

**STATE OF MINNESOTA  
BOARD OF ARCHITECTURE, ENGINEERING,  
LAND SURVEYING, LANDSCAPE ARCHITECTURE, GEOSCIENCE  
AND INTERIOR DESIGN**

**In the matter of  
Richard Mills Graham, PE  
License Number 54960**

**STIPULATION AND ORDER**

**Board File No. 2017-0059**

TO: Richard Mills Graham  
Envision Advantage, LLC  
4633 Trousdale Drive  
Nashville, TN 37204

The Minnesota Board of Architecture, Engineering, Land Surveying, Landscape Architecture, Geoscience and Interior Design ("Board") is authorized pursuant to Minnesota Statutes section 214.10 (2016) and Minnesota Statutes section 326.111 (2016) to review complaints against architects, professional engineers, land surveyors, landscape architects, geoscientists, and certified interior designers, and to take disciplinary action whenever appropriate.

The Board received information concerning Richard Mills Graham ("Respondent"). The Board's Complaint Committee ("Committee") reviewed the information. The parties have agreed that the matter may now be resolved by this Stipulation and Order.

**STIPULATION**

It is hereby stipulated and agreed by Respondent and the Committee that without trial or adjudication of any issue of fact or law and without any evidence or admission by any party with respect to any such issue:

1. Jurisdiction. The Respondent was first issued a Professional Engineering license

by the Board on June 29, 2017. Respondent is subject to the jurisdiction of the Board with respect to the matters referred to in this Stipulation.

2. Facts. This Stipulation is based upon the following facts:

- a. In January of 2017, Respondent, without a valid Minnesota Professional Engineer license, offered to provide Professional Engineering services at River's Edge Hospital in St. Peter, MN.
- b. In January of 2017, Respondent, without a valid Minnesota Professional Engineer license, held himself out as a Professional Engineer to staff at River's Edge Hospital in St. Peter, MN.
- c. Respondent self-reported to the Board on June 14, 2017 that he was in violation of Minnesota State Statutes section 326.02.
- d. Respondent was first licensed as a Professional Engineer in the State of Minnesota on June 29, 2017.
- e. As of the date of this Stipulation and Order, Respondent holds a valid Minnesota Professional Engineer license with an expiration date of June 30, 2018.

3. Violations. Respondent admits that the facts specified above constitute violations of Minnesota Statutes 326.02 subdivisions 1 and 3 (2016) and are sufficient grounds for the action specified below. Specifically, it is alleged that Respondent: (1) offered to practice Professional Engineering in Minnesota without a license; and (2) held himself out as a Minnesota licensed Professional Engineer.

4. Enforcement Action. Respondent and the Committee agree that the Board should issue an Order in accordance with the following terms:

- a. Reprimand. Respondent is reprimanded for the foregoing conduct.
  - b. Civil Penalty. Respondent shall pay to the Board a civil penalty of one thousand dollars (\$1,000.00). Respondent shall pay the civil penalty by check to the Board within sixty (60) days of the Board's approval of this Stipulation and Order.
  - c. Respondent shall cease and desist from violating any laws, rules, or orders entrusted to enforcement by the Board.
5. Waiver of Respondent's Rights. For the purpose of this Stipulation, Respondent waives all procedures and proceedings before the Board to which Respondent may be entitled under the Minnesota and United States Constitutions, statutes, or rules of the Board, including the right to dispute the allegations against Respondent, to dispute the appropriateness of discipline in a contested case proceeding pursuant to Minnesota Statutes Chapter 14 (2016), and to dispute the civil penalty imposed by this agreement. Respondent agrees that upon the application of the Committee without notice to, or an appearance by, Respondent, the Board may issue an Order containing the enforcement action specified in paragraph 4 herein. Respondent waives the right to any judicial review of the Order by appeal, writ of certiorari, or otherwise.
6. Collection. Pursuant to Minnesota Statutes section 16D.17 (2016), after ninety (90) days of the Board's approval of this Stipulation and Order, the Board may file and enforce any unpaid portion of the civil penalty imposed by this Order as a judgement against the Respondent in district court without further notice or additional proceedings.

7. Board Rejection of Stipulation and Order. In the event the Board, in its discretion, does not approve this Stipulation and Order or a lesser remedy than specified herein, this Stipulation and Order shall be null and void and shall not be used for any purpose by either party hereto. If this stipulation is not approved and a contested case proceeding is initiated pursuant to Minnesota Statutes Chapter 14 (2016), Respondent agrees not to object to the Board's initiation of the proceedings and hearing the case on the basis that the Board has become disqualified due to its review and consideration of this Stipulation and the record.
8. Unrelated Violations. This settlement shall not in any way or manner limit or affect the authority of the Board to proceed against Respondent by initiating a contested case hearing or by other appropriate means on the basis of any act, conduct, or admission of Respondent justifying disciplinary action which occurred before or after the date of this Stipulation and Order and which is not directly related to the specific facts and circumstances set forth herein.
9. Record. The stipulation, related investigative reports, and other documents shall constitute the entire record of the proceedings herein upon which the Order is based. The investigative reports, other documents, or summaries thereof may be filed with the Board with this Stipulation.
10. Data Classification. Under the Minnesota Government Data Practices Act, this Stipulation and Order is classified as public data upon its issuance by the Board. Minnesota Statutes section 13.41, subdivision 5 (2016). All documents in the record shall maintain the classification to which they are entitled under the Minnesota government Data Practices Act, Minnesota Statutes Chapter 13

(2016). They shall not, to the extent they are not already public documents, become public merely because they are referenced herein. A summary of this Order will appear in the Board's newsletter. A summary will also be sent to the national discipline data bank pertaining to the practice of engineering.

11. Entire Agreement. Respondent has read, understood, and agrees to this Stipulation and is freely and voluntarily signing it. The Stipulation contains the entire agreement between the parties hereto relating to the allegations referenced herein. Respondent is not relying on any other agreement or representations of any kind, verbal or otherwise.

12. Counsel. Respondent is aware that he may choose to be represented by legal counsel in this matter.

13. Service. If approved by the Board, a copy of this Stipulation and Order shall be served personally or by first class mail on Respondent. The Order shall be effective and deemed issued when it is signed by the Chair of the Board.

RESPONDENT

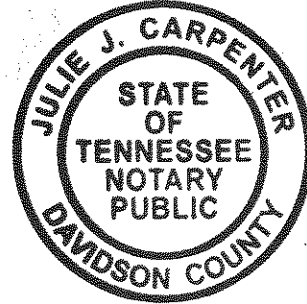
Richard Mills Graham

Richard Mills Graham

Dated: 1/21, 2018

SUBSCRIBED and sworn to before me on this the 2nd day of January, 2018.

Julie J. Carpenter  
(Notary Public)



My Commission Expires March 16, 2018

COMPLAINT COMMITTEE

Keith B. Rapp  
Keith Rapp, PG  
Committee Chair

Dated: Feb 2, 2018

ORDER

Upon consideration of the foregoing Stipulation and Order and based upon all the files, records, and proceedings herein, all terms of the Stipulation and Order are approved and adopted and hereby issued as an Order of this Board this the 2 day of 02/02, 2018.

MINNESOTA BOARD OF  
ARCHITECTURE, ENGINEERING,  
LAND SURVEYING, LANDSCAPE  
ARCHITECTURE, GEOSCIENCE AND  
INTERIOR DESIGN

By: Dennis R. Martenson  
Dennis R. Martenson, PE  
Board Chair

AFFIDAVIT OF SERVICE BY MAIL

RE: In the matter of Richard Mills Graham,  
License Number 54960

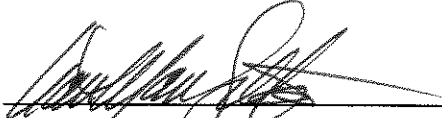
STATE OF MINNESOTA )  
 ) ss.  
COUNTY OF RAMSEY )

Leama Sather, being first duly sworn, deposes and says:


That at the City of St. Paul, County of Ramsey and State of Minnesota, on this the 8 day of February, 2018, she served the attached **Stipulation and Order**, by depositing in the United States mail at said city and state, a true and correct copy thereof, properly enveloped, with first class and certified postage prepaid, and addressed to:

Mr. Richard Mills Graham  
Envision Advantage, LLC  
4633 Trousdale Drive  
Nashville, TN 37204

**CERTIFIED MAIL**  
**Return Receipt Requested**  
**7017 3040 0000 1240 7529**

  
\_\_\_\_\_  
Leama Sather, OASI

Subscribed and sworn to before me on  
this the 8 day of February, 2018.

  
\_\_\_\_\_  
(Notary Public)

