# STATE OF MINNESOTA BOARD OF ARCHITECTURE, ENGINEERING, LAND SURVEYING, LANDSCAPE ARCHITECTURE, GEOSCIENCE AND INTERIOR DESIGN

### In the matter of Clark Wicklund PROFESSIONAL ENGINEER License Number 40922

# STIPULATION AND ORDER

Board File No. 2017-0039

TO: Clark Wicklund 233 Park Avenue South Suite 300 Minneapolis, Minnesota 55415-1108

The Minnesota Board of Architecture, Engineering, Land Surveying, Landscape Architecture, Geoscience and Interior Design ("Board") is authorized pursuant to Minnesota Statutes section 214.10 (2016) and Minnesota Statutes section 326.111 (2016) to review complaints against architects, professional engineers, land surveyors, landscape architects, geoscientists, and certified interior designers, and to take disciplinary action whenever appropriate.

The Board received information concerning Clark Wicklund ("Respondent"). The Board's Complaint Committee ("Committee") reviewed the information. The parties have agreed that these matters may now be resolved by this Stipulation and Order.

#### STIPULATION

It is hereby stipulated and agreed by Respondent and the Committee that without trial or adjudication of any issue of fact or law and without any evidence or admission by any party with respect to any such issue:

1. <u>Jurisdiction</u>. Respondent was first issued a Professional Engineer license by the Board on February 1, 2001. Respondent is subject to the jurisdiction of the Board

with respect to the matters referred to in this Stipulation.

2. <u>Facts.</u> This Stipulation is based upon the following facts:

a. Respondent was first licensed as a Professional Engineer in the State of Minnesota on February 1, 2001.

b. On July 1, 2016, Respondent's Professional Engineer license in the State of Minnesota expired.

c. Respondent reinstated his Minnesota Professional Engineer license on January 9, 2017. Respondent's license is current with an expiration date of June 30, 2018.

d. Respondent's Minnesota Professional Engineer license lapsed from July 1, 2016 to January 9, 2017.

e. In a letter to the Board dated February 23, 2017, Respondent stated that during the period of July 1, 2016 through January 4, 2017, it was his understanding that the license renewal had been coordinated and submitted to the Board prior to the June 30<sup>th</sup> deadline. Respondent stated that on January 5, 2017, he learned that his license had not been renewed.

f. With his letter to the Board dated February 23, 2017, Respondent provided a copy of his signature block used on his letters from July 1, 2016 to January 9, 2017. The signature block stated: "Clark Wicklund, PE." Respondent held himself out as a Professional Engineer in the State of Minnesota between July 1, 2016 and January 9, 2017, by using the title of "Clark Wicklund, PE" in his letter signature block.

g. With this same letter to the Board dated February 23, 2017,

Respondent included a copy of his business card that was in effect during the period his Minnesota Professional Engineer license lapsed, July 1, 2016 to January 9, 2017. The business card contained a Minneapolis business address and stated: "Clark Wicklund, PE." Respondent held himself out as a Professional Engineer in the State of Minnesota between July 1, 2016 and January 9, 2017, by using the title of "Clark Wicklund, PE" on his business cards.

h. In this same letter to the Board dated February 23, 2017, Respondent listed twenty-one (21) Minnesota projects he worked on from July 1, 2016 to January 9, 2017.

i. With this same letter to the Board dated February 23, 2017, Respondent attached copies of the Minnesota projects he signed and certified as a Minnesota Professional Engineer between July 1, 2016 and January 9, 2017. Respondent signed and certified documents on the following Minnesota projects:

i.1 Final subdivision plans for a project located in Eagan, Minnesota on July 5, 2016.

i.2 Watermain bypass plan for a project located in Bloomington, Minnesota on October 27, 2016.

i.3 Permit set plans for a project located in Chaska, Minnesota on July 14, 2016.

i.4 Permit set plans for a project located in Eagan, Minnesota on July 7, 2016.

i.5 Permit and bid set plans for a project located in Saint Louis Park, Minnesota on October 30, 2016.

i.6 Permit set of plans for a project located in Minneapolis, Minnesota on November 15, 2016.

i.7 Building permit set for a project located in Minneapolis, Minnesota on October 21, 2016.

j. Respondent held himself out as a Professional Engineer in the State of Minnesota, within the meaning of Minnesota Statutes section 326.02, subdivision 1 (2016), and practiced professional engineering, as defined in Minnesota Statutes section 326.02, subdivision 3 (2016), during the lapse of his Minnesota Professional Engineer license, when he signed and certified the documents for the Minnesota projects identified in paragraphs, 2.i.1 through 2.i.7 above.

k. Immediately upon discovery of the lapse of his license, Respondent took significant corrective actions including notifying the Board, his human resource department, project managers, and clients of the lapse of his license, and arranging for plans he signed during the lapse to be reviewed and recertified by other licensed Minnesota Professional Engineers.

I. Respondent was extremely cooperative and forthcoming with the Board, his employer and associates.

3. <u>Violations.</u> Respondent admits that the facts specified above constitute violations of Minnesota Statutes section 326.02, subdivision 1 and subdivision 3 (2016) and are sufficient grounds for the action specified below. Specifically, it is alleged that the Respondent: (1) held himself out as a Professional Engineer in the State of Minnesota, within the meaning of Minnesota section 326.02, subdivision 1 (2016),

during the lapse of his Minnesota Professional Engineer license from July 1, 2016 to January 9, 2017 (a) on his letters by using the title of "PE", in connection with his name; (b) by using the title of "PE" on his business cards, and (2) held himself out as a Professional Engineer in the State of Minnesota, within the meaning of Minnesota Statutes section 326.02, subdivision 1 (2016) and practiced professional engineering, as defined in Minnesota Statutes section 326.02, subdivision 3 (2016), on drawings he signed and certified on seven (7) projects located in Minnesota, during the lapse of his Minnesota Professional Engineer license.

4. <u>Enforcement Action</u>. Respondent and the Committee agree that the Board should issue an Order in accordance with the following terms:

a. <u>Reprimand.</u> Respondent is reprimanded for the foregoing conduct.

b. <u>Civil Penalty.</u> Respondent shall pay to the Board a civil penalty of Four Thousand Dollars (\$4,000.00). Respondent shall submit a civil penalty of Four Thousand Dollars (\$4,000.00) by check or money order to the Board within sixty (60) days of the Board's approval of this Stipulation and Order.

c. Respondent shall cease and desist from violating any laws, rules or orders entrusted to enforcement by the Board.

5. <u>Waiver of Respondent's Rights.</u> For the purpose of this Stipulation, Respondent waives all procedures and proceedings before the Board to which Respondent may be entitled under the Minnesota and United States constitutions, statutes, or the rules of the Board, including the right to dispute the allegations against Respondent, to dispute the appropriateness of discipline in a contested case proceeding pursuant to Minnesota Statutes Chapter 14 (2016), and to dispute the civil

penalty imposed by this Agreement. Respondent agrees that upon the application of the Committee without notice to or an appearance by Respondent, the Board may issue an Order containing the enforcement action specified in paragraph 4 herein. Respondent waives the right to any judicial review of the Order by appeal, writ of certiorari, or otherwise.

6. <u>Collection.</u> Pursuant to Minnesota Statutes section 16D.17 (2016), after ninety (90) days of the Board's approval of this Stipulation and Order, the Board may file and enforce any unpaid portion of the civil penalty imposed by this Order as a judgment against the Respondent in district court without further notice or additional proceedings.

7. <u>Board Rejection of Stipulation and Order.</u> In the event the Board in its discretion does not approve this Stipulation and Order or a lesser remedy than specified herein, this Stipulation and Order shall be null and void and shall not be used for any purpose by either party hereto. If this Stipulation is not approved and a contested case proceeding is initiated pursuant to Minnesota Statutes Chapter 14 (2016), Respondent agrees not to object to the Board's initiation of the proceedings and hearing the case on the basis that the Board has become disqualified due to its review and consideration of this Stipulation and the record.

8. <u>Unrelated Violations.</u> This settlement shall not in any way or manner limit or affect the authority of the Board to proceed against Respondent by initiating a contested case hearing or by other appropriate means on the basis of any act, conduct, or admission of Respondent justifying disciplinary action which occurred before or after the date of this Stipulation and Order and which is not directly related to the specific facts and circumstances set forth herein.

9. <u>Record.</u> The Stipulation, related investigative reports and other documents shall constitute the entire record of the proceedings herein upon which the Order is based. The investigative reports, other documents, or summaries thereof may be filed with the Board with this Stipulation.

10. <u>Data Classification.</u> Under the Minnesota Government Data Practices Act, this Stipulation and Order is classified as public data upon its issuance by the Board, Minnesota Statutes section 13.41, subdivision 5 (2016). All documents in the record shall maintain the data classification to which they are entitled under the Minnesota Government Data Practices Act, Minnesota Statutes Chapter 13 (2016). They shall not, to the extent they are not already public documents, become public merely because they are referenced herein. A summary of this Order will appear in the Board's newsletter. A summary will also be sent to the national discipline data bank pertaining to the practice of engineering.

11. <u>Entire Agreement.</u> Respondent has read, understood, and agreed to this Stipulation and is freely and voluntarily signing it. The Stipulation contains the entire agreement between the parties hereto relating to the allegations referenced herein. Respondent is not relying on any other agreement or representations of any kind, verbal or otherwise.

12. <u>Counsel</u>. Respondent is aware that he may choose to be represented by legal counsel in this matter. Respondent knowingly waived legal representation.

13. <u>Service.</u> If approved by the Board, a copy of this Stipulation and Order shall be served personally or by first class mail on Respondent. The Order shall be effective and deemed issued when it is signed by the Chair of the Board.

RESPONDENT

Clark Wicklund, PE

Dated: 4.12 . 2017

SUBSCRIBED and sworn to before me on this the  $12^{1/1}$  day of 2017.

usan C. Maren

(Notary Public)



My Commission Expires:

1/31/2020

# **COMPLAINT COMMITTEE**

<u>Unerba</u> Dated: <u>5/11</u>, 2017 James N. Grube, P.E. **Committee Chair** 

#### ORDER

Upon consideration of the foregoing Stipulation and Order and based upon all the files, records, and proceedings herein, all terms of the Stipulation and Order are approved and adopted and hereby issued as an Order of this Board this the  $11^{++}$  day

of <u>May</u>, 2017.

MINNESOTA BOARD OF ARCHITECTURE, ENGINEERING, LAND SURVEYING, LANDSCAPE ARCHITECTURE, GEOSCIENCE AND INTERIOR DESIGN

tuno RiMarten ₿v% Peter G. Miller, PSS **Board Chair** 

-Dennis R. Mantenson, PE

#### AFFIDAVIT OF SERVICE BY MAIL

# RE: In the matter of Clark Wicklund Professional Engineer License Number 40922

STATE OF MINNESOTA ) ) ss. COUNTY OF RAMSEY )

Lynette DuFresne, being first duly sworn, deposes and says:

That at the City of St. Paul, County of Ramsey and State of Minnesota, on this the  $15^{\text{HV}}$  day of  $\underline{\text{MH}}$ ,  $20_{17}$ , she served the attached **Stipulation and Order**, by depositing in the United States mail at said city and state, a true and correct copy thereof, properly enveloped, with first class and certified postage prepaid, and addressed to:

Mr. Clark Wicklund Alliant Engineering, Inc. 233 Park Avenue South Suite 300 Minneapolis, Minnesota 55415-1108

CERTIFIED MAIL Return Receipt Requested 7003 3110 0004 8527 7211

Lynette DuFresne

Subscribed and sworn to before me on this the  $15^{4\%}$  day of May . , 2017 .

(Notary Public)

