



Human Services

Deanna Steckman,
Director



410 21st Street South
P.O. Box 208
Benson, MN 56215-0208
(320) 843-3160
Fax: (320) 843-4582

October 7, 2014

Ms. Kim Anderson
Continuing Care Administration
Department of Human Services
PO Box 64974
St Paul MN 55164-0967

RE: HCBS Waiver Review – Swift County

Dear Ms. Anderson:

Please accept this letter as Swift County's response to our recent HCBS waiver review.

1. Waiver Review Requirement:

Beginning immediately, ensure that each participant's case file includes signed documentation that participants have been informed of their right to appeal on an annual basis.

Corrective Action Plan:

All waiver participants will be asked annually to sign and date documentation that they were provided information regarding their Right to Appeal, and this documentation will be in each participant's case file.

2. Waiver Review Requirement:

Beginning immediately, ensure that screenings for the DD waiver occur within required time frames.

Correction Action Plan:

Effective immediately, we will be closing DD cases that were opened for assessment when we cannot complete the screening document within the 90 day timeframe due to non-cooperation from the consumer.

3. Waiver Review Requirement:

Submit the Case File Compliance Worksheets within 60 days of the Waiver Review Team's site visit.

Corrective Action Plan:

The worksheet was completed and submitted on 9-29-14.

4. Waiver Review Requirement:

Submit the Non-Enrolled Vendors Compliance Worksheet within 60 days of the Waiver Review Team's site visit.

Corrective Action Plan:

Our Agency submitted a request for an extension on the due date for this worksheet. We were granted an extension until 10-7-14. The worksheet was completed and submitted on 10-7-14.

Swift County appreciates the assistance from DHS staff during our review in regards to clarification on the Tier 2 and 3 provider requirements and guidance in developing a more complete vendor log. However, we disagree with the inclusion of the non-compliance information in our final waiver review report. The audit of our Tier 2 and 3 provider information was initially identified as an educational review. We were already aware of our non-compliance and were looking forward to obtaining more direction and clarification on these new requirements. We had specific questions regarding provider exclusions lists and the applicant background study process for these providers that were discussed during the review. It was disappointing to see that the results of an educational review needed to be included in a final waiver review report when barriers and unknowns still exist with monitoring non-enrolled Tier 2 and 3 providers.

Thank you. Please contact me if you have any questions or need further information.

Sincerely,

Linda Erhardt
Social Services Supervisor