## STATE OF MINNESOTA

BOARD OF ARCHITECTURE, ENGINEERING, LAND SURVEYING, LANDSCAPE ARCHITECTURE,
GEOSCIENCE AND INTERIOR DESIGN

In the Matter of

STIPULATION AND CONSENT ORDER

Anthon Charles Ellis Architect No. 55243

Board File No. 2024-0009

#### STIPULATION

Anthon Ellis ("Respondent") and the Minnesota Board of Architecture, Engineering, Land Surveying, Landscape Architecture, Geoscience and Interior Design's Complaint Committee stipulate that, subject to Board's review and discretionary approval, the Board may issue a consent order that imposes the following sanctions:

- A. Respondent's Architect license, No. 55243, is CENSURED and REPRIMANDED pursuant to Minn. Stat. § 326.111 (2022).
- B. Respondent shall pay to the Board a civil penalty of One Thousand Five Hundred Dollars (\$1,500). Respondent shall submit the civil penalty by check to the Board within sixty (60) days of the Board's approval of this Stipulation and Consent Order.
- C. Respondent shall complete 2 professional development hours related to professional ethics, and provide proof of completion of the hours to the Board, within 60 days of the Board's approval of this stipulation and Consent Order. These professional development hours are not to count toward the continuing education hours required by statute and rule for license renewal.
- D. Respondent shall comply with all statutes and rules within the Board's jurisdiction.

  See Minn. Stat. §§ 326.02–.15 (2022) and Minn. R. chs. 1800 & 1805 (2023).

E. Respondent shall report in writing within ten days any and all violations of this stipulation and consent order to the Board's Executive Director.

Respondent and the Committee enter into this stipulation based on the following findings of fact, conclusions of law, and other stipulated provisions:

# **Findings of Fact**

- 1. The Board issued Respondent an Architect license on October 20, 2017.
- Respondent's Architect license expired on June 30, 2020.
- 3. Respondent emailed the Board inquiring about reinstatement of his Architect license on August 28, 2023.
- After confirming a current mailing address, Respondent was sent the application for reinstatement on September 20, 2023.
- 5. Respondent was sent a letter alleging holding out and practicing as an Architect without a license, including screenshots of his employer's website where he was holding out as an Architect, on September 21, 2023.
  - 6. The Board renewed Respondent's Architect license on October 27, 2023.
- From August 2018 to present, Respondent worked for a residential design firm in
   Minnesota. Design of single-family homes is exempted from licensing requirements.
- 8. During the time his license was lapsed, Respondent contributed to designs of single-family residences, but did not sign any documents or submit them for permit.

- 9. During his lapse in Minnesota licensure from July 1, 2020, to October 27, 2023, Respondent did not follow up with his employer to update his biography on his employer's website and remove his credentials. Respondent held out and offered to practice architecture when he was listed as on his employer's website as a Senior Project Architect/Designer and his biography stated that "He is a licensed Architect in the State of Minnesota".
- 10. Respondent provided false statements to the Board by answering "True" to the following certification statements on his reinstatement application received by the Board on October 27, 2023:
  - a. "I have not represented myself as an architect, professional engineer, land surveyor, landscape architect, professional geologist, professional soil scientist, or certified interior designer without proper licensure or certification, either verbally or on any printed matter, in the State of Minnesota, nor will I do so until such time as my license or certificate has been issued by the Minnesota Board of Architecture, Engineering, Land Surveying, Landscape Architecture, Geoscience and Interior Design;" and
  - b. "I have not performed or offered to perform architectural, professional engineering, land surveying, landscape architectural, professional geological, professional soil scientific, or certified interior designer services without proper licensure or certification in the State of Minnesota, nor will I do so until such time as my license or certificate has been issued by the Minnesota Board of Architecture, Engineering, Land Surveying, Landscape Architecture, Geoscience and Interior Design".

### Conclusion of Law

- The Board has authority to license and regulate Architects and to take disciplinary action as appropriate. Minn. Stat. ch. 326.111 (2022).
- Respondent violated Minn. Stat. § 326.02, subds. 1 and 2 (2022) and Minn. R.
   1805.0200, subp. 4(C) (2023).
- Respondent is subject to discipline pursuant to Minn. Stat. §§ 326.111 subd.
   4(a)(1) and (8) (2022).
  - 4. This stipulation and consent order is in the public interest.

## Other Stipulated Provisions

- This stipulation and consent order must be approved by the Board to become effective.
- 2. Respondent agrees that the Committee may move the Board *ex parte*, with or without advance notice to the Respondent, to approve this stipulation and consent order. Respondent understands that the Board may either approve the stipulation and consent order or not approve it. This stipulation and the files, records, and proceedings associated with this matter may be reviewed by the Board in its consideration of the Committee's motion.
- 3. If approved by the Board, this stipulation and consent order shall be classified as public data. Minn. Stat. § 13.41, subd. 5 (2022). The Board will post a copy of this order on its website. The Board will also send a summary to the national discipline data bank pertaining to the practice of architecture.

- 4. If the Board does not approve this stipulation and consent order, then the matter remains unresolved and the Committee may either seek to negotiate a revised stipulation and consent order with Respondent to present to the Board or issue an order commencing a contested-case hearing before an Administrative Law Judge at the Office of Administrative Hearings. See Minn. Stat. §§ 14.57–.62, 214.10, subd. 2 (2022) (describing administrative hearing process).
- 5. Respondent agrees that if this case comes before the Board again after it reviews and discusses this stipulation and consent order, Respondent waives any claim that the Board was prejudiced by its review and discussion of this stipulation and consent order and any records relating to it.
- 6. Respondent acknowledges that they were advised by the Committee of their right to a contested-case hearing in this matter before an Administrative Law Judge, to file exceptions and make argument to the Board after the hearing, and to seek judicial review from any adverse decision rendered by the Board. Respondent hereby expressly waives those rights. Respondent was further advised by the committee of their right to be represented by counsel and that they are represented by council.
- 7. Respondent has read, understands, and agrees to this stipulation and has voluntarily signed it. It is expressly understood that this stipulation contains the entire agreement between the parties, there being no other agreement of any kind, verbal or otherwise. If approved by the Board, a copy of the final stipulation and consent order shall be served personally or by first class mail on Respondent. The Board's order shall be effective when it is signed by the Chair of the Board or the Chair's designee.

8. Pursuant to Minn. Stat. § 16D.13 (2022), any civil penalty imposed by this stipulation and consent order shall begin to accrue simple interest in accordance with that section thirty days after the civil penalty is due. Pursuant to Minn. Stat. § 16D.17 (2022), thirty days after any civil penalty imposed by this stipulation and consent order is due, the Board may file and enforce any unpaid portion of the civil penalty as a judgment against Respondent in district court without further notice or additional proceedings.

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Anthon Charles Ellis, Architect

STATE OF MA

COUNTY OF Hennepin

This instrument was acknowledged before me on \_

10/14/24

by Anthon Ellis.

(stamp)

JUSTIN A FRIERSON
Notary Public
Minnesota
My Commission Expires 1/31/2029

(Signature of notary officer)

My commission expires: 1/5/8x24

**COMPLAINT COMMITTEE** 

ERIC FRISKE, JD

Chair

Dated: October 30, 2024

### **CONSENT ORDER**

Upon consideration of this stipulation and consent order, and based upon all the files, records, and proceedings herein, all terms of the stipulation and consent order are approved.

Accordingly, the Board hereby ADOPTS the stipulation and issues the ORDER described above.

MINNESOTA BOARD OF ARCHITECTURE, ENGINEERING, LAND SURVEYING, LANDSCAPE ARCHITECTURE, GEOSCIENCE AND INTERIOR DESIGN

Dated: 1/30 /20245

MELISA RODRIGUEZ, PE

BOARD VICE CHAIR