

STATE OF MINNESOTA  
OFFICE OF ADMINISTRATIVE HEARINGS

James H. Clark,

Complainant,

vs.

Tom Seaberg,

Respondent

**NOTICE OF DETERMINATION OF  
PRIMA FACIE VIOLATION  
AND  
NOTICE OF AND ORDER FOR  
PROBABLE CAUSE HEARING**

On September 28, 2016, James H. Clark (Complainant) filed a Fair Campaign Practices complaint with the Office of Administrative Hearings alleging that Tom Seaberg (Respondent) violated Minn. Stat. § 211B.04 (2016) by failing to include a disclaimer in the form required on his campaign lawn signs.

Following a review of the complaint and the documents Complainant submitted in support, the undersigned administrative law judge has determined that the complaint sets forth a prima facie violation of Minn. Stat. § 211B.04. For the reasons detailed in the memorandum below, the Complainant is permitted to proceed to a probable cause hearing on this claim.

**THEREFORE, IT IS HEREBY ORDERED AND NOTICE IS GIVEN** that this matter is scheduled for a probable cause hearing to be held by telephone before the undersigned Administrative Law Judge at **11:00 a.m. on Tuesday, October 4, 2016**. The hearing will be held by call-in telephone conference. At the appointed time, the parties are directed to:

- (a) Telephone **1-888-742-5095**
- (b) Enter the Conference Code: **752-390-7175#**

The probable cause hearing will be conducted pursuant to Minn. Stat. § 211B.34 (2016). Information about the probable cause proceedings and copies of state statutes may be found online at <http://mn.gov/oah> and [www.revisor.leg.state.mn.us](http://www.revisor.leg.state.mn.us).

At the probable cause hearing, all parties have the right to be represented by legal counsel or appear on their own behalf. In addition, the parties have the right to submit evidence, affidavits, documentation, and argument for consideration by the administrative law judge. By **4:30 p.m. on October 3, 2016**, the parties shall provide to the administrative law judge all evidence bearing on the case, with copies of the same items to the opposing party.

Any document filed with the Office of Administrative Hearings, or any documents that a party wishes to make part of the hearing record, may be filed in one of the following ways: (1) by **e-Filing** through the Office of Administrative Hearings' e-Filing system; (2) by **mail**; (3) by **facsimile** (if less than 50 pages total); or (4) by **personal delivery**. (See 2015 Minn. Laws. Ch. 63, § 7; Minn. R. 1400.5550, subp. 5 (2015)).

The e-Filing system is accessible at: <http://mn.gov/oah/forms-and-filing/efiling/>

The Office of Administrative Hearings' facsimile number is: (651) 539-0310.

At the conclusion of the probable cause hearing, the administrative law judge will either: (1) dismiss the complaint based on a determination that the complaint is frivolous, or that there is no probable cause to believe that the violation of law alleged in the complaint has occurred; or (2) determine that there is probable cause to believe that the violation of law alleged in the complaint has occurred and refer the case to the chief administrative law judge for the scheduling of an evidentiary hearing. Evidentiary hearings are conducted pursuant to Minn. Stat. § 211B.35 (2016).

If the administrative law judge dismisses the complaint, the Complainant has the right to seek reconsideration of the decision on the record by the chief administrative law judge pursuant to Minn. Stat. § 211B.34, subd. 3.

Any party who needs an accommodation for a disability to participate in this hearing process may request one. Examples of reasonable accommodations include wheelchair accessibility, an interpreter, or Braille or large-print materials. If any party requires an interpreter, the administrative law judge must be promptly notified. To arrange an accommodation, contact the Office of Administrative Hearings at PO Box 64620, St. Paul, MN 55164-0620, or call 651-361-7900 (voice) or 651-361-7878 (TDD).

Dated: September 30, 2016



BARBARA J. CASE  
Administrative Law Judge

## MEMORANDUM

Respondent Tom Seaberg is a candidate for mayor of South St. Paul. Mr. Seaberg has campaign signs posted in South St. Paul that include the following disclaimer: "Paid for by Seaberg for Mayor, 1421 Thompson Avenue So. St. Paul, MN."

The Complainant alleges that the disclaimer on Mr. Seaberg's campaign signs is in a font size so small that it is nearly impossible to see and not "prominently" displayed as required by Minn. Stat. § 211B.04(a). Complainant also asserts that the name and address of the committee listed in the disclaimer are different than the name and address of the committee identified on Mr. Seaberg's campaign financial reports. According to the Complaint, the name and address of Mr. Seaberg's campaign committee listed on his campaign finance reports is: "Tom Q. Seaberg for Mayor committee, 700 Wentworth, So. St. Paul."

### Standard for Prima Facie Determinations

To establish a prima facie violation of the Fair Campaign Practices Act, the complainant must allege sufficient facts to show that a violation of law has occurred.<sup>1</sup> The complaining party must submit evidence or allege facts that, if accepted as true, would be sufficient to prove a violation of Chapter 211A or 211B (2016).<sup>2</sup>

For purposes of a prima facie determination, the tribunal must accept the facts that are alleged in the complaint as true, without independent substantiation, provided that those facts are not patently false or inherently incredible.<sup>3</sup> A complaint must be dismissed if it does not include evidence or allege facts that, if accepted as true, would be sufficient to prove a violation of Chapter 211A or 211B.<sup>4</sup>

### Disclaimer Requirement

Minnesota Statutes, section 211B.04 makes it unlawful to prepare or disseminate most types of campaign material without prominently disclosing the person or committee causing the material to be prepared or disseminated. The statute provides, in relevant part:

(a) A person who participates in the preparation or dissemination of campaign material other than as provided in section 211B.05, subdivision 1, that does not prominently include the name and address of the person or committee causing the material to be prepared or disseminated in a disclaimer substantially in the form provided in paragraph (b) or (c) is guilty of a misdemeanor.

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<sup>1</sup> Minn. Stat. § 211B.32, subd. 3 (2016).

<sup>2</sup> *Barry and Spano v. St. Anthony-New Brighton Independent School District 282*, 781 N.W.2d 898, 902 (Minn. Ct. App. 2010).

<sup>3</sup> *Id.*

<sup>4</sup> *Id.*

(b) Except in cases covered by paragraph (c), the required form of disclaimer is: “Prepared and paid for by the ..... committee, ..... (address)” for material prepared and paid for by a principal campaign committee, or “Prepared and paid for by the ..... committee, ..... (address)” for material prepared and paid for by a person or committee other than a principal campaign committee. If the material is produced and disseminated without cost, the words “paid for” may be omitted from the disclaimer.

...

(d) This section does not apply to fund-raising tickets, business cards, personal letters, or similar items that are clearly being distributed by the candidate.

(e) This section does not apply to an individual or association that is not required to register or report under chapter 10A or 211A.

(f) This section does not apply to the following:

(1) bumper stickers, pins, buttons, pens, or similar small items on which the disclaimer cannot be conveniently printed;

(2) skywriting, wearing apparel, or other means of displaying an advertisement of such a nature that the inclusion of a disclaimer would be impracticable; and

(3) online banner ads and similar electronic communications that link directly to an online page that includes a disclaimer.

...

“Campaign material” is defined as “any literature, publication, or material that is disseminated for the purpose of influencing voting at a primary or other election.”<sup>5</sup> The purpose of the disclaimer requirement is to “identify who or what committee prepared, disseminated and paid for the campaign material.”<sup>6</sup>

## Analysis

The Complainant has attached to the complaint images of Mr. Seaberg’s campaign lawn signs. The lawn signs meet the definition of “campaign material” and are required to “prominently include” a disclaimer “substantially in the form” provided in Minn. Stat. § 211B.04(b).

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<sup>5</sup> Minn. Stat. § 211B.01, subd. 2.

<sup>6</sup> *Hansen v. Stone*, OAH Docket No. 4-6326-16911, FINDINGS OF FACT, CONCLUSIONS OF LAW, AND ORDER (Oct. 28, 2005) at 4.

Accepting the facts alleged in the complaint as true, the Administrative Law Judge concludes that the Complainant has set forth prima facie violations of Minn. Stat. § 211B.04. The campaign signs appear to lack disclaimers that are prominently displayed and the complaint alleges the disclaimer's address is incorrect. The alleged violations of Minn. Stat. § 211B.04 will proceed to a probable cause hearing as ordered.

**B. J. C.**