	Pharmacist	02/2013	01/2017	01/2025
Ben Maisenbach	Rosemount	Pharmacist	02/2021	n/a	01/2025
Ronda Chakolis	Minneapolis	Pharmacist	06/2022	n/a	01/2026
James Bialke	Minneapolis	Public member	01/2016	01/2018	01/2026
Samantha Schirmer	Andover	Public member	01/2016	06/2019	01/2023
Open Seat -		Pharmacist			
Kendra Metz	Cloquet	Pharmacist	06/2020	In first term	01/2024
Amy Paradis	Fairmont	Pharmacist	06/2019	In first term	01/2023

### **Board Staff (current) and Office Location**

Jill Phillips, Executive Director  
 Katrina Howard, Deputy Director  
 Open position, Office Manager  
 Michele Mattila, Surveyor  
 Timothy Litsey, Surveyor  
 Ame Carlson, Surveyor  
 Sarah Favour, Surveyor  
 Andrea Bistodeau, Surveyor  
 Open position, Surveyor  
 Aaron Patterson, Surveyor  
 Kelly Hadsall, Surveyor  
 Brian Park, Legal Analyst  
 Jennifer Fischer, Office and Administrative Specialist Senior  
 Colette Zelinsky, Office and Administrative Specialist Senior  
 Julie Scott, Licensing Specialist, Office and Administrative Specialist Intermediate  
 Mariah Sergot, Licensing Specialist, Office and Administrative Specialist Intermediate  
 Destiny Hajder, Licensing Specialist, Office and Administrative Specialist Intermediate  
 Petra Keeling, Licensing Specialist Senior  
 Shannon Tonn, State Program Administrator Intermediate  
 Brock Reed, Controlled Substance Reporting Section, Director  
 Jackie Rose, State Program Administrator  
 Hannah Frey, Data Analyst, Management Analyst-2  
 Miranda Matteson, Program Coordinator, Office and Administrative Specialist Senior  
 Jake Hafften, Office and Administrative Specialist Senior

Minnesota Board of Pharmacy  
 Randolph Square Building  
 335 Randolph Avenue, Suite 230  
 St. Paul, MN 55102  
 Phone: 651-201-2825  
 FAX: 651-215-0951  
 Email: [Pharmacy.board@state.mn.us](mailto:Pharmacy.board@state.mn.us)  
 Website: [mn.gov/boards/pharmacy](http://mn.gov/boards/pharmacy)

## CREDENTIALS ISSUED OR RENEWED – MINN. STAT. §214.07, SUBD. 1B(1)

Total number of persons licensed or registered as of June 30, 2022: 22,557

Total number of facilities licensed or registered as of June 30, 2022: 4,249

Total number of PMP users registered as of December 31, 2021: 25,402

### **Number and Type of Credentials Issued or Renewed**

License Type	Licensed or registered as of June 30, 2022	Licensed or registered as of June 30, 2020	Licensed or registered as of June 30, 2018	Licensed or registered as of June 30, 2016	Licensed or registered as of June 30, 2014	Licensed or registered as of June 30, 2012
Active Pharmacists	9,354	9,176	8,911	8,620	8,057	7,727
Inactive Pharmacists	230	197	118	96	139	103
Emeritus Pharmacists	564	393	117	98	159	142
Pharmacy Technicians	9,324	9,262	9,874	10,760	9,887	9,041
Pharmacy	2,091	1,980	1,996	2,176	2,062	1,804
Wholesalers	930	676	1,406	1,234	1,274	1,146
Manufacturers	998	791	790	614	533	507
3 <sup>rd</sup> Party Logistics Providers	126	119	0	0	0	0
Medical Gas Distributors	104	87	116	119	100	86
Controlled Substance Researchers	10	16	15	17	15	339
Interns	1,236	1,296	1,228	1,680	1,792	1,476
Preceptors	1,839	1,808	1,888	2,003	1,824	1,604
Prescription Monitoring Program Users	25,402	11,882**	21,427	21,536*	14,072	8,015
<b>TOTAL OF ALL LICENSE TYPES</b>	<b>52,208</b>	<b>37,819</b>	<b>47,886</b>	<b>48,953</b>	<b>39,914</b>	<b>31,990</b>

\* Data up to 2016 includes inactive registrations. Starting in 2017, only active registrations are counted.

\*\* Reflects a significant decrease in program users due to a data migration that occurred in 2019. Users were given 12 months to re-enroll; however, many did not re-enroll within that timeframe.

**Historical Renewal Data by Biennium**
**July 1, 2020-June 30, 2022**

Type of License/Credential	Number of New Licenses	Number of Renewed Licenses*	Number and Percent Renewed Online
Pharmacists	836	8518	8050 (94.5%)
Pharmacy Technicians	2931	6393	5243 (82%)
Pharmacies	386	1705	0
Wholesalers	247	683	0
Manufacturers	350	648	0
Medical Gas Distributors	41	63	0
Controlled Substance Researchers	2	8	0
3 <sup>rd</sup> Party Logistics Providers	61	65	0
Interns	883	Not applicable	Not applicable
<b>TOTAL</b>	<b>5,737</b>	<b>18,083</b>	<b>13,293 (73.5%)</b>

\*Board of Pharmacy licenses and registrations are renewed annually. These numbers are for FY 2022.

**July 1, 2018-June 30, 2020**

Type of License/Credential	Number of New Licenses	Number of Renewed Licenses*	Number and Percent Renewed Online
Pharmacists	868	8,814	8330 (94.5%)
Pharmacy Technicians	3,606	7,912	6488 (82%)
Pharmacies	394	1,940	0
Wholesalers	441	691	0
Manufacturers	313	733	0
Medical Gas Distributors	26	86	0
Controlled Substance Researchers	1	15	0
3 <sup>rd</sup> Party Logistics Providers	119	0	0
Interns	789	Not applicable	Not applicable
<b>TOTAL</b>	<b>6,557</b>	<b>20,191</b>	<b>14,818 (73.4%)</b>

\*Board of Pharmacy licenses and registrations are renewed annually. These numbers are for FY 2020.

**July 1, 2016-June 30, 2018**

Type of License/Credential	Number of New Licenses	Number of Renewed Licenses*	Number and Percent Renewed Online
Pharmacists	954	8,929	8,393 (94%)
Pharmacy Technicians	5,135	8,465	6,772 (80%)
Pharmacies	993	2,082	0
Wholesalers	962	1,667	0
Manufacturers	309	912	0
Medical Gas Distributors	13	116	0
Controlled Substance Researchers	0	15	0
Interns	880	Not applicable	Not applicable
<b>TOTAL</b>	<b>9,246</b>	<b>22,186</b>	<b>15,165 (68.4%)</b>

\*Board of Pharmacy licenses and registrations are renewed annually. These numbers are for FY 2018.

**July 1, 2014-June 30, 2016**

Type of License/Credential	Number of New Licenses	Number of Renewed Licenses*	Number and Percent Renewed Online
Pharmacists	1044	8581	7987 (93%)
Pharmacy Technicians	5041	8676	6845 (78%)
Pharmacies	511	2166	0
Wholesalers	269	1171	0
Manufacturers	201	592	0
Medical Gas Distributors	42	109	0
Controlled Substance Researchers	9	15	0
Interns	NA	NA	0
<b>TOTAL</b>	<b>7117</b>	<b>21,310</b>	<b>17,063 (80%)</b>

\*Board of Pharmacy licenses and registrations are renewed annually. These numbers are for FY 2016.

**July 1, 2012-June 30, 2014**

Type of License/Credential	Number of New Licenses	Number of Renewed Licenses*	Number and Percent Renewed Online
Pharmacists	869	8544	7177 (84%)
Pharmacy Technicians	4395	10,531	7582 (72%)
Pharmacies	NA	2331	0
Wholesalers	NA	1436	0
Manufacturers	NA	649	0
Medical Gas Distributors	NA	107	0
Controlled Substance Researchers	NA	19	0
Interns	NA	NA	0
<b>TOTAL</b>	<b>NA</b>	<b>23,617</b>	<b>14,759 (63%)</b>

\*Board of Pharmacy licenses and registrations are renewed annually. These numbers are for FY 2014.

**July 1, 2010-June 30, 2012**

Type of License/Credential	Number of New Licenses	Number of Renewed Licenses*	Number and Percent Renewed Online
Pharmacists	685	7959	7322
Pharmacy Technicians	3957	10,378	7784
Pharmacies	NA	1855	0
Wholesalers	NA	1170	0
Manufacturers	NA	512	0
Medical Gas Distributors	NA	95	0
Controlled Substance Researchers	NA	31	0
Interns	NA	NA	0
<b>TOTAL</b>	<b>NA</b>	<b>22,000</b>	<b>15,106 (69%)</b>

\*Board of Pharmacy licenses and registrations are renewed annually. These numbers are for FY 2012.

## COMPLAINT ACTIVITY – MINN. STAT. 214.07, SUBDS. 1B(2), (3)

### Complaints Received and Closed by Biennium

Biennium	Total Number of Complaints Received	Total Number of Complaints Closed
July 1, 2020-June 30, 2022	318	235
July 1, 2018-June 30, 2020	262	255
July 1, 2016-June 30, 2018	318	324
July 1, 2014-June 30, 2016	410	423
July 1, 2012-June 30, 2014	366	253
July 1, 2010-June 30, 2012	220	248

### Number of and Age of Complaints Open at the End of the Period

<b>Number of Complaints Open as of June 30, 2022</b>	<b>125</b>
Complaints open less than one year as of June 30, 2022	89
Complaints open greater than one year as of June 30, 2022	36

Complaints open for over one year are either complicated cases that are still being investigated (most often involving out-of-state compounding pharmacies) or cases for which the Board is engaged in the due process procedures required to take disciplinary action.

### Types of Complaints

Basis for complaints	Number of complaints alleging this basis 2020-2022*	Number of complaints alleging this basis 2018-2020*	Number of complaints alleging this basis 2016-2018*
Billing Issues/Fraudulent Billing	0	0	5
Child Support	0	0	0
Dispensing outdated drugs	0	0	1
Dispensing Error	15	29	46
Dispensing without Authorization	0	0	3
Chemical Dependency/Diversion	39	33	29
Failure to Counsel	0	0	2
Failure to Maintain Patient Confidentiality	0	5	4
Kickbacks	0	5	2
Other	6	3	29
Physical/Mental Impairment	1	1	1
Failure to renew registration on time	0	0	0
Practicing without a license	10	10	23
Unprofessional Conduct	342	173	173
Welfare Fraud	0	0	0

\*Some complaints allege more than one basis

## RECEIPTS, DISBURSEMENTS & MAJOR FEES – MINN. STAT §214.07, 1B(4)

### Total Receipts and Disbursements by Biennium

Biennium	Total Receipts*	Total Disbursements*
July 1, 2020 – June 30, 2022	\$7,282,203	\$6,968,858
July 1, 2018 – June 30, 2020	\$6,531,899	\$5,487,682
July 1, 2016 – June 30, 2018	\$6,381,234	\$6,367,383
July 1, 2014 – June 30, 2016	\$5,525,140	\$5,476,855
July 1, 2012 – June 30, 2014	\$4,819,355	\$4,605,139
July 1, 2010 – June 30, 2012	\$3,876,439	\$3,513,874

\*Includes general operations receipts and disbursements. The Board also receives federal grant money to pay for a portion of Prescription Monitoring Program costs. The Board also collects money from opiate manufacturers and transfers that money into the Opiate Epidemic response account.

### Fees by Type

<b>Application fees</b>	<b>Fee</b>
pharmacist licensed by examination	\$175
pharmacist licensed by reciprocity	\$275
pharmacy intern	\$50
pharmacy technician	\$50
pharmacy	\$260
drug wholesaler - legend drugs only	\$5,260
drug wholesaler - legend and non-legend drugs	\$5,260
drug wholesaler - non-legend drugs, veterinary legend drugs, or both	\$5,260
drug wholesaler - medical gases	\$5,260 for the first facility and \$260 for each additional facility
third-party logistics provider	\$260
drug manufacturer - non-opiate legend drugs only	\$5,260
drug manufacturer - non-opiate legend and non-legend drugs	\$5,260
drug manufacturer - non-legend or veterinary legend drugs	\$5,260
drug manufacturer - medical gases	\$5,260 for the first facility and \$260 for each additional facility
drug manufacturer also licensed as a pharmacy in Minnesota	\$5,260
drug manufacturer of opiate-containing controlled substances	\$55,260
medical gas dispenser	\$260
controlled substance researcher	\$75
pharmacy professional corporation	\$150

<b>Annual renewal fees</b>	<b>Fee</b>
pharmacist	\$175
pharmacy technician	\$50
pharmacy	\$260
drug wholesaler - legend drugs only	\$5,260
drug wholesaler - legend and non-legend drugs	\$5,260
drug wholesaler - non-legend drugs, veterinary legend drugs, or both	\$5,260



drug wholesaler - medical gases	\$5,260 for the first facility and \$260 for each additional facility
third-party logistics provider	\$260
drug manufacturer - non-opiate legend drugs only	\$5,260
drug manufacturer - non-opiate legend and non-legend drugs	\$5,260
drug manufacturer - non-legend or veterinary legend drugs	\$5,260
drug manufacturer - medical gases	\$5,260 for the first facility and \$260 for each additional facility
drug manufacturer also licensed as a pharmacy in Minnesota	\$5,260
drug manufacturer of opiate-containing controlled substances	\$55,260
medical gas dispenser	\$260
controlled substance researcher	\$75
pharmacy professional corporation	\$100

**Late fees**

All late fees are 50% of the original fee

## BOARD OF PHYSICAL THERAPY

**The mission of the Board of Physical Therapy is to ensure that Minnesota citizens receive appropriate physical therapy services from competent physical therapists and physical therapist assistants.**

### **Report of the Executive Director**

**July 1, 2020 – June 30, 2022**

The mission of the Board is to provide public protection by striving to ensure Minnesota citizens receive quality physical therapy services from competent physical therapists and physical therapist assistants. Public protection through licensure and regulation underlies every activity and all functions of the Board. The major functions of the Board are to ensure that applicants met the standards for licensure; ensure that licensees meet the standards for license renewal; identify licensees who fail to maintain minimum standards for the provision of safe and quality care, and when warranted to provide appropriate disciplinary or corrective action; and provide information and education to the public.

In light of the COVID-19 pandemic, the Board and staff successfully met remarkable challenges during this biennium ensuring that all Board procedures and process changes were communicated to the public. This involved internal changes for initial licensure and renewals to ensure processes during the pandemic were not interrupted and the Board's mission and vision was carried through. The number of licensees and complexity of complaint cases and investigations have continued to steadily increase. New graduate applicants continue to test on four fixed dates each year, changing the workflow for staff and board members. The Board continued to develop a comprehensive database, which streamlines online processes for initial licensure, renewals and criminal background checks, and continuing education audits.

The Board works cooperatively with other health regulatory boards to leverage development costs and resources to advance and maintain an integrated licensing and regulatory system, online services, and database. The boards have successfully worked together for over 20 years to provide an extremely functional, cost effective, and efficient electronic regulatory services for use by citizens, consumers, licensees, applicants, and Board staff.

The Board consistently provides high quality, customer focused, efficient, and cost-effective services. Board members and staff are to be commended for their exceptional dedication and hard work to meet the increasing demands while continuing to provide public protection and service excellence.

Erin DeTomaso  
Executive Director  
Minnesota Board of Physical Therapy  
612-627-5406  
[Physical.therapy@state.mn.us](mailto:Physical.therapy@state.mn.us)

## GENERAL INFORMATION – MINN. STAT. §214.07, SUBD. 1B(5)

### **Board Members Serving During the Period 7/1/2020—6/30/2022**

Name	Location	Appointment Status	Appointment Date	Reappointment Date (if applicable)	Term Expiration Date
Sara Conrad, PTA	Minneapolis, MN	Professional Member	4/2/2020		1/2/2023
Linda Gustafson, PT	Minnetonka, MN	Professional Member	3/5/2009	6/20/2011; 6/24/2015; 7/3/2019	1/2/2023
Abdiaziz Kadir	St. Paul, MN	Public Member	7/3/2019		8/17/2020
Julia McDonald, PTA	Otsego, MN	Professional Member	3/26/2014	6/18/2018	1/3/2022
Kimberly McGarry, PT	Rochester, MN	Professional Member	4/7/2013	4/18/2017	5/1/2021
Samantha Mohn-Johnsen, PT	Duluth, MN	Professional Member	6/24/2015	7/3/2019	1/2/2023
Debra Newel	St. Paul, MN	Public Member	10/19/2009	6/20/2011; 6/24/2015	1/7/2023
Pamela Peters	Savage, MN	Public Member	5/5/2021		1/2/2023
Kathy Polhamus	North St. Paul, MN	Public Member	9/4/2007	5/5/2010; 3/26/2014; 6/18/2018	1/3/2026
Allen Rasmussen	International Falls, MN	Public Member	5/5/2021		1/2/2023
Steven Scherger, PT	Andover, MN	Professional Member	6/24/2015	7/3/2019	1/7/2023
Debra Sellheim, PT	Maplewood, MN	Professional Member	5/5/2010	3/26/2014; 6/18/2018	9/3/2022
Neel Shah, MD	Rochester, MN	Professional Member	6/24/2017	5/5/2021	1/6/2025

### **Board Staff and Office Location**

Erin DeTomaso, Executive Director  
 Elena Jacobs, Office Specialist  
 Katy Goettsch, Office Administrative and Licensing Specialist

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 Randolph Square Building  
 335 Randolph Avenue, Suite 285  
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 Phone: 612-627-5406  
 FAX: 651-797-1377  
 Email: [physical.therapy@state.mn.us](mailto:physical.therapy@state.mn.us)  
 Website: [mn.gov/boards/physical-therapy](http://mn.gov/boards/physical-therapy)

## CREDENTIALS ISSUED OR RENEWED – MINN. STAT. §214.07, SUBD. 1B(1)

Total Number of persons licensed or registered as of June 30, 2022: 7,898

### Number and Type of Credentials Issued or Renewed

License Type	Licensed or registered as of June 30, 2022	Licensed or registered as of June 30, 2020	Licensed or registered as of June 30, 2018	Licensed or registered as of June 30, 2016	Licensed or registered as of June 30, 2014	Licensed or registered as of June 30, 2012
Physical Therapist	6,238	5,915	5,613	5,145	4,715	4,344
Physical Therapist Assistant	1,660	1,850	1,824	1,698	1,585	1,455
<b>TOTAL</b>	<b>7,898</b>	<b>7,765</b>	<b>7,437</b>	<b>6,843</b>	<b>6,300</b>	<b>5,799</b>

### Historical Renewal Data by Biennium

#### July 1, 2020-June 30, 2022

Type of License/Credential	Number of New Licenses	Number of Renewed Licenses	Percent Renewed Online
Physical Therapist	862	11,797	N/A
Physical Therapist Assistant	236	3,532	N/A
<b>TOTAL</b>	<b>1,098</b>	<b>15,329</b>	<b>99%</b>

#### July 1, 2018-June 30, 2020

Type of License/Credential	Number of New Licenses	Number of Renewed Licenses	Percent Renewed Online
Physical Therapist	792	11,590	N/A
Physical Therapist Assistant	237	3,712	N/A
<b>TOTAL</b>	<b>1,029</b>	<b>15,302</b>	<b>99%</b>

#### July 1, 2016-June 30, 2018

Type of License/Credential	Number of New Licenses	Number of Renewed Licenses	Percent Renewed Online
Physical Therapist	872	11,472	N/A
Physical Therapist Assistant	285	3,815	N/A
<b>TOTAL</b>	<b>1,157</b>	<b>15,287</b>	<b>98.8%</b>

#### July 1, 2014-June 30, 2016

Type of License/Credential	Number of New Licenses	Number of Renewed Licenses	Percent Renewed Online
Physical Therapist	747	9772	N/A
Physical Therapist Assistant	251	3265	N/A
<b>TOTAL</b>	<b>998</b>	<b>13,037</b>	<b>94%</b>

#### July 1, 2012-June 30, 2014

Type of License/Credential	Number of New Licenses	Number of Renewed Licenses	Percent Renewed Online
Physical Therapist	655	N/A	N/A
Physical Therapist Assistant	242	N/A	N/A
<b>TOTAL</b>	<b>897</b>	<b>12,417</b>	<b>93%</b>

**July 1, 2010-June 30, 2012**

Type of License/Credential	Number of New Licenses	Number of Renewed Licenses	Percent Renewed Online
Physical Therapist	488	N/A	N/A
Physical Therapist Assistant	173	N/A	N/A
TOTAL	661	11,094	92%

**COMPLAINT ACTIVITY – MINN. STAT. §214.07, SUBDS. 1B(2), (3)**
**Complaints Received and Closed by Biennium**

Biennium	Total Number of Complaints Received	Total Number of Complaints Closed
July 1, 2020-June 30, 2022	85	90
July 1, 2018-June 30, 2020	70	61
July 1, 2016-June 30, 2018	92	85
July 1, 2014-June 30, 2016	101	88
July 1, 2012-June 30, 2014	80	77
July 1, 2010-June 30, 2012	94	64

**Number of and Age of Complaints Open at the End of the Period**

<b>Number of Complaints Open as of June 30, 2022</b>	<b>4</b>
Complaints open less than one year as of June 30, 2022	4
Complaints open greater than one year as of June 30, 2022	0

**Types of Complaints Received During Biennium**

Basis of complaints	Number of complaints alleging this basis received 2020-2022*	Number of complaints alleging this basis received 2018-2020*	Number of complaints alleging this basis received 2016-2018*
Actions by another jurisdiction	2	1	2
Incompetency / unethical conduct	6	10	15
Unprofessional conduct	33	16	11
Impairment (Including Conviction of DUI/DWI/OUI/OWI)	18	15	24
Protected Terms	7	4	10
Failure to comply with CE requirement	1	0	3
Conviction of a felony/fraud element	15	4	12
Practice after license expired	15	7	8
Sexual misconduct/boundaries	11	5	2
Miscellaneous		8	3

\*Some complaints allege more than one basis.

## RECEIPTS, DISBURSEMENTS & MAJOR FEES – MINN. STAT §214.07, 1B(4)

### Total Receipts and Disbursements by Biennium

Biennium	Total Receipts	Total Disbursements
July 1, 2020 – June 30, 2022	\$1,570,643	\$913,202
July 1, 2018 – June 30, 2020	\$1,412,839	\$960,628
July 1, 2016 – June 30, 2018	\$1,353,929	\$867,222
July 1, 2014 – June 30, 2016	\$1,230,305	\$973,881
July 1, 2012 – June 30, 2014	\$1,095,715	\$880,670
July 1, 2010 – June 30, 2012	\$977,302	\$694,558

### Fees by Type

Type of fee	Fee
PT and PTA Annual License Renewal	\$60.00
PT and PTA Late Fee for Annual Renewal	\$20.00
PT and PTA Initial Application	\$100.00
PT and PTA Examination	\$50.00
PT and PTA Temporary Permit Fee	\$25.00
PT and PTA Duplicate License	\$20.00
PT and PTA Certification of Licensure	\$25.00
Continuing Education Course Review	\$100.00

## BOARD OF PODIATRIC MEDICINE

**The mission of the Board of Podiatric Medicine is to protect the public by extending the privilege to practice to qualified applicants and investigating complaints relating to the competency or behavior of individual licensees or registrants.**

### **Report of the Executive Director**

**July 1, 2020—June 30, 2022**

There are currently 299 licensed Doctors of Podiatric Medicine (DPM) in Minnesota, including 23 temporary permits. Temporary permits are issued to DPMs participating in one of the four Minnesota residency programs being offered. In recent years, several of our new licensees completed their residency program in Minnesota and chose to practice here!

The Board received new administrative responsibilities from the legislature for licensure of the following professions in 2018 - prosthetists, orthotists, pedorthists, prosthetist orthotists, assistants, and fitters. Currently there are 101 prosthetist orthotists, 27 prosthetists, 84 orthotists, 42 pedorthists, 19 fitters, 10 orthotist assistants and 6 prosthetist assistants licensed by our Board.

COVID-19 presented the Board with many unique challenges, but our offices are transitioning to normal operations. The Board encourages its licensees to know and comply with their own facility's specific protocols, policies and procedures that have been developed in response to the COVID 19 pandemic.

The Board experienced a reduction in the number of total licensees across all professions during the last biennium due to many licensees choosing to retire or leave the workforce during the COVID-19 pandemic. The rate of new applications has returned to normal, but the number of active licensees has not yet been restored to pre-pandemic levels.

DPM Licenses expired on June 30<sup>th</sup>, so extensions were provided for licensees that were unable to complete their CME's due to travel restrictions or illness.

We are always committed to the advancement of offering more and better online services to licensees and the public. The Board completed 2,193 license verifications in FY21/FY22, with 99% of them completed online.

The Board members continue to provide high quality, efficient, customer-focused, and cost-effective services. They are to be commended for their exceptional dedication, time commitment, and hard work that is required to serve on a State Board.

Paul D.R. Bakken  
Executive Director  
Board of Podiatric Medicine  
[paul.d.r.bakken@state.mn.us](mailto:paul.d.r.bakken@state.mn.us)

**GENERAL INFORMATION – MINN. STAT. §214.07, SUBD. 1B(5)****Board Members Serving During the Period 7/1/2020—6/30/2022**

Name	Location	Appointment Status	Appointment Date	Reappointment Date (if applicable)	Term Expiration Date
Nicole Bauerly, DPM	Brooklyn Park	Active	10/29/2012	6/23/2021	1/6/2025
Kimberly Bobbitt, DPM	St. Paul	Active	4/13/2015	1/7/2019	1/7/2023
Naomi Schmid, DPM	Fergus Falls	Active	7/1/2018	6/5/2022	1/5/2026
Stephen Powless, DPM	Edina	Active	4/24/1995	1/7/2019	1/7/2023
Margaret Schreiner	Eagan	Expired	2/9/2013	1/9/2017	1/9/2021
Ryan Peterson	Minneapolis	Active	6/23/2021	N/A	1/6/2025
Judith Swanholm	St. Paul	Expired	3/20/2007	7/1/2018	1/3/2022

**Board Staff and Office Location**

Paul D.R. Bakken, Executive Director

Minnesota Board of Podiatric Medicine  
Randolph Square Building  
335 Randolph Avenue, Suite 210  
St. Paul, MN 55102  
Phone: 651-201-2736  
Email: [podiatric.medicine@state.mn.us](mailto:podiatric.medicine@state.mn.us)  
Website: [mn.gov/boards/podiatric-medicine/](http://mn.gov/boards/podiatric-medicine/)



## CREDENTIALS ISSUED OR RENEWED – MINN. STAT. §214.07, SUBD. 1B(1)

Total Number of persons licensed or registered as of June 30, 2022: 588

### Number and Type of Credential Issued or Renewed

License Type	Licensed or registered as of June 30, 2022	Licensed or registered as of June 30, 2020	Licensed or registered as of June 30, 2018	Licensed or registered as of June 30, 2016	Licensed or registered as of June 30, 2014	Licensed or registered as of June 30, 2012
DPM—Doctor of Podiatric Medicine	276	270	254	246	238	224
DPM Temporary Permits	23	20	18	17	21	15
Orthotists	84	105	97	N/A	N/A	N/A
Prosthetists	27	39	35	N/A	N/A	N/A
Prosthetist Orthotists	101	98	73	N/A	N/A	N/A
Pedorthists	42	49	49	N/A	N/A	N/A
Fitters	19	29	26	N/A	N/A	N/A
Prosthetist Assistants	6	5	4	N/A	N/A	N/A
Orthotist Assistants	10	9	9	N/A	N/A	N/A
<b>TOTAL</b>	<b>588</b>	<b>624</b>	<b>565</b>	<b>263</b>	<b>259</b>	<b>239</b>

### Historical Renewal Data by Biennium

#### July 1, 2020-June 30, 2022

Type of License/Credential	Number of New Licenses	Number of Renewed Licenses	Number and Percent Renewed Online
DPM – Doctor of Podiatric Medicine	25	227	225 (99%)
Temporary Permits*	23	18	0 (0%)
Orthotists	6	69	65 (94%)
Prosthetists	3	20	20 (100%)
Prosthetist Orthotists	24	69	67 (97%)
Pedorthists	2	33	32 (97%)
Fitters	5	5	5 (100%)
Prosthetist Assistants	2	4	4 (100%)
Orthotist Assistants	2	4	4 (100%)
<b>TOTAL</b>	<b>92</b>	<b>449</b>	<b>422 (94%)</b>

\*Temporary permits are the only credential renewed on an annual basis. All other licenses are renewed every two years.

#### July 1, 2018-June 30, 2020

Type of License/Credential	Number of New Licenses	Number of Renewed Licenses	Number and Percent Renewed Online
DPM – Doctor of Podiatric Medicine	38	249	198 (80%)
Temporary Permits	28	43	0 (0%)
Orthotists	8	85	59 (70%)
Prosthetists	5	26	22 (85%)
Prosthetist Orthotists	27	80	60 (75%)
Pedorthists	6	48	28 (58%)
Fitters	12	25	12 (48%)
Prosthetist Assistants	2	6	5 (83%)
Orthotist Assistants	3	10	4 (40%)
<b>TOTAL</b>	<b>129</b>	<b>572</b>	<b>388 (68%)</b>

**July 1, 2016-June 30, 2018**

Type of License/Credential	Number of New Licenses	Number of Renewed Licenses	Number and Percent Renewed Online
DPM – Doctor of Podiatric Medicine	26	242	87 (60%)
Temporary Permits	14	18	8 (45%)
Orthotists	97	N/A	N/A
Prosthetists	35	N/A	N/A
Prosthetist Orthotists	73	N/A	N/A
Pedorthists	49	N/A	N/A
Fitters	26	N/A	N/A
Prosthetist Assistants	4	N/A	N/A
Orthotist Assistants	9	N/A	N/A
<b>TOTAL</b>	<b>333</b>	<b>260</b>	

**July 1, 2014-June 30, 2016**

Type of License/Credential	Number of New Licenses	Number of Renewed Licenses	Number and Percent Renewed Online
DPM – Doctor of Podiatric Medicine	21	239	50 (21%)
Temporary Permits	14	20	7 (35%)
<b>TOTAL</b>	<b>35</b>	<b>259</b>	<b>57 (22%)</b>

\*FY16 – first year online renewals were available

**July 1, 2012-June 30, 2014**

Type of License/Credential	Number of New Licenses	Number of Renewed Licenses	Number and Percent Renewed Online
DPM – Doctor of Podiatric Medicine	29	231	0
Temporary Permits	16	20	0
<b>TOTAL</b>	<b>45</b>	<b>251</b>	<b>0</b>

**July 1, 2010-June 30, 2012**

Type of License/Credential	Number of New Licenses	Number of Renewed Licenses	Number and Percent Renewed Online
DPM – Doctor of Podiatric Medicine	25	205	0
Temporary Permits	10	20	0
<b>TOTAL</b>	<b>35</b>	<b>225</b>	<b>0</b>

## COMPLAINT ACTIVITY – MINN. STAT. §214.07, SUBDS. 1B(2), (3)

### Complaints Received and Closed by Biennium

Biennium	Total Number of Complaints Received	Total Number of Complaints Closed
July 1, 2020-June 30, 2022	13	14
July 1, 2018-June 30, 2020	21	18
July 1, 2016-June 30, 2018	11	12
July 1, 2014-June 30, 2016	10	6
July 1, 2012-June 30, 2014	13	30
July 1, 2010-June 30, 2012	31	20

**Number of and Age of Complaints Open at the End of the Period**

<b>Number of Complaints Open as of June 30, 2022</b>	<b>2</b>
Complaints open less than one year as of June 30, 2022	0
Complaints open greater than one year as of June 30, 2022	2

**Types of Complaints Received**

Basis for Complaint*	Number of complaints alleging this basis from 2020-2022	Number of complaints alleging this basis from 2018-2020	Number of complaints alleging this basis from 2016-2018
153.19 (7) (11) (12) (14) (16)	12	9	6
Violation of Professional Firms Act	0	0	1
153B.70 (8) (9)	0	7	0
Malpractice Reports	1	4	3
Billing Issue	0	1	1

\*Some complaints may allege more than one basis.

**RECEIPTS, DISBURSEMENTS & MAJOR FEES – MINN. STAT §214.07, 1B(4)**
**Total Receipts and Disbursements by Biennium**

Biennium	Total Receipts	Total Disbursements
July 1, 2020 – June 30, 2022	\$418,674	\$245,380
July 1, 2018 – June 30, 2020	\$402,710	\$266,900
July 1, 2016 – June 30, 2018	\$380,486	\$230,626
July 1, 2014 – June 30, 2016	\$222,170	\$186,535
July 1, 2012 – June 30, 2014	\$207,640	\$181,393
July 1, 2010 – June 30, 2012	\$202,988	\$158,188

**Fees by Type**

Type of fee	Fee
License Application	\$600
License Renewal	\$600
Temporary Permit - Annual	\$250
Reinstatement	\$650
License Verification	\$30
Professional Firms – Annual	\$25
Miscellaneous	\$25
2 <sup>nd</sup> License	\$100

## BOARD OF PSYCHOLOGY

**The mission of the Board of Psychology is to protect the public through licensure, regulation, and education to promote access to safe, competent, and ethical psychology service.**

### **Report of the Executive Director**

**July 1, 2020 – June 30, 2022**

The Board focused on fulfilling its mission to “protect the public through licensure, regulation, and education to promote access to safe, competent, and ethical psychological services” in a fiscally responsible manner. The Board continues to focus on technology to streamline licensing and complaint review processes. The Board continued to refine online services for all applications and agency processes. During the last biennium, more than 98% of licensees renewed their license online and almost 100% of initial license applications are completed online, reducing the need for storage space, paper usage, and resources spent scanning and disposing of documents.

The Board meets ten times per year. On alternating months, one of the Board’s two Complaint Resolution Committees meets to manage complaints, investigations, and discipline with the assistance of staff and the Attorney General’s Office. The Board began the biennium meeting in an online format for both Board meetings and committee meetings. Toward the end of the biennium, the Board began meeting in-person and in a hybrid format. The transition to online meetings helped the Board continue to meet its duties to the community regarding licensing, regulating, and educating licensees and the public.

In the context of providing services to the public in an online and hybrid format, the Board engaged stakeholders and the public through its outreach programs including continuing education conferences, presentations to the public, as well as presentations to psychology programs in Minnesota.

As the Board advances public protection through licensure, regulation, and education for the benefit of the public, we invite you to join us by sharing feedback, attending a meeting, or participating in our open public processes as we work to make access to safe, competent, and ethical psychological services a reality for all Minnesota citizens.

Samuel S. Sands  
Executive Director  
Minnesota Board of Psychology  
612-548-2100  
[Psychology.board@state.mn.us](mailto:Psychology.board@state.mn.us)

## GENERAL INFORMATION – MINN. STAT. §214.07, SUBD. 1B(5)

### **Board Members Serving During the Period 7/1/2020—6/30/2022**

Name	Location	Appointment Status	Appointment Date	Reappointment Date (if applicable)	Term Expiration Date
Jack Rusinoff, MA, LP	St. Paul	Masters Member	6/28/2016	4/2/2020	1/1/2024
Jessica Gourneau, Ph.D., LP	St. Paul	Doctoral Member	3/28/2017	7/2/2019	1/2/2023
Robin McLeod, Ph.D., LP	Woodbury	Training Program Member	3/28/2017	4/2/2020	1/1/2024
Devon Gilchrist, MSW	Minneapolis	Public Member	6/13/2017		1/4/2021
Michael Brunner, Ph.D., LP	St. Paul	Doctoral Member	3/6/2018	7/2/2019	1/7/2023
Stephen Walden, Ph.D., LP	Minneapolis	Doctoral Member	3/6/2018		1/3/2022
Salina Renninger, Ph.D., LP	Falcon Heights	Training Program Member	3/6/2018	1/3/2022	1/3/2026
Jill Idrizow	Stillwater	Public Member	3/6/2018	1/3/2022	1/3/2026
Nancy Cameron	Minneapolis	Public Member	2021		1/3/2025
Sonal Markanda, Ph.D., LP	Minneapolis	Doctoral Member	4/2/2020		1/1/2024
Joel Bakken, MS, LP	Moorhead	Master's Member	4/2/2020		1/1/2024
Cesar Gonzalez PhD, LP, ABPP	Rochester		6/30/2022		1/3/2026
Michelle Zhao			2021		1/3/2025

### **Current Board Members**

Name	Location	Appointment Status	Appointment Date	Reappointment Date (if applicable)	Term Expiration Date
Jack Rusinoff, MA, LP	St. Paul	Master's Member	6/28/2016	4/2/2020	1/1/2024
Jessica Gourneau, Ph.D., LP	St. Paul	Doctoral Member	3/28/17	7/2/2019	1/2/2023
Robin McLeod, Ph.D., LP	Woodbury	Training Program Member	3/28/17	4/2/2020	1/1/2024
Nancy Cameron	Minneapolis	Public Member	2021		1/3/2025
Salina Renninger, Ph.D., LP	Falcon Heights	Training Program Member	3/6/2018	1/3/2022	1/3/2026
Jill Idrizow	Stillwater	Public Member	3/6/2018	1/3/2022	1/3/2026
Sonal Markanda, Ph.D., LP	Minneapolis	Doctoral Member	4/2/2020		1/1/2024
Joel Bakken, MS, LP	Moorhead	Master's Member	4/2/2020		1/1/2024
Cesar Gonzalez	Rochester		6/30/2022		1/3/2026
Michelle Zhao			2021		1/3/2025

**Board Staff and Office Location****Staff Members Serving During the Period 7/1/2020 – 06/30/2022:**

Samuel Sands, Executive Director  
Scott W. Payne, Compliance Director, Investigator Senior  
Joshua Bramley, Compliance Specialist, Customer Service Support Specialist Intermediate  
Kelly Finn-Searles, Continuing Education and Renewals, State Program Administrator  
Jonathan Hillman, Front Desk Support, Office & Administrative Specialist  
Stephanie Henry, Licensure Lead, Office Administrative Specialist Principal  
Cc Xiong, Front Desk Support, Office & Administrative Specialist  
Trisha Hoffman, Assistant Executive Director  
Sarah Ritter, Licensure Unit Lead, Office and Administrative Specialist Principal

**Current Staff Members:**

Samuel Sands, Executive Director, Executive Secretary  
Trisha Hoffman, Assistant Executive Director  
Scott W. Payne, Compliance Director, Investigator Senior  
Joshua Bramley, Compliance Specialist, Customer Service Support Specialist Intermediate  
Kelly Finn-Searles, Continuing Education and Renewals, State Program Administrator  
Sarah Ritter, Licensure Lead, Office & Administrative Specialist Principal  
Cc Xiong, Front Desk Support, Office & Administrative Specialist

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Website: <http://mn.gov/boards/psychology/>

## CREDENTIALS ISSUED OR RENEWED – MINN. STAT. §214.07, SUBD. 1B(1)

Total Number of persons licensed or registered as of June 30, 2022: 3,597

### Number and Type of Credentials Issued or Renewed

License Type	Licensed or registered as of June 30, 2022	Licensed or registered as of June 30, 2020	Licensed or registered as of June 30, 2018	Licensed or registered as of June 30, 2016	Licensed or registered as of June 30, 2014	Licensed or registered as of June 30, 2012
Psychologist	3,597	3,754	3,783	3,835	3,768	3,789
<b>TOTAL</b>	<b>3,597</b>	<b>3,754</b>	<b>3,783</b>	<b>3,835</b>	<b>3,768</b>	<b>3,789</b>

### Historical Renewal Data by Biennium

#### July 1, 2020-June 30, 2022

Type of License/Credential	Number of New Licenses	Number of Renewed Licenses	Number and Percent Renewed Online
Psychologist	293	3,407	3,391 (99%)
<b>TOTAL</b>	<b>293</b>	<b>3,407</b>	

#### July 1, 2018-June 30, 2020

Type of License/Credential	Number of New Licenses	Number of Renewed Licenses	Number and Percent Renewed Online
Psychologist	288	3,453	3,389 (98%)
<b>TOTAL</b>	<b>288</b>	<b>3,453</b>	

#### July 1, 2016-June 30, 2018

Type of License/Credential	Number of New Licenses	Number of Renewed Licenses	Number and Percent Renewed Online
Psychologist	265	3,364	3248 (97%)
<b>TOTAL</b>	<b>265</b>	<b>3,364</b>	

#### July 1, 2014-June 30, 2016

Type of License/Credential	Number of New Licenses	Number of Renewed Licenses	Number and Percent Renewed Online
Psychologist	233	3,564	1537 (*67%)
<b>TOTAL</b>	<b>233</b>	<b>3,564</b>	

\*Online renewals did not start until July 1, 2015

#### July 1, 2012-June 30, 2014

Type of License/Credential	Number of New Licenses	Number of Renewed Licenses	Number and Percent Renewed Online
Psychologist	272	3595	0
<b>TOTAL</b>	<b>272</b>	<b>3595</b>	<b>0</b>

#### July 1, 2010-June 30, 2012

Type of License/Credential	Number of New Licenses	Number of Renewed Licenses	Number and Percent Renewed Online
Psychologist	228	3527	0
<b>TOTAL</b>	<b>228</b>	<b>3527</b>	<b>0</b>

## COMPLAINT ACTIVITY – MINN. STAT. §214.07, SUBDS. 1B(2), (3)

### Complaints Received and Closed by Biennium

Biennium	Total Number of Complaints Received	Total Number of Complaints Closed
July 1, 2020-June 30, 2022	331	303
July 1, 2018-June 30, 2020	271	251
July 1, 2016-June 30, 2018	296	306
July 1, 2014-June 30, 2016	444	308
July 1, 2012-June 30, 2014	249	208
July 1, 2010-June 30, 2012	272	266

### Number of and age of complaints open at the end of the period

<b>Number of Complaints Open as of June 30, 2022</b>	<b>48</b>
Complaints open less than one year as of June 30, 2022	27
Complaints open greater than one year as of June 30, 2022	21

### Types of Complaints Received During Biennium

Basis for Complaint*	Number of complaints alleging this basis received 2020-2022	Number of complaints alleging this basis received 2018-2020	Number of complaints alleging this basis received in 2016-2018
Engaged in unprofessional conduct	202	186	186
Failure to limit practice areas to areas of competence	14	29	37
Conclusions and reports violations including failure to base assessments on procedures sufficient to substantiate conclusions, to include information required in a report, to properly administer and/or interpret psychological reports	55	49	42
Violated a statute or rule, or order the board is empowered to enforce relating to the practice of psychology including reporting the abuse of minors/vulnerable adults, professional firms, CE audit, discipline in another jurisdiction, licensure and renewal	190	203	38
Exploited the professional relationship with a current client	15	14	9
Provided psychological services while in a multiple relationship	3	15	13
Engaged in conduct likely to deceive or defraud the public or the board including public statements of a false or misleading nature or misrepresenting the nature of services	9	71	53
Failure to safeguard private client information	10	14	20
Failure to properly maintain and provide access to client records including failure to provide the client bill of rights and failure to obtain informed consent	25	25	33
Failure to provide qualifying supervision or failure to practice psychology under qualifying supervision	3	4	42
Engaged in misconduct with a supervisee including exploitation or misuse of the professional relationship, sexual behavior, or failure to protect the welfare of a supervisee	1	5	8
Provided psychological services with impaired objectivity or bias	15	29	19
Engaged in the practice of psychology without a license	9	6	29

\*Some complaints allege more than one violation.



Basis for Complaint* (continued)	Number of complaints alleging this basis received 2020-2022	Number of complaints alleging this basis received 2018-2020	Number of complaints alleging this basis received in 2016-2018
Engaged in sexual behavior with a client or former client (reasonably interpreted or sexual contact)	14	19	13
Inability to offer psychological services with reasonable skill and safety due to a mental or physical impairment	20	22	14
Other (failure to make clear the prescriber, failure to coordinate care, failure to resolve organizational conflicts, failure to terminate a client in a manner that minimizes harm)	50	77	11

\*Some complaints allege more than one violation.

## RECEIPTS, DISBURSEMENTS & MAJOR FEES – MINN. STAT §214.07, 1B(4)

### Total Receipts and Disbursements by Biennium

Biennium	Total Receipts	Total Disbursements
July 1, 2020 – June 30, 2022	\$2,248,466	\$2,339,780
July 1, 2018 – June 30, 2020	\$2,295,585	\$1,777,977
July 1, 2016 – June 30, 2018	\$2,325,992	\$2,153,429
July 1, 2014 – June 30, 2016	\$2,333,818	\$1,578,771
July 1, 2012 – June 30, 2014	\$2,228,169	\$1,589,309
July 1, 2010 – June 30, 2012	\$2,158,324	\$1,530,711

### Fees by Type

Type of Fee	Fee
Licensed Psychologist Renewal	\$500.00
Licensed Psychologist Renewal Late Fee	\$250.00
Professional Responsibility Examination	\$150.00
Examination for the Professional Practice of Psychology	\$150.00
Licensed Psychologist for Licensure	\$500.00
Convert Master's to Doctoral LP Licensure	\$150.00
Guest Licensure	\$150.00
Verification of Licensure	\$20.00
Professional Firm — Registration	\$100.00
Professional Firm — Annual Report	\$25.00
Pre-Approval of supervisor	\$50.00
Continuing Education Sponsor	\$80.00
EBC Fee—FBI	\$17.00
CBC Fee—BCA	\$15.00
Emeritus Registration	\$150.00
Licensed Psychologist Re-licensure	\$500.00

## BOARD OF SOCIAL WORK

**The mission of the Minnesota Board of Social Work is protecting the public through licensing and regulation of the social work profession.**

### Report of the Executive Director

**July 1, 2020 – June 30, 2022**

The Board of Social Work's public safety mission creates the foundation for the Board's work. Fifteen volunteer Board Members, including five public members, provide oversight to make certain we meet the needs of all citizens and promote a diverse, inclusive, and qualified workforce. Currently, the Board has a staff of 13.6 FTEs serving over 17,000 licensees, and providing services to citizens, licensees, applicants, employers, educators, and others daily. Annually, Staff process over 2,600 applications for licensure, over 6,800 applications for license renewal, and an average of 400 complaints. The demand for our services has grown due to significant increases in the number of applicants, licensees, and complaints. Core services provided include: 1) licensing qualified social workers; 2) investigating and resolving complaints when services do not meet standards, and; 3) providing outreach and education.

The Board continues to be guided by our Strategic Framework, which serves to identify outcome-based priorities and results to promote efficient and accountable services as we carry out our mission. We work collaboratively with state and federal agencies to monitor state, national, and international issues, and to respond effectively to emerging social work practice, and regulatory issues.

The following priorities serve to highlight results and current initiatives:

- **Commitment to Diversity, Equity, Inclusion and Belonging (DEIB):** The BOSW Board and Staff is committed to DEIB in our work as regulators, demonstrated by active training and creation of a DEIB mission and value statement. We promote a diverse and qualified workforce guided by our agency core values and are committed to actively examine and address systemic inequities in policy and practice with a DEIB lens to reduce unnecessary licensing barriers, while maintaining standards to ensure our mission of protecting the public.
- **Complaint Resolution Process Improvement:** In accordance with the 2019 Compliance Review Ad Hoc Committee Report and the Board's mission and strategic framework, the Board created and filled a Compliance Unit Investigator position. In addition, the Board instituted an audit of all complaints and Board actions in early 2022 and updated complaint investigation and resolution procedures, resulting in a 94% increase in complaints resolved compared to the previous biennium despite an increase of 43% in complaints received. Complaints resolved in under one year increased by 46% over the previous biennium, due in part to a focus on improving the plain language and accessibility of communications with licensees. Workforce education on the Social Work Practice Act and complaint resolution process is ongoing via continuing education presentations and preparation of a "Know Your Licensing Law" free online educational module.

In closing, Kate Zacher-Pate retires October 2022 as Executive Director. As the new Executive Director, it's a privilege to continue to provide leadership for the BOSW. While many strategic initiatives, outcomes and successes have been executed, there is much exciting work to do in the arena of social work regulation. The Board and Staff are also committed to continuing training opportunities for board members and staff related to implicit bias, institutional racism, cultural awareness, and social diversity, along with

recognizing and addressing systemic discrimination and working towards important constructive change. Thanks to Board Members for their countless hours of volunteer service, expertise, leadership, and passion, and to our extremely competent Board Staff, who are committed to carrying out the Board's mission of protecting the public and serving the residents of Minnesota. We must also recognize the critical work provided by licensed social workers as they serve individuals, families, and communities in need.

Best regards,  
 Youa Yang, LICSW  
 Executive Director  
 Minnesota Board of Social Work  
 612-617-2110  
 social.work@state.mn.us

### **GENERAL INFORMATION – MINN. STAT. §214.07, SUBD. 1B(5)**

#### **Board Members Serving During the Period 7/1/2020—6/30/2022**

<b>Name</b>	<b>Location</b>	<b>Appointment Status</b>	<b>Appointment Date</b>	<b>Reappointment Date (if applicable)</b>	<b>Term Expiration Date</b>
Thomas Brooks	Brooklyn Park	Public Member	11/2016	7/2019	1/2023
Donna Ennis, LSW	Superior, WI	Professional Member	6/2013	6/2017, 6/2021	1/2025
Christopher Anderson	Elbow Lake	Public Member	6/2021		1/2025
Angela DeLille, LICSW	Minneapolis	Professional Member	4/2008	6/2011; 6/2015, 7/2019	1/2023
Kathy Lombardi, LICSW	St. Paul	Professional Member	6/2014	7/2018	1/2022
Heidi Holmes, LGSW	Mankato	Professional Member	6/2021		1/2025
Dieu Do	St. Paul	Public Member	6/2021		1/2025
Kenneth Middlebrooks	Plymouth, MN	Public Member	7/2003	8/2007; 6/2011; 6/2015; 7/2019	1/2023
Carol Payne, LSW	Clear Lake	Professional Member	3/2009	6/2013; 6/2017	1/2021
Lori Thompson, LSW	Brainerd	Professional Member	6/2016	6/2020	1/2024
Mary Weaver	Underwood	Public Member	10/2014	6/2017, 6/2021	1/2025
Shawn Yates	Ada	Public Member	6/2017		1/2021
Jolene Engelking, LISW	Minnetonka	Professional Member	7/2018	6/2021	1/2025
Kate Goodman, LGSW	Minneapolis	Professional Member	1/2020		1/2024
Mary Walsh, LSW	Glenwood	Professional Member	7/2018		1/2022
Stephanie Jacobson, LSW	Richfield	Professional Member	7/2018	6/2021	1/2025
Pa Der Vang, LICSW	Maplewood	Professional Member	7/2018	6/2020	1/2024

**Board Staff and Office Location****Current Staff Members:**

Youa Yang, LICSW, Executive Director  
Kate Zacher-Pate, LSW, Emeritus Executive Director  
Jessica Aguilar, Office and Administrative Specialist Intermediate  
Nicholas Crain-Flor, Office and Administrative Specialist  
Tralana Davis, Office and Administrative Specialist Intermediate  
Kimberly Doran, LICSW, State Program Administrator Senior  
Michelle Kramer-Prevost, LISW, State Program Administrator Supervisor Principal  
Lisa Martinez, Communications and Executive Services Coordinator  
Rebecca Moskow, Investigator Senior  
Lori Moua, Office and Administrative Specialist  
Jairus Ndulah, Investigator  
Connie Oberle, Office Services Supervisor 2  
Allyson Routhe, Office and Administrative Specialist  
Sherry Thompson, Office and Administrative Specialist  
Colleen Vossen, Office and Administrative Specialist Intermediate

**Former Staff Members Serving During the Period 7/1/2020 — 6/30/2022:**

Melissa Franzen, Office and Administrative Specialist Intermediate  
Megan Gallagher, Investigator Senior  
Laura Kahle-Burbey, Office and Administrative Specialist  
Kate Manley, Office and Administrative Specialist Intermediate  
Yer Yang Robertson, Office and Administrative Specialist Intermediate

Minnesota Board of Social Work  
335 Randolph Avenue, Suite 245  
Phone: 612-617-2100  
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Email: [social.work@state.mn.us](mailto:social.work@state.mn.us)  
Website: <https://mn.gov/boards/social-work/>

## CREDENTIALS ISSUED OR RENEWED – MINN. STAT. §214.07, SUBD. 1B(1)

Total Number of persons licensed or registered as of June 30, 2022: 17,081

### Number and Type of Credentials Issued or Renewed

License Type	Licensed as of June 30, 2022	Licensed as of June 30, 2020	Licensed as of June 30, 2018	Licensed as of June 30, 2016	Licensed as of June 30, 2014	Licensed as of June 30, 2012
Licensed Social Worker (LSW)	5797	5989	6192	6110	5814	5724
Licensed Graduate Social Worker (LGSW)	3245	2934	2747	2339	2000	1658
Licensed Independent Social Worker (LISW)	686	732	766	782	787	768
Licensed Independent Clinical Social Worker (LICSW)	7353	6279	5661	5198	4746	4450
<b>TOTAL</b>	<b>17,081</b>	<b>15,934</b>	<b>15,366</b>	<b>14,429</b>	<b>13,347</b>	<b>12,600</b>

### Historical Renewal Data by Biennium

#### July 1, 2020-June 30, 2022

Type of License	Number of New Licenses	Number of Renewed Licenses	Number and Percent Renewed Online
LSW	753	4964	4718 (95%)
LGSW	1476	2433	2355 (97%)
LISW	44	546	517 (95%)
LICSW	1377	5917	5684 (96%)
<b>TOTAL</b>	<b>3650</b>	<b>13860</b>	<b>13274 (96%)</b>

#### July 1, 2018-June 30, 2020

Type of License	Number of New Licenses	Number of Renewed Licenses	Number and Percent Renewed Online
LSW	724	5060	4547 (90%)
LGSW	1178	2341	2157 (92%)
LISW	49	560	510 (91%)
LICSW	906	5113	4706 (92%)
<b>TOTAL</b>	<b>2857</b>	<b>13,074</b>	<b>11,920 (91%)</b>

#### July 1, 2016-June 30, 2018

Type of License	Number of New Licenses	Number of Renewed Licenses	Number and Percent Renewed Online
LSW	973	4927	4399 (89%)
LGSW	1260	1978	1787 (90%)
LISW	47	566	493 (87%)
LICSW	746	4607	4196 (91%)
<b>TOTAL</b>	<b>3026</b>	<b>12,078</b>	<b>10,875 (90%)</b>

**July 1, 2014-June 30, 2016**

Type of License	Number of New Licenses	Number of Renewed Licenses	Number and Percent Renewed Online*
LSW	1164	4643	-
LGSW	1143	1643	-
LISW	85	591	-
LICSW	693	4243	-
<b>TOTAL</b>	<b>3085</b>	<b>11,120</b>	<b>9674 (87%)</b>

\*Data by license type unavailable for this period

**July 1, 2012-June 30, 2014**

Type of License	Number of New Licenses	Number of Renewed Licenses	Number and Percent Renewed Online*
LSW	920	4443	-
LGSW	971	1337	-
LISW	89	577	-
LICSW	529	3926	-
<b>TOTAL</b>	<b>2509</b>	<b>10,283</b>	<b>8124 (79%)</b>

\*Data by license type unavailable for this period

**July 1, 2010-June 30, 2012**

Type of License	Number of New Licenses	Number of Renewed Licenses	Number and Percent Renewed Online*
LSW	777	4804	-
LGSW	874	1130	-
LISW	68	618	-
LICSW	612	3571	-
<b>TOTAL</b>	<b>2331</b>	<b>10,123</b>	<b>7339 (72.5%)</b>

\*Data by license type unavailable for this period

## COMPLAINT ACTIVITY – MINN. STAT. §214.07, SUBD. 1B(2), (3)

### Complaints Received and Closed by Biennium

Biennium	Total Number of Complaints Received	Total Number of Complaints Closed
July 1, 2020-June 30, 2022	821	767
July 1, 2018-June 30, 2020	575	395
July 1, 2016-June 30, 2018	773	737
July 1, 2014-June 30, 2016	775	673
July 1, 2012-June 30, 2014	298	339
July 1, 2010-June 30, 2012	310	282

### Number of and Age of Complaints Open at the End of the Period

Total Number of Complaints Open as of June 30, 2022	284
Complaints open less than one year as of June 30, 2022	123
Complaints open greater than one year as of June 30, 2022	161

### Types of Complaints Received During Biennium

Basis for Complaints	Number of complaints during July 1, 2020 – June 30, 2022	Number of complaints during July 1, 2018 – June 30, 2020	Number of complaints during December 9, 2016 – June 30, 2018*	Number of complaints during July 1, 2016 – December 8, 2016*
Boundaries	84	73	52	9
Competence/Harmful Conduct	94	37	46	N/A
Confidentiality	39	42	36	6
Criminal Conviction	27	52	54	N/A
Failure to Report	10	10	10	2
Fee/Payment Issue/Fraudulent Billing	23	15	32	3
Fraudulent Application	0	0	3	N/A
Human Services/Revenue Violation	0	6	6	1
Impairment	98	82	105	22
Improper Termination	8	10	6	N/A
Non-compliance with Licensing Requirements	143	56	73	N/A
Licensure	N/A	N/A	N/A	19
Non-Jurisdictional	N/A	N/A	N/A	15
Other	150	92	100	2
Recordkeeping	28	23	36	N/A
Practice Issue	N/A	N/A	N/A	50
Sexual Conduct or Harassment	24	19	20	2
Unlicensed Practice/Misrepresentation	81	59	64	44
Unprofessional Conduct	315	133	206	N/A
Violation of Board Order	4	16	9	0

\*On December 9, 2016, the Board transitioned to a new database. The new database omitted some complaint codes and added new codes to allow for more descriptive data related to the basis for complaints. Because the database change occurred during the FY2017 – FY2018 biennium, complaint data is divided for that biennium. It should also be noted that some complaints allege more than one basis.

## RECEIPTS, DISBURSEMENTS & MAJOR FEES – MINN. STAT §214.07, 1B(4)

### Total Receipts and Disbursements by Biennium

Biennium	Total Receipts	Total Disbursements
July 1, 2020 – June 30, 2022	\$4,215,263	\$3,571,765
July 1, 2018 – June 30, 2020	\$3,308,299	\$3,239,689
July 1, 2016 – June 30, 2018	\$2,758,415	\$2,931,578
July 1, 2014 – June 30, 2016	\$2,559,693	\$2,593,148
July 1, 2012 – June 30, 2014	\$2,324,527	\$2,363,353
July 1, 2010 – June 30, 2012	\$2,107,134	\$2,163,090

### Fees by Type

Type of fee	7/9/2019- Present	7/1/2018-7/8/2019
Licensure by Endorsement Application	\$100.00	\$85.00
LSW, LGSW, LISW, LICSW Application	\$60.00	\$45.00
Temporary License	\$50.00	\$50.00
LSW License	\$93.50 (24-month fee)	\$81.00 (24-month fee)
LSW Renewal and Temporary Leave	\$110.00 (24-month fee)	\$81.00 (24-month fee)
LGSW License	\$167.75 (24-month fee)	\$144.00 (24-month fee)
LGSW Renewal and Temporary Leave	\$195.00 (24-month fee)	\$144.00 (24-month fee)
LISW License, Renewal and Temporary Leave	\$295.00 (24-month fee)	\$216.00 (24-month fee)
LICSW License, Renewal and Temporary Leave	\$325.00 (24-month fee)	\$238.50 (24-month fee)
Late Renewal Fee	1/4 Renewal Fee	1/4 Renewal Fee
Emeritus Active License	1/2 Renewal Fee	1/2 Renewal Fee
Emeritus Inactive License	\$50.00	\$43.20
Duplicate License Certificate	\$30.00	\$30.00
Duplicate License Card	\$10.00	\$10.00
License Verification	\$20.00	\$15.00



## BOARD OF VETERINARY MEDICINE

**The mission of the Minnesota Board of Veterinary Medicine is to promote, preserve, and protect the health, safety, and welfare of the public and animals through the effective control and regulation of the practice of veterinary medicine.**

### Report of the Executive Director

**July 1, 2020 – June 30, 2022**

The Board of Veterinary Medicine exists to regulate the practice of veterinary medicine in the State of Minnesota. Regulation is necessary to protect the health, safety, and welfare of Minnesota's animals and citizens. The Board has 7 members appointed by the Governor: 5 veterinarians and 2 public members. The full Board meets 3 times per year and convenes special board meetings when required. The Board has 5 Complaint Review Committees, each comprised of two Board members. A Board member may serve on more than one Committee. These include two Small Animal Complaint Review Committees, one Large Animal Complaint Review Committee, an Impaired Veterinarian Complaint Review Committee and a Continuing Education Review and Audit Failure Committee. The Committees function primarily through secure communication means, including State e-mail and SharePoint sites, and meet as needed for conferences with licensees. Conferences and Board meetings were held via WebEx per Governor Walz's Stay at Home Executive Order up until May 2022. Office staff predominantly worked remotely until pandemic restrictions were rolled back. Staff were temporarily housed at the Board of Animal Health during summer months of 2021 before moving into new office space in September 2021.

### **Board Regulatory and Outreach Activities:**

- Setting educational and examination requirements for initial licensure for veterinarians
- Issuing veterinary licenses and permits to qualified individuals
- Setting requirements for license renewals and administering the renewal process
- Registering veterinary professional firms and monitoring changes in professional firms via annual reports
- Setting minimum standards of veterinary practice in Board statutes and rules
- Responding to inquiries, complaints and reports regarding applicants and licensees
- Investigating complaints of alleged violations of statutes and rules including unlicensed practice of veterinary medicine and taking action when appropriate
- Holding educational and disciplinary conferences with licensees and applicants, taking disciplinary or corrective action when appropriate against practitioners who fail to meet minimum standards of practice, and reporting public actions to national databanks
- Maintaining a website that provides information to the public about license status, complaints and discipline, including a list of disciplinary and corrective actions taken by the Board
- Offering online services from the Board's website, including downloadable forms for complaints, continuing education program approval requests, professional firm registration and reports, license application, verification, and renewal as well as links to the full text of public disciplinary and corrective orders the Board has adopted against licensed professionals and unlicensed practitioners of veterinary medicine
- Reviewing and approving continuing education activities
- Distributing an e-newsletter with timely regulatory updates for licensees and the public and posting the newsletters on the Board's website

- Communicating Board variances and recommendations pertaining to the COVID-19 pandemic's impact on veterinarians
- Providing information about licensure requirements and standards of practice to applicants, licensees, and other interested parties. Board staff gave virtual yearly licensure presentations to applicants.
- Actively engaging with the Minnesota Veterinary Medical Association (MVMA) to address questions pertaining to Statutes and Rules of the Board of Veterinary Medicine and the Board of Pharmacy.
- Supplying information to the MVMA to estimate the fiscal impact of licensing veterinary technicians and feedback on proposed statutory changes
- Presenting regulatory insights via staff participation in the Minnesota Veterinary Medical Association's Annual Conference on multiple topics, including strategies to diminish the risk of using animals to illicitly obtain controlled substances from veterinarians, telemedicine, drug compounding, non-profit veterinary professional firms and other topics based on frequently asked questions.
- Collaborating with other regulatory agencies, including the Minnesota Board of Animal Health, Minnesota Board of Pharmacy, Minnesota Prescription Monitoring Program Advisory Task Force, Minnesota Racing Commission, USDA-APHIS, and Drug Enforcement Administration in resolution of issues with overlapping areas of regulatory oversight
- Actively participating in Minnesota's One Health Antibiotic Stewardship Collaborative
- Actively participating in the American Association of Veterinary State Boards' annual meeting and committees

**Legislative Activities:**

The Board of Veterinary Medicine supported legislation to create a new license category for veterinary technicians. The bill was introduced but was not heard by a committee.

**Staffing:**

The Board is staffed with two full-time equivalent employees: executive director and a state program administrator. A part-time student worker scanned files for several months. High complaint numbers have continued and still included components related to COVID-19 precautions taken by veterinary clinics. There has consequently been an increase in time needed for complaint resolution as well as increased legal and Board member expenses. The increased expenses as well as rising costs from other government agencies highlight the need for the Board's request to receive a base budget increase in spending authority in the next biennium (FY22 and ongoing).

Julia Wilson, DVM  
Diplomate, American College of Veterinary Internal Medicine  
Executive Director  
Minnesota Board of Veterinary Medicine  
651-201-2844  
Vet.med@state.mn.us

**GENERAL INFORMATION – MINN. STAT. § 214.07, SUBD. 1B(5)****Board Members Serving During the Period 7/1/2020—6/30/2022**

Name	Location	Appointment Status	Appointment Date	Reappointment Date (if applicable)	Term Expiration Date
Mahlon Bauman	Buffalo	Public Member	4/6/2021		1/1/2025
Julie Dahlke, DVM	St. Paul	Professional Member	7/3/2019		1/1/2023
Jody Grote	Richfield	Public Member	5/4/2016	5/6/2020	1/1/2024
Mary Olson, DVM	Mora	Professional Member	3/2/2014	6/23/2018	1/1/2022
Christopher Powers, DVM	Sartell	Professional Member	7/1/2019		1/1/2023
David Richter	Montgomery	Public Member	4/2/2013	6/1/2017	1/1/2021
Steven Shadwick, DVM	Stillwater	Professional Member	6/29/2018	6/5/2022	1/1/2026
Raye Taylor, DVM	Centerville	Professional Member	6/5/2022		1/1/2026
Michelle Vaughn, DVM	Richfield	Professional Member	6/30/2011	7/1/2019	1/1/2023

**Board Staff and Office Location**

Dr. Julia Wilson, Executive Director  
Nicole Vink, State Program Administrator

Minnesota Board of Veterinary Medicine  
Randolph Square Building  
335 Randolph Avenue, Suite 215  
St. Paul, MN 55102  
Phone: 651-201-2844  
FAX: 651-201-2842  
Email: [vet.med@state.mn.us](mailto:veterinary@state.mn.us)  
Website: <http://mn.gov/boards/veterinary-medicine/>

## CREDENTIALS ISSUED OR RENEWED – MINN. STAT. §214.07, SUBD. 1B(1)

Total Number of persons licensed or registered as of June 30, 2022: 3,616

### **Number and Type of Credentials Issued or Renewed**

License Type	Licensed as of June 30, 2022	Licensed as of June 30, 2020	Licensed as of June 30, 2018	Licensed as of June 30, 2016	Licensed as of June 30, 2014	Licensed as of June 30, 2012
Veterinarian	3,616	3,562	3,413	3,330	3,249	3,182
<b>Total</b>	<b>3,616</b>	<b>3,562</b>	<b>3,413</b>	<b>3,330</b>	<b>3,249</b>	<b>3,182</b>

### **Historical Renewal Data by Biennium**

#### **July 1, 2020-June 30, 2022**

Type of License	Number of New Licenses	Number of Renewed Licenses	Number and Percent Renewed Online
Veterinarian	352	3330	2882 (87%)
<b>TOTAL</b>	<b>352</b>	<b>3330</b>	<b>2882 (87%)</b>

#### **July 1, 2018-June 30, 2020**

Type of License	Number of New Licenses	Number of Renewed Licenses	Number and Percent Renewed Online
Veterinarian	356	3579	2638
<b>TOTAL</b>	<b>356</b>	<b>3579</b>	<b>2638 (78%)</b>

#### **July 1, 2016-June 30, 2018**

Type of License	Number of New Licenses	Number of Renewed Licenses	Number and Percent Renewed Online
Veterinarian	365	3,471	2,812
<b>TOTAL</b>	<b>365</b>	<b>3,471</b>	<b>2,812 (81%)</b>

#### **July 1, 2014-June 30, 2016**

Type of License	Number of New Licenses	Number of Renewed Licenses	Number and Percent Renewed Online
Veterinarian	346	3212	2687
<b>TOTAL</b>	<b>346</b>	<b>3212</b>	<b>2687 (84%)</b>

#### **July 1, 2012-June 30, 2014**

Type of License	Number of New Licenses	Number of Renewed Licenses	Number and Percent Renewed Online
Veterinarian	330	3121	2705
<b>TOTAL</b>	<b>330</b>	<b>3121</b>	<b>2705 (87%)</b>

#### **July 1, 2010-June 30, 2012**

Type of License	Number of New Licenses	Number of Renewed Licenses	Number and Percent Renewed Online
Veterinarian	255	2927	2429
<b>TOTAL</b>	<b>255</b>	<b>2927</b>	<b>2429 (83%)</b>

## COMPLAINT ACTIVITY – MINN. STAT. §214.07, SUBDS. 1B(2), (3)

### Complaints Received and Closed by Biennium

Biennium	Total Number of Complaints Received	Open from Prior Biennium	Total Number of Complaints Closed
July 1, 2020-June 30, 2022	202	15	194
July 1, 2018-June 30, 2020	190	not tracked	175
July 1, 2016-June 30, 2018	197	not tracked	169
July 1, 2014-June 30, 2016	175	not tracked	143
July 1, 2012-June 30, 2014	148	not tracked	114
July 1, 2010-June 30, 2012	145	not tracked	132
July 1, 2008-June 30, 2010	148	not tracked	111

### Number of and Age of Complaints Open at the End of the Period

<b>Total Number of Complaints Open as of June 30, 2022</b>	<b>23</b>
Complaints open less than one year as of June 30, 2022	17
Complaints open greater than one year as of June 30, 2020	6

### Types of Complaints Received During Biennium

Basis for complaints*	Number of complaints alleging this basis received 2020 – 2022	Number of complaints alleging this basis received 2018 – 2020	Number of complaints alleging this basis received 2016 – 2018
Incompetence	133	110	83
Unprofessional Conduct	156	124	68
Criminal Conviction	6	8	11
Unlicensed Practice	13	16	20
Disregard Health, Wellness, or Safety	156	7	14
Mental Health	6	8	0
Sanitation	5	25	7
Inadequate Continuing Education Hours	12	26	18

\*Some complaints allege more than one basis.

## RECEIPTS, DISBURSEMENTS & MAJOR FEES – MINN. STAT §214.07, 1B(4)

### Total Receipts and Disbursements by Biennium

Biennium	Total Receipts	Total Disbursements
July 1, 2020 – June 30, 2022	\$826,996	\$740,432
July 1, 2018 – June 30, 2020	\$871,498	\$772,614
July 1, 2016 – June 30, 2018	\$400,004	\$352,392
July 1, 2014 – June 30, 2016	\$374,573	\$227,482
July 1, 2012 – June 30, 2014	\$353,399	\$199,451
July 1, 2010 – June 30, 2012	\$341,215	\$178,495

### Fees by Type

Type of Fee	Fee
Jurisprudence Examination	\$50
Application	\$50
Initial License	\$200
Criminal Background Check	\$33.25
Biennial Active License Renewal	\$200
Biennial Inactive License Renewal	\$100
Temporary Permit	\$50
Late fee (Inactive renewal)	\$50
Late fee (Active renewal)	\$100
Professional Firm Registration	\$100
Professional Firm Annual Report	\$25
Duplicate License	\$10
Mailing List	\$100
CE Sponsor Approval	\$50
License Verification	\$25

## OFFICE OF COMPLEMENTARY AND ALTERNATIVE HEALTH CARE

**The mission of the Minnesota Department of Health (MDH) is to protect, maintain, and improve the health of all Minnesotans.**

### **Program Structure**

The Office of Unlicensed Complementary and Alternative Health Care Practices (OCAP) exists within the Minnesota Department of Health. The Office is administered by the Health Occupations Program (HOP) within the Health Regulation Division (HRD). HOP evaluators, administrative staff, and management share OCAP responsibilities and balance them with the other occupational programs HOP administers.

OCAP advances MDH's mission by protecting consumers and prospective consumers of complementary and alternative health care practices (CAP). It accomplishes this by investigating complaints and taking disciplinary actions against practitioners of complementary and alternative health, providing information to the public about CAP, and informing practitioners about their obligations under the law.

### **OCAP Staff and Office Location**

Daphne Ponds, Interim Executive Operations Manager  
Robert Dehler, Manager  
Debbie Thao, Supervisor  
Ah Her, Evaluator

Minnesota Department of Health  
85 East Seventh Street  
Suite 220  
P.O. Box 64882  
St. Paul, Minnesota 55164-0882  
Telephone: 651 201-3731  
Email: [Health.HOP@state.mn.us](mailto:Health.HOP@state.mn.us)  
Website: [www.health.state.mn.us/facilities/providers/compalt/](http://www.health.state.mn.us/facilities/providers/compalt/)

### **Scope**

Complementary and alternative health practices (CAP) encompass a broad domain of healing, methods, and treatments. Massage therapy is the practice about which OCAP receives the most complaints. However, CAP also include practices that are less common but well known, such as aromatherapy and homeopathy, as well as lesser-known practices, such as nondiagnostic iridology (examining the iris of the human eye to determine information about systemic health). CAP also encompasses folk practices and practices associated with specific cultures, such as ayurveda and traditional Asian practices. Minnesota Statutes, Section 146A.01, Subdivision 4(a) provides a nonexclusive list of healing methods and treatments included within CAP.

### **Budget**

CAP practitioners are not licensed; instead, the Department regulates this activity by investigating complaints against practitioners and imposing discipline, when appropriate. As such, there are no licensure fees collected to fund the program. Operating funds are supplied by the General Fund.

<b>EXPENDITURES</b>	<b>FY 2022</b>	<b>FY 2021</b>	<b>FY 2020</b>	<b>FY 2019</b>
Salaries/Fringe	66,359.51	72,321.92	61,266.36	73,666.93
Supplies	268.67	0	62.54	96.47
Attorney General Costs	0	0	332.50	0
Other Non-Payroll	640.56	4282.84	5250.23	5914.61
<b>TOTAL EXPENDITURES</b>	<b>67,268.74</b>	<b>76,604.76</b>	<b>66,982.12</b>	<b>79,672.61</b>

### **Activities**

OCAP's activities include operating as a clearinghouse to provide information to the public and practitioners and investigating and acting on complaints against CAP practitioners. Inquiries are documented contacts rather than complaints. Because CAP practitioners are unlicensed, the number of practitioners of any complementary or alternative modality is not known.

### **Complaints, Investigations, Enforcement**

OCAP conducts investigations and takes disciplinary action on a practitioner's right to practice when appropriate. OCAP disciplinary actions may be referred to the Attorney General's Office if a practitioner requests a contested case hearing in response to a proposed disciplinary action. The initial question for any investigation is whether OCAP has jurisdiction. The answer to this question is often less obvious for CAP practitioners than for other regulated individuals. Licensed practitioners often incorporate CAP into their licensed practice activities. In those instances, the licensing board has jurisdiction over the practitioner.

Practices that span disciplines and/or regulatory agencies can also pose difficulties. OCAP encounters inquiries and complaints about activities taking place in Medi-spas (hybrid day spa and medical clinic). For each of these, OCAP must determine whether the practice is purely cosmetic or also undertaken to improve health, in which case it would fall under the jurisdiction of MDH. Some of these cases involve the use of medical devices, a complex area of law governed by the federal Food and Drug Administration. If a procedure is undertaken for reasons of health, OCAP staff will research, analyze, and apply federal regulations relating to medical devices.

### **Complaints Received and Closed by Biennium**

<b>Biennium</b>	<b>Total Number of Complaints Received</b>	<b>Total Number of Complaints Closed</b>
July 1, 2020-June 30, 2022	17	10
July 1, 2018-June 30, 2020	16	11
July 1, 2016-June 30, 2018	15	37
July 1, 2014-June 30, 2016	23	29
July 1, 2012-June 30, 2014	14	2
July 1, 2010-June 30, 2012	23	61

For the biennium ending in FY 2022, OCAP received 17 complaints, resulting in two open investigations



and seven pending additional evidence to determine investigation status. Given the very small number of complaints in this program area, it is difficult to analyze trends or to draw valid conclusions from the scant data.

While CAP practitioners are not required to register with MDH, and MDH does not have data on the number of CAP practitioners, based on the best information available, massage therapists constitute the largest single group of CAP practitioners and, therefore, are the most likely subjects of complaints and disciplinary actions.

### **Number of and Age of Complaints Open at the End of the Period**

<b>Number of Complaints Open as of June 30, 2022</b>	<b>7</b>
Number of complaints open less than 1 year	2
Number of complaints open greater than 1 year	5

As of the close of FY 2022, OCAP has seven open investigations. In the 18 months prior to this report, HOP employed only one evaluator despite efforts to hire an additional evaluator. This 50% reduction in program staff impacted the timeliness of complaint investigations resulting in a higher number of complaints open at the end of the fiscal year and for a longer period time. The number of complaints in OCAP has remained relatively stable over the last biennium; it is unclear whether this is a trend that will continue or merely a short-term variance.

OCAP staff takes its mission to protect the public seriously and is always considering methods to improve efficiency and outcomes. As the data is analyzed and significant trends or changes in data are noted, the OCAP will continue to evaluate its processes and strive for excellence in producing results that benefit public safety and consumers of the complementary and alternative practices.

### **Types of Complaints Received**

The majority of OCAP complaints are related to the practice of massage therapy, specifically, physical contact with a client. For the 2020-2022 biennium, complaints were related to inappropriate touch: sexual and non-sexual contact with a client, unprofessional conduct, false advertisement, failure to protect clients from employee sexual and verbal abuse, inappropriate touch resulting in harm, and unlicensed practice. Other practice types include the discipline related to nutritional supplements.

Basis for complaints*	Number of complaints alleging this basis received 2020-2022	Number of complaints alleging this basis received 2018-2020
Sexual contact, conduct reasonably interpreted by client as sexual, verbal behavior that is seductive or sexually demeaning	2	4
False, fraudulent, deceptive or misleading advertisement	3	1
Conduct likely to deceive, defraud, or harm the public. Careless disregard for health welfare or safety of a client	2	2
Inappropriate touching, non-sexual	3	0
Nutritional supplements	2	0
Unprofessional conduct/failure to protect	2	0
Unlicensed establishment	1	0

\*Some complaints allege more than one basis.