Frequently Asked Questions for Policy Revisions Effective 7/1/17

1. **When are these policy revisions effective?**
The three policy revisions become effective for FY18/19 biennium grants – or July 1, 2017.

2. **Can agencies choose early implementation?**
Yes.

3. **What does this mean for current approved grant program exception requests?**
All currently approved Department of Administration Office of Grants Management grant program exception requests remain in good standing.

4. **Does the Office of Grants Management (OGM) need to approve agency risk assessments for the new sampling flexibility allowed in the grant monitoring policy?**
No. State agencies can use their established risk assessment procedures and include grantee data to identify which grants will be included in their sample to receive monitoring and financial reconciliation.

5. **If a state agency chooses to use a sampling method to meet compliance with the grant monitoring policy what documentation should the agency maintain?**
The agency should maintain a documented risk assessment that demonstrates their process and methodology. Agencies can choose to streamline and use existing and established risk assessment formats and processes.

6. **What additional documentation should an agency maintain for the new flexibility allowed for financial reconciliation?**
Agencies should identify their standards for source documentation for completing a financial reconciliation and can establish which grant project expenditures will be subject to financial reconciliation. The agency should document their standards and procedures.

7. **Is there a required form agencies must complete for the grant closeout evaluation?**
No. OGM has a suggested template agencies can choose to use. The minimum requirement for compliance is that an agency is able to show how grantee past performance was considered before awarding subsequent grants. When agencies share grant closeout evaluation and grantee past performance data upon request, the policy points to the data that must be shared.

8. **Is there a central location for agencies to submit grant closeout evaluations?**
No. Agencies can continue to document and retain this information at their individual agencies.

9. **Will the Office of Grants Management provide training on the policy revisions?**
Yes, OGM will continue to provide training and resources for state agencies for effective implementation and will highlight best practice examples.