Deinstitutionalization and the Development of Community Alternatives for the Developmentally and Mentally Disabled: A National Trend?

A Report to the Minnesota Commission for the Handicapped
The major purpose of this study for the Minnesota Commission for the Handicapped has been to discover if there is truly a trend in the various states toward deinstitutionalization. Are the various political and administrative leaders of the various states developing plans that if not explicitly, at least implicitly, indicate a move toward deinstitutionalization:

Two ways that a significant move toward deinstitutionalization in the various states can be determined are: (a) does the projection of the number of major state institutions and the average patient population per institution for the next few years indicate a decrease? And, (B) what are the specific plans for state support for community alternatives to major institutions for the mentally and developmentally disabled?

Although exact projections of the number of developmentally disabled in a state two to five years from now are
difficult to make, they are not impossible. Similarly, it is not impossible to determine what percentage of those persons will, as a matter of state policy, be in major state institutions and what percentage in community alternatives to these institutions. Therefore, to the degree that we can get fairly reliable state projections on the number of state institutions and the number of persons in those institutions, we should have some indication about what the states (at least those high level political and administrative persons within the state making the projections) plan for institutional reform and the development of community alternatives.

However, if states are indicating projections of fewer people in major state institutions, but do not indicate specific plans for the development of community alternatives, then serious questions should be raised about the substance of the policy commitment to deinstitutionalize. Therefore, answers to the questions about specific plans for the development of community alternatives are very important to our understanding of the commitment and policy of the various states to institutional reform.
The above information was sought by developing a very concise questionnaire (see Appendix A) and sending it to the executive directors of the Developmental Disabilities Council of each state and the District of Columbia. Then the recipients of the questionnaire were contacted by telephone to urge that they return the questionnaire. Through this process thirty-three of the questionnaires were returned substantially completed. Although this is not the kind of return desired and planned at the beginning of the study, it does seem sufficient for some conclusions to be drawn.

Findings in the returned questionnaires are:

1. Of the 23 states that indicated projections on the number of major state institutions, only 4 (17%) projected a decrease in the number of major institutions.

2. However, of the 15 states that made projections of average patient population per institution, 13 (87%) projected a decrease in the average patient population per institution. And 79% projected a decrease in the total mentally retarded patient population and 73% projected a decrease in the total mentally ill patient population. But only one of these states
also indicated a decrease in the number of major institutions and that state among all thirty-three states responding was also the only one that indicated a decrease in the total population of developmentally disabled persons.

3. There is a wide range of the percentage of the total population that are developmentally disabled. The percentages range from .48% to 6.09% of the total population. The median for this is 3.72% and the mean is 3.64%. It is not at all clear whether this is a function of inadequate definition of developmentally disabled people or inadequate data collection and interpreting methods in the various states.

4. Most of the states responding (31 out of 33) leave the matter of zoning regulations for group homes and other community facilities to the local governmental units. There is some indication of discussions within some of the states about dire implications of this for community facilities, such as an attorney general's opinion that state funded group homes are exempted from local zoning laws, proposed legislation that would prohibit discrimination and proposed legislation to involve state departments in the local process.
The other two states indicate that group homes with fewer than a specific number of developmentally disabled persons or, specifically, mentally retarded persons (e.g., 6 persons) shall not be subject to local zoning discretion except in regard to health and fire regulations.

5. Over half of the states indicate recent passage of anti-discrimination legislation. Most of this seems to be (explicitly or by administrative or judicial interpretation) part of the general human rights statutes.

6. The two major problems facing the states in the development of community alternatives to institutionalization are (a) overwhelmingly, a lack of money, and, (b) community hostility expressed through local zoning ordinance debate and decisions.

7. Although 28 of the 33 states indicated that the Developmental Disabilities Council of the state has indeed made an impact on the development of community alternatives, the specific comments of what that impact has been indicate very few concrete results. Some of the illustrative comments
are:

"Yes, but most often in providing seed money and in consciousness raising",

"primary impact in the area of early prevention",

"possible impact in institutional reform when legal study completed"

"produced report [that is the] major guide for implementation of deinstitutionalization",

"advocating for quality, emphasizing normalization concept, conceptualizing continuum of residences",

"serves supportive role to state agency programs",

"public information and educative efforts – primarily affecting community attitudes toward allocation of federal funding to community boards",

"support to governor and legislature regarding specialized living centers",

"sometimes, sporadically",

"Council has made recommendations to governor, many of which were incorporated in a consent decree.

Among the four states indicating no impact, one listed current objectives, but then stated "the Developmental Disabilities Council has had no impact as yet."

Another state indicated, "The Council is ineffectual."

However, among those few states that indicated some specific
impact, some examples are:

"five group homes started at community level, block rent money to stimulate new services",

"two group homes, one rural, one urban, as models for entire state, several other alternate living arrangement programs have been funded"

"77 grants to community agencies to provide direct services to developmentally disabled as alternatives to institutionalization"

"5 community facilities grants for construction",

"by presenting the needs and describing the limited and conflicting sources of federal support the Department of Social Rehabilitation requested and was granted $980,000 for the development and support of community residential alternatives"

8, 24 of the responding 33 states indicated that no Housing and Urban Development department monies have been used for community alternatives, Among the eight states indicating that HUD money has indeed been used, below are the comments provided to explain those usages:

"in one location, in process",

"through providing low rent housing for mentally retarded and developmentally disabled adults",

"some usage is presently being negotiated",

"several developmental disability grant projects involved close coordination with HUD and funding from each source was complimentary",

"through support of congregate living facilities for semi-independent developmentally disabled persons"
"since these funds became available has been doing extensive planning for use of these funds. Cities and counties have been gearing up for utilizing these funds to provide resident facilities for the developmentally disabled. In fact, some programs have already been started in existing HUD facilities."

Over two-thirds of the responding states also indicated that they have not only not received monies from HUD but have not been helped in other ways.

9. In only nine states were there budget recommendations specifically for construction of community alternatives in either fiscal year 1975 or 1976. These recommended amounts ranged from seventy-five thousand dollars to twenty-three million dollars. The seventy-five thousand dollar figure represents a $.02 per capita expenditure and $.34 per developmentally disabled person in the population and the 23 million dollar figure represents a per capita expenditure of $2.05 and a per developmentally disabled person expenditure of $124.32. The range of per capita expenditures are from $.02 to $7.91 and the expenditure per developmentally disabled person is from $.34 to $263.60. 6 of the 9 states per capita expenditure is less than $.23 and the per developmentally disabled persons expenditure for these six states is less than $7.69.
10. None of the responding states indicated money going for the maintenance of the buildings of the community alternatives.

11. Similarly, none of the states indicate any budget recommendations for the maintenance of community alternatives patient care personnel, other than an indication of various federal monies being used.

12. Only three states correctly answered the question on patient care personnel to patient ratios in community facilities. Two of those indicated 1:10 ratios and one indicated 1:8 ratio. (Among those interpreting the question to mean all patients, those in institutions as well as in community facilities, the answers ranged from 1:1 to 1:4.)

13. Below is a list indicating the number of states indicating involvement in various aspects of the development and maintenance of community facilities:

<table>
<thead>
<tr>
<th>Operation of facilities</th>
<th>7</th>
</tr>
</thead>
<tbody>
<tr>
<td>Licensing</td>
<td>19</td>
</tr>
<tr>
<td>Contracting</td>
<td>15</td>
</tr>
<tr>
<td>Monitoring</td>
<td>17</td>
</tr>
<tr>
<td>Programming</td>
<td>11</td>
</tr>
<tr>
<td>Other</td>
<td>4</td>
</tr>
</tbody>
</table>
14. Below is a list which indicates who can or will build facilities in the various states:

<table>
<thead>
<tr>
<th>Category</th>
<th>Count</th>
</tr>
</thead>
<tbody>
<tr>
<td>State Government</td>
<td>1</td>
</tr>
<tr>
<td>County Government</td>
<td>1</td>
</tr>
<tr>
<td>City Government</td>
<td>0</td>
</tr>
<tr>
<td>Profit Organizations</td>
<td>2</td>
</tr>
<tr>
<td>Non Profit Organizations</td>
<td>6</td>
</tr>
<tr>
<td>State Non Profit Organizations</td>
<td>6</td>
</tr>
<tr>
<td>Profit Non Profit Organizations</td>
<td>6</td>
</tr>
<tr>
<td>County Non Profit Organizations</td>
<td>1</td>
</tr>
<tr>
<td>County Profit Anon Profit Organizations</td>
<td>2</td>
</tr>
<tr>
<td>State County Anon Profit Organizations</td>
<td>1</td>
</tr>
<tr>
<td>All Above</td>
<td>2</td>
</tr>
<tr>
<td>Other</td>
<td>2</td>
</tr>
</tbody>
</table>

15. In reply to the question on who will operate and program community alternatives, 18 respondents indicated the same as those who will build the facilities and 5 indicated someone other than who will build the facilities.

16. In response to the question of who pays for patient care, most indicate a combination of the state, the county and the parent/guardian. A few states indicate just the state and
the parent/guardian. Almost all of the states indicate various kinds of federal support (Titles XVIII and XIX).
The major conclusion to be drawn from the data gathered in this project is that there is indeed a national trend toward deinstitutionalization. But it is also quite clear that the major thrust of the trend is in, what one Developmental Disabilities Council director called, "consciousness-raising". And most of the actual examples of institutional reform and the development of community alternatives is in (what might be called) the "outskirts of the city".

The "center of town" (to continue this metaphor) is the development and implementation of a policy of moving people from major state institution into residential facilities in the community. (Coupled, obviously, with the development of the necessary supportive community services).

The responses to the question about the impact of the Developmental Disabilities Councils in the various states and to the question regarding descriptions of innovative suggestions from the Governor, legislators or administrative heads indicate this hitting at the periphery. To say that it is peripheral does not mean that it is unimportant. For example, the moves to the development of community alternatives involved many
significant state decisions. Among these are (a) the
determination that all or some developmentally disabled persons
(in some states, just the mentally retarded) are included under
the provisions of state and/or federal regulations regarding
assistance in renting or developing housing for low income or
handicapped persons; (b) increased state support for daily
activity centers, sheltered workshops, and other non-residential
community centers? (c) increased state involvement in either
urging or providing financial incentive for private industry to
assist or hire the handicapped and developmentally disabled; (d)
state support for parents who wish to have a constructive
development of a disabled individual within their own home; (e) a
tightening of state laws and regulations regarding board and care
facilities, and, (f) a few indications of minor changes in local
zoning ordinances to facilitate the development of both
residential and non-residential community facilities.

In addition to the nine states indicating budget recommenda-
tions for the construction of community alternatives, there are
several other states which. Rave used federal monies (often
through the state Developmental Disabilities Councils) as
"seed money" for the private development of residential community facilities and/or supportive services. But, only 3 states have indicated the use of state money for this purpose.

Several states have indicated setting up mechanisms for grants-in-aid for private development of community facilities, the establishment of state bonding authority for the construction of community alternatives, and the development of procedures for giving state loans for the private construction of residential community facilities. But most of these same states do not indicate recommendations for state monies for the use of these new mechanisms.

This brings us to the major conclusion to be drawn from all of this which is that severe pinches on state finances make it very difficult for political leaders to justify (or so they believe) the expenditure of any significant amounts of money to build new community facilities and at the same time close down existing institutional facilities. The returned questionnaires seem to indicate that the limited implementation of a trend of deinstitutionalization and the
development of alternative residential community facilities is more a function of the limitations on state monies than on other factors. Obviously, the concerns of the employees of the present institutions, the concerns of the residents of the towns in which these institutions provide significant financial support, the concerns of political representatives of communities that have a concentration of 'group homes' in their particular area; all of these concerns obviously have some impact. But, it is our interpretation of the comments of the respondents that these only serve to make it more difficult to overcome the primary constraint: not enough state money or an unwillingness to spend state monies.
We acknowledge the invaluable assistance of Jane Belau, Chairperson of the Developmental Disabilities Council of Minnesota; Cliff Miller, Executive Director of the Minnesota Commission for the Handicapped, and his Assistant Director, Dick Ramberg.

The data collection for this project was admirably done by Bernice Flynn, Research Associate, Earl Craig Associates, Inc,

EDC
Appendix A

The Questionnaire
<table>
<thead>
<tr>
<th>STATE</th>
<th>CONTACT PERSON</th>
<th>TELEPHONE #</th>
<th>June '75</th>
<th>1976</th>
<th>1977</th>
<th>1980</th>
</tr>
</thead>
<tbody>
<tr>
<td>State Population</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td># Develop. Disabled Persons</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td># Major State Institutions</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Aver. Patient Pop. per Insti.</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Ment. Retarded-total pat. pop.</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Ment. Ill- total patient pop.</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Chem. Dep.-total patient pop.</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Others-total patient popula.</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

What are the applicable state zoning laws regarding group homes/residential facilities?

__________________________________________________________

Is there relevant anti-discrimination legislation? __________________________
___ A human rights statute?

What is the greatest problem facing your state in developing alternatives to institutions?

What other barriers to alternative living situations do you see?

Do you feel the Developmental Disabilities Council has made an impact on the development of community alternatives and institutional reform? How?
Has Housing and Urban Development (HUD) money been used for community
alternatives? Has HUD been helpful to you? If so, how? If not, why not?

Brief description of particularly innovative suggestions from or major
leadership provided in this field by your governor, legislature or other state
official.
Below fill in the decisions of the legislature regarding community alternatives and institutional reform. If the legislature has not acted, then fill in the recommendations of the governor. Circle which you are answering about: Legislature Governor

1. Budget Recommendations (in dollars, where applicable).

A. For construction of community alternatives: FY '75__________ FY '76__________
   Kind of money (yes or no): State grants____ Bonding authority____ Low-interest state
   loans____ Local levy____ Revenue sharing____ Other____ Comment:

B. For maintenance of buildings: FY '75__________ FY '76__________

C. For maintenance of patient care personnel: FY '75__________ FY '76__________

D. Proposed direct patient care personnel to patient ratio: FY '75__________ FY '76__________

2. Future role of state government in community facilities (yes or no): Operation of
   facilities____ Licensing____ Contracting____ Monitoring____ Programming____ Other____
   Comment:

3. Who builds community facilities? State____ County____ City____ Profit Organizations____
   Non-profit organizations____ Other or mix____
   Comment:

4. Who will operate & program community alternatives? Same as those who build__________
   Other than those who build__________

5. Who pays for patient care? State____ County____ Parent/Guardian____ Other or mix____
   Comment:
   What is the mechanism for reimbursement from state?
   What is minimum parent liability for care (dollars per month)?

6. Future of present state institutions? Will some continue to operate?____ Will some
   shift to local operation?____ Who will make that/those decision(s) about local uses?
   Comment:

7. Future of present state employees? Transferred to community alternatives?__________
   Retraining by state?____ Other (e.g., early retirement, attrition, hiring freeze)?
   Comment:
Below fill in the recommendations regarding community alternatives and institutional reform presented by the State department having responsibility in this area. (Public Welfare, Human Services, Etc.)

1. **Budget Recommendations** (in dollars, where applicable).

   A. For construction of community alternatives: FY '75 _________ FY '76 _________

      Kind of money (yes or no): State grants____ Bonding authority____ Low-interest state loans____ Local levy____ Revenue sharing____ Other____ Comment:__________________________

   B. For maintenance of buildings: FY '75 _________ FY '76 _________

   C. For maintenance of patient care personnel: FY '75 _________ FY '76 _________

   D. Proposed direct patient care personnel to patient ratio: FY '75 _________ FY '76 _________

2. **Future role of state government in community facilities** (yes or no): Operation of facilities____ Licensing____ Contracting____ Monitoring____ Programming____ Other____ Comment:__________________________

3. **Who builds community facilities?** State____ County____ City____ Profit Organizations____

   Non-profit organizations____ Other or mix____ Comment:__________________________

4. **Who will operate & program community alternatives?** Same as those who build____

   Other than those who build____

5. **Who pays for patient care?** State____ County____ Parent/Guardian____ Other or mix____

   Comment:__________________________

   What is the mechanism for reimbursement from state?__________________________

   What is minimum parent liability for care (dollars per month)?________________

6. **Future of present state institutions?** Will some continue to operate?____ Will some

   shift to local operation?____ Who will make that/those decision(s) about local uses?

   Comment:__________________________

7. **Future of present state employees?** Transferred to community alternatives?____

   Retraining by state?____ Other (e.g., early retirement, attrition, hiring freeze)?

   Comment:__________________________
Appendix B

Several Examples of States' Responses to
the Questions on Pages 3 or 4 of the
Questionnaire
Below fill in the recommendations regarding community alternatives and institutional reform presented by the State department having responsibility in this area. (Public Welfare, Human Services, Etc.)

1. Budget Recommendations (in dollars, where applicable).

   A. For construction of community alternatives: FY '75 None ___ FY '76 None ___

      Kind of money (yes or no): State grants no ___ Bonding authority no ___ Low-interest state
      loans no ___ Local levy no ___ Revenue sharing no ___ Other no ___ Comment: There is nothing to prevent
      counties and municipalities from using tax funds or revenue-sharing for these purposes
      if this is their option.

   B. For maintenance of buildings: FY '75 None ___ FY '76 None ___

   C. For maintenance of patient care personnel: FY '75 None ___ FY '76 None ___

   D. Proposed direct patient care personnel to patient ration: FY '75 no data ___ FY '76 no data ___

2. Future role of state government in community facilities (yes or no): Operation of
   facilities no ___ Licensing yes ___ Contracting no ___ Monitoring yes ___ Programming no ___ Other yes ___
   Comment: on-going evaluation will be the primary activity.

3. Who builds community facilities? State ___ County ___ City ___ Non-profit organizations ___
   Profit Organizations ___ Non-profit organizations x ___ Other or mix ___

   Comment: So far, all have been built by private, non-profit agencies ___

4. Who will operate & program community alternatives? Same as those who build ___

   Other than those who build ___

5. Who pays for patient care? State ___ County ___ Parent/Guardian ___ Other or mix x ___

   State & client or parent ___ Comment: funds from client's earnings may be applied ___

   What is the mechanism for reimbursement from state? ___

   What is minimum parental liability for care (dollars per month)? ___

6. Future of present state institutions? Will some continue to operate? yes ___ Will some
   shift to local operation? no data ___ Who will make that/those decision(s) about local uses?

   Comment: ___

7. Future of present state employees? Transferred to community alternatives? ___

   Retraining by state? yes ___ Other (e.g., early retirement, attrition, hiring freeze)? ___

   Comment: all general assembly has mandated no institutional closings for the present ___
Below fill in the decisions of the legislature regarding community alternatives and institutional reform. If the legislature has not acted, then fill in the recommendations of the governor. Circle which you are answering about: Legislature Governor

1. **Budget Recommendations** (in dollars, where applicable).
   
   **A. For construction of community alternatives:** FY '75 ☐ FY '76 ☐

   Kind of money (yes or no): State grants ☑ Bonding authority ☐ Low-interest state loans ☐ Local levy ☐ Revenue sharing ☐ Other ☐ Comment:

   ______________________________________________________

   **B. For maintenance of buildings:** FY '75 ☐ FY '76 ☐

   So. Ser. Appropriations ☐

   **C. For maintenance of patient care personnel:** FY '75 ☐ FY '76 ☐

   So. Ser. Appropriations ☐

   **D. Proposed direct patient care personnel to patient ration:** FY '75 ☐ FY '76 ☐

   2. **Future role of state government in community facilities** (yes or no)

   Operation of facilities ☑ Licensing ☐ Contracting ☐ Monitoring ☐ Programming ☐ Other ☐

   Comment:

   ______________________________________________________

   **3. Who builds community facilities?** State ☑ County ☐ City ☐ Profit Organizations ☐

   Non-profit organizations ☐ Other or mix ☐

   Comment: Will no-body slow development 3/1/79

   **4. Who will operate & program community alternatives?** Same as those who build ☐

   Other than those who build ☐

   **5. Who pays for patient care?** State ☑ County ☐ Parent/Guardian ☐ Other or mix ☐

   Comment: Tax ☑

   What is the mechanism for reimbursement from state? Pay ☐

   What is minimum parent liability for care (dollars per month)?

   **6. Future of present state institutions?** Will some continue to operate? Yes ☐

   Will some shift to local operation? ☐ Who will make that/those decision(s) about local uses?

   Comment:

   ______________________________________________________

   **7. Future of present state employees?** Transferred to community alternatives? ☐

   Retraining by state? ☐ Other (e.g., early retirement, attrition, hiring freeze)?

   Comment: Changing ratio tells us probably little.
NOTE: These comments are not decisions of the legislature nor recommendations of the Governor. They will, however, give some insight into this area of our program.

Below fill in the decisions of the legislature regarding community alternatives and institutional reform. If the legislature has not acted, then fill in the recommendations of the governor. Circle which you are answering about: Legislature Governor

1. Budget Recommendations (in dollars, where applicable).

   A. For construction of community alternatives: FY '75 ___________ FY '76 ___________

      Kind of money (yes or no): State grants Yes Bonding authority Yes Low-interest: state loans Yes Local levy Yes Revenue sharing Yes Other ___________ Comment: ___________

      Grants-in-Aid is sometimes available to assist in construction.

   B. For maintenance of buildings: FY '75 Not known ___________ FY '76 ___________

   C. For maintenance of patient care personnel: FY '75 Not known ___________ FY '76 ___________

   D. Proposed direct patient care personnel to patient ration: FY '75 Not known ___________ FY '76 ___________

2. Future role of state government in community facilities (yes or no): Operation of facilities No Licensing Yes Contracting Yes Monitoring Yes Programming No Other ___________

      Comment: Technical assistance such as staff training, etc.

3. Who builds community facilities? State ___ County ___ City ___ Profit Organizations ___ Non-profit organizations X Other or mix ___

      Comment: The State agency does not build community facilities but sometimes extends Grants-in-Aid to assist such projects.

4. Who will operate & program community alternatives? Same as those who build X Other than those who build ___

5. Who pays for patient care? State ___ County ___ Parent/Guardian ___ Other or mix X

      Comment: ___________

      What is the mechanism for reimbursement from state? Question is not clear. ___________

      What is minimum parent liability for care (dollars per month)? Variable ___

6. Future of present state institutions? Will some continue to operate? Yes___ Will some shift to local operation? No___ Who will make that/those decision(s) about local uses? Not known ___ Comment: ___________

7. Future of present state employees? Transferred to community alternatives? X

      Retraining by state? ___ Other (e.g., early retirement, attrition, hiring freeze)? ___

      Comment: No change anticipated in status of State employees.
Below fill in the recommendations regarding community alternatives and institutional reform presented by the State department having responsibility in this area. (Public Welfare, Human Services, Etc.)

1. Budget Recommendations (in dollars, where applicable).

A. For construction of community alternatives: FY '75 0 FY '76 0

Kind of money (yes or no): State grants Bonding authority Low-interest state loans Local levy No Revenue sharing No Other Fed. Comment: For renovation of existing housing

B. For maintenance of buildings: FY '75 FY '76

C. For maintenance of patient care personnel: FY '75 FY '76

D. Proposed direct patient care personnel to patient ration: FY '75 FY '76

2. Future role of state government in community facilities (yes or no): Operation of facilities Licensing Yes Contracting Yes Monitoring Yes Programming Other

Comment:

3. Who builds community facilities? State County City Profit Organizations Non-profit organizations Other or mix

Comment:

4. Who will operate & program community alternatives? Same as those who build Other than those who build x

5. Who pays for patient care? State x County Parent/Guardian x Other or mix x

Comment: Greatest proportion State

What is the mechanism for reimbursement from state?

What is minimum parent liability for care (dollars per month)?

6. Future of present state institutions? Will some continue to operate? Yes Will some shift to local operation? Who will make that/those decision(s) about local uses?

Comment:

7. Future of present state employees? Transferred to community alternatives? x Retraining by state? x Other (e.g., early retirement, attrition, hiring freeze)? x Comment: All
Below fill in the recommendations regarding community alternatives and institutional reform presented by the State department having responsibility in this area. (Public Welfare, Human Services, Etc.)

1. Budget Recommendations (in dollars, where applicable).

   A. For construction of community alternatives: FY '75 0 FY '76 0
   Kind of money (yes or no): State grants____ Bonding authority____ Low-interest state funds
   Loans x Local levy____ Revenue sharing x Other____ Comment: Many dollars, through local appropriations, fund drives, etc., go into construction at the local level; however we have no read on the amounts.

   B. For maintenance of buildings: FY '75 FY '76
   Information not available

   C. For maintenance of patient care personnel: FY '75 FY '76
   Information not available

   D. Proposed direct patient care personnel to patient ratio: FY '75 _______ FY '76 _______
   varies according to type of facility; overall average of 1:4

2. Future role of state government in community facilities (yes or no): Operation of facilities yes Licensing yes Contracting yes Monitoring yes Programming yes Other Consultation; technical assistance.
   Comment: ____________________________

3. Who builds community facilities? State x County x City __ Profit Organizations

   Non-profit organizations x Other or mix Multi-county area programs
   Comment: ____________________________

4. Who will operate & program community alternatives? Same as those who build yes

   Other than those who build ____________________________

5. Who pays for patient care? State x County x Parent/Guardian x Other or mix mix
   donations, federal benefit programs
   Comment: ____________________________
   What is the mechanism for reimbursement from state? Monthly Reimbursement to provider
   facility ______ What is minimum parent liability for care (dollars per month)? 0
   Charges are based on ability to pay, service not denied for inability to pay.

6. Future of present state institutions? Will some continue to operate? x Will some
   shift to local operation? ______ Who will make that/those decision(s) about local uses?
   Management system of local, regional, and state mental health
   Comment: ____________________________

7. Future of present state employees? Transferred to community alternatives? ______
   Retraining by state? _______ Other (e.g., early retirement, attrition, hiring freeze)?
   Comment: It is anticipated that employees will remain at the institution in order to meet JCAH Standards. In fact, it may be necessary to employ additional staff in certain areas.
fill in the recommendations regarding community alternatives and institutional, presented by the State department having responsibility in this area. ic Welfare. Human Services. Etc.)

1. **Budget Recommendations** (in dollars, where applicable).

A. For construction of community alternatives: FY '75 None  
   FY '76 None  
   Kind of money (yes or no): State grants____ Bonding authority____ Low-interest state loans____ Local levy____ Revenue sharing____ Other____ Comment:

B. For maintenance of buildings: FY '75 None  
   FY '76

C. For maintenance of patient care personnel: FY '75 ______  
   FY '76 $40,000 estimate per group

D. Proposed direct patient care personnel to patient ratio: FY '75 4 - 1  
   FY '76 4 - 1

2. **Future role of state government in community facilities** (yes or no): Operation of facilities No Licensing Yes Contracting Yes Monitoring Yes Programming/____ Other____
   Comment:

3. Who builds community facilities? State____ County____ City____ Profit Organizations____
   Non-profit organizations X Other or mix____
   Comment: Group homes for the mentally retarded are currently being leased, not built, and nursing homes (existing) are being purchased, not built.

4. Who will operate & program community alternatives? Same as those who build
   Community organizations with SCDMR __________  
   Other than those who build training and guidance - sponsorship

5. Who pays for patient care? State X County____ Parent/Guardian X Other or mix____
   SSI - ICF-MR Comment: Some deinstitutionalization dollars
   What is the mechanism for reimbursement from state? Vendor contract and reasonable fee __________
   What is minimum parent liability for care (dollars per month)? Unknown

6. **Future of present state institutions?** Will some continue to operate? Yes  
   Will some expansion of community programs
   Will some shift to local operation? / Who will make that/those decision(s) about local uses?
   SCDMR Commission with his designees, i.e. Regional Superintendents Comment: and central office staff

7. **Future of present state employees?** Transferred to community alternatives? ______
   Retraining by state? ______ Other (e.g., early retirement, attrition, hiring freeze)? ______
   Comment: Plans for expansion of community programming does not do away with need of existing employees in residential centers
Below fill in the decisions of the legislature regarding community alternatives and institutional reform. If the legislature has not acted, then fill in the recommendations of the governor. Circle which you are answering about Legislature Governor.

1. Budget Recommendations (in dollars, where applicable).

A. For construction of community alternatives: FY '75 _______ FY '76 _______
   Kind of money (yes or no): State grants ______ Bonding authority ______ Low-interest state loans ______ Local levy ______ Revenue sharing ______ Other ______ Comment: ____________________________

B. For maintenance of buildings: FY '75 _______ FY '76 _______

C. For maintenance of patient care personnel: FY '75 _______ FY '76 _______

D. Proposed direct patient care personnel to patient ratio: FY '75 _______ FY '76 _______

2. Future role of state government in community facilities (yes or no):
   Operation of facilities: X Licensing ______ Contracting ______ Monitoring ______ Programming ______ Other ______ Comment: ____________________________

3. Who builds community facilities? State ______ County ______ City ______ Profit Organizations ______
   Non-profit organizations ______ Other or mix ______ X
   Comment: ____________________________

4. Who will operate & program community alternatives? Same as those who build ______
   Other than those who build ______

5. Who pays for patient care? State ______ County ______ Parent/Guardian ______ Other or mix ______ X
   Comment: ____________________________

   What is the mechanism for reimbursement from state? ____________________________

   What is minimum parent liability for care (dollars per month)? ______

6. Future of present state institutions? Will some continue to operate? ______
   Will some shift to local operation? ______
   Who will make that/those decision(s) about local uses? ______
   Comment: ____________________________

7. Future of present state employees? Transferred to community alternatives? ______
   Retraining by state? ______
   Other (e.g., early retirement, attrition, hiring freeze)? ______
   Comment: ____________________________
Below fill in the decisions of the legislature regarding community alternatives and institutional reform. If the legislature has not acted, then fill in the recommendations of the governor. Circle which you are answering about: Legislature Governor

1. **Budget Recommendations** (in dollars, where applicable).
   
   A. For construction of community alternatives: FY '75 ___ FY '76 ___
   
   Kind of money (yes or no): State grants ___ Bonding authority ___ Low-interest state loans ___ Local levy ___ Revenue sharing ___ Other ___ Comment: The state budget addresses only the funding of the state institutions.
   
   B. For maintenance of buildings: FY '75 ___ FY '76 ___
   
   C. For maintenance of patient care personnel: FY '75 ___ FY '76 ___
   
   D. Proposed direct patient care personnel to patient ratio: FY '75 ___ FY '76 ___

2. **Future role of state government in community facilities** (yes or no): Operation of facilities no Licensing yes Contracting no Monitoring yes Programming yes Other ___ Comment: Community facilities are not operated or directly controlled or funded by the state.

3. Who builds community facilities? State ___ County ___ City ___ Profit Organizations ___ Non-profit organizations ___ Other or mix ___

   Comment: Answer applies to residential facilities.

4. Who will operate & program community alternatives? Same as those who build ___ Other than those who build Some state participation in programming ___

5. Who pays for patient care? State ___ County ___ Parent/Guardian ___ Other or mix Federal Government ___ Comment: Mostly Medi-Cal and SSI.

   What is the mechanism for reimbursement from state? Medi-Cal composed of state and federal funds. What is minimum parent liability for care (dollars per month)? ___, if adults, otherwise, mix: ___

6. **Future of present state institutions?** Will some continue to operate? yes Will some shift to local operation? no Who will make that/those decision(s) about local uses? Fiscal constraints make it impractical for Comment: state facilities to be operated on the local level.

7. **Future of present state employees?** Transferred to community alternatives? no Retraining by state? Other (e.g., early retirement, attrition, hiring freeze)? ___ Comment: No future hospital closures are projected - Previous hospital closures resulted in employee transfers, and reduction in the work force through attrition.
Below fill in the decisions of the legislature regarding community alternatives and institutional reform. If the legislature has not acted, then fill in the recommendations of the governor. Circle which you are answering about: [Legislature] [Governor]

1. **Budget Recommendations** (in dollars, where applicable).

   *A. For construction of community alternatives: **FY '75** ________ **FY '76** ________
   Kind of money (yes or no): State grants ___ Bonding authority ___ Low-interest state loans ___ Local levy ___ Revenue sharing ___ Other ______ Comment: __________________________

   *Legislature allocated $1,500,000 for FY 1975 for Community MR Services, no specification as to how they’re allocated.

   *B. For maintenance of buildings: **FY '75** ________ **FY '76** ________

   *C. For maintenance of patient care personnel: **FY '75** ________ **FY '76** ________

   *D. Proposed direct patient care personnel to patient ratio: **FY '75** ________ **FY '76** ________

2. **Future role of state government in community facilities** (yes or no): Operation of facilities ___ Licensing ___ Contracting ___ Monitoring ___ Programming ___ Other ______ Comment: __________________________

3. **Who builds community facilities?** State ___ County ___ City ___ Non-profit Organizations ___ Other or mix ______ Comment: __________________________

4. **Who will operate & program community alternatives?** Same as those who build ___ Other than those who build ______

5. **Who pays for patient care?** State ___ County ___ Parent/Guardian ___ Other or mix ______ Comment: __________________________

   What is the mechanism for reimbursement from state? None ________

   What is minimum parent liability for care (dollars per month)? None ________

6. **Future of present state institutions? Will some continue to operate?** Yes ___ Will some shift to local operation? ___ Who will make that/those decision(s) about local uses? State MR Administration based on feedback from State Council Comment: ____________________________

7. **Future of present state employees?** Transferred to community alternatives? ________

   Retraining by state? Yes ___ Other (e.g., early retirement, attrition, hiring freeze) ______ Comment: ____________________________
Below fill in the decisions of the legislature regarding community alternatives and institutional reform. If the legislature has not acted, then fill in the recommendations of the governor. Circle which you are answering about: Legislature Governor

1. Budget Recommendations (in dollars, where applicable).

   A. For construction of community alternatives: FY '75 $2,105,000 FY '76 Expected to increase substantially
      Kind of money (yes or no): State grants yes Bonding authority Low-interest state loans Local levy Revenue sharing Other yes Comment: Federal/state allocation for planning, renovations, and staff in five facilities to meet I.C.F. standards.

   B. For maintenance of buildings: FY '75 Not available FY '76 Not available

   C. For maintenance of patient care personnel: FY '75 Not available FY '76 Not available

   D. Proposed direct patient care personnel to patient ration: FY '75 NA FY '76 NA

2. Future role of state government in community facilities (yes or no): Operation of facilities yes Licensing yes Contracting yes Monitoring yes Programming yes Other yes Comment:

3. Who builds community facilities? State no County yes City no Profit Organizations yes Non-profit organizations yes Other or mix yes Comment:

4. Who will operate & program community alternatives? Same as those who build yes Other than those who build yes - both -

5. Who pays for patient care? State yes County yes Parent/Guardian yes Other or mix yes Comment:

   What is the mechanism for reimbursement from state? Direct and through local community mental health service boards.

   What is minimum parent liability for care (dollars per month)? - 0 -

6. Future of present state institutions? Will some continue to operate? yes Will some shift to local operation? no Who will make that/those decision(s) about local uses? Comment:

7. Future of present state employees? Transferred to community alternatives? Retraining by state? Other (e.g., early retirement, attrition, hiring freeze)? Comment: All of the above, as appropriate.
Below fill in the decisions of the legislature regarding community alternatives and institutional reform. If the legislature has not acted, then fill in the recommendations of the governor. Circle which you are answering about: Legislature Governor

1. **Budget Recommendations** (in dollars, where applicable). 9.50688 × 10^6 FY 1975 1.2 million FY 1976

A. For construction of community alternatives: **FY '75** ☑️ **FY '76** ☑️

   Kind of money (yes or no): State grants ☐ Bonding authority ☑️ Low-interest state loans ☐ Local levy ☑️ Revenue sharing ☑️ Other ☐ Comment:

B. For maintenance of buildings: **FY '75** ☑️ **FY '76** ☑️

C. For maintenance of patient care personnel: **FY '75** ☑️ **FY '76** ☑️

D. Proposed direct patient care personnel to patient ration: **FY '75** ☑️ **FY '76** ☑️

2. **Future role of state government in community facilities** (yes or no): Operation of facilities ☑️ Licensing ☐ Contracting ☐ Monitoring ☑️ Programming ☑️ Other ☐ Comment:

3. Who builds community facilities? State ☐ County ☐ City ☐ Profit Organizations ☑️ Non-profit organizations ☑️ Other or mix ☐ Comment:

4. Who will operate & program community alternatives? Same as those who build ☑️ Other than those who build ☐

5. Who pays for patient care? State ☑️ County ☑️ Parent/Guardian ☑️ Other or mix ☐ Federal ☐ Comment:

   What is the mechanism for reimbursement from state? ☐ Determined after review of budget criteria. What is minimum parent liability for care (dollars per month)? ☐

6. **Future of present state institutions?** Will some continue to operate? ☑️ Will some shift to local operation? ☐ Who will make that/those decision(s) about local uses? Department of Social Services ☐ Comment:

7. **Future of present state employees?** Transferred to community alternatives? ☐ Retraining by state? ☐ Possibly ☐ Other (e.g., early retirement, attrition, hiring freeze)? ☐ Comment:
Below fill in the decisions of the legislature regarding community alternatives and institutional reform. If the legislature has not acted, then fill in the recommendations of the governor. Circle which you are answering about: Legislature or Governor.

1. **Budget Recommendations** (in dollars, where applicable): $980,000.00 FY 1975 1.2 Million FY 1976
   A. For construction of community alternatives: FY '75 No 1.2 Million FY '76 No
   Kind of money (yes or no): State grants _ _ Bonding authority X _ Low-interest state loans _ _ Local levy X _ Revenue sharing _ _ Other X _ Comment: _ _

   B. For maintenance of buildings: FY '75 X _ _ FY '76 X _ _

   C. For maintenance of patient care personnel: FY '75 X _ _ FY '76 X _ _

   D. Proposed direct patient care personnel to patient ratio: FY '75 X _ _ FY '76 X _ _

2. **Future role of state government in community facilities** (yes or no): Operation of facilities NO _ Licensing YES _ Contracting ? _ Monitoring YES _ Programming YES _ Other _ Comment: _ _

3. Who builds community facilities? State _ _ County _ _ City _ _ Profit Organizations X _ Non-profit organizations _ _ _ Other or mix _ _ Comment: _ _

4. Who will operate & program community alternatives? Same as those who build _ _ Yes _ _ Other than those who build _ _

5. Who pays for patient care? State _ _ County X _ Parent/Guardian X _ Other or mix _ _ Federal X _ Comment: _ _

   What is the mechanism for reimbursement from state? rate determined after review of _ _ budget costs _ _ What is minimum parent liability for care (dollars per month)? for adult _ _

6. **Future of present state institutions?** Will some continue to operate? Yes _ Will some shift to local operation? No _ Who will make that/those decision(s) about local uses? Department of SRS Comment: _ _

7. **Future of present state employees?** Transferred to community alternatives? No _
   Retraining by state? Possibly _ Other (e.g., early retirement, attrition, hiring freeze)? _ _ Comment: _ _
Below fill in the decisions of the legislature regarding community alternatives and institutional reform. If the legislature has not acted, then fill in the recommendations of the governor. Circle which you are answering about: Legislature Governor

1. **Budget Recommendations** (in dollars, where applicable).*
   A. For construction of community alternatives: FY '75 23 Million. FY '76 11.3
      Kind of money (yes or no): State grants X Bonding authority X Low-interest state loans X Local levy X Revenue sharing X Other X Comment: __________________________
      General Revenue
   B. For maintenance of buildings: FY '75 State X FY '76 Local Communities
   C. For maintenance of patient care personnel: FY '75 Local Authority X FY '76 Local Community
   D. Proposed direct patient care personnel to patient ratio: FY '75 ________ X FY '76 ________

2. **Future role of state government in community facilities** (yes or no): Operation of facilities X No Licensing X Yes Contracting X Yes Monitoring X Yes Programming X Yes Other X Comment: __________________________

3. Who builds community facilities? State X County X City X Profit Organizations X Non-profit organizations X Other or mix X
   Comment: In FY 75 the State will, for the first time, build and finance and then turn over to communities, five 50-100 bed residential facilities for severely disabled individuals.

4. Who will operate & program community alternatives? Same as those who build X, ________ X Other than those who build will be turned over to communities ________

5. Who pays for patient care? State X County X Parent/ Guardian X Other or mix X Mainly 3rd party, Public Aid, Public Aid, SSD, Insurance, Medicare X Other or mix X
   Comment: What is the mechanism for reimbursement from state? 0 - 17 - directly to the provider X
   Comment: What is minimum parent liability for care (dollars per month)? UNK

6. **Future of present state institutions**? Will some continue to operate? X Yes Will some shift to local operation? X No Who will make that/those decision(s) about local uses? Governor & Legislature & Communities Comment: Five Year Plan required to show any change of this type.

7. **Future of present state employees?** Transferred to community alternatives? Recommended Retraining by state? X No Other (e.g., early retirement, attrition, hiring freeze)? X Other: ________
   Comment: It appears institutions will remain but generally serving clients who are severely and profoundly handicapped.

* Of the 23 million, 15 is for construction, site acquisition and equipment. 8 million is for private care placements and community living facilities. The 15 million is a one time cost.
Below fill in the decisions of the legislature regarding community alternatives and institutional reform. If the legislature has not acted, then fill in the recommendations of the governor. Circle which you are answering about (Legislature, Governor, etc).

1. Budget Recommendations (in dollars, where applicable):
   A. For construction of community alternatives: FY '75 $10,000, FY '76 $15,000
      Kind of money (yes or no): State grants ___ Bonding authority ___ Low-interest state
      loans ___ Local levy ___ Revenue sharing ___ Other ___ Comment: State funds

   B. For maintenance of buildings: FY '75 Local funds, FY '76

   C. For maintenance of patient care personnel: FY '75 Local, FY '76

   D. Proposed direct patient care personnel to patient ratio: FY '75 1:8, FY '76 1:8

2. Future role of state government in community facilities (yes or no): Operation of
   facilities ___ Licensing ___ Contracting ___ Monitoring ___ Programming ___ Other ___
   Comment: Supportive Services, Long-term Care, Emergency Plan

3. Who builds community facilities? State ___ County ___ City ___ Profit Organizations
   Non-profit organizations ___ Other or mix ___
   Comment:

4. Who will operate & program community alternatives? Same as those who build ___ Other than those who build ___

5. Who pays for patient care? State ___ County ___ Parent/Guardian ___ Other or mix ___
   Comment:

6. Future of present state institutions? Will some continue to operate? Yes ___ Will some
   shift to local operation? No ___ Who will make those decision(s) about local uses?
   State ___ Local ___
   Comment:.Some used in local operation.

7. Future of present state employees? Transferred to community alternatives? Will
   Retraining by state? ___ Other (e.g., early retirement, attrition, hiring freeze)?
   Comment: Employment at this point unlikely.

SST: Supportive Services, Transportation, Emergency Plan.
Below fill in the decisions of the legislature regarding community alternatives and institutional reform. If the legislature has not acted, then fill in the recommendations of the governor. Circle which you are answering about: Legislature Governor

1. Budget Recommendations (in dollars, where applicable).

A. For construction of community alternatives: FY '75 $75,000 FY '76 ________

   Kind of money (yes or no): State grants ___ Bonding authority ___ Low-interest state
   loans ___ Local levy ___ Revenue sharing XX Other ___ Comment: State Board of
   Liquidations.

B. For maintenance of buildings: FY '75 $15,561,360 FY '76 ________

C. For maintenance of patient care personnel: FY '75 $21,370,352 FY '76 ________

   Personnel - 1.22

D. Proposed direct patient care personnel to patient ratio: FY '75 Clients - FY '76 ________

   1.10

2. Future role of state government in community facilities (yes or no): Operation of
   facilities XX Licensing XX Contracting XX Monitoring XX Programming XX Other ________

   Comment: ________

3. Who builds community facilities? State XX County ___ City ___ Profit Organizations
   Non-profit organizations XX Other or mix ________

   Comment: ________

4. Who will operate & program community alternatives? Same as those who build
   ________ Other than those who build State Division of Mental Retardation ________

5. Who pays for patient care? State XX County ___ Parent/Guardian XX Other or mix
   ________ Comment: Small "sliding-scale" fees.

   What is the mechanism for reimbursement from state? Contracts with facilities.
   ________ What is minimum parent liability for care (dollars per month)? ________

6. Future of present state institutions? Will some continue to operate? Yes. Will some
   shift to local operation? ____ Who will make that/those decision(s) about local uses?
   ________

   Comment: ________

7. Future of present state employees? Transferred to community alternatives?

   Retraining by state? ____ Other (e.g., early retirement, attrition, hiring freeze)?
   ________ Comment: Will be where they are now and federal regulations will add to
   their ranks.
Below fill in the decisions of the legislature regarding community alternatives and institutional reform. If the legislature has not acted, then fill in the recommendations of the governor. Circle which you are answering about: (Legislature) Governor.

1. **Budget Recommendations** (in dollars, where applicable).
   - **A. For construction of community alternatives:** FY '75 16,720,419 FY '76
     - Kind of money (yes or no): State grants Yes Bonding authority Low-interest state loans Local levy Revenue sharing Other Comment: Capital Improvement Funds (340 monies)
   - **B. For maintenance of buildings:** FY '75 FY '76
   - **C. For maintenance of patient care personnel:** FY '75 FY '76
   - **D. Proposed direct patient care personnel to patient ration:** FY '75 1 - 2.97 FY '76 1.297

2. **Future role of state government in community facilities** (yes or no): Operation of facilities No Licensing Yes Contracting Yes Monitoring Yes Programming No Other
   - Comment:

3. **Who builds community facilities?** State Yes County Yes City Yes Profit Organizations Yes Non-profit organizations Yes Other or mix County and private non-profit
   - Comment: (State does provide grants for construction of community facilities.)

4. **Who will operate & program community alternatives?** Same as those who build Yes Other than those who build

5. **Who pays for patient care?** State Yes County Yes Parent/Guardian Yes Other or mix Yes
   - Comment:
   - What is the mechanism for reimbursement from state? Purchase of service (761 monies) What is minimum parent liability for care (dollars per month)? Varies

6. **Future of present state institutions?** Will some continue to operate? Yes Will some shift to local operation? No Who will make that/those decision(s) about local uses?
   - Comment: All will continue to operate.

7. **Future of present state employees?** Transferred to community alternatives?
   - Retraining by state? Other (e.g., early retirement, attrition, hiring freeze)?
   - Comment: No immediate change anticipated in M.R. institutions.

* For M.R. institutions ONLY
Below fill in the decisions of the legislature regarding community alternatives and institutional reform. If the legislature has not acted, then fill in the recommendations of the governor. Circle which you are answering about: Legislature Governor

1. Budget Recommendations (in dollars, where applicable).

   A. For construction of community alternatives: FY '75 $300,000 FY '76 $300,000

      Kind of money (yes or no): State grants Bonding authority Low-interest state
      loans Local levy Revenue sharing Other Comment: ___________________________

   B. For maintenance of buildings: FY '75 _________ FY '76 _________

   C. For maintenance of patient care personnel: FY '75 _________ FY '76 _________

   D. Proposed direct patient care personnel to patient ration: FY '75 _______ FY '76 _______

2. Future role of state government in community facilities (Yes or no): Operation of
   facilities Licensing Contracting Monitoring Programming Other
   Comment: ____________________________

3. Who builds community facilities? State County City Profit Organizations
   Non-profit organizations Other or mix
   Comment: ____________________________

4. Who will operate & program community alternatives? Same as those who build yes with
   State & local governments other than those who build ________________________________

5. Who pays for patient care? State County Parent/Guardian Other or mix
   Comment: Federal sources such as SSI, also ________________________________

   What is the mechanism for reimbursement from state? Programmatically awarded
   What is minimum parent liability for care (dollars per month)? ______

6. Future of present state institutions? Will some continue to operate? Yes Will some
   shift to local operation? Who will make that/those decision(s) about local uses?
   Virginia DMH&MR Comment: ________________________________

   Not applicable

7. Future of present state employees? Transferred to community alternatives? ______

   Retraining by state? Other (e.g., early retirement, attrition, hiring freeze)? ______

   Comment: ________________________________
Below fill in the decisions of the legislature regarding community alternatives and institutional reform. If the legislature has not acted, then fill in the recommendations of the governor. Circle which you are answering about: Legislature, Governor

1. Budget Recommendations (in dollars, where applicable).

A. For construction of community alternatives: FY '75_________ FY '76_________

Kind of money (yes or no): State grants____ Bonding authority____ Low-interest state loans____ Local levy____ Revenue sharing____ Other____ Comment: ____________________________

Our current budget for community alternatives is $11,000,000

B. For maintenance of buildings: FY '75_________ FY '76_________

C. For maintenance of patient care personnel: FY '75_________ FY '76_________

D. Proposed direct patient care personnel to patient ratio: FY '75_________ FY '76_________

2. Future role of state government in community facilities (yes or no): Operation of facilities____ Licensing____ Contracting____ Monitoring____ Programming____ Other____

Comment: Not currently operating facilities now but in future may be operating community programs.

3. Who builds community facilities? State____ County____ City____ Profit Organizations____ Non-profit organizations____ Other or mix____ Comment: We are not constructing facilities.

4. Who will operate & program community alternatives? Same as those who build

See #3____ Other than those who build____

5. Who pays for patient care? State____ County____ Parent/Guardian____ Other or mix____

Comment: State approved programs are

What is the mechanism for reimbursement from state? Funded through counties.

What is minimum parent liability for care (dollars per month)? $ 00____

6. Future of present state institutions? Will some continue to operate? yes____ Will some shift to local operation? yes____ Who will make that/those decision(s) about local uses?

Legislature____ Comment: ____________________________

7. Future of present state employees? Transferred to community alternatives? yes____

Retraining by state? yes____ Other (e.g., early retirement, attrition, hiring freeze)? not in current plans. Comment: ____________________________
Below fill in the decisions of the legislature regarding community alternatives and institutional reform. If the legislature has not acted, then fill in the recommendations of the governor. Circle which you are answering about: Legislature or Governor.

1. **Budget Recommendations** (in dollars, where applicable).

   A. For construction of community alternatives: FY '75 ___________ FY '76 ___________.

   Kind of money (yes or no): State grants ___ Bonding authority ___ Low-interest state loans ___ Local levy ___ Revenue sharing ___ Other ___ Comment: ____________________________

   **Our current budget for community alternatives is $11,000,000.**

   B. For maintenance of buildings: FY '75 ___________ FY '76 ___________.

   C. For maintenance of patient care personnel: FY '75 ___________ FY '76 ___________.

   D. Proposed direct patient care personnel to patient ratio: FY '75 _______ FY '76 _______.

2. **Future role of state government in community facilities** (yes or no): Operation of facilities yes Licensing yes Contracting yes Monitoring yes Programming yes Other ___ Comment: Not currently operating facilities now but in future may be operating community programs.

3. **Who builds community facilities?** State ___ County ___ City ___ Profit Organizations X Non-profit organizations ___ Other or mix ___ Comment: We are not constructing facilities.

4. **Who will operate & program community alternatives?** Same as those who build. See #3 Other than those who build.

5. **Who pays for patient care?** State yes County yes Parent/Guardian yes Other or mix ___ Comment: State approved programs are funded through counties.

   What is the minimum parent liability for care (dollars per month)? $0.00

6. **Future of present state institutions?** Will some continue to operate? Yes Will some shift to local operation? Yes Who will make that/those decision(s) about local uses? Legislature Comment: ____________________________

7. **Future of present state employees?** Transferred to community alternatives? Yes Retraining by state? Yes Other (e.g., early retirement, attrition, hiring freeze)? not in current plans. Comment: ____________________________
Below fill in the decisions of the legislature regarding community alternatives and institutional reform. If the legislature has not acted, then fill in the recommendations of the governor. Circle which you are answering about: Legislature, Governor

1. Budget Recommendations (in dollars, where applicable).
   A. For construction of community alternatives: FY '75 $120,000; FY '76 $120,000
      Kind of money (yes or no): State grants X; Bonding authority; Low-interest state loans; Local levy; Revenue sharing; Other; Comment:

      B. For maintenance of buildings: FY '75 ___; FY '76 ___

      C. For maintenance of patient care personnel: FY '75 ___; FY '76 ___

      D. Proposed direct patient care personnel to patient ratio: FY '75 ___; FY '76 ___

2. Future role of state government in community facilities (yes or no): Operation of facilities X; Licensing X; Contracting X; Monitoring X; Programming X; Other ____________
   Comment: ____________________________

3. Who builds community facilities? State X; County ___; City ___; Profit Organizations ___; Non-profit organizations X; Other or mix ___
   Comment: ____________________________

4. Who will operate & program community alternatives? Same as those who build Non- Profit Org. Other than those who build ________

5. Who pays for case? State X; County ___; Parent/Guardian ___; Other or mix X
   Fed. Social Services Comment: ____________________________

6. Future of present state institutions? Will some continue to operate? All X; Will some shift to local operation? No X; Who will make that/those decision(s) about local uses? Governor X
   Comment: Institutions are governed by the 5 FLA OFFICIALS

7. Future of present state employees? Transferred to community alternatives? X
   Retraining by state? ___; Other (e.g., early retirement, attrition, hiring freeze)? ___
   Comment: No Change

Below fill in the recommendations regarding community alternatives and institutional reform presented by the State department having responsibility in this area. (Public Welfare, Human Services, Etc.)

1. Budget Recommendations (in dollars, where applicable).

A. For construction of community alternatives: FY '75 - 0 - FY '76 - 0 - 
   Kind of money (yes or no): State grants___ Bonding authority___ Low-interest state loans___ Local levy___ Revenue sharing___ Other___ Comment: See Comment on No. 3 below

B. For maintenance of buildings: FY '75 - 0 - FY '76 - 0 -

C. For maintenance of patient care personnel: FY '75 ___ FY '76 ___ *

D. Proposed direct patient care personnel to patient ratio: FY '75 ___ FY '76 **

2. Future role of state government in community facilities (yes or no): Operation of facilities___ Licensing___ Contracting ___ Monitoring ___ Programming ___ Other___
   Comment: Assistance in meeting accreditation standards

3. Who builds community facilities? State___ County___ City___ Profit Organizations___
   Non-profit organizations___ Other or mix ___ X
   Comment: Community residences are usually leased or purchased with funds in * below or from local funds

4. Who will operate & program community alternatives? Same as those who build ___
   Other than those who build ___ See No. 2 above

5. Who pays for patient care? State___ County___ Parent/Guardian___ Other or mix ___ X
   Comment: See * below
   What is the mechanism for reimbursement from state? Quarterly payments based on reports___ What is minimum parent liability for care (dollars per month)? N/A___

6. Future of present state institutions? Will some continue to operate? ___ Will some shift to local operation? ___ Who will make that/those decision(s) about local uses? ___
   Comment: No information at this time

7. Future of present state employees? Transferred to community alternatives? ___
   Retraining by state? ___ Other (e.g., early retirement, attrition, hiring freeze)? ___
   Comment: No information at this time

* In the departmental budget for purchase of residential care from non-profit organizations: FY '75 $776,719 FY '76 $815,556

** Ratio dependent on patient functioning level.
below fill in the decisions of the legislature regarding community alternatives and institutional reform. If the legislature has not acted, then fill in the recommendations of the governor. Circle which you are answering about: Legislature Governor

1. Budget Recommendations (in dollars, where applicable).

A. For construction of community alternatives: FY '75 none FY '76 none

Kind of money (yes or no): State grants no Bonding authority no Low-interest state loans no Local levy no Revenue sharing no Other:__Comment:__ no construction__

planned-utilization of existing structures where facilities considered

B. For maintenance of buildings: FY '75 unknown FY '76 unknown

C. For maintenance of patient care personnel: FY '75 unknown FY '76 unknown

D. Proposed direct patient care personnel to patient ration: FY '75 unknown FY '76 unknown

2. Future role of state government in community facilities (yes or no): Operation of facilities no Licensing yes Contracting yes Monitoring yes Programming yes Other:__Comment:__ will probably continue to contract with private non-profit agencies

3. Who builds community facilities? State____ County____ City____ Profit Organizations____

Non-profit organizations____ Other or mix____

Comment:____ existing structures utilized - state/federal monies

4. Who will operate & program community alternatives? Same as those who build State/Local agencies____ Other than those who build____

5. Who pays for patient care? State X County X Parent/Guardian X Other or (mi) X

Comment:__primarily Federal money, some state

What is the mechanism for reimbursement from state?__

What is minimum parent liability for care (dollars per month)?__variable

6. Future of present State institutions? Will some continue to operate? yes Will some

shift to local operation? yes Who will make that/those decision(s) about local uses?
regional plans and committees will determine needs the institution should

Comment:__address, Governor, legislature, MR, Dir.

7. Future of present State employees? Transferred to community alternatives?__

Retraining by state?____ Other (e.g., early retirement, attrition, hiring freeze)?__

Comment:__ not presently under consideration