

Date: December 1, 2014

To: Eligible Alien Surplus Lines Insurers

From: Commissioner of Commerce, Minnesota Department of Commerce
85 7th Place East, Suite 500, Saint Paul, Minnesota 55101-2198

Subject: Filing Requirements

Please read the filing instructions carefully. Documents must be received by the specified due dates.
This information is available on our web site at:

<http://mn.gov/commerce/insurance> under Insurance Companies>Financial Filing
Instructions>Annual Statements

Companies will not be sent an invoice for their renewal fee (\$300) and the Minnesota Office of Technology Surcharge (\$30). The total alien surplus lines insurer renewal fee is \$330. Please remit payment with the Payment Voucher.

<u>Item</u>	<u>Description and Filing Requirement</u>	<u>Filing Due Date</u>
1.	ANNUAL STATEMENT (IID Financial Reporting Format) The annual statement is required to be filed on the Standard IID Financial Reporting Format as prescribed by the National Association of Insurance Commissioners (NAIC). The statement should be completed in accordance with the IID Filing Instruction adopted by the NAIC, including the appropriate footnotes, interrogatories, schedules and certification of loss reserves.	August 1, 2015
2.	AUDIT REPORT from an independent CPA firm for the most recently completed year (with conversion to U.S. dollars)	August 1, 2015
3.	U.S. TRUST FUND AGREEMENT AND CERTIFICATION (MN Statute 60A.206, Subd. 5)	August 1, 2015
	(a) If the Trust Agreement has been amended during the prior year, a copy of the Amendment is required to be filed along with a copy of the Restated Trust Agreement.	
	(b) The Trustee shall provide a statement of market value for the trust, certified with an original signature, and listing the trust's investments at December 31, 2014.	

Insurance Company Renewal Information
**DO NOT MAIL YOUR CHECK WITH
 THE ANNUAL FINANCIAL FILING,
 PREMIUM TAX RETURN OR ANY OTHER DOCUMENTS**

(Please forward to license renewal personnel for payment of fees)

The annual statement/insurance company renewal fees are stated in Minn.Stat. 60A.14, subd. 1 (and in Minn. Stat. 60A.092, subd. 3(4) for accredited reinsurers). A surcharge is being collected on behalf of the MN Office of Enterprise Technology (OET) to fund a statewide electronic licensing system pursuant to Minn. Stat. 16E.22.

The Department **will not be issuing invoices or reminders** to insurance companies. **Please print this page and return it with your check** made payable to the Minnesota Department of Commerce. This page must be included with each check. A separate check must be issued for each insurance company. Please submit your **payment by March 31, 2015**, based on your company type as listed below.

Domestic companies (life, fraternal, property/casualty, reciprocal, title)	\$857.50*
Foreign property/casualty, reciprocal, and title companies	\$857.50*
Foreign life companies	\$907.50**
Foreign fraternal companies, except: Pennsylvania renewal is \$907.50	\$857.50*
Accredited reinsurers	\$247.50***
Eligible surplus lines insurers (foreign and alien), \$330 except as noted below: Maryland renewal is \$2,030 North Carolina renewal is \$530 Ohio renewal is \$1,030 Oklahoma renewal is \$680	\$330.00****

- * \$575 COA, \$225 annual statement, \$57.50 OET surcharge
- ** \$575 COA, \$225 annual statement, \$50 certificate of valuation, \$57.50 OETsurcharge
- *** \$225 renewal/annual statement, \$22.50 OET surcharge
- ****\$300 annual renewal of eligibility, \$30.00 OETsurcharge

If you have any questions regarding renewal fees, contact Susan Porter at (651) 539-1774 or e-mail at Sue.Porter@state.mn.us.

 Full Name of Insurance Company

 NAIC Number

 Amount of Check

 Name of Contact Person

Mail Payment to:

 Daytime Phone Number

Minnesota Dept. of Commerce
 Insurance Division
 85 7th Place East, Suite 500
 St. Paul, MN 55101-2198

 Contact Email Address