

**STATE OF MINNESOTA
BOARD OF ARCHITECTURE, ENGINEERING,
LAND SURVEYING, LANDSCAPE ARCHITECTURE, GEOSCIENCE
AND INTERIOR DESIGN**

**In the matter of Joel Ray
PROFESSIONAL ENGINEER
License Number 45920**

STIPULATION AND ORDER

Board File No. 2017-0010

TO: Mr. Joel Ray
2027 Sherwood Avenue
Saint Paul, Minnesota 55119

The Minnesota Board of Architecture, Engineering, Land Surveying, Landscape Architecture, Geoscience and Interior Design ("Board") is authorized pursuant to Minnesota Statutes section 214.10 (2016) and Minnesota Statutes section 326.111 (2016) to review complaints against architects, professional engineers, land surveyors, landscape architects, geoscientists, and certified interior designers, and to take disciplinary action whenever appropriate.

The Board received information concerning Joel Ray ("Respondent"). The Board's Complaint Committee ("Committee") reviewed the information. The parties have agreed that these matters may now be resolved by this Stipulation and Order.

STIPULATION

It is hereby stipulated and agreed by Respondent and the Committee that without trial or adjudication of any issue of fact or law and without any evidence or admission by any party with respect to any such issue:

1. Jurisdiction. Respondent was first issued a Professional Engineer license by the Board on July 10, 2007. Respondent is subject to the jurisdiction of the Board

with respect to the matters referred to in this Stipulation.

2. Facts. This Stipulation is based upon the following facts:

a. Respondent was first licensed as a Professional Engineer in the State of Minnesota on July 10, 2007.

b. On July 1, 2016, Respondent's Professional Engineer in the State of Minnesota expired.

c. Respondent reinstated his Minnesota Professional Engineer license on July 29, 2016. Respondent's license is current with an expiration date of June 30, 2018.

d. Respondent's Minnesota Professional Engineer license lapsed from July 1, 2016 to July 29, 2016.

e. Respondent called the Board office on August 2, 2016, and, in a telephone conversation to the Board Investigator, stated that he was calling to self-report that his license had lapsed and that he had practiced professional engineering during the lapse of his license.

f. In a letter to the Board dated September 1, 2016, Respondent stated that the charges listed in the complaint for holding out as a Professional Engineer and practice as a professional engineer, during the lapse of his Minnesota Professional Engineer license, were correct and that is why he self-reported.

g. With his letter to the Board dated September 1, 2016, Respondent provided a copy of his signature block used on his emails from July 1, 2016 to July 29, 2016. The signature block stated: "Joel Ray, P.E." Respondent held

himself out as a Professional Engineer in the State of Minnesota between July 1, 2016 and July 29, 2016, by using the title of "Joel Ray, P.E." in his email signature block.

h. With this same letter to the Board dated September 1, 2016, Respondent attached a listing of the Minnesota projects he worked on from July 1, 2016 to July 29, 2016. The list contained nine (9) projects.

i. With this same letter to the Board dated September 1, 2016, Respondent attached copies of the Minnesota projects he signed and certified as a Minnesota Professional Engineer between July 1, 2016 and July 29, 2016. Respondent signed and certified documents on the following Minnesota projects:

i.1 Structural Calculations for a project located in Saint Cloud, Minnesota on July 17, 2106.

i.2 Structural Calculations for a project located in Minneapolis, Minnesota on July 28, 2016.

j. Respondent held himself out as a Professional Engineer in the State of Minnesota, within the meaning of Minnesota Statutes section 326.02, subdivision 1 (2016), and practiced professional engineering, as defined in Minnesota Statutes section 326.02, subdivision 3 (2016), during the lapse of his Minnesota Professional Engineer license, when he signed and certified the documents for the Minnesota projects identified in paragraphs, 2.i.1 and 2.i.2 above.

3. - Violations. Respondent admits that the facts specified above constitute violations of Minnesota Statutes section 326.02, subdivision 1 and subdivision 3 (2016)

and are sufficient grounds for the action specified below. Specifically, it is alleged that the Respondent: (1) held himself out as a Professional Engineer in the State of Minnesota, within the meaning of Minnesota section 326.02, subdivision 1 (2016), during the lapse of his Minnesota Professional Engineer license, (a) on his emails by using the title of "P.E.", in connection with his name; and (2) held himself out as a Professional Engineer in the State of Minnesota, within the meaning of Minnesota Statutes section 326.02, subdivision 1 (2016) and practiced professional engineering, as defined in Minnesota Statutes section 326.02, subdivision 3 (2016), on drawings he signed and certified on two (2) projects located in Minnesota, during the lapse of his Minnesota Professional Engineer license.

4. Enforcement Action. Respondent and the Committee agree that the Board should issue an Order in accordance with the following terms:

- a. Reprimand. Respondent is reprimanded for the foregoing conduct.
- b. Civil Penalty. Respondent shall pay to the Board a civil penalty of Three Thousand Dollars (\$3,000.00). Respondent shall submit a civil penalty of Three Thousand Dollars (\$3,000.00) by check or money order to the Board within sixty (60) days of the Board's approval of this Stipulation and Order.
- c. Respondent shall cease and desist from violating any laws, rules or orders entrusted to enforcement by the Board.

5. Waiver of Respondent's Rights. For the purpose of this Stipulation, Respondent waives all procedures and proceedings before the Board to which Respondent may be entitled under the Minnesota and United States constitutions, statutes, or the rules of the Board, including the right to dispute the allegations against

Respondent, to dispute the appropriateness of discipline in a contested case proceeding pursuant to Minnesota Statutes Chapter 14 (2016), and to dispute the civil penalty imposed by this Agreement. Respondent agrees that upon the application of the Committee without notice to or an appearance by Respondent, the Board may issue an Order containing the enforcement action specified in paragraph 4 herein. Respondent waives the right to any judicial review of the Order by appeal, writ of certiorari, or otherwise.

6. Collection. Pursuant to Minnesota Statutes section 16D.17 (2016), after ninety (90) days of the Board's approval of this Stipulation and Order, the Board may file and enforce any unpaid portion of the civil penalty imposed by this Order as a judgment against the Respondent in district court without further notice or additional proceedings.

7. Board Rejection of Stipulation and Order. In the event the Board in its discretion does not approve this Stipulation and Order or a lesser remedy than specified herein, this Stipulation and Order shall be null and void and shall not be used for any purpose by either party hereto. If this Stipulation is not approved and a contested case proceeding is initiated pursuant to Minnesota Statutes Chapter 14 (2016), Respondent agrees not to object to the Board's initiation of the proceedings and hearing the case on the basis that the Board has become disqualified due to its review and consideration of this Stipulation and the record.

8. Unrelated Violations. This settlement shall not in any way or manner limit or affect the authority of the Board to proceed against Respondent by initiating a contested case hearing or by other appropriate means on the basis of any act, conduct, or admission of Respondent justifying disciplinary action which occurred before or after

the date of this Stipulation and Order and which is not directly related to the specific facts and circumstances set forth herein.

9. Record. The Stipulation, related investigative reports and other documents shall constitute the entire record of the proceedings herein upon which the Order is based. The investigative reports, other documents, or summaries thereof may be filed with the Board with this Stipulation.

10. Data Classification. Under the Minnesota Government Data Practices Act, this Stipulation and Order is classified as public data upon its issuance by the Board, Minnesota Statutes section 13.41, subdivision 5 (2016). All documents in the record shall maintain the data classification to which they are entitled under the Minnesota Government Data Practices Act, Minnesota Statutes Chapter 13 (2016). They shall not, to the extent they are not already public documents, become public merely because they are referenced herein. A summary of this Order will appear in the Board's newsletter. A summary will also be sent to the national discipline data bank pertaining to the practice of engineering.

11. Entire Agreement. Respondent has read, understood, and agreed to this Stipulation and is freely and voluntarily signing it. The Stipulation contains the entire agreement between the parties hereto relating to the allegations referenced herein. Respondent is not relying on any other agreement or representations of any kind, verbal or otherwise.

12. Counsel. Respondent is aware that he may choose to be represented by legal counsel in this matter. Respondent knowingly waived legal representation.

13. Service. If approved by the Board, a copy of this Stipulation and Order

shall be served personally or by first class mail on Respondent. The Order shall be effective and deemed issued when it is signed by the Chair of the Board.

RESPONDENT

Joel Ray, PE
Joel Ray, PE

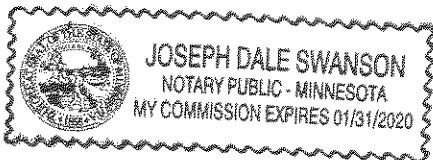
Dated: 12/16, 2016.

SUBSCRIBED and sworn to before me on
this the 16 day of December, 2016.

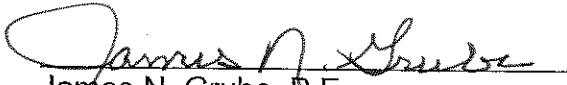
Joseph Swanson
(Notary Public)

My Commission Expires:

01/31/2020



COMPLAINT COMMITTEE

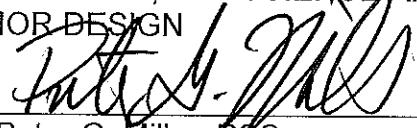

James N. Grube, P.E.
Committee Chair

Dated: 1/31, 20 17

ORDER

Upon consideration of the foregoing Stipulation and Order and based upon all the files, records, and proceedings herein, all terms of the Stipulation and Order are approved and adopted and hereby issued as an Order of this Board this the 31st day of January, 20 17.

MINNESOTA BOARD OF
ARCHITECTURE, ENGINEERING,
LAND SURVEYING, LANDSCAPE
ARCHITECTURE, GEOSCIENCE AND
INTERIOR DESIGN

By: 
Peter G. Miller, PSS
Board Chair

